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5 **Title:**

6 Different sensitivities to ambient temperature between first- and re-admission childhood

7 asthma cases in Hong Kong – A time series study

8

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28 **Running head:** Sensitivity to temperature: 1st vs. re-admitted asthma

29

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31

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35

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37 Main Text

38 **Abstract**

39 Objectives

40 Asthma can be triggered by various factors due to different etiologies. Environmental factors  
41 remain a common trigger of asthma, especially amongst children, and such ambient exposures  
42 can be harder to avoid compared to behavioral triggers. As such, the contribution of  
43 environmental factors may be enhanced when considering repeat asthma cases compared to  
44 initial presentation. To test this hypothesis, we assessed associations between ambient  
45 temperature and hospital admissions for asthma in Hong Kong and capitalized on the regions  
46 linked system of records to stratify risk between first and repeat asthma hospitalizations.

47

48 Methods

49 The daily number of asthma hospitalizations among children aged 0-5 years in Hong Kong  
50 during 2007-2011 was regressed on daily mean temperature using distributed lagged nonlinear  
51 models, with adjustment for seasonal patterns, day-of-week effects, and other meteorological  
52 factors and air-pollutants. Analyses were stratified by summer/winter and by type of admission  
53 (first admission and repeated admission).

54

55 Results

56 About 66% of 12284 asthma hospitalizations were first admissions. Repeat admissions  
57 demonstrated higher sensitivity to high temperature in the summer. During this period, high

58 temperatures were associated with increased risk of repeat admission but not with first  
59 admissions: RR (95% CI) comparing 31°C vs. 29°C across lags 0-15 days was 3.40 (1.26, 9.18) and  
60 0.74 (0.31, 1.77) for repeat and first admissions respectively. In the cold season, all admissions  
61 increased with falls in temperature, with slightly stronger associations apparent for repeat  
62 admissions compared to first admission: 1.20 (1.00, 1.44) vs. 1.10 (0.96, 1.26) respectively  
63 comparing risk at 15°C vs. 12°C across lags 0-5 days.

64

## 65 Conclusions

66 To our knowledge, this is the first study to show stronger associations between ambient  
67 temperature and repeat asthma admissions compared to first admissions. The higher sensitivity  
68 among those experiencing repeat admissions may allow for more personalized disease  
69 management. Given differences in effect sizes by admission type, future studies of ambient  
70 exposures on asthma should consider analyzing the two groups separately.

71

72 Keywords: asthma, first-admission, re-admissions, temperature, ambient, children, time-series

73

## 74 **Introduction**

75 Asthma is a chronic respiratory condition characterized by breathing difficulties and wheezing.  
76 The fundamental causes of asthma remain largely unclear but several risk factors that trigger  
77 asthma attacks have been identified. Indoor-/outdoor- and food allergens, tobacco smoke,  
78 chemical irritants, cold air, extreme emotions, physical activities and medications can trigger  
79 asthma (1,2). Environmental factors are one of the risk factor groups that are being increasingly  
80 studied. Previous research has implicated air-pollutants and allergens as triggers of asthma (3–  
81 5), but much attention has now switched to the impact of ambient temperature on asthma  
82 morbidity (6–22), reflecting rising awareness of the dangers of climate change and this year’s  
83 record-breaking temperatures across much of the globe.

84 However, previous studies - assessing either hospital admissions(9,11,13–15,18,22), emergency  
85 department visits (8,10,14,17,19,21) or clinic consultations (6,12,16,20) - made no  
86 differentiation between initial presentations for asthma and those that were repeat events.  
87 Among the large variety of factors that trigger asthma attacks, some are easier to avoid than  
88 others once they have been identified. For instance, although food allergen-related asthma can  
89 be fatal, most people will learn to avoid the particular food substances once they are  
90 identified(2). By contrast, environmental exposures such as ambient temperature are harder to  
91 avoid completely. Thus, asthma recurrence rates due to temperature extremes may be higher  
92 than those associated with food, medication or pets. Repeat cases, who possibly may be  
93 associated with more severe forms of asthma, may also be more vulnerable to selected risk  
94 factors, including environmental exposures. Under this hypothesis, first admission cases would  
95 include a higher proportion of asthma cases that are induced by risk factors other than ambient

96 temperature and thus may be less sensitive to temperature, whilst recurrent cases may contain  
97 a higher proportion of temperature-induced cases and therefore more temperature-sensitive.  
98 As such, the contribution of environmental factors may be enhanced when considering repeat  
99 asthma cases compared to initial presentation.

100 A previous study assessing air-pollution exposure on admissions for all respiratory diseases  
101 found stronger sensitivity among re-admission cases (23), but another found no effect  
102 modification of the association of temperature with respiratory admissions in Thailand (24). To  
103 the authors' knowledge, however, no previous study has tested this hypothesis on temperature  
104 exposure and admissions specifically for asthma. By utilizing the linked system of hospital  
105 records in Hong Kong, the objective of this study was to characterize the relationship between  
106 asthma admissions and temperature among children aged 0-5 years and to determine whether  
107 effects vary between initial admissions and readmissions. We hypothesize that temperature  
108 effects are stronger amongst readmitted cases. The results of this study can inform appropriate  
109 categorization of admissions in future epidemiological studies assessing short-term associations  
110 between asthma and temperature, and possibly between other health outcomes and ambient  
111 exposures.

112

## 113 **Methods**

### 114 Data and subjects

115 Admission records of all public hospitals in Hong Kong between 2002 and 2011 were obtained  
116 from the Hospital Authority of Hong Kong. Children aged 0-5 years old with asthma as the

117 principle diagnosis at discharge were included in the study (International classification of  
118 diseases 9: 493.xx). Asthma admission records between 2007 and 2011 were aggregated into  
119 time-series of daily counts and subdivided into first- and re-admission cases which were then  
120 used to model temperature-asthma associations. Asthma admission histories were dated back  
121 to 2002 to ensure that all previous asthma admissions among children aged 0-5 years could be  
122 identified. Daily mean temperature ( $^{\circ}\text{C}$ ), daily mean relative humidity (%), daily mean wind  
123 speed (km/h), daily total solar radiation ( $\text{J}/\text{m}^2$ ) and daily rainfall (mm) records for the study  
124 period were obtained from the website of the Hong Kong Observatory. Daily mean  
125 concentrations of air-pollutants including respirable particulates ( $\text{PM}_{10}$  in  $\mu\text{g}/\text{m}^3$ ), Sulfur dioxide  
126 ( $\text{SO}_2$  in  $\mu\text{g}/\text{m}^3$ ), nitrogen dioxide ( $\text{NO}_2$  in  $\mu\text{g}/\text{m}^3$ ) and ozone ( $\text{O}_3$  in  $\mu\text{g}/\text{m}^3$ ) collected from 10  
127 monitoring stations were obtained from the Environmental Department website and each  
128 pollutant averaged.

#### 129 Statistical analysis

130 Poisson Generalized Additive models (GAMs) (25) and Distributed Lagged Nonlinear models  
131 (DLNMs) (26) were used in this study. Generalized Additive models were used to adjust for  
132 trends and seasonality using flexible splines and DLNM were adopted to account for the  
133 potential non-linear associations with exposure and lagged effects. To characterize potential  
134 differences in effect estimates at different times of the year (27), analyses were stratified by  
135 season, defining the hot season from May to October and the cold season from November to  
136 April. The choice of meteorological parameters and air-pollutants assessed in this study were  
137 adopted from the results of a previous asthma study in Hong Kong (22). In the hot season, the  
138 daily number of admissions were regressed over daily levels of mean temperature, mean

139 relative humidity, and O<sub>3</sub> simultaneously, whilst mean temperature and mean relative humidity  
140 were included in the cold season analysis. Same day rainfall, daily number of influenza cases,  
141 long term trends, seasonal patterns, day-of-week and public holidays were adjusted for in  
142 models in both seasons (22).

143 Temperature, relative humidity, ozone and influenza counts were modelled using the  
144 crossbasis() function in the dlnm() package in R(28). The maximum lags used for this study, 30  
145 days for temperature and relative humidity and 15 days for ozone, were adopted from the  
146 previous asthma study in Hong Kong (22). The degrees of freedom (df) were chosen based on  
147 minimizing the Generalized Cross Validation (GCV) Score in the mgcv() package. This score find  
148 the appropriate balance between model fit and computation cost. The df used for the lag  
149 parameter in dlnm() was 3 and the df used for temperature, relative humidity, ozone and  
150 influenza counts were 3, 3, 2 and 2 respectively. Rainfall, long term trend and seasonal patterns  
151 were modelled using the smooth function s() in mgcv() package(25) with maximum df =2, 6 and  
152 4 respectively. The choice of df used for the smooth functions were again based on the previous  
153 study (22). Day-of-week and holiday effects were adjusted for using indicator variables.

154 Partial autocorrelation functions and residual plots were generated for model assessment.  
155 Different values for maximum df, ranging from 4 to 14, were applied for long term trend as  
156 sensitivity analysis. The same analyses were performed for first admission and re-admissions  
157 separately. Cumulative relative risks (RRs) at extreme temperatures within each season (the 1<sup>st</sup>  
158 or the 99<sup>th</sup> percentile) were estimated. The ratio of the relative risk (RRR) was calculated to  
159 compare RRs between admission types(29).



160

161 **Results**

162 Descriptive statistics

163 There were a total of 12284/6331 cases during the study period 2002-2011/2007-2011,  
164 respectively. Among these, 8113/4146 (66.05%/65.49%) were first-admission cases. Descriptive  
165 statistics of the asthma admissions and temperature during the study period 2007-2011 are  
166 shown in Table 1 and Table 2. Correlations between exposure variables were at low to medium  
167 level (coefficients ranged from 0.01 to 0.51) as presented in Table 3.

168

169 Table 1 Descriptive summary of admissions and temperature during 2007-2011, Hong Kong SAR

	<b>All</b>	<b>Hot season (May-October)</b>	<b>Cold season (November-April)</b>
	N (%)	Median of the daily no. of cases (Interquartile)	Median of the daily no. of cases (Interquartile)
<b>First- admissions</b>	4146 (65.49)	4.00 (2.00, 6.00)	4.00 (2.00, 6.00)
<b>Re-admissions</b>	2185 (34.51)	2.00 (1.00, 3.00)	2.00 (1.00, 3.00)
<b>Total number of cases</b>	6331 (100)		

170

171 Table 2 Statistics of daily mean temperature during 2007-2011, Hong Kong SAR

<b>Daily mean Temperature (°C)</b>	<b>Minimum</b>	<b>25<sup>th</sup> percentile</b>	<b>Median</b>	<b>Mean</b>	<b>75<sup>th</sup> percentile</b>	<b>Maximum</b>
<b>Hot season (May- October)</b>	18.70	26.00	27.85	27.54	29.20	31.20
<b>Cold season (November- April)</b>	8.80	16.70	19.25	19.06	21.70	27.20

172

173 Table 3 Correlation table for daily exposure variables by season, 2007-2011, Hong Kong SAR

<b>Hot season (n=920)</b>	<b>Mean temperature</b>	<b>Mean relative humidity</b>	<b>Mean ozone concentration</b>	<b>Rainfall</b>
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<b>Mean temperature</b>	1	-0.19**	-0.39**	-0.20**
<b>Mean relative humidity</b>		1	-0.47**	0.51**
<b>Mean ozone concentration</b>			1	-0.21**
<b>Rainfall</b>				1
<b>Cold season (n=906)</b>				
<b>Mean temperature</b>	1	0.32**	0.13**	0.03
<b>Mean relative humidity</b>		1	-0.37**	0.20**
<b>Mean ozone concentration</b>			1	-0.01
<b>Rainfall</b>				1
<b>**p &lt; 0.01 (2-tailed)</b>				

174

175

176 Regression models

177 Hot season

178 High temperature did not show obvious associations with first asthma admissions risk but was

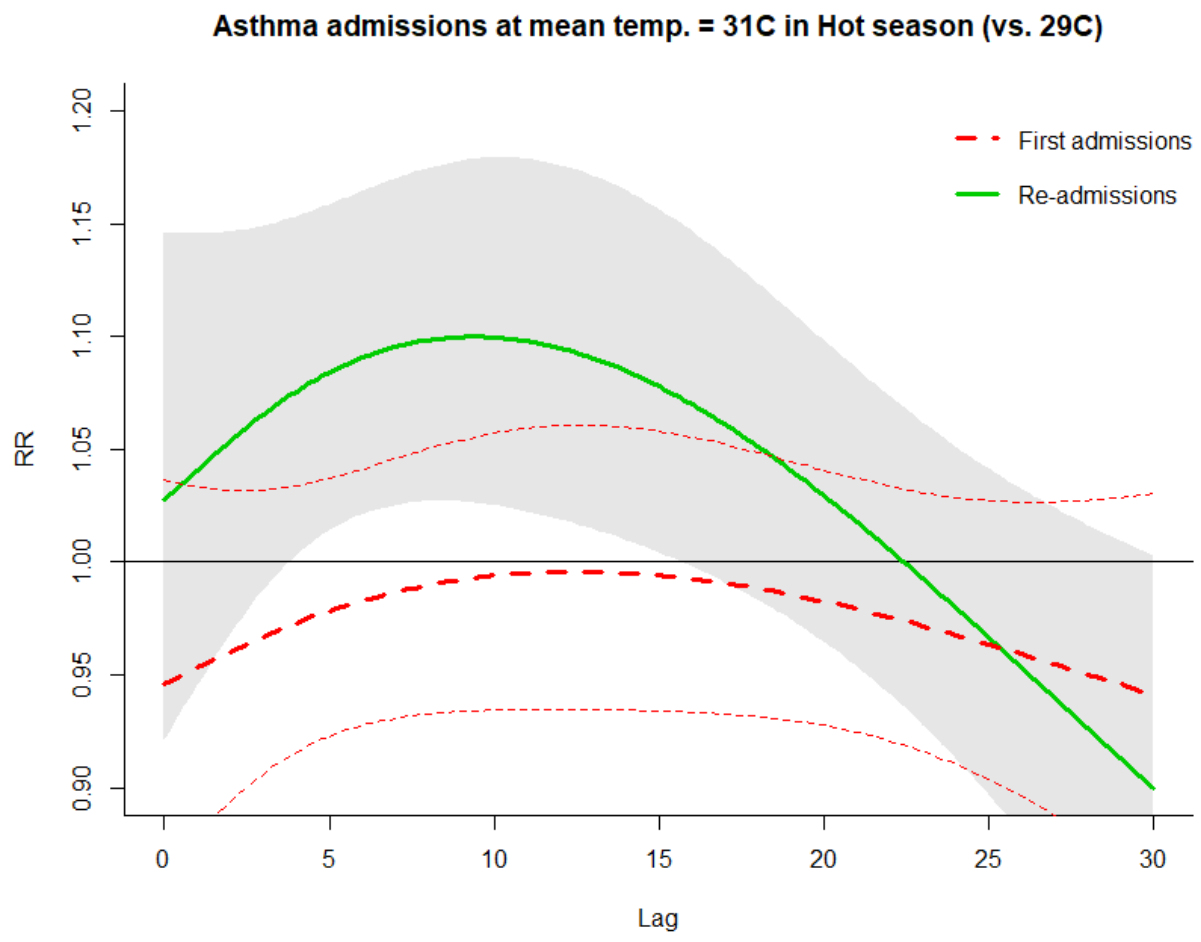
179 strongly associated with increasing asthma re-admissions. The association was most apparent

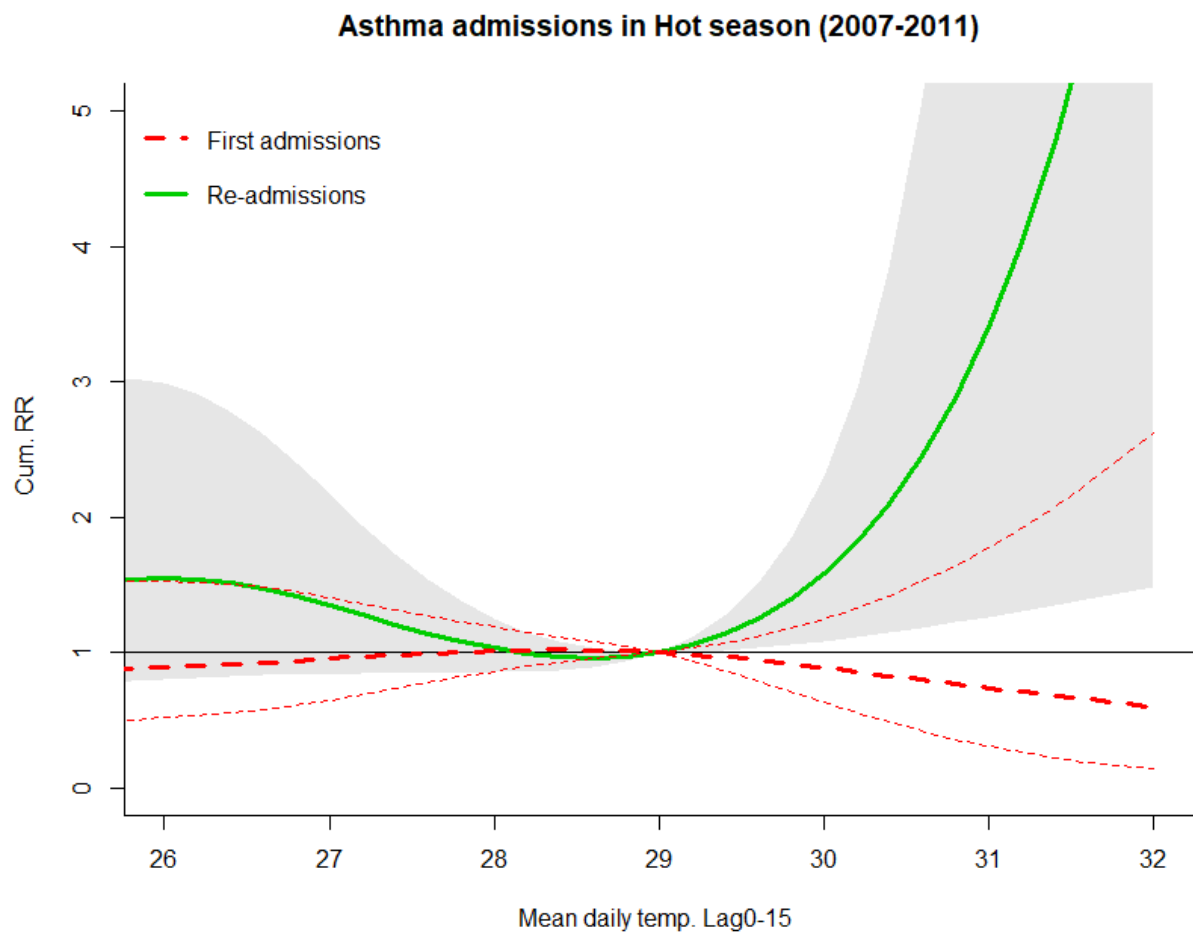
180 for lags 0-15 days (Figure 1). The cumulative relative risks (RR) (95% confidence interval) at 31°C

181 (the nearest integer degree to the 99<sup>th</sup> percentile vs. 29°C, the threshold) at lagged 0-15 days

182 was 3.40 (1.26, 9.18) (Figure 2). The RRR (95% confidence interval) comparing risks of re-

183 admissions to first admissions at high temperature was 4.59 (1.23, 17.21) (Table 4).





187

188

189 Table 4 Cumulative relative risk (RR) and relative risk ratio (RRR) for asthma admissions at  
 190 extreme temperatures by season and admission type, 2007-2011, Hong Kong SAR

	<b>Temperature</b>	<b>RR<sup>a</sup></b> <b>(95%CI<sup>b</sup>)</b>	<b>RR<sup>a</sup></b> <b>(95%CI<sup>b</sup>)</b>	<b>RRR<sup>c</sup>(95%CI<sup>b</sup>)</b> <b>Re-</b> <b>admissions</b> <b>vs. First</b> <b>admissions</b>
<b>Hot</b>	31 vs 29°C	3.40 (1.26,	0.74 (0.31,	4.59 (1.23,
<b>season</b>	(Lag 0-15)	9.18)	1.77)	17.21)
<b>Cold</b>	10 vs 15°C	1.43 (1.00,	1.24 (0.94	1.15 (0.74,
<b>season</b>	(Lag 0-5)	2.04)	1.62)	1.81)
	21 vs 15°C	0.88 (0.61,	1.27 (1.06,	0.69 (0.46,
	(Lag 0-5)	1.26)	1.53)	1.04)

**a: RR – relative risk**

**b: CI – confidence interval**

**c: RRR – relative risk ratio**

191

192 Cold season

193 In the cold season, a low temperature of 10°C - the nearest integer degree to the 1st percentile

194 in the season, showed a raised risk with both first admissions and re-admissions, with the

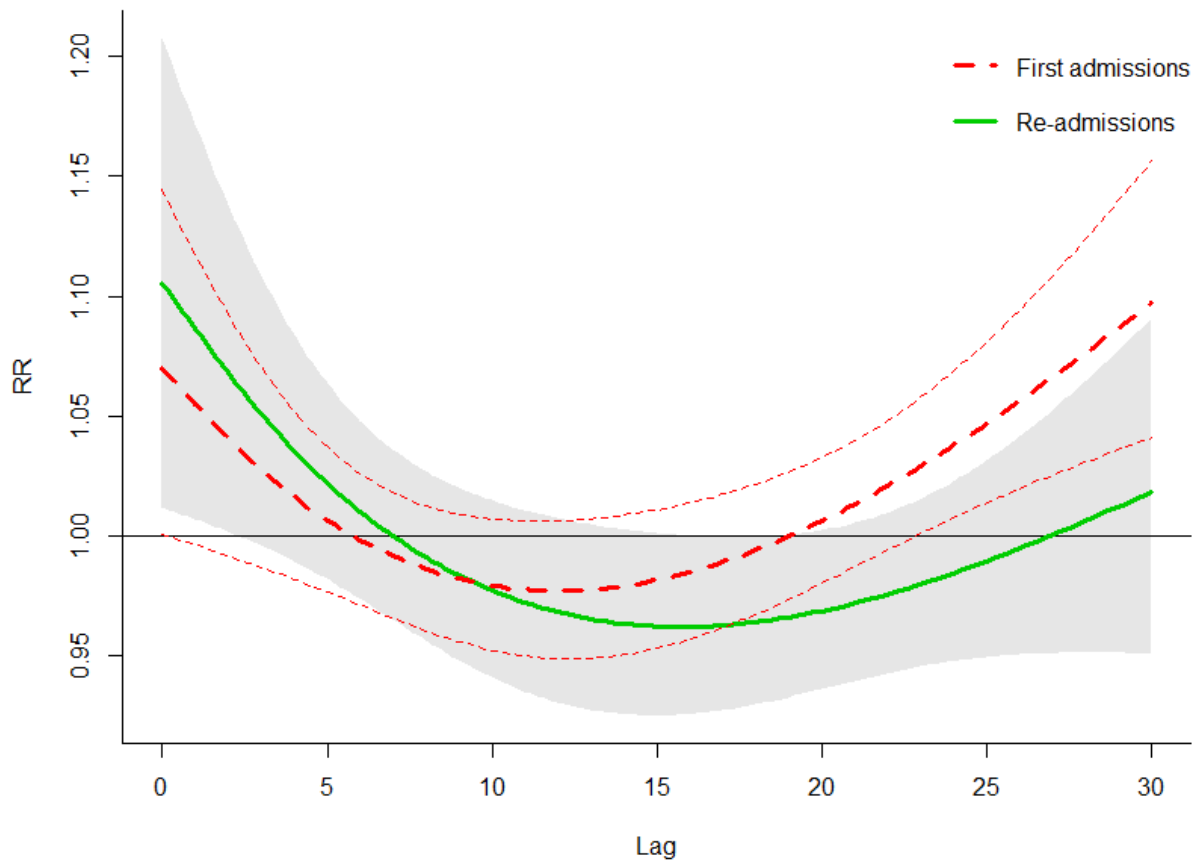
195 strongest effect in the first 5 days (Figure 3). The RRs at 10°C (vs. 15°C, the minimum overall

196 morbidity temperature in the season) were 1.24 (0.94, 1.62) and 1.43 (1.00, 2.04) for first and  
197 re-admissions respectively (Figure 4). The risk of re-admissions was higher than that of first-  
198 admissions but the difference was not statistically significant. The RRR comparing risk of re-  
199 admission to first admission at low temperature was 1.15 (0.74, 1.81) (Table 4). The risk of first  
200 admission also increased during higher temperatures, 21-22°C, in the cold season with a lagged  
201 effect of 5 days (RR 1.27 (1.06, 1.53); 21 vs 15°C) (Figure 5). No association was found between  
202 re-admissions and warm temperature. Results from sensitivity analyses showed consistent  
203 patterns in associations with temperature between the two groups in both seasons.

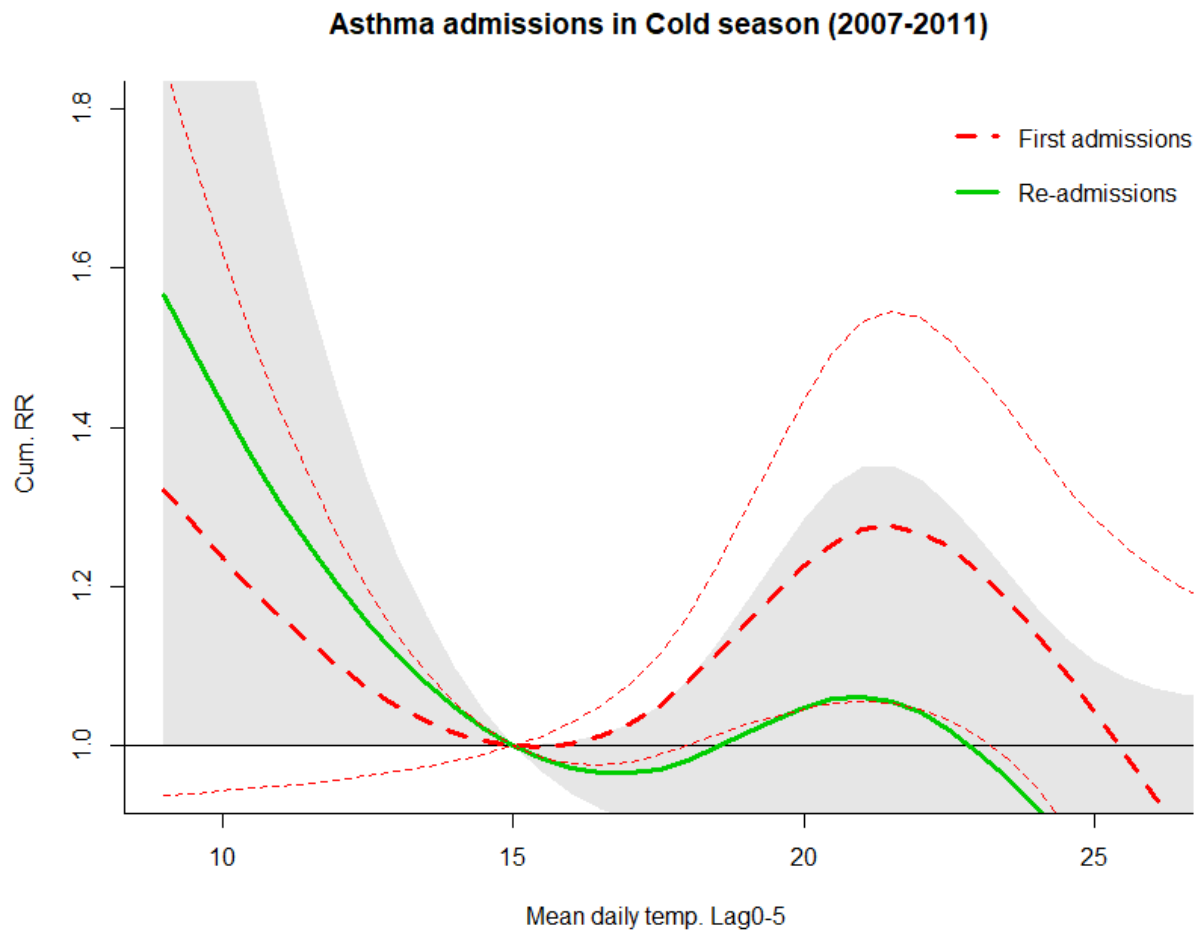
204 Figure 3

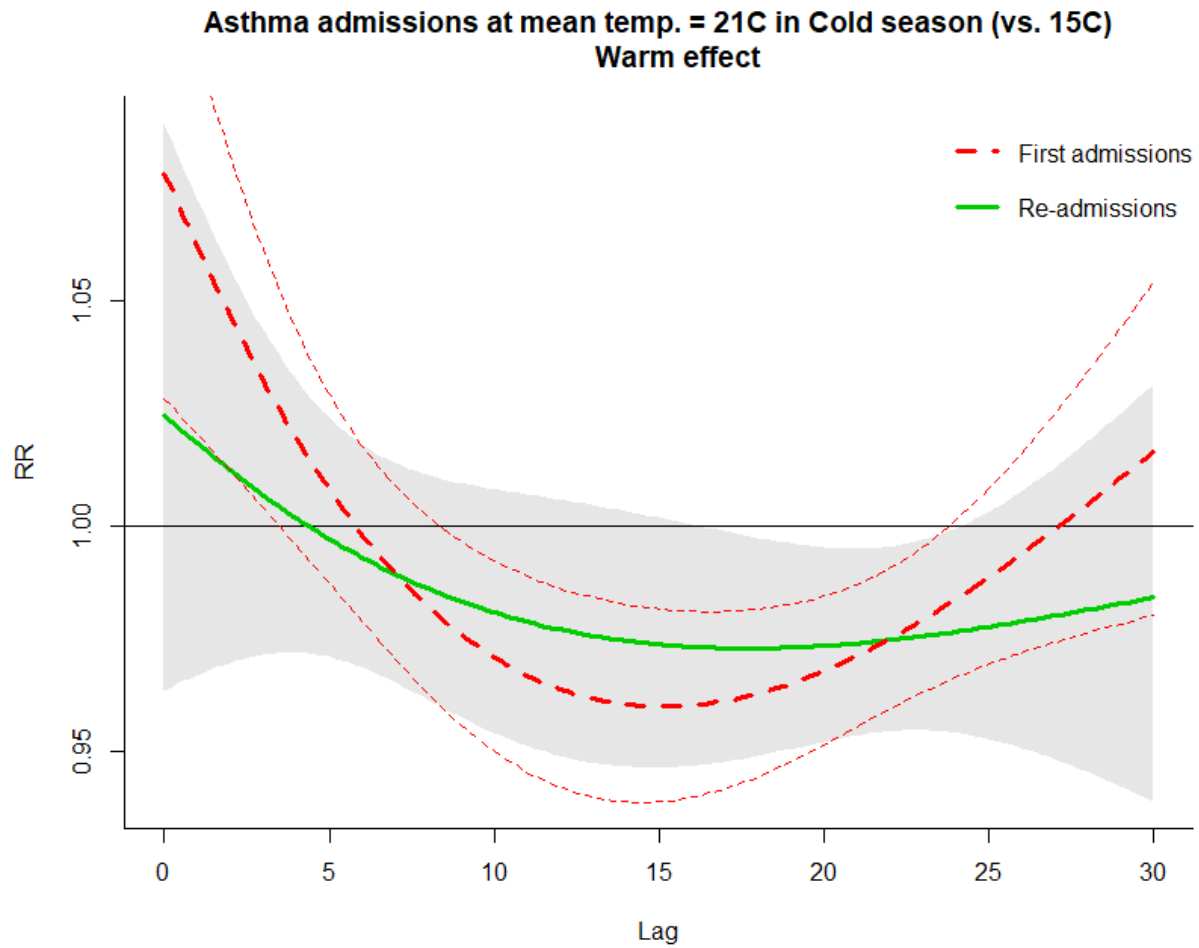


Asthma admissions at mean temp. = 10C in Cold season (vs. 15C)



205





209

210

211 **Discussion**

212 This study showed that one-third of asthma admissions were readmission cases and these cases  
213 were more sensitive to temperature than first admission cases. In the hot season, re-admissions  
214 had a much higher sensitivity to high temperature than first admission cases. In the cold  
215 season, re-admissions were also more sensitive to low temperature, although the difference  
216 did not reach statistical significance. Conversely, the number of first admissions increased

217 significantly during high temperatures during the cold season whilst re-admissions showed no  
218 association. To the best of our knowledge, no previous studies have compared the association  
219 between temperature and first and repeated asthma admissions, although a study in Canada  
220 looking at ambient air-pollution and respiratory admissions reported similar patterns to us(23).  
221 The Canadian study found a stronger association between coarse particulates and repeated  
222 respiratory admissions than first admission among the elderly(23). Previous studies evaluating  
223 short-term associations between ambient temperature and asthma morbidity, including  
224 hospital admissions (9,11,13–15,18,22), clinic visits (6,12,16,20) and emergency department  
225 visits (8,10,14,17,19,21), did not differentiate first and repeated asthma cases, although this has  
226 been done in the case of respiratory diseases in general.(24)

227 Several hypothesis may be considered for the different sensitivities to temperature between  
228 admission types. The nature of the disease, i.e. whether the asthma attack was triggered by  
229 medications or respiratory infections, may make a difference. Asthma caused solely by specific  
230 allergens such as pets, particular food substance and medications may be more manageable  
231 once the allergen has been identified. Therefore, compared to re-admission cases, first-  
232 admission cases may contain a higher proportion of asthma attacks that are triggered by  
233 particular allergens that are less sensitive to temperature. Of note, the severity of disease and  
234 poor disease management may also contribute to the higher sensitivity to temperature among  
235 re-admissions. Many previous studies reported previous admissions(30,31), history of other  
236 allergic/ respiratory complications(32,33) and poor disease management (34,35) as associated  
237 risk factors for re-admissions among children.

238 Extreme temperatures trigger asthma in various ways, including directly triggering broncho-  
239 constrictions and airway spasm(36,37), and indirect triggering through airway inflammation  
240 process(38,39) (37), lowering lung function(37,40,41), increasing neutrophil count in blood(42–  
241 44) and changing the ambient level of allergens like pollens and molds(37,45,46). Higher levels  
242 of air-pollutants associated with high temperatures may also contribute to the increased risk of  
243 triggers(37). Patients with poor disease management such as having poor medication regimes  
244 or even loss to follow-up may be more sensitive to triggers and more likely to be admitted to  
245 emergency departments due to lack of medication. Patients with more severe asthma or  
246 associated complications may also be more sensitive to triggers due to a longer history of  
247 airways inflammation.

248 Our study results suggest that combining first- and re-admissions cases in time-series  
249 temperature-asthma studies may underestimate the effect of temperature on asthma  
250 admissions for those groups of temperature-sensitive cases. Health guidelines and relevant  
251 promotion against temperature-associated asthma recurrence for physicians, healthcare  
252 providers, caregivers and patients should consider modification of effects among cases for  
253 more accurate risk and threshold estimation. Future studies may consider stratifying analyses  
254 by admission type based on the findings of this study. Studies for forecasting the overall asthma  
255 admission rate may use overall admissions as the primary health outcome whilst studies that  
256 aim to evaluate the specific exposure-response relationship between asthma and temperature,  
257 as well as other ambient exposures, may obtain more accurate results by considering subgroup  
258 analysis by admission type.

259 This study has several limitations. We only have 10-years data for both first- and re-admission  
260 cases and therefore we could only perform the analyses among children. However, young  
261 children have a lower proportion of comorbidities and better asthma control due to better  
262 diseases management strategies such as stronger medication reinforcement and being forced  
263 to stay indoor during conditions of extreme environmental conditions by caregivers. Thus  
264 studying children minimizes the complicating effects of poor disease management or  
265 comorbidities and may better reflect asthma sensitivity to temperature. Another limitation is  
266 that we were unable to consider the number of times a patient has been re-admitted rather  
267 than simply grouping subjects into first- and repeat admissions. Large differences in the number  
268 of re-admissions may point to important factors that may modify temperature sensitivity, such  
269 as disease severity, disease management and etiology. However, subgroup analysis by the  
270 number of re-admissions was not possible in this study due to the limited number of daily  
271 cases. Etiology is also a potential effect modifier which should be considered if relevant data  
272 are available. In addition, we were unable to consider potential modification by the  
273 socioeconomic characteristics of patients even though socioeconomic status has been  
274 identified as an important risk factor for repeat asthma admissions(47). Furthermore, this study  
275 has not adjusted for pollen exposures and PM2.5 due to the unavailability of such data. Also,  
276 similar to other single exposure time-series studies we assumed the same exposure for the  
277 whole population. Finally, since there is no similar study for comparison, the degree to which  
278 the different sensitivities to temperature between first- and re-admissions can be generalized  
279 to other geographic locations and other age-groups is uncertain.

280

281 **Conclusions**

282 This study showed different sensitivities to high temperatures between first- and re-admission  
283 asthma cases among young children. Physicians, parents and caregivers of young children who  
284 have already been admitted to hospital for asthma should take special care to reduce their  
285 patient's exposure to very high or very low temperatures. Health promotion guidelines against  
286 temperature-associated asthma in recurrent cases should be emphasized. Further studies  
287 looking at populations in other geographic locations and age-groups and comparing the causes  
288 of asthma between first and re-admission cases are recommended. Future time-series studies  
289 aiming to evaluate temperature-asthma associations should also consider performing subgroup  
290 analyses by admission type for potential modification of effect sizes.

291

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412 **Figure Legends**

413 Figure 1 Plot showing how relative risk (RR) and the 95% confidence interval (indicated by  
414 shaped area/ fine dotted lines) of asthma admissions changed along lag by comparing 31 to  
415 29°C in hot season (May-October), 2007-2011, Hong Kong SAR

416

417 Figure 2 Plot of cummulated relative risk and the 95% confidence interval (indicated by shaped  
418 area/ fine dotted lines) of asthma admissions against temperature in hot season (May-  
419 October), 2007-2011, Hong Kong SAR

420

421 Figure 3 Plot showing how relative risk (RR) and the 95% confidence interval (indicated by  
422 shaped area/ fine dotted lines) of asthma admissions changed along lag by comparing 12 to  
423 15°C in cold season (November-April), 2007-2011, Hong Kong SAR

424

425 Figure 4 Plot of cummulated relative risk and the 95% confidence interval (indicated by shaped  
426 area/ fine dotted lines) of asthma admissions against temperature in cold season (November-  
427 April), 2007-2011, Hong Kong SAR

428

429 Figure 5 Plot showing how relative risk (RR) and the 95% confidence interval (indicated by  
430 shaped area/ fine dotted lines) of asthma admissions changed along lag by comparing 21 to  
431 15°C in cold season (November-April), 2007-2011, Hong Kong SAR