

1 Potentially inappropriate medication use by level of polypharmacy
2 among US Veterans 49-64 and 65-70 years old

3 Running title: PIMs among US Veterans

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28 **Ethic statement:** This study was approved by the institutional review boards of VA Connecticut
29 Healthcare System and Yale University. It has been granted a waiver of informed consent and is
30 Health Insurance Portability and Accountability Act compliant.

31 **Data availability statement:** Due to US Department of Veterans Affairs (VA) regulations and our
32 ethics agreements, the analytic data sets used for this study are not permitted to leave the VA firewall
33 without a Data Use Agreement. This limitation is consistent with other studies based on VA data.
34 However, VA data are made freely available to researchers with an approved VA study protocol.

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36 Affairs or the United States Government.

37 **Keywords:** Pharmacoepidemiology; Age; Data analysis; Older; Middle-aged; Population health;
38 Polypharmacy; Potentially inappropriate medications; Drug utilization study

39 **Key points (100/100 words):**

- 40 • Between 2015 and 2016, about 60% of United States (US) Veterans patients aged 49 to 70
41 years with polypharmacy and 80% with hyperpolypharmacy were exposed to potentially
42 inappropriate medications (PIMs).
- 43 • Beers, Laroche and PROMPT criteria provided similar estimation of PIMs prevalence both
44 inside and outside their target age population.
- 45 • The prevalence of polypharmacy and hyperpolypharmacy was substantial even among those
46 49-64 years of age.

- 47 • Meaningful differences in PIMs prevalence were observed between patients aged 49 – 64 and
48 patients aged 65 -70 overall, but these were small among those with polypharmacy
- 49 • Proton pump inhibitors, antidiabetics, non-steroidal anti-inflammatory drugs, opioids,
50 benzodiazepines and antidepressants represented the most common PIMs.
- 51 • Small differences in PIMs prevalence were observed by sex and race/ethnicity.

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53 **Plain Language Summary (193/200 words):**

54 Potentially inappropriate medications (PIMs) are medications contra-indicated in particular
55 circumstances. We sought to characterize PIMs by level of polypharmacy by age, sex and
56 race/ethnicity.

57 We set up a study using electronic health records available through the US Department of Veterans
58 Affairs. We analysed pharmacy fill and refill records between October 1, 2015 – September 30, 2016
59 for all patients aged 49 to 70. PIMs were defined by lists of medications: the combined Beers and
60 Laroche (henceforth Beers Laroche) criteria used for older patients and the PROMPT criteria used for
61 middle-aged.

62 2 748 705 patients were included in the study. Among patients with 0-4, 5-9 and ≥ 10 medications
63 about 14%, 60% and 85%, respectively, had at least one PIMs defined by PROMPT or Beers Laroche
64 criteria. Small differences in prevalence were found by age. Meaningful differences in prevalence
65 were shown by sex and race/ethnicity according to both set of criteria. The most common PIMs were
66 digestive, analgesic, antidiabetic and psychotropic medications.

67 Prevalence of PIMs was high and increased with polypharmacy. Beers Laroche and PROMPT
68 provided similar estimation inside and outside their target age, suggesting that PIMS are common
69 among those with polypharmacy regardless of age.

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71

72 **Abstract (249/250 words)**

73 **Background:** Potentially inappropriate medications (PIMs) are medications contra-indicated in
74 particular circumstances. We sought to characterize PIMs by level of polypharmacy by age, sex and
75 race/ethnicity.

76 **Methods:** We performed a cross-sectional drug dispensing study using electronic health records
77 available through the US Department of Veterans Affairs. We extracted pharmacy fill and refill
78 records during fiscal year 2016 (i.e., October 1, 2015 – September 30, 2016) for all patients aged 49 to
79 70 who accessed care in the preceding fiscal year. PIMs were defined by the combined Beers and
80 Laroche (henceforth Beers Laroche) criteria used for older patients and the PROMPT criteria used for
81 middle-aged.

82 **Results:** In the 1 499 586 patients aged 49-64, PIMs prevalence by PROMPT in patients with 0-4, 5-9
83 and ≥ 10 medications was 14.0%, 62.2% and 86.1%, respectively, and by Beers Laroche was 14.3%,
84 63.4% and 85.7%, respectively. In the 1 249 119 patients aged 65-70, PIMs prevalence by Beers
85 Laroche was 14.8%, 59.9% and 83.3%, and by PROMPT was 13.9%, 57.4% and 82.0%, respectively.
86 Meaningful differences in prevalence were shown by sex and race/ethnicity according to both set of
87 criteria (e.g. PROMPT in patients with 5-9 medications: 66.1% women vs. 59.3% men; Standardized-
88 mean-differences (SMD) = 0.14; 61.7% of White vs. 54.5% of non-White; SMD= 0.15). The most
89 common PIMs were digestive, analgesic, antidiabetic and psychotropic medications.

90 **Conclusion:** Prevalence of PIMs was high and increased with polypharmacy. Beers Laroche and
91 PROMPT provided similar estimation inside and outside their target age, suggesting that PIMS are
92 common among those with polypharmacy regardless of age.

93 **Introduction**

94 Polypharmacy, typically defined as the use of five or more concurrent medications, is common and
95 associated with adverse drug events [1], drug-drug interactions [2], non-adherence [3], cognitive
96 impairment [4], falls [5], hospitalisations [6] and mortality [7]. Potentially inappropriate medications
97 (PIMs) are defined as drugs for which risks outweigh potential benefits [8]. PIMS include specific
98 drugs that should not be used in general, or in patients with multimorbidity, or at a certain age.

99 Large national healthcare databases offer real-world data, and can help understand the specific
100 mechanisms for harm from polypharmacy [9]. For instance, healthcare databases provide the
101 opportunity to look at trends in prescription drug use. In the United States (US), polypharmacy
102 increased from 8.2% to 15.0% between 1999 and 2012 among adults, aged 20 years and over [10].

103 Lists of PIMS have been created by experts and may help explain harms associated with
104 polypharmacy [11,12]. Most of these lists focus on patients aged 65 or over because advanced age is
105 associated with altered pharmacokinetics; pharmacodynamics and multiple diseases, which can help
106 trigger these adverse events [13]. The Beers criteria were created in the US in 1991 and regularly
107 updated with the latest version in 2019. The Laroche list was published in 2007 and is an adaptation to
108 the French setting but also provides additional data. PROMPT criteria were developed in 2014 to
109 target specifically middle aged patients (45 to 64 years old) [14]. These criteria overlap and
110 commonalities and differences can help paint a more comprehensive picture of PIMs [14–16].

111 PIMs lists have been applied in health care databases mainly in Europe and among those over 65 years
112 with few consideration of sex or race [17]. Very little work has been done among those under 65 years
113 of age or according to sex and race/ethnicity. The aim of this study was to measure the prevalence of
114 PIMs by level of polypharmacy using three commonly used PIMs criteria among patients aged 49 to
115 70 years old in the largest integrated healthcare system in the US, overall and by demographic
116 subgroups.

117 **Methods**

118 **Study design and population**

119 We conducted a cross-sectional drug utilization study using data from electronic health records
120 available through the US Department of Veterans Affairs (VA) Corporate Data Warehouse (CDW).
121 The VA provides healthcare benefits to more than 9 million patients annually at over 1200 points-of-
122 care. The CDW includes all pharmacy fills and refills, inpatient and outpatient diagnosis, and
123 demographics. The Veteran Birth Cohort is a subset of CDW and includes patients born between 1945
124 and 1965, which accounts for approximately 4.5 million patients [18,19]. We extracted data from
125 fiscal year 2016 (i.e., October 1, 2015 – September 30, 2016) on all patients in the Veteran Birth
126 Cohort who were alive on October 1, 2015 and accessed care in the preceding fiscal year. The Veteran
127 Birth Cohort has been approved by the institutional review boards of Yale University (ref
128 #1506016006) and VA Connecticut Healthcare System (ref #AJ0013), granted a waiver of informed
129 consent, and deemed Health Insurance Portability and Accountability Act compliant.

130 **Potentially inappropriate medications (PIMs) and polypharmacy**

131 All drugs were considered regardless of route of administration (systemic or not). Specific therapeutic
132 classes were excluded: antiseptics, soap, deodorants, keratolytics, diagnosis agents, vaccines,
133 immunoglobulins, contact lens, eye washes, dental agents, and devices. Fixed dose combinations were
134 split into active ingredients for systemic and oral drugs. Topical combinations (including
135 dermatological, nasal, optical and otic agents) were not split and counted as one medication.

136 Chronic medication use was defined as uninterrupted duration of dispensed medication for at least 183
137 days (6 months), allowing for a grace period of 20% the prescription duration after each fill to account
138 for potential stockpiling. Polypharmacy was defined by the use of five to nine chronic medications on
139 any single day during the study period; hyperpolypharmacy by the use of ten chronic medications or
140 more (Figure 1). We extracted medication data from up to one year prior to the study period, fiscal
141 year 2015, to identify chronic medications that had already started before and continued after the start
142 of the study.

143 Beers and Laroche criteria were combined (henceforth referred to simply as Beers Laroche) and
144 applied simultaneously and PROMPT criteria were applied separately (Table S1 and S2). We used
145 only the criteria that were applicable in the VA according to clinical (e.g., benign prostatic hyperplasia
146 or heart failure with reduced ejection fraction were not identifiable) and pharmacy data (e.g., aspirin
147 indication could not be identified as antiplatelet or an analgesic). For Beers/Laroche criteria, if a
148 medication was mentioned in both lists with different requirement, when applied to the data, the list
149 applied was the one with the broader criteria (e.g., Benzodiazepines and hypnotics are inappropriate at
150 any dose according to Beers while half dose can be used according to Laroche. The Beers criteria were
151 applied in this case).

152 PIMs were considered as any drug identified as potentially inappropriate by at least one applicable
153 criterion of either Beers Laroche or PROMPT lists, regardless of age [12,14,20].

154 **Other characteristics**

155 We extracted data on demographic characteristics (e.g., age, sex, and race/ethnicity). We also used
156 inpatient and outpatient ICD-9 diagnostic codes occurring in the year prior to study start to identify a
157 large set of clinical characteristics, including hyperlipidemia, hypertension, mild depression, diabetes,
158 pulmonary disorders, post-traumatic stress disorder (PTSD), alcohol abuse, myocardial infarction,
159 anxiety, major depression, bipolar disorder, chronic seizure, delirium, dementia, helicobacter pylori,
160 heart failure, gastric or duodenal ulcers, falls, fractures, oesophagitis, pathological hypersecretory
161 condition, Parkinson disease, and schizophrenia (Table S3)

162 **Statistical analysis**

163 Prevalence of polypharmacy/hyperpolypharmacy was defined as the number of patients who had at
164 least one day of polypharmacy/hyperpolypharmacy in fiscal year 2016 out of the number of all eligible
165 patients included in the study (n=2,748,705). Prevalence of PIMs was defined as the number of
166 individuals with at least one day of any chronic PIMs (Figure 1) over patients with 0-4 medications,
167 polypharmacy (5-9 medications) or hyperpolypharmacy (10 or more). All analyses were stratified on
168 age (49 - 64 years old and 65 - 70 years old), sex and race/ethnicity. White and Black patients, were

169 defined as such if they had no Hispanic ethnicity. Hispanic are defined by ethnicity regardless of other
170 races. Race “other” were patients who were not in any of the above categories. Standardised mean
171 differences (SMDs) were used to estimate differences of PIMs prevalence between age, sex and races
172 groups with a SMD < 0.1 indicating no difference, 0.2 a small difference, 0.5 a moderate difference
173 and 0.8 a large difference [21]. All data management and analyses were performed using SAS
174 software version 9.4 (SAS Institute, North Carolina, USA).

175 **Results**

176 Of 3 923 534 patients in the Veteran Birth Cohort alive on October 1, 2015 who had ever accessed VA
177 care, 808 796 were excluded because they did not access VA care in the preceding fiscal year, and
178 366 033 were excluded because they initiated VA care in the preceding fiscal year and therefore were
179 unlikely to have established chronic care in the VA before study start. Therefore, 2 748 705 patients
180 with active use and an established clinical history were included in this study. They were mostly men
181 (93.3%) and the mean age was 62 years old, with 45.4% of patients aged 65 -70. They were mostly
182 white (68.7%) or Black (20.3%). The most frequent chronic conditions were hyperlipidemia (66.0%),
183 hypertension (64.8%), depression (34.3%) and diabetes (30.1%). In fiscal year 2016, the prevalence of
184 polypharmacy (5-9 medications) was 23.0% and hyperpolypharmacy (≥ 10 medications) was 7.3%.
185 The prevalence of polypharmacy and hyperpolypharmacy in patients aged 65-70 were 27.0% and
186 9.1% respectively , and in patients aged 49-64 19.7% and 5.8% respectively. Among men, the
187 prevalence of polypharmacy and hyperpolypharmacy were 23.3% and 7.4%, and among women
188 18.9% and 5.9%. Prevalence of polypharmacy and hyperpolypharmacy by race were 24.0% and 7.9%
189 in White, 21.6% and 6.1% in Black, 22.3% and 6.7% in Hispanic, and 16.0% and 4.7% in other race
190 (Table 1, Table S4).

191 We applied PROMPT criteria inside the target age of our population, patients aged 49-64, and we
192 found the prevalence of having at least one PIMs was 14.0% among patients with 0-4 medications,
193 62.2% in patients with polypharmacy, 86.1% in patients with hyperpolypharmacy. Most common
194 PIMs were proton pump inhibitors (PPIs; respectively 6.2% of patients with 0-4 medications, 30.1%

195 of patients with polypharmacy, 48.5% with hyperpolypharmacy), non-steroidal inflammatory drugs
196 (NSAIDs, 3.2%, 14.8%, 25.2%), sulfonylureas (1.5%, 12.4%, 19.4%), opioids without concurrent
197 laxatives (1.5%, 7.2%, 13.5%), stimulant laxative (0.5%, 4.9%, 18.0%) and Benzodiazepines (0.9%,
198 5.4%, 12.7%). When we applied Beers Laroche in this same age group we found PIMs prevalences of
199 14.3%, 63.4% 85.7% respectively. Similarly, the most common PIMs were PPIs (5.9%, 26.3%,
200 34.6%), sulfonylureas (1.5%, 12.4%, 19.5%), NSAIDs (2.9%, 11.6%, 15.4%), stimulant laxatives
201 (0.5%, 5.6%, 21.3%) and benzodiazepines (0.6%, 3.6%, 8.7%) (Table 2).

202 We applied the Beers Laroche criteria inside target age of our population, patients aged 65-70, and we
203 found PIMs prevalences of 14.8% in patients taking 0 -4 medications, 59.9% in patients with
204 polypharmacy, 83.3% in patients with hyperpolypharmacy. The most common PIMs were PPIs (6.9%
205 of patients taking 0-4 medications, 25.6% of patients with polypharmacy, 33.3% of patients with
206 hyperpolypharmacy), sulfonylureas (2.1%, 15.9%, 24.8%), NSAIDs (1.9%,7.3%, 10.4%), stimulant
207 laxatives (0.5%, 4.6%, 18.8%), insulin sliding scale (1.0%, 5.9%, 12.5%), antidepressants (0.8%,4.0%,
208 8.5%) followed by benzodiazepines (0.6% 2.8%, 7.0%). When we applied the PROMPT criteria in
209 this same age group, we found prevalences of 13.9%, 57.4% and 82.0% respectively. Similar common
210 PIMs were found: PPIs (7.1%, 28.5%, 44.7%), sulfonylureas (2.1%, 15.9%, 24.8%), NSAIDs (2.1%,
211 9.4%, 17.1%), stimulant laxatives (0.4%, 4.2%, 16.6%) and benzodiazepines (0.9%, 4.0%, 9.9%)
212 (Table 2)

213 Among patients with 0 -4 medications, PIMs prevalence were similar between patients aged 49-64 and
214 patients aged 65 – 70 according to both Beers Laroche (14.3% vs. 14.8%, SMD = 0.01) and PROMPT
215 criteria (14.0% vs. 13.9%, SMD = 0.00). Among those with polypharmacy and hyperpolypharmacy,
216 The Beers Laroche criteria showed no differences in PIMs prevalence according to age (63.4% vs.
217 59.9%, SMD = 0.07 and 85.7% vs. 83.3%, SMD = 0.07 respectively) but PROMPT criteria showed
218 small differences (62.2% vs. 57.4%, SMD = 0.10 and 86.1% vs. 82.0%, SMD = 0.11, respectively)
219 suggesting that patients aged 49 – 64 had a higher PIMs prevalence than patients aged 65-70. Small
220 differences of PIMs prevalence were observed according to sex with a higher prevalence in women
221 than men in polypharmacy and hyperpolypharmacy according to the Beers Laroche criteria (68.6% vs.

222 61.1%, SMD = 0.16 and 89.2% vs. 84.1%, SMD = 0.15 respectively) and PROMPT criteria (66.1%
223 vs. 59.3% SMD = 0.14 and 89.0% vs. 83.5% SMD = 0.16). Differences existed according to
224 race/ethnicity: For instance according to PROMPT, White and Black patients had small differences
225 compared to non-White and non-Black, White patients having higher prevalence compared to Black,
226 Hispanic and other race/ethnicity (61.7% vs. 54.5% of patients with polymarmacy, SMD = 0.15;
227 84.7% vs. 81.0% of patients with hyperpolypharmacy, SMD = 0.10) and Black lower prevalence than
228 White, Hispanic and other race/ethnicity (52.3% vs. 61.4% of patients with polymarmacy, SMD =
229 0.19; 79.8% vs. 84.6% of patients with hyperpolypharmacy, SMD = 0.13) (Tables 3 – 6, Tables S5 –
230 S8).

231 Small differences in prevalence of the most common PIMs were observed between age, sex and
232 race/ethnicity. If looking only in patients with polypharmacy or hyperpolypharmacy, patients aged 49
233 – 64 had higher prevalence of NSAIDs (SMD between 0.15 – 0.20), skeletal muscle relaxants (0.14 –
234 0.19), opioids (0.17 – 0.18) and lower prevalence of sulfonylureas (0.10 – 0.13) than patients aged 65-
235 70. Similarly, women had higher prevalence of NSAIDs (0.13 – 0.20), PPIs (0.10 – 0.18), skeletal
236 muscle relaxants (0.15 – 0.23), antidepressants (0.14 – 0.19), benzodiazepines (0.10 – 0.12),
237 antihistamines (0.12 – 0.20), stimulant laxatives (0.10 – 0.16) and lower prevalence of sulfonylureas
238 (0.23 – 0.27) and insulin sliding scale (0.17) than men. Similarly, White had higher prevalence of PPIs
239 (0.13 – 0.17) and opioids (0.10) than non-White, and Black lower prevalence of PPIs (0.14 – 0.18),
240 opioids (0.10), antidepressants (0.10) and benzodiazepines than non-Black (0.14 – 0.22) (most
241 frequent PIMs presented in Table 2 - 6, all PIMs are presented in Table S5 – S8).

242 **Discussion**

243 Approximately six in ten patients with polypharmacy and eight in ten patients with
244 hyperpolypharmacy were exposed to PIMs by either Beers Laroche criteria targeting older people or
245 PROMPT criteria targeting middle-aged people. PROMPT criteria showed small differences in PIMs
246 prevalence in patients aged 49 – 64 than patients aged 65 – 70, while no difference existed with Beers
247 Laroche criteria. Women were more exposed to PIMs than men and White more than all other

248 race/ethnicities but these differences were largely driven by number of medications prescribed. Once
249 analyses were stratified by polypharmacy and hyperpolypharmacy, differences were small. Both PIMS
250 criteria identified similar common PIMs such as PPIs, sulfonyleureas, NSAIDs, antidepressants and
251 benzodiazepines. Small differences of patterns existed according to age, sex and race.

252 Most previous PIMs research was focused on people of 65 years of age and older. All but four focused
253 on people of 60 years of age and older (Table S9) [15,16,22,23]. In our study we observed that PIMs
254 were as prevalent in people <65 as in older people. The prevalence of PIMs observed in younger
255 individuals should raise concern. It has been seen in other studies such as in Japan where PIMs
256 concerned 71.9% of patients older than 45 and receiving care at home [22] and in a study in the
257 Netherlands that showed that younger patients were subjected to a higher prevalence of PIMs than older
258 patients with polypharmacy between 2012 and 2016 [16]. This suggests that initiation of PIMs in
259 younger patients with polypharmacy is an increasing concern.

260 Most studies used criteria according to the age of the studied population, i.e., Beers and Laroche for
261 older patients and PROMPT for middle-aged patients. Beers criteria were created for individuals aged
262 65 and over, the Laroche list for individuals aged 75 and over, and PROMPT criteria were created for
263 middle-aged people aged 45 to 64. To the best of our knowledge, we are the first to show the use of
264 either Beers Laroche and PROMPT criteria in a population aged 49-70 provides similar estimation
265 regardless of if applied in the target population or not. We observed a high prevalence that increased
266 with polypharmacy and hyperpolypharmacy with any criteria and at any age category. This suggest
267 that these criteria may be used in both middle aged and older people regardless of the initial target
268 population. These criteria also provided close estimations of PIMs prevalence in analysis stratified by
269 sex and race/ethnicity. Another argument is that all these medications may be pharmacologically
270 inappropriate in anyone when used chronically.

271 Previous PIMs research used to be conducted in small samples of patients, often those who visited or
272 were discharged from a single hospital, so may not be generalizable. However, new population-based
273 study such as ours described similar common PIMs. Benzodiazepines, analgesics, NSAID and PPIs
274 were showed in two small sized study in Spain [24,25]. Proportion of the lists that is actually used may

275 also vary between studies but results stays consistent and similar to ours. Similarly, two studies
276 employing somewhat different applications of Beers criteria used showed that gastrointestinal
277 medications including PPIs were among the most frequent PIMs in older people (≥ 65 years old) in
278 two different hospitals in 2016, one in Jordan [26] and one in Saudi Arabia [27]. PPIs were identified
279 as the major PIMs regardless of the subcategories of Beers criteria use. Studies using PROMPT
280 showed similar results to ours: In the United Kingdom (UK), France and the Netherlands, proton
281 pump inhibitors, benzodiazepines were among the most frequent PIMs[14–16,23]. These similarities
282 suggest that those common PIMs are a feature of polypharmacy.

283 Identification of inappropriate PPIs according to Beers/Laroche and PROMPT criteria sometimes
284 demands clinical data such as conditions that justified the chronic use of a PPI (esophagitis,
285 *Helicobacter pylori*) or other drugs. From the VA data we could identify these specific clinical
286 conditions but it was not possible to distinguish antiplatelet aspirin (only low-dose aspirin) from
287 analgesics (either low-dose or high dose). Consequently, we considered PPIs used concurrently with
288 low-dose aspirin (antiplatelet) always appropriate even though they are not, leading to a possible
289 underestimation of PIMs. Despite this, the prevalence of PPIs remains high in our study, similarly to
290 what was observed in France [15], the Netherlands [16] Spain [24] and the UK [23].

291 Beers Laroche and PROMPT criteria overlap with slight differences which helped us to better
292 understand PIMs use. In addition to oesophagitis and helicoceter, PPIs were considered appropriate if
293 used concomitantly with a chronic NSAID or oral corticoids with Beers Laroche criteria but not with
294 PROMPT criteria. Furthermore, Beers Laroche criteria listed specific NSAIDs contrary to PROMPT
295 that would consider NSAIDs overall. The close estimations between our criteria suggested that most
296 people used chronically PPIs without NSAIDs, and vice versa. PPIs and NSAIDs are known to be
297 frequently initiated at the same time, often without following the recommendations, i.e. without
298 gastrointestinal risk factors [28,29]. Once either PPIs or NSAIDs have been discontinued, treatment is
299 probably rarely re-evaluated, leading to chronic and inappropriate use of either treatment.

300 Benzodiazepines and hypnotics are often reported as the most common PIMs for instance in Korea
301 [30] and in most European countries (Spain [25], France [15,31], the Netherlands [16], Lithuania

302 [32],Finland [33], Germany [34] and Scotland [35]). Our data allowed the identification of patients
303 with chronic anxiety, a condition for which Beers Laroche criteria considers short acting
304 benzodiazepines appropriate, contrary to the PROMPT criteria [12,14]. Close prevalences between
305 criteria suggest that anxiety cannot explain the high use of these drugs by itself, in our study and in
306 Europe, despite the risks of associated adverse effects [36–39].

307 The study had limitations largely related to the data source and population. The Veteran Birth Cohort
308 included patients aged 49 to 70 as of October 2015; therefore, our findings may not generalize to
309 patients aged younger than 49 or older than 70. Although the cohort included proportionally few
310 women (~7%), the large nature of our study meant this translated to 185,466 women; though, our
311 findings may not generalize to women in the general population. Given the cohort was mostly men,
312 overall estimates of the prevalence of PIMs is likely to be an underestimate of the prevalence in the
313 general population since medication use is, in part, driven by sex and gender (e.g., estrogens are
314 frequently prescribed PIMs [40]). Importantly, we found a small difference of PIMS prevalence in
315 sex-stratified analyses for medication usually not driven by sex such as a higher prevalence of
316 antidiabetic PIMs in men compared to women, and a higher prevalence of central nervous system
317 PIMs in women compared to men. Dose and indication of treatment were not available which limited
318 full use of some of the Beers Laroche and PROMPT criteria. For instance, PROMPT criteria say that
319 PPIs should not be used at doses above the recommended maintenance dosage for greater than eight
320 weeks, so we only took account of the duration of treatment. In addition, some clinical data might be
321 inconsistently coded (e.g., anxiety is rarely coded using ICD-9 codes so prevalences of
322 Benzodiazepines – short/intermediate acting PIMs might be overestimated). Over-the-counter
323 medications and medications dispensed outside of the VA were not available, which could have
324 resulted in the underestimation of the true prevalence. However, our analysis included patients with
325 active use and an established clinical history in the VA and assumed most patients have received most
326 or all of their medications through the VA, which minimized the potential risk for underestimation.

327 This study was reported using the observational routinely collected health data statement for
328 pharmacoepidemiology (RECORD-PE; Table S9) [41]. A comparative table with all studies cited in
329 this discussion is available in supplementary materials (Table S10).

330 **Conclusion**

331 PIMs prevalence was high and increased with polypharmacy and hyperpolypharmacy in US veterans
332 aged 49 -70. Beers, Laroche and PROMPT criteria provides very close estimation of PIMs prevalence
333 if they are used either inside or outside their target age (middle age or older patients). Furthermore,
334 regardless of the criteria used, differences in PIMs prevalence between patients aged 49 – 64 and
335 patients aged 65 – 70 were small or absent, suggesting that PIMs exposure should be addressed as well
336 in middle aged people as in older people. The most common PIMs were gastrointestinal such as PPIs
337 and stimulant laxatives, antidiabetics such as sulfonylureas and insulin sliding scale, analgesics and
338 psychotropics such as NSAIDs, opioids, benzodiazepines and antidepressants. These medications
339 should be prioritized for deprescribing interventions, especially among patients with polypharmacy
340 and hyperpolypharmacy. Small differences of patterns were observed according to sex and race
341 ethnicity suggesting that intervention should target every patient with polypharmacy regardless of age,
342 sex and race.

343

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Figures titles

Figure 1: Figure 1 Definition of exposure to chronic polypharmacy and potentially inappropriate medications (PIMs) in 2016. The example presented defined an individuals who is prevalent of PIMs and polypharmacy in 2016

Tables titles

Table 1 Characteristics of patients aged 49-70 years in 2016 according to age and sex

Table 2 prevalences of most common potentially inappropriate medications (PIMs) defined by either combined Beers and Laroche and prevalances of most common PIMs defined by PROMPT criteria in US veteran patients aged 49-70 years according to polypharmacy in 2016

Table 3 Prevalence of most frequent potentially inappropriate medications (PIMs) defined by combined Beers and Laroche criteria in patients aged 49-70 years according to age, sex and polypharmacy in 2016

Table 4 Prevalence of most frequent potentially inappropriate medications (PIMs) defined by combined Beers and Laroche criteria in patients aged 49-70 years according to race and polypharmacy in 2016

Table 5 Prevalence of most frequent potentially inappropriate medications (PIMs) defined by PROMPT criteria in patients aged 49-70 years according to age, sex and polypharmacy in 2016

Table 6 Prevalence of most frequent potentially inappropriate medications (PIMs) defined by PROMPT criteria in patients aged 49-70 years according to age, sex and polypharmacy in 2016

Supplementary materials titles

Table S1 Drugs identified as potentially inappropriate medications defined by combined Beers and Laroche criteria according to the VA generic name and specific requirement of the definition.

Table S2 Drugs identified as potentially inappropriate medications defined by PROMPT criteria according to the VA generic name and specific requirement of the definition.

Table S3 Codes from the International classification of disease 9th edition (ICD 9th) used to identify most frequent chronic diseases and clinical requirements.

Table S4 Characteristics of included patient aged 49 - 70 according to race/ethnicity

Table S5 Prevalence of potentially inappropriate medications (PIMs) defined by combined Beers and Laroche criteria in patients aged 49-70 years according to age, sex and polypharmacy in 2016

Table S6 Prevalence of potentially inappropriate medications (PIMs) defined by combined Beers and Laroche criteria in patients aged 49-70 years according to race and polypharmacy in 2016

Table S7 Prevalence of potentially inappropriate medications (PIMs) defined by PROMPT criteria in patients aged 49-70 years according to age, sex and polypharmacy in 2016

Table S8 Prevalence of potentially inappropriate medications (PIMs) defined by PROMPT criteria in patients aged 49-70 years according to race and polypharmacy in 2016

Table S9 The RECORD statement for pharmacoepidemiology (RECORD-PE) checklist of items, extended from the STROBE and RECORD statements, which should be reported in non-interventional pharmacoepidemiological studies using routinely collected health data

Table S10 Prevalences of PIMs defined by Beers, Laroche and PROMPT criteria according to polypharmacy in previous international studies

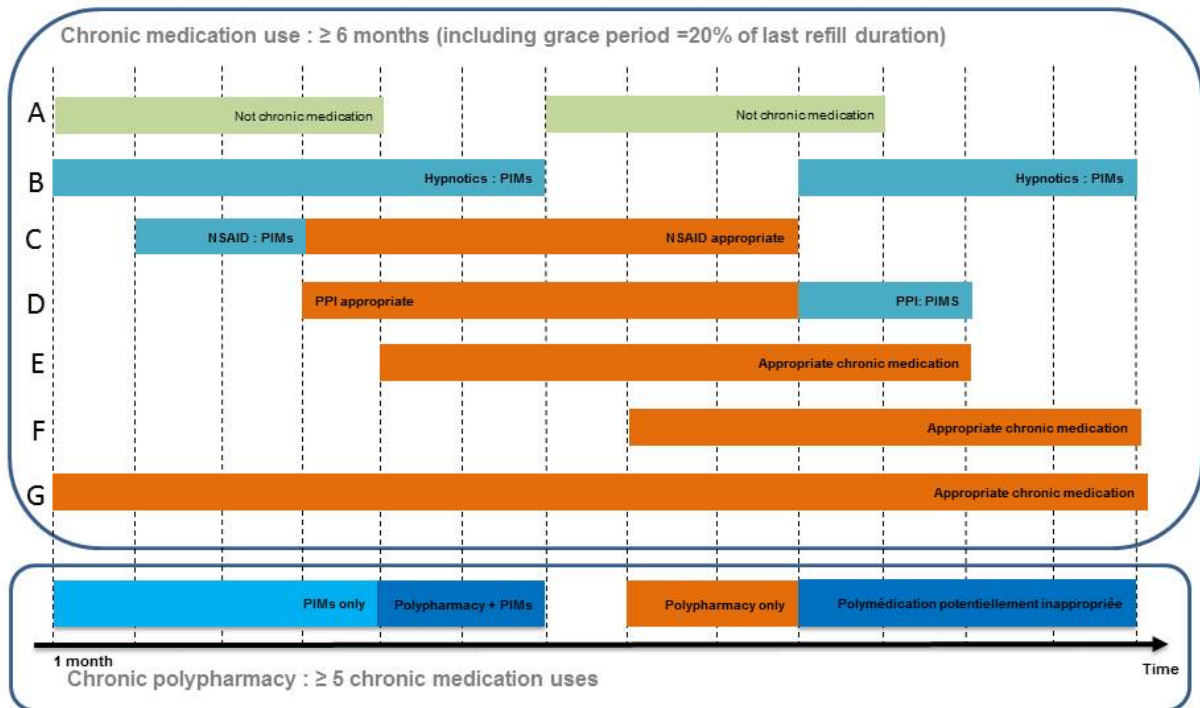


Figure 1 Definition of exposure to chronic polypharmacy and potentially inappropriate medications (PIMs) in 2016. The exemple presented defined an individuals who is prevalent of PIMs and polypharmacy in 2016

PPI: Proton pump inhibitor; NSAID: Non-steroidal Anti-Inflammatory Drug

Potentially inappropriate medication use
by level of polypharmacy among US
Veterans 49-64 and 65-70 years old
Supplementary material

Supplementary table 2 The RECORD statement for pharmacoepidemiology (RECORD-PE) checklist of items, extended from the STROBE and RECORD statements, which should be reported in non-interventional pharmacoepidemiological studies using routinely collected health data

Item No	STROBE items	RECORD items	RECORD-PE items	Page No
Title and abstract				
1	(a) Indicate the study's design with a commonly used term in the title or the abstract. (b) Provide in the abstract an informative and balanced summary of what was done and what was found.	1.1: The type of data used should be specified in the title or abstract. When possible, the name of the databases used should be included. 1.2: If applicable, the geographical region and timeframe within which the study took place should be reported in the title or abstract. 1.3: If linkage between databases was conducted for the study, this should be clearly stated in the title or abstract.	—	
Introduction				
Background rationale				
2	Explain the scientific background and rationale for the investigation being reported.	—	—	
Objectives				
3	State specific objectives, including any prespecified hypotheses.	—	—	
Methods				
Study design				
4	Present key elements of study design early in the paper.	—	4.a: Include details of the specific study design (and its features) and report the use of multiple designs if used. 4.b: The use of a diagram(s) is recommended to illustrate key aspects of the study design(s), including exposure, washout, lag and observation periods, and covariate definitions as relevant.	
Setting				
5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection.	—	—	
Participants				
6	(a) Cohort study—give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up. Case-control study—give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls. Cross sectional study—give the eligibility criteria, and the sources and methods of selection of participants. (b) Cohort study—for matched studies, give matching criteria and number of exposed and unexposed. Case-control study—for matched studies, give matching criteria and the number of controls per case.	6.1: The methods of study population selection (such as codes or algorithms used to identify participants) should be listed in detail. If this is not possible, an explanation should be provided. 6.2: Any validation studies of the codes or algorithms used to select the population should be referenced. If validation was conducted for this study and not published elsewhere, detailed methods and results should be provided. 6.3: If the study involved linkage of databases, consider use of a flow diagram or other graphical display to demonstrate the data linkage process, including the number of individuals with linked data at each stage.	6.1.a: Describe the study entry criteria and the order in which these criteria were applied to identify the study population. Specify whether only users with a specific indication were included and whether patients were allowed to enter the study population once or if multiple entries were permitted. See explanatory document for guidance related to matched designs.	
Variables				
7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.	7.1: A complete list of codes and algorithms used to classify exposures, outcomes, confounders, and effect modifiers should be provided. If these cannot be reported, an explanation should be provided.	7.1.a: Describe how the drug exposure definition was developed. 7.1.b: Specify the data sources from which drug exposure information for individuals was obtained. 7.1.c: Describe the time window(s) during which an individual is considered exposed to the drug(s). The rationale for selecting a particular time window should be provided. The extent of potential left truncation or left censoring should be specified. 7.1.d: Justify how events are attributed to current, prior, ever, or cumulative drug exposure. 7.1.e: When examining drug dose and risk attribution, describe how current, historical or time on therapy are considered. 7.1.f: Use of any comparator groups should be outlined and justified. 7.1.g: Outline the approach used to handle individuals with more than one relevant drug exposure during the study period.	
Data sources/measurement				
8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group.	—	8.a: Describe the healthcare system and mechanisms for generating the drug exposure records. Specify the care setting in which the drug(s) of interest was prescribed.	

(Continued)

Supplementary table 2 (Continued)				
Item No	STROBE items	RECORD items	RECORD-PE items	Page No
Bias				
9	Describe any efforts to address potential sources of bias.	—	—	
Study size				
10	Explain how the study size was arrived at.	—	—	
Quantitative variables				
11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why.	—	—	
Statistical methods				
12	(a) Describe all statistical methods, including those used to control for confounding. (b) Describe any methods used to examine subgroups and interactions. (c) Explain how missing data were addressed. (d) Cohort study—if applicable, explain how loss to follow-up was addressed. Case-control study—if applicable, explain how matching of cases and controls was addressed. Cross sectional study—if applicable, describe analytical methods taking account of sampling strategy. (e) Describe any sensitivity analyses.	—	12.1.a: Describe the methods used to evaluate whether the assumptions have been met. 12.1.b: Describe and justify the use of multiple designs, design features, or analytical approaches.	
Data access and cleaning methods				
12	—	12.1: Authors should describe the extent to which the investigators had access to the database population used to create the study population. 12.2: Authors should provide information on the data cleaning methods used in the study.	—	
Linkage				
12	—	12.3: State whether the study included person level, institutional level, or other data linkage across two or more databases. The methods of linkage and methods of linkage quality evaluation should be provided.	—	
Results				
Participants				
13	(a) Report the numbers of individuals at each stage of the study (eg, numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed). (b) Give reasons for non-participation at each stage. (c) Consider use of a flow diagram.	13.1: Describe in detail the selection of the individuals included in the study (that is, study population selection) including filtering based on data quality, data availability, and linkage. The selection of included individuals can be described in the text or by means of the study flow diagram.	—	
Descriptive data				
14	(a) Give characteristics of study participants (eg, demographic, clinical, social) and information on exposures and potential confounders. (b) Indicate the number of participants with missing data for each variable of interest. (c) Cohort study—summarise follow-up time (eg, average and total amount).	—	—	
Outcome data				
15	Cohort study—report numbers of outcome events or summary measures over time. Case-control study—report numbers in each exposure category, or summary measures of exposure. Cross sectional study—report numbers of outcome events or summary measures.	—	—	
Main results				
16	(a) Give unadjusted estimates and, if applicable, confounder adjusted estimates and their precision (eg, 95% confidence intervals). Make clear which confounders were adjusted for and why they were included. (b) Report category boundaries when continuous variables are categorised. (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period.	—	—	

Supplementary table 2 (Continued)

Item No	STROBE items	RECORD items	RECORD-PE items	Page No
Other analyses				
17	Report other analyses done—eg, analyses of subgroups and interactions, and sensitivity analyses.	—	—	
Discussion				
Key results				
18	Summarise key results with reference to study objectives.	—	—	
Limitations				
19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias.	19.1: Discuss the implications of using data that were not created or collected to answer the specific research question(s). Include discussion of misclassification bias, unmeasured confounding, missing data, and changing eligibility over time, as they pertain to the study being reported.	19.1.a: Describe the degree to which the chosen database(s) adequately captures the drug exposure(s) of interest.	
Interpretation				
20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence.	—	20.a: Discuss the potential for confounding by indication, contraindication or disease severity or selection bias (healthy adherer/sick stopper) as alternative explanations for the study findings when relevant.	
Generalisability				
21	Discuss the generalisability (external validity) of the study results.	—	—	
Other information				
Funding				
22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based.	—	—	
Accessibility of protocol, raw data, and programming code				
22	—	22.1: Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code.	—	

RECORD=reporting of studies conducted using observational routinely collected data; RECORD-PE=RECORD for pharmacoepidemiological research; STROBE=strengthening the reporting of observational studies in epidemiology. This checklist has been duplicated from table 1 in *BMJ* 2018;363:k3532, as a standalone document for readers to print out or fill in electronically.