

1 **Title page**

2 **Prevalence of rheumatic heart disease in North-Central Nigeria: a school-based cross-sectional**
3 **pilot study**

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25 **Abstract**

26 **Objectives:** Rheumatic Heart Disease (RHD) is the most common acquired heart disease in children
27 and young adults in low-and-middle-income countries. There is paucity of data on echocardiography
28 screening of school children for RHD in Nigeria.

29 **Methods:** In this pilot study, we conducted clinical and echocardiography screening on a cross-
30 section of randomly selected secondary school children in Jos, North-Central Nigeria from March to
31 September 2016. For outcome classification into borderline or definite RHD, we performed a
32 confirmatory echocardiography using the World Heart Federation criteria for those suspected to
33 have RHD from the screening.

34 **Results:** A total of 417 secondary school children were screened, of which 247 (59.2%) were female.
35 The median age was 14 years (IQR: 13-15). Clinical screening detected 8/417 children while
36 screening echocardiography detected 42/417 suspected cases of RHD. Definitive echocardiography
37 confirmed 9/417 with RHD corresponding to a prevalence of 21.6 per 1000 (95% CI, 6.7-36.5). All but
38 one of the confirmed RHD cases (8/9) were borderline RHD corresponding to a prevalence of 19.2
39 per 1000 (95% CI, 8.3-37.5) for borderline RHD and 2.4 per 1000 (95% CI, 0.1-13.3) for definite RHD.
40 RHD was more common in boys and cardiac auscultation missed over 50% of the cases.

41 **Conclusions:** This study showed a high prevalence of RHD among secondary school children in
42 North-Central Nigeria with a vast predominance of asymptomatic borderline lesions. Larger school-
43 based echocardiography screening using portable or handheld echocardiography aimed at early
44 detection of subclinical RHD should be adopted.

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52 **Keywords:** rheumatic heart disease; prevalence; school children; echocardiography screening;
53 Nigeria

54 **Introduction**

55 Rheumatic heart disease (RHD) is a chronic sequela of acute rheumatic fever (ARF), which is an
56 abnormal autoimmune response to Group A Streptococcal (GAS) infection, usually of the throat, in
57 susceptible individuals (1, 2). It is the most common acquired heart disease in children in low-income
58 and middle-income countries with an estimated global burden of 33.4 million cases, and 319,400
59 annual deaths as of 2015 (3, 4).

60 RHD is a disease of poverty, social inequality, overcrowding, and limited access to healthcare (3).

61 RHD and ARF have remained vastly neglected despite the heavy morbidity and socio-economic
62 burden they impose on populations in endemic countries (5). Recurrent episodes of ARF can
63 insidiously lead to sub-clinical RHD which may ultimately progress to severe valvular damage and
64 heart failure (1). Secondary antibiotic prophylaxis, using monthly benzathine penicillin G (BPG)
65 injections, still remains the most effective therapeutic intervention for prevention of disease
66 progression in low-and-middle-income countries (1, 2). Therefore, screening of high-risk populations
67 for early identification of sub-clinical disease, and the use of penicillin prophylaxis to prevent disease
68 progression, are essential steps for reducing the burden of disease in these countries where ARF and
69 RHD still remain of public health importance (2, 6, 7).

70 With the World Health Organisation (WHO) and World Heart Federation (WHF) targeting a 25%
71 reduction in mortality due to ARF and RHD among individuals aged <25years by the year 2025 (8, 9),
72 there is an increasing interest in echocardiography-based screening for RHD in endemic regions.
73 Indeed, echocardiographic screening of school-aged children has revealed a substantial burden of
74 silent RHD that has not previously come to clinical attention, and has contributed to a better
75 understanding of RHD prevalence (10-13).

76 In 2012, the WHF developed a standardised evidence-based guideline for the diagnosis of subclinical
77 RHD to improve the reliability, comparability and reproducibility of echocardiographic screening
78 studies (14). Currently, there are very few published data from Nigeria, and none from northern
79 Nigeria, on the population prevalence of RHD. A recent echocardiography-based study from Lagos,
80 South-Western Nigeria, reported a prevalence of 2.7/1000 in urban primary school children, using
81 the WHF criteria (13). Prior to the echocardiography era, auscultation-based screening studies
82 among school children reported a low RHD prevalence of 0.8 per 1000 in Lagos, South-Western
83 Nigeria in 1972 (15), and 0.57 per 1000 in Mid-Western Nigeria in 2013 (16).

84 In the present study, we have piloted portable echocardiography screening in a cross-section of
85 secondary school children in North-Central Nigeria and compared results with clinical screening. Our
86 study provides an opportunity to estimate the prevalence of subclinical RHD in a rural and peri-

87 urban region of northern Nigeria and gives preliminary data for consideration towards the
88 establishment of a national prevention programme.

89 **Methods**

90 **Study design and setting**

91 We performed a cross-sectional pilot screening of secondary school children in Jos-South Local
92 Government Area (LGA) of Plateau State, North-Central Nigeria between March 2016 and
93 September 2016. Plateau State has a higher percentage of the total population living below the
94 national poverty lines of 54% compared to Nigeria's national average of 46% (17). Jos-South is the
95 second most populous LGA in Plateau State, with an estimated population of 306,716 (18). Jos South
96 LGA is predominantly sub-urban, where the three main occupations are subsistence farming, civil
97 service and petty trading (18). At the time of conducting the study, there were 83 secondary schools
98 in the LGA of which 20 were government-run and 63 were private schools. The average school
99 attendance in Plateau State was 81.8% of the secondary school age population (19). About a quarter
100 of the residents live in high-density neighbourhoods with over-crowding and poor sanitary
101 conditions (20).

102 **Ethics statement**

103 This study was approved by the Institutional Health Research Ethical Committee of the Jos University
104 Teaching Hospital (REF: JUTH/DCS/ADM/127/XIX/6071). Local authorisations were granted by the
105 Regional Administration of the Secondary School Education Board of the Plateau State Ministry of
106 Education, and the administrative heads of each school. Written informed consent was obtained
107 from parents/guardians, and assent from all the participating students.

108 **Sample size considerations and sampling strategy**

109 Based on international literature, our sample size calculations were based on an assumed population
110 prevalence of 1.5% in school children. We calculated a minimum sample size of 395 to estimate a
111 prevalence of 1.5% with a precision of 1.2% with a confidence level of 95%. Assuming a non-
112 completion or dropout rate of 10%, we aimed to enrol 439 students.

113 We used a multistage sampling strategy. For the first stage, using a list of random numbers, we did a
114 simple random selection of two government-run and three private schools making a total of five
115 secondary schools included in the study. For the second stage, we determined the number of
116 students to be recruited from each school using the proportion each school contributed to the total
117 population of the five selected schools. At each school, the allocated sample size was divided

118 proportional to the population of children in each class. Systematic random sampling was then used
119 to select children from each class using the attendance registers. We enrolled children in junior
120 secondary one through senior secondary six (equivalent to grades seven through twelve) attending
121 the selected schools. All children from a selected school were eligible for potential inclusion. We
122 excluded children who did not return their signed consent forms or who refused assent.

123 **Study procedures**

124 The administrative heads of all selected schools were contacted and visited by the research team to
125 obtain their consent to participate in the study. During a subsequent visit to the selected schools,
126 the staff and students were given awareness messages on the objectives and procedures of the
127 study. Information sheets and consent forms were sent home to the parents or guardians of the
128 selected students, and the signed forms were collected by their respective class teachers on the
129 following day.

130 On the day of screening, the study team (consisting of a paediatrician skilled in performance and
131 interpretation of echocardiography, 1 field coordinator, 1 research assistant and 1 data entry staff),
132 organized a private screening area and ensured that a member of the school staff would be present
133 during interactions between study staff and participants. Each child was briefly interviewed using a
134 standardized questionnaire including socio-demographic information and a focused medical history
135 on prior sore throat. Socio-economic status was assessed using a method combining occupation and
136 educational attainment of parents/guardians which is described elsewhere (21). For each child, the
137 household density was calculated as number of family members divided by number of sleeping
138 rooms and overcrowding was defined as ≥ 2.5 persons/room (22).

139 Cardiac auscultation followed by echocardiography screening were performed by the study
140 paediatrician in a separate room. A rapid, 2-dimensional and colour-Doppler study using a portable
141 echocardiography machine (Sonosite Plus® ultrasound machine) with a 2MHz transducer probe was
142 performed for all enrolled students. Any child with mitral or aortic valve thickening and/or valvular
143 regurgitation was considered to have an abnormal echocardiogram. The overall echocardiographic
144 screening procedure lasted about 5 min per participant. Children with a cardiac murmur and/or
145 abnormal screening echocardiogram were referred to the hospital for a standard confirmatory
146 echocardiogram performed using an Aloka Prosound SSD 4000 plus® ultrasound machine with a
147 transducer frequency range of 2.5–5MHz by a cardiologist.

148 Based on the WHF Criteria for echocardiographic diagnosis of RHD in individuals 20 years or
149 younger, children were categorised as either having definite RHD, borderline RHD or normal
150 echocardiographic findings (14). In summary, definite RHD is defined by a combination of at least 2

151 morphologic criteria with pathologic mitral or aortic regurgitation, or mitral stenosis, or borderline
152 disease of both aortic and mitral valves. Borderline RHD is defined by at least 2 morphologic features
153 or the presence of pathologic mitral or aortic regurgitation. Children with definite RHD were advised
154 to start secondary prophylaxis and referred to a tertiary hospital while those with borderline RHD
155 were referred for follow-up echocardiogram in one year.

156 **Statistical analysis**

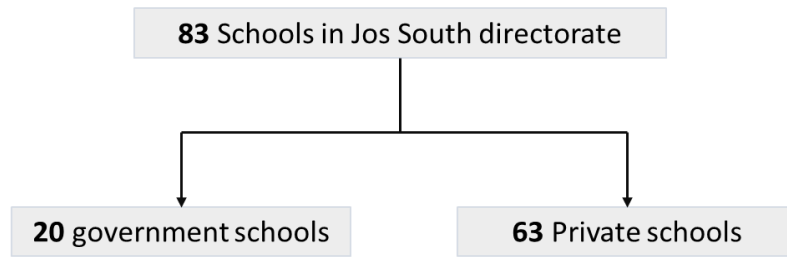
157 We analysed the data using Stata version 12.1 (StataCorp, USA) and Excel 2016. Baseline
158 characteristics and clinical findings are presented as frequencies and percentages for categorical
159 variables, or medians and interquartile ranges (IQRs) for continuous variables. We compared
160 characteristics of children with RHD and children without RHD using the Wilcoxon rank-sum test for
161 non-parametric variables, and Student t-test or Fisher's exact test for continuous and categorical
162 variables respectively. All statistical analysis took into account the multistage sampling technique
163 using the Stata svy command. Comparisons were two-sided and *P* values < 0.05 were considered
164 significant.

165 **Results**

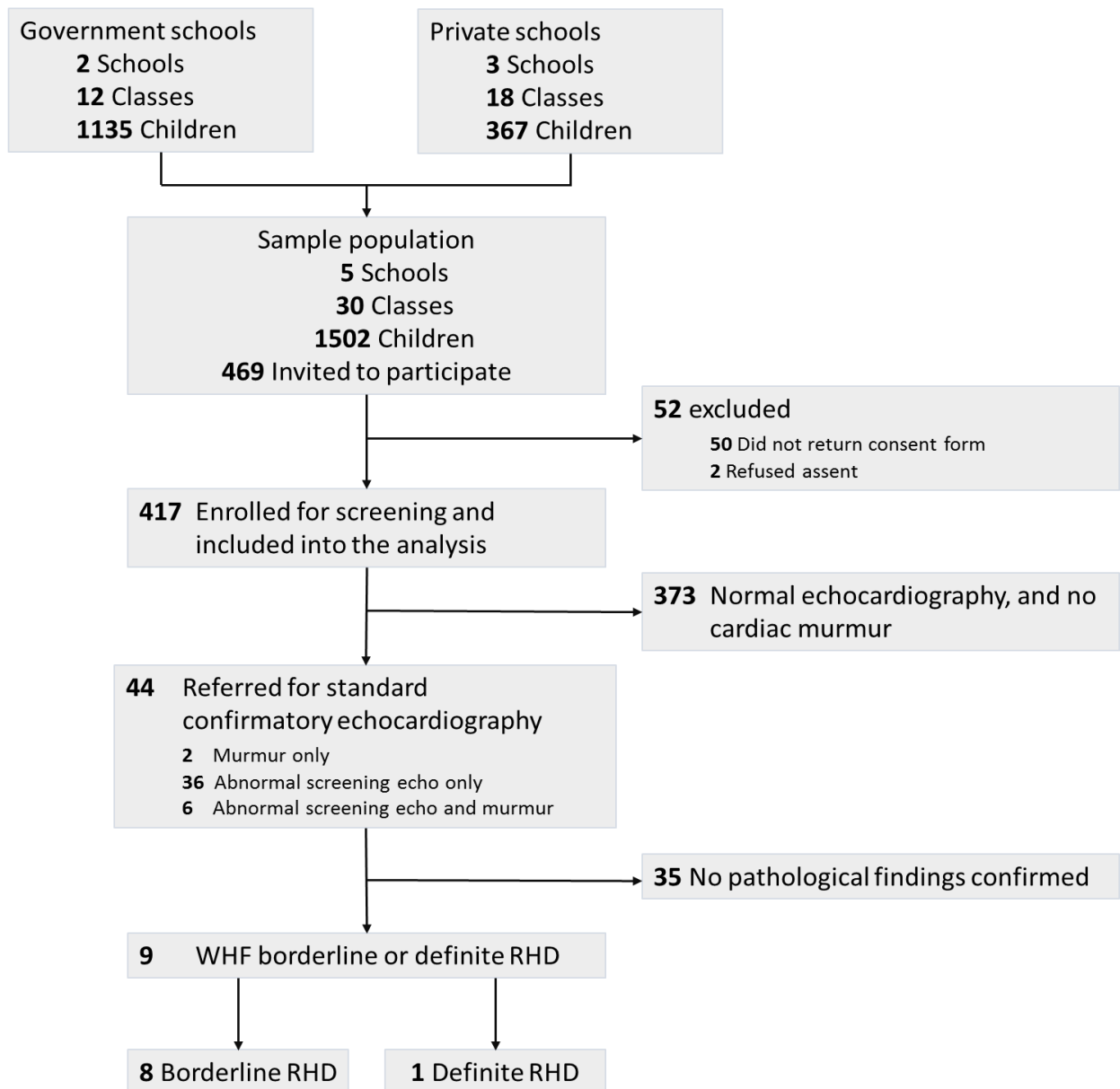
166 From March 2016 to September 2016, a total of 469 eligible children from 5 randomly selected
167 secondary schools were invited to undergo screening for RHD. After exclusion of 52 children because
168 of failure to return informed consent forms (n=50) or refusal of assent (n=2), 417 children were
169 enrolled for screening (Figure 1).

170 Demographic and socioeconomic characteristics are summarized in Table 1. Briefly, the median age
171 was 14 years (IQR: 13-15), ranging from 10 to 19 years, with a majority (n=247, 59.2%) of female and
172 from the *Berom* tribe (n=175, 42%). More than half of the children (n=223, 53.5%) lived in homes
173 with three or fewer bedrooms and about a quarter of them (n=116, 27.8%) were living in
174 overcrowded households. The children were evenly distributed across the social class groups. About
175 70% of the children reported a previous history of painful sore throat.

176



Multistage sampling



177

178 **Figure 1. Study Flowchart According to STROBE guidelines (23)**

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181 **Table 1. Sociodemographic Characteristics of study participants***

Characteristic	Overall (N = 417)	Healthy (n = 408)	Borderline or definite RHD (n = 9)	P value
Individual Characteristics				
Age, median (IQR), years	14 (13-15)	14 (13-15)	15 (13-15)	0.54
Age group (years)				
10-12	87 (20.9)	86 (21.1)	1 (11.1)	0.66
13-15	249 (59.7)	241 (59.1)	8 (8.9)	
≥ 16	81 (19.4)	81 (19.8)	0 (0.0)	
Sex				
Female	247 (59.2)	245 (60.0)	2 (22.2)	0.22
Male	170 (40.8)	163 (40.0)	7 (77.8)	
Ethnicity				
<i>Berom</i>	175 (42.0)	170 (41.7)	5 (55.6)	0.74
<i>Ngas</i>	24 (5.7)	24 (5.9)	0 (0.0)	
<i>Ibo</i>	20 (4.8)	19 (4.7)	1 (11.1)	
<i>Yoruba</i>	20 (4.8)	20 (4.9)	0 (0.0)	
<i>Mwaghavul</i>	19 (4.6)	19 (4.7)	0 (0.0)	
Others	159 (38.1)	156 (38.1)	3 (33.3)	
History of painful sore throat	291 (69.8)	284 (69.6)	7 (77.8)	0.38
Characteristic of school				
Government	313 (75.1)	306 (75.0)	7 (77.8)	>0.99
Private	104 (24.9)	102 (25.0)	2 (22.2)	
Family characteristics				
No. of rooms				
≤ 3	223 (53.5)	219 (53.7)	4 (44.4)	0.39
4-6	148 (35.5)	144 (35.3)	4 (44.4)	
≥ 7	46 (11.0)	45 (11.0)	1 (11.1)	
No. of family members, median (IQR)	6 (5-7)	6 (5-7)	6 (6-7)	0.91
Overcrowding	116 (27.8)	113 (27.7)	3 (33.3)	0.62
Socio-economic status				
Lower	138 (33.1)	136 (33.3)	2 (22.2)	0.09
Middle	127 (30.5)	122 (29.9)	5 (55.6)	
Upper	152 (36.5)	150 (36.8)	2 (22.2)	

182 Abbreviations: IQR, interquartile range; RHD, rheumatic heart disease. *Data are presented as number (percentage) of
 183 children unless otherwise indicated.

184

185 Cardiac auscultation detected a heart murmur in 8/417 children (1.9%), while screening
 186 echocardiography detected an abnormal echocardiogram in 42 children (10.1%). A total of 9 children
 187 had a confirmed RHD diagnosis by standard echocardiography, resulting in an overall prevalence of
 188 21.6 per 1,000 children, 95% CI, 6.7-36.5 (Table 2). Only one child had definite RHD with the rest
 189 being all borderline RHD. Children with RHD had similar socio-demographic characteristics compared

190 to non-RHD children except for the sex ratio, as 7/9 RHD cases were boys (77.8% *versus* 40% in non
 191 RHD children). All 9/9 (100%) RHD cases had abnormal screening echocardiograms, while the
 192 majority had no audible murmur (n = 5, 55.6%).

193

194 **Table 2. Prevalence of definite and borderline rheumatic heart disease**

Variable	Frequency (n=417)	Per thousand
Definite RHD	1	2.4
Pathologic MR with at least two morphological features of RHD of the MV	1	2.4
MS mean gradient >4mmHg	0	0
Pathologic AR with at least two morphological features of RHD of the AV	1	2.4
Borderline disease of both MV and AV	0	0
Borderline RHD	8	19.2
At least two morphological features of RHD of the MV without pathologic MR or MS	8	19.2
Pathologic MR	0	0
Pathologic AR	0	0
Total	9	21.6

195 Abbreviations: RHD, rheumatic heart disease; MV, mitral valve; MR, mitral regurgitation; MS, mitral stenosis; AV, aortic
 196 valve; AR, aortic regurgitation.

197

198 The one case of definite RHD was an 11-year-old male who had mixed lesions of both mitral and
 199 aortic valves (Table 3). More specifically, the anterior mitral valve leaflet (AMVL) was thickened (0.6
 200 cm) as well as the chordae, and there was a pathologic mitral regurgitation (MR) with MR jet length
 201 of 4.3 cm, and MR jet velocity of 4.26 m/s. The aortic valves showed irregular thickening with
 202 coaptation defect, and there was a pathologic aortic regurgitation (AR) with AR jet length of 3.7 cm,
 203 and AR jet velocity of 3.60 m/s.

204 The eight borderline RHD cases included two females and six males with ages ranging from 13 to 15
 205 years. All of them had at least two morphologic features of the MV, including thickening of the
 206 AMVL ranging from 0.3cm to 0.6cm. In addition to AMVL thickening, 6/8 (75%) also had chordal
 207 thickening, while 2/8 (25%) had excessive leaflet tip motion. The aortic valve was not affected in any
 208 of the borderline RHD cases.

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212 **Table 3. Socio-demographic, clinical and valvular characteristics of children with RHD**

Variable	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	Case 7	Case 8	Case 9
Age (years)	11	13	13	15	15	15	15	15	15
Sex	M	M	F	M	M	M	M	M	F
Type of school	Gov	Gov	Gov	Gov	Gov	Gov	Gov	Private	Private
Cardiac murmur	+	+	+	-	-	-	-	+	-
Screening echo	Abn	Abn	Abn	Abn	Abn	Abn	Abn	Abn	Abn
Mitral valve									
Pathologic MR	+	-	-	-	-	-	-	-	-
MS	-	-	-	-	-	-	-	-	-
≥ 2 morphologic features	+	+	+	+	+	+	+	+	+
Aortic valve									
Pathologic AR	+	-	-	-	-	-	-	-	-
≥ 2 morphologic features	+	-	-	-	-	-	-	-	-
RHD diagnosis	Definite	Bord	Bord	Bord	Bord	Bord	Bord	Bord	Bord

213 Abbreviations: M, male; F, female; Gov, government; Echo, echocardiography; Abn, abnormal; RHD, rheumatic heart
 214 disease; MV, mitral valve; MR, mitral regurgitation; MS, mitral stenosis; AV, aortic valve; AR, aortic regurgitation; Bord,
 215 borderline; +, present; -, absent.

216

217 **Discussion**

218 This cross-sectional pilot study estimated the prevalence of echocardiographic RHD among
 219 asymptomatic school children in North-Central Nigeria using the WHF criteria to be 21.6 per 1,000,
 220 all but one being borderline RHD. The disease was more common in boys compared with girls, and
 221 cardiac auscultation missed over 50% of the cases of RHD.

222 Our findings differ significantly from a previous screening of 418 school children for heart disease in
 223 Jos in 2010, where no case of RHD was recorded (24). Unlike our present study, the authors
 224 performed an initial clinical screening alone, with echocardiograms done only for children with
 225 murmurs. Auscultation has been shown to be less sensitive than echocardiography, sometimes
 226 missing up to 50% of cases, as demonstrated in our study (25).

227 The observed prevalence in our study was 10-times higher than reported in a cross-sectional survey
 228 of school children (4,107 students aged 5 to 16 years) in Lagos, South-Western Nigeria which
 229 documented a prevalence of 2.1 per 1,000 population in 2017 (13). Similar variations in prevalence
 230 within the same country have been reported (26), and indicate the multifactorial nature of the risk
 231 factors involved in the pathogenesis of RHD, with differing risk factors between the study

232 populations such as age, living conditions or social class. For example, the cited study was conducted
233 in Lagos, a more cosmopolitan and culturally diverse city than Jos, with fewer people living below
234 the national poverty lines (17). In addition, unlike our study, they included younger children below
235 10 years. RHD has been shown to be more common in older children (27, 28), and this may further
236 explain the much higher prevalence that we report.

237 The prevalence in our study compares to reports from Senegal (16.3 per 1,000) (29), Ethiopia (19 per
238 1,000) (28), and South Africa (20.2 per 1,000) (26). However, it differed considerably when
239 compared with other African countries which documented prevalence of 11.8 (Zambia)(30), 31
240 (Ethiopia)(26), 34 (Malawi)(31), and 40.2 (Uganda)(11) per 1000 school children, respectively.
241 Several reasons may account for the heterogeneity across reports within the same country and
242 between countries. RHD prevalence varies as a function of socioeconomic context, sampling
243 strategy, and diagnostic criteria applied. These variations further underscore the need for
244 prevalence estimation from different countries, and from different regions within countries using
245 uniform and comparable designs.

246 We found more cases of borderline RHD compared to definite RHD, which is consistent with other
247 reports (11, 13, 26, 28-31). Although children with borderline disease are at substantial risk of
248 progression to definite RHD, the natural history of the disease is still unclear, with limited data on
249 the long-term outcome (32, 33). All children with borderline disease in our study were referred for a
250 follow-up review and echocardiography after one year.

251 In our study, there were more boys than girls diagnosed with RHD, even though girls made up a
252 larger proportion of our study participants. Even though there have been varying reports on the sex
253 distribution of RHD, most studies have documented that the disease is more common in girls (22, 28,
254 30). The reasons for the female predilection are not clear, although it has been proposed in
255 literature that social factors such as poorer access to health care, repeated exposure to GAS, and
256 genetically-mediated immunological factors might predispose girls to autoimmune diseases (34).
257 While some studies have reported no sex predilection for RHD (3), the small sample size and hence
258 small number of children detected with RHD in this pilot study makes it difficult to extrapolate or
259 draw conclusions on our prevalence estimates according to sex, age and other parameters.

260 A limitation of our study is that the screening echocardiography and cardiac auscultation were
261 performed by the same person, with the possibility of some observer bias. Also, as the study was
262 limited to school-going children, we are unable to extrapolate the population-based prevalence, as
263 this could either underestimate or overestimate the true disease burden. However, our findings
264 suggest that there may be a potentially large pool of asymptomatic RHD cases among school

265 children and highlights the need to consider a routine school-based screening programme for RHD.
266 Larger echocardiography-based school screening studies from different parts of Nigeria, including
267 Plateau State are necessary to define the true burden of the disease.

268 **Conclusions**

269 This pilot school survey identified a large and clinically silent burden of RHD in school children (21
270 per 1,000 children) in North-Central Nigeria. This study also confirmed the low sensitivity of cardiac
271 auscultation for RHD screening. We recommend larger, echocardiography-based school screening
272 studies using portable or handheld devices to further define the burden of silent RHD in different
273 parts of Nigeria. We also propose longitudinal studies to evaluate the importance of early detection
274 of borderline RHD, to monitor the extent of disease progression over time, and to assess the need
275 for secondary prophylaxis.

276 **List of abbreviations**

AMVL:	Anterior mitral valve leaflet
AR:	Aortic regurgitation
ARF:	Acute rheumatic fever
AV:	Aortic valve
BPG:	Benzathine penicillin G
GAS:	Group A streptococcus
IQR:	Inter-quartile range
LGA:	Local Government Area
MR:	Mitral Regurgitation
MS:	Mitral Stenosis
MV:	Mitral Valve
RHD:	Rheumatic Heart Disease
WHF:	World Heart Federation
WHO:	World Health Organisation

277

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