

1 **Percutaneous Coronary Intervention prior to transcatheter aortic Valve**
2 **implantation (ACTIVATION): A randomized clinical trial**

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34

35 **Running Title: PCI Prior to TAVR**

36
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1 **Abstract**

2 **Objectives:** To determine if PCI prior to TAVR in patients with significant CAD would
3 produce non-inferior clinical results when compared with no PCI (control arm).

4 **Background:** Percutaneous coronary intervention (PCI) in patients undergoing transcatheter
5 aortic valve replacement (TAVR) is not without risk and there are no randomized data to
6 inform clinical practice.

7 **Methods:** Patients with severe symptomatic AS and significant CAD with CCS class ≤ 2
8 angina were randomly assigned to receive PCI or no PCI prior to TAVR. The primary
9 endpoint was a composite of all-cause death or rehospitalization at 1 year. Non-inferiority
10 testing (pre-specified margin of 7.5%) was performed in the intention-to-treat population.

11 **Results:** At 17 centres, 235 patients underwent randomization. At 1 year, the primary
12 composite endpoint occurred in 48(41.5%) of the PCI arm and 47(44.0%) of the no-PCI arm.
13 The requirement for non-inferiority was not met (difference -2.5%, upper 95% one-sided
14 confidence limit (CL) 8.5%; one-sided non-inferiority test $p=0.067$). On analysis of the as-
15 treated population, the difference was -3.7% (upper 95% one-sided confidence limit 7.5%,
16 $p=0.050$). Mortality was 16(13.4%) in the PCI arm and 14(12.1%) in the no PCI arm. At 1
17 year, there was no evidence of a difference in the rates of stroke, myocardial infarction or
18 acute kidney injury, with higher rates of any bleed in the PCI arm ($p=0.021$).

19 **Conclusions:** Observed rates of death and rehospitalization at 1 year were similar between
20 PCI and no PCI prior to TAVR, however the non-inferiority margin was not met and PCI
21 resulted in a higher incidence of bleeding.

22 Clinical Trial registration: ACTIVATION ISRCTN
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1 **Condensed Abstract**

2 The ACTIVATION Trial randomly assigned patients with severe symptomatic AS and
3 significant CAD with CCS class ≤ 2 angina to receive PCI or no PCI prior to TAVR. The
4 primary endpoint was a composite of all-cause death or rehospitalization at 1 year. Non-
5 inferiority testing (pre-specified margin of 7.5%) was performed in the intention-to-treat
6 population. We found that prior to TAVR, among patients with severe AS, significant CAD
7 and minimal angina, observed rates of death and rehospitalization at 1 year were similar
8 between PCI and no PCI prior to TAVR, however the non-inferiority margin was not met and
9 PCI resulted in a higher incidence of bleeding.

10

11 **Abbreviations List**

12

AS	Aortic Stenosis
TAVR	Transcatheter aortic valve replacement
SAVR	Surgical aortic valve replacement
CAD	Coronary artery disease
PCI	Percutaneous coronary intervention
MACCE	Major adverse cardiovascular and cerebrovascular events
AKI	Acute kidney injury
VARC	Valve academic research consortium

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1 **Introduction**

2

3 Untreated, severe symptomatic aortic stenosis (AS) is associated with high mortality. Clinical

4 outcomes of transcatheter aortic valve replacement (TAVR) are now known to be at least

5 equivalent (if not superior) to surgical aortic valve replacement (sAVR) regardless of risk

6 score.¹ Demand for TAVR is predicted to increase exponentially with an ageing population.²

7 Coronary artery disease (CAD) has been shown to co-exist in up two thirds of cases, most

8 likely attributable to overlapping risk factors.³ The presence of CAD is an independent

9 predictor of outcome and concomitant revascularization is recommended in patients with

10 significant CAD undergoing sAVR.⁴ However, there is currently insufficient evidence

11 regarding the role of percutaneous coronary intervention (PCI) in patients undergoing TAVR

12 to inform guideline recommendations and clinical practice. PCI in the presence of severe AS

13 is not without risk.⁴ The aim of the percutaneous Coronary intervention prior to

14 transcatheter aortic Valve implantation trial (ACTIVATION; ISRCTN 75836930) was to

15 determine if PCI prior to TAVR in patients with significant CAD would produce non-inferior

16 clinical results when compared with no PCI (control arm).⁵

17

18 **Methods**

19 **Trial design and oversight**

20 The ACTIVATION trial is an investigator-led prospective, randomized, open-labelled, non-

21 inferiority clinical trial in which PCI prior to TAVR was compared with no PCI prior to

22 TAVR in patients with co-existing significant CAD. This trial was conducted in 17 centers in

23 the United Kingdom, France and Germany. All aspects of trial conduct were coordinated and

24 managed by Cardiovascular European Research Center (CERC) clinical trials unit. A

25 complete list of trial investigators, participating sites, members of the trial steering committee

26 (TSC), data safety monitoring board (DSMB) members and clinical events committee

1 members is provided in the Supplemental Material. The TSC oversaw the running of the trial.
2 The full and final trial protocol designed by the authors and approved by TSC is available
3 online; the original design has been previously published.⁵ Ethical approval was granted to
4 King's College London, St Thomas Hospital by the United Kingdom National Research
5 Ethics Committee (London Dulwich) (reference number 11/LO/1596) in 2011. Prior to
6 enrollment, all patients underwent Heart Team discussion, from which participants were
7 identified and eligibility was reviewed by the local site investigator.

8

9 **Patients**

10 All patients provided written informed consent. Adult patients were eligible for inclusion if
11 they fulfilled the following criteria: severe aortic stenosis deemed suitable for TAVR
12 following heart team discussion and at least one stenosis of greater than or equal to 70% in a
13 major epicardial artery deemed suitable for PCI (or $\geq 50\%$ if protected left main stem or vein
14 graft). Exclusion criteria included one or more of the following: acute coronary syndrome
15 within 30 days of enrollment, Canadian Cardiac Society Angina Class III or more,
16 unprotected left main stem disease or clinical features that would prohibit dual antiplatelet
17 treatment. Full inclusion and exclusion criteria are provided in the trial protocol.
18 Angiographic lesion severity assessment was determined by the operator.

19

20 **Randomization and Procedures**

21 Patients deemed to be eligible following review by the local site investigator were randomly
22 assigned to undergo either PCI (test) or no PCI (control) prior to TAVR on a 1:1 basis.
23 Randomization was performed using an electronic system (CliniGrid EDC, Paris, France),
24 based on the permuted block method using randomly varying block sizes and stratified
25 according to site. The trial sequence was generated by an independent statistician. Patients,

1 investigators and outcome assessors were unblinded to treatment assignment. Patients
2 randomized to receive PCI were recommended the following dual antiplatelet therapy:
3 loading dose of Aspirin 300mg orally and either Clopidogrel 600mg, Prasugrel 60mg or
4 Ticagrelor 180mg if a minimum of one-week maintenance dose of dual antiplatelet therapy
5 had not been administered. Vessels intervened on, PCI strategy, access site and duration of
6 antiplatelet therapy were left to the discretion of the operating Interventional Cardiologist.
7 PCI was performed using Boston Scientific bare metal or drug eluting stents. The choice of
8 CE-marked TAVR device for implantation was not pre-specified and left to the discretion of
9 the implanting center.

10

11 **Study Endpoints**

12 The primary endpoint of the study was a composite of all-cause mortality and
13 rehospitalization from any cause at 1 year from the TAVR procedure. If TAVR was not
14 undertaken, then this was 1 year from the PCI procedure or 1 year from randomization if no
15 randomized PCI was undertaken. The main hypothesis was non-inferiority of PCI when
16 compared with no PCI in patients prior to TAVR. To test for noninferiority, we determined
17 whether the upper boundary of the 95% one-sided confidence limit (CL) for the absolute
18 difference in the rate of the primary end point between the PCI group and the no PCI group
19 was less than the prespecified non-inferiority margin of 7.5% at 1 year follow up post-TAVR.
20 Key secondary endpoints included assessment of mortality, bleeding events and major
21 adverse cardiovascular or cerebrovascular events (MACCE), defined as death, myocardial
22 infarction, stroke or acute kidney injury (AKI), from randomization to 1 year. All key adverse
23 events were adjudicated by a CEC whose members were aware of the treatment assignments
24 because of the need to assess the relationship of events to PCI and/or TAVR. Clinical
25 endpoint definitions provided for adjudication were updated in accordance with changing

1 guideline recommendations from the Valve Academic Research Consortium (VARC to
2 VARC2 criteria, details of which are provided in the Supplemental material).⁶

3

4 **Statistical Analysis**

5 The statistical analysis plan (SAP) and the latest study protocol including amendments are
6 provided online, with key outcomes reported in this manuscript. The sample size
7 determination is provided in the study protocol. In brief, observational data demonstrate a 1
8 year mortality of 20.0% in patients undergoing PCI prior to TAVR and up to 35.7% in
9 patients with co-existing untreated CAD awaiting TAVR.⁷ Based on an assumed re-
10 hospitalization rate over 1 year of 15% in each treatment group, and mortality rates of 20% in
11 the PCI group and 30% in the no-PCI group, 310 patients would provide almost 90% power
12 to demonstrate non-inferiority based on an absolute non-inferiority margin of 7.5% and losses
13 to follow-up of 5% per year. The non-inferiority margin was chosen based on expert opinion
14 and preceding studies (PARTNER Cohort A).⁸

15

16 For the primary analysis, cumulative event rates at 1 year post-TAVR were calculated using
17 Kaplan-Meier estimates and the Greenwood standard errors. To test for non-inferiority, we
18 determined whether the upper boundary of the 95% one-sided CL for the difference in the
19 rate of the primary end point between the PCI group and no PCI group was less than the
20 prespecified absolute non-inferiority margin of 7.5%. Further, a one-sided non-inferiority test
21 was undertaken against the non-inferiority margin of 7.5%.

22

23 The primary analysis was also repeated on the as-treated population defined as those patients
24 undergoing the TAVR procedure and analysed according to whether they received a PCI or
25 no PCI. Treatment groups were also compared with a Kaplan-Meier (KM) curve, a hazard

1 ratio (HR) and 95% confidence interval (CI) from a Cox regression model together with a log
2 rank test. In order to recognise the hierarchical nature of the primary endpoint, the
3 combination of the all-cause mortality and time to first rehospitalization was also analyzed
4 using the unmatched Win Ratio approach.

5
6 MACCE rates and bleeding were analyzed from randomization to 30 days and 1 year
7 following the TAVR, with the TAVR date defined as above, for those not undergoing the
8 procedure, and compared using HRs and 95% CIs. Bleeding rates are presented as any bleed
9 and major bleeding only as well as a descriptive analysis showing a hierarchical analysis for
10 bleeding at 30 days and 1 year. Bleeding events between groups were compared with KM
11 curves. Statistical analyses were performed using Stata 16.1 (Stata Corp LLC, College
12 Station, TX) and R version 3.3.2 (r-project.org) and carried out by an independent
13 statistician; the primary analysis was verified by a second independent statistician. The study
14 funders had no role in trial design, conception, data collection, analysis, interpretation or
15 writing of this manuscript.

16

17 **Results**

18 **Patients**

19 A total of 235 participants were recruited between December 4, 2012 and January 11, 2019
20 from 17 centers in the UK, France and Germany. The TSC identified a marked reduction in
21 recruitment rates in the 2 years prior to final patient enrollment. Based on this trajectory it
22 was determined that a substantial amount of time would be required to complete recruitment,
23 and that early discontinuation of the trial was appropriate. Overall recruitment rate (eFigure
24 1) and site-specific recruitment (eTable 2) are provided in the Supplemental Material.
25 Participant flow is provided in the trial flow diagram (Figure 1). Of the 235 participants

1 recruited, 119 patients were randomly assigned to undergo PCI and 116 were randomly
2 assigned to no PCI. All patients were included to the point at which consent was withdrawn
3 or no longer in follow-up.

4 Patient baseline characteristics are provided in Table 1. Characteristics of both cohorts were
5 similar between the groups, although there was a higher incidence of prior myocardial
6 infarction and peripheral vascular disease in the cohort randomized to no PCI. Anti-
7 thrombotic therapies are detailed below; all other medications are detailed in eTable 5.

8 Angiographic and procedural details including post TAVR hemodynamics are provided in
9 Table 2. The randomized PCI was performed as intended in 116 (97.5%) of those randomized
10 to PCI. The distribution of coronary disease was similar between the groups as was the
11 distribution of lesion complexity. Significant angiographic stenosis in the left anterior
12 descending artery was seen most frequently, identified in 73 (61.3%) of those randomised to
13 PCI and 69 (60.5%) of those randomized to no PCI. In those randomised to PCI, PCI was
14 indicated for single vessel coronary disease in 85 patients (71.4%) with a mean angiographic
15 stenosis of $80\pm 15\%$. The median number of lesions treated was 1 (IQR 0-2) and the median
16 number of stents implanted was 1 (IQR 0 to 4). Mean treated lesion length was
17 $17.4(\pm 6.6)$ mm. A total of 21 (18.1%) patients received bare metal stent implantation,
18 accounting for 39 out of 194 (20.1%) lesions treated in the PCI arm. No target vessel failure
19 or revascularisation was recorded within the follow up period. Prior coronary artery bypass
20 graft surgery with degenerative friable vein graft intervention accounted for just under over
21 one fifth (21%) of lesions treated. PCI procedural complications occurred in 3 patients (2.5%)
22 (Table 2). The TAVR procedure was performed in 110 (92.4%) of patients randomized to the
23 PCI arm and 107 (92.2%) of those randomized to no PCI. Median time from randomization
24 to TAVR was 41 days (PCI) and 27 days (no PCI). Reasons for not performing PCI
25 procedure in those randomized to the PCI arm or TAVR procedure in either group are

1 provided in eTable 6. PCI procedural complications are provided in Table 2 and TAVR
2 procedural complications are provided in eTable 7.

3

4 **Primary Endpoint**

5 Follow-up information was available for 94.2% of the expected follow-up time for analysis
6 of the primary endpoint. The primary endpoint comprised a composite of all-cause mortality
7 and rehospitalization at 1 year post TAVR and occurred in 48 (41.5%) of the PCI arm and in
8 47 (44.0%) of the no PCI arm. The requirement for non-inferiority was not met, with a
9 difference of -2.5% and the 95% one-sided CL reaching 8.5% (one-sided non-inferiority test
10 $p=0.067$) (Figure 2A and eTable 8 in the supplemental material).

11

12 Follow-up from randomization to 1 year post TAVR was on average 17 days longer in the
13 PCI arm due to the additional time to TAVR in this group as a result of the PCI. On
14 comparing the two groups from randomization to 1 year post TAVR, the estimated HR was
15 0.89 (95% CI 0.60 to 1.33) (Figure 2B). With regard to individual components of the primary
16 endpoint the observed mortality at 1 year was 16 (13.4%) in the PCI arm and 14 (12.1%) in
17 the no PCI arm with a HR of 1.00 (95% CI 0.49 to 2.06) (eFigure 10). The observed
18 percentage of patients at 1 year post TAVR with rehospitalization was 41 (34.5%) in the PCI
19 arm and 39 (33.6%) in the no PCI arm with a HR of 0.91 (95% CI 0.59 to 1.42) (eFigure 11).
20 Results of an analysis performed with the use of the hierarchical Win Ratio method (where a
21 higher win ratio indicates a better outcome) were consistent with those of the primary
22 analysis with a win ratio (PCI vs no PCI) of 1.07 (95% CI 0.71 to 1.60; $p=0.76$). The primary
23 analysis was also repeated for the as-treated population. Eighteen patients did not undergo a
24 TAVR (nine in each group) and were excluded. An additional patient randomized to the PCI
25 arm did not undergo PCI and was considered in the no PCI arm. Thus, there were 109

1 individuals in the PCI arm and 108 in the no PCI arm. Results were similar to the intention-
2 to-treat population although the difference and upper CL was shifted in favour of PCI with 44
3 (40.5%) experiencing a primary event in the PCI arm versus 46 (44.1%) in the no PCI arm, a
4 difference of -3.7% (95% one-sided CL 7.5%, one-sided non-inferiority test $p=0.050$) (see
5 eTable 9 in the supplemental material).

6

7 **Secondary Endpoints**

8 Key secondary endpoints are provided in Table 3 and analysed from randomization to either
9 30 days or 1 year post TAVR. The HRs reflect the longer follow-up to 30 days and 1 year
10 post TAVR in the PCI arm. Almost all events excluding bleeds (except one myocardial
11 infarction and one episode of AKI both in the PCI arm) occurred post-TAVR. At 1 year,
12 MACCE rate in the PCI arm was 35 (29.4%) compared to 27 (23.3%) in the non-PCI arm
13 (HR 1.22, 95% CI 0.74-2.02). Individual components are provided in Table 3. The incidence
14 of any bleed from randomization to 30-days post TAVR date was higher at 49 (41.2%) in the
15 PCI arm compared to 31 (26.7%) in the no-PCI arm (HR 1.46, 95% CI 0.93 to 2.29). Eight
16 (16.3%) of these bleeding events occurred in relation to the TAVR procedure in the PCI arm
17 compared to 4 (12.9%) in the no-PCI arm. The incidence of any bleed from randomization to
18 1 year post TAVR date was higher at 53 (44.5%) in the PCI arm compared to 33 (28.4%) in
19 the no-PCI arm (HR 1.66, 95% CI 1.07 to 2.56). The incidence of major bleeding from
20 randomization to 1 year post TAVR date was 31 (26.1%) in the PCI arm compared with 21
21 (18.1%) in the no-PCI arm (HR 1.44, 95% CI 0.83 to 2.51). Time to event curves for any
22 bleed are provided from TAVR date to 12 months post (eFigure 14) and from randomisation
23 to 12 months (eFigure 15). Bleeding defined according to BARC criteria including
24 hierarchical descriptions are provided in eTables 12,13.

25 **Anti-Thrombotic Therapy**

1 The list of patient medications according to randomization arm at baseline, 30 days and 1
2 year post-TAVR are provided in eTables 5, 16 and 17. At the time of randomization, there
3 was a higher incidence of coumarin administration in the PCI arm 31 (26.1%) compared to
4 no PCI 18 (15.9%) despite randomization however this evened out between groups at the
5 point of discharge following TAVR (22, 18.5% PCI versus 20, 17.2% no PCI) and 30-day
6 follow up post TAVR (33, 27.7% PCI versus 28, 24.1% no PCI) when the majority of
7 bleeding events had occurred. At the time of randomization, 31 patients in the PCI arm and
8 18 patients in the were taking coumarin a15 (12.6%) patients in the PCI arm and 8 (6.9%)
9 patients in the no PCI arm were on dual antiplatelet therapy (DAPT); 4 (3.4%) patients in the
10 PCI arm and 5 (4.3%) patients in the no PCI arm were taking single antiplatelet and
11 coumarin; 2 (1.7%) patients in the PCI arm and 2 (1.7%) patients in the no PCI arm were on
12 triple therapy (dual antiplatelets and coumarin). At discharge following TAVR, 73 (62.9%)
13 patients in the PCI arm and 36 (31.0%) patients in the no PCI arm were on DAPT; 12
14 (10.1%) patients in the PCI arm and 13 (11.2%) patients in the no PCI arm were taking single
15 antiplatelet and coumarin; 9 (7.6%) patients in the PCI arm and 3 (2.6%) patients in the no
16 PCI arm were on triple therapy. At 30 day post TAVR follow up, 59 (49.6%) patients in the
17 PCI arm and 28 (24.1%) patients in the no PCI arm were on DAPT; 23 (19.3%) patients in
18 the PCI arm and 20 (17.2%) patients in the no PCI arm were taking single antiplatelet and
19 coumarin; 8 (6.7%) patients in the PCI arm and 2 (1.7%) patients in the no PCI arm were on
20 triple therapy. At 12 month post TAVR follow up, 11 (9.2%) patients in the PCI arm and 5
21 (4.3%) patients in the no PCI arm were on DAPT; 16 (13.4%) patients in the PCI arm and 5
22 (4.3%) patients in the no PCI arm were taking single antiplatelet and coumarin; 1 (0.8%)
23 patient in the PCI arm and 2 (1.7%) patients in the no PCI arm were on triple therapy. All
24 other outcomes are provided in eTables 18 and 19 in the Supplemental Material

25

1 **Discussion**

2 The ACTIVATION trial is the first randomized trial of PCI versus no PCI prior to TAVR in
3 patients with severe aortic stenosis and significant coronary disease. The trial was terminated
4 early with a total of 235 participants due to a marked reduction in recruitment rate. The main
5 findings of the ACTIVATION trial are as follows. First, there was no evidence of a
6 difference in the primary endpoint of death or re-hospitalization at 1 year between patients
7 who did or did not undergo PCI prior to TAVR, however the requirement for non-inferiority
8 was not met, with the one-sided 95% CL reaching 8.5%, higher than the prespecified upper
9 limit of 7.5%. On analysis of the as-treated population, the difference was -3.7% with the
10 one-sided 95% CL at exactly 7.5% ($p=0.050$). Second, analysis of key secondary endpoints
11 demonstrated no evidence for differences in MACCE at 30 days or 1 year. Third, more
12 bleeding events were demonstrated in the arm randomized to receive PCI, with the majority
13 occurring within 30 days of the TAVR procedure driven by increased dual antiplatelet use in
14 the PCI arm.

15

16 The aim of the ACTIVATION trial was to determine if pre-TAVR PCI could be
17 demonstrated to be non-inferior with respect to the primary endpoint of death or
18 rehospitalisation at one year and whether or not this was at the expense of secondary
19 endpoints of MACCE, AKI and bleeding at 30 days and 1 year post-TAVR. The original
20 sample size calculation of 310 patients provided 90% power with 5% loss to follow up per
21 year. The trial was terminated early due to a reduction in recruitment, driven by the rapidly
22 evolving landscape of TAVR; in the final two years of recruitment, there was an increased
23 tendency for patients to directly undergo TAVR following CT assessment (without the
24 additional step of invasive coronary angiography) and also patients expressed a preference
25 for reduced elective hospital visits. Despite a reduction in recruitment and a final sample size

1 of 235 patients, the higher than expected primary event rate (driven by rehospitalizations)
2 suggested no difference between pre-TAVR PCI and no PCI in the as-treated population.
3 This does not however reflect an overall benefit of either strategy and pre-TAVR PCI is not
4 without risk in the elderly population and there was no sign at all that PCI was associated
5 with reduced mortality despite allowing for a 10% absolute reduction. Due to the shared
6 pathophysiology of aortic stenosis and coronary artery disease in the elderly population,
7 coronary disease is often complex and heavily calcified and may require adjunctive calcium
8 modification therapies and risk hemodynamic instability. There was a range of lesion
9 complexity in this cohort ranging from single vessel, short lesions to 2 or 3 vessel
10 angioplasty, vein graft intervention and long lesions. This trial demonstrated no difference in
11 death or rehospitalisation, but numerically higher incidence of acute kidney injury and major
12 bleeding in the PCI arm at 30 days post TAVR. If more patients had been enrolled into the
13 trial, there is the possibility that we would have seen further bleeding events in the PCI arm
14 thus increasing the difference between groups. Although the majority of bleeding events
15 occurred at 30 day follow up with higher rates in the PCI, this only reached statistical
16 significance at one-year post TAVR. On review of the anti-thrombotic therapy, this appears
17 to be predominantly driven by the increased use of dual antiplatelet therapy in the PCI,
18 whereas the distribution of triple therapy or single antiplatelet with coumarin was similar
19 between groups. In practical terms, there was a 40% reduction in bleeding events in the no-
20 PCI arm relative to the PCI. This finding is of particular importance as we know major
21 bleeding and access site complications increase are associated with poor outcomes post
22 TAVR. These findings should therefore be factored into Heart Team decision making when
23 contemplating pre-TAVR PCI in this patient cohort. Overall this data would support deferred
24 PCI, however, if later (post-TAVR) coronary access is deemed necessary then additional
25 thought should be given to valve choice and ease of coronary access. At the time of

1 randomization a higher proportion of patients in the PCI arm were receiving coumarin, but at
2 discharge and at 30-days post TAVR follow up, there was no difference between groups.
3
4 This patient cohort exhibited mean coronary stenosis severity of $85\pm 15\%$, the median number
5 of coronary lesions was 1 with just under two thirds of lesions in the left anterior descending
6 coronary artery disease in both cohorts. Previous clinical studies have shown an increased
7 risk of mortality in the presence of complex CAD with possible benefit from PCI in this
8 cohort by reducing hemodynamic instability during TAVR and protection against impaired
9 contractility post TAVR.⁹ However, in our study there was no demonstrable difference in
10 outcomes at one year in patients who underwent PCI compared to no PCI prior to TAVR.
11 This could in part be due to the difference in patient groups, prior meta-analyses have
12 examined multivessel disease with high syntax scores whereas the ACTIVATION trial cohort
13 predominantly exhibited single vessel coronary disease. Up to one fifth of patients in the PCI
14 arm received bare metal stents with the potential for suboptimal long term results, however
15 however there was no suggestion of target vessel failure and the shorter duration of dual
16 antiplatelet therapy may have been beneficial. Physiological evaluation of the significance of
17 coronary artery lesions was not mandated in this study because of the complex interplay
18 between aortic stenosis, epicardial coronary lesions, microvascular disease and
19 autoregulatory processes that can make borderline or non-concordant results from hyperemic
20 or resting intracoronary indices difficult to interpret. Physiological evaluation of coronary
21 lesions in the presence of aortic stenosis is likely to play a role in decision making in the
22 future if future studies can correlate this with long term outcomes.
23 The ACTIVATION trial population experienced minimal angina, had a mean age of 84 and
24 reflected the intermediate to high risk TAVR population, with co-existing peripheral vascular
25 disease, renal impairment and atrial fibrillation at baseline requiring coumarin administration

1 in just over one fifth of patients. Median time to TAVR from randomisation was 45 days in
2 the PCI arm and 30 days from randomisation in the no PCI arm, suggesting patients
3 underwent TAVR approximately 2 weeks post PCI and would have been on dual antiplatelet
4 therapy at the time (+/-coumarin). Overall there was no suggestion of a difference between
5 the treatment strategies with increased bleeding risk in the PCI arm. These findings should be
6 taken into consideration by the Heart Team when deciding the best approach to coronary
7 artery disease pre-TAVR, the duration of dual antiplatelet regime and timing of PCI in
8 relation to TAVR. Whether or not the ACTIVATION trial results are transferable to the
9 younger and/or low risk population is yet to be determined, longer term follow up may
10 identify late events that may in part address this issue.

11

12 **Limitations**

13 The most important limitation of this trial is the lower than planned sample size, with 235
14 patients recruited compared to the intended 310 (including 5% losses). Thus, despite the
15 lower observed event rate in the PCI arm (-2.5%), the criterion for non-inferiority was not
16 met with the one-sided 95% CL of 8.5% compared to the pre-set margin of 7.5%.

17 Furthermore, the current results reflect one-year outcomes, in patients with a mean age of 83
18 years, and definitive conclusions regarding the advantages or disadvantages of PCI in this
19 cohort will depend on longer term follow-up. There are also other limitations, including the
20 absence of a detailed screening log for these data and absence of blinding. These could have
21 led to both bias in patient selection and in adjudication of non-definitive outcomes.

22 Additionally, this trial did not include patients with significant left main stem coronary
23 disease or those with significant (CCS class 3 or more) angina and the findings are therefore
24 not transferable to this cohort. Assessment of coronary disease was based on angiographic
25 lesion severity without physiological assessment.

1

2 **Conclusions**

3 In conclusion, prior to TAVR, among patients with severe AS, significant CAD and minimal
4 angina, observed rates of death and rehospitalization at 1 year were similar between PCI and
5 no PCI prior to TAVR, however the non-inferiority margin was not met and PCI resulted in a
6 higher incidence of bleeding.

7 **Clinical Perspectives**

8 **What Is known?**

9 There is currently insufficient evidence regarding the role of percutaneous coronary
10 intervention (PCI) in patients undergoing TAVR to inform guideline recommendations and
11 clinical practice.

12 **What is new?**

13 Prior to TAVR, among patients with severe AS, significant CAD and minimal angina,
14 observed rates of death and rehospitalization at 1 year were similar between PCI and no PCI
15 prior to TAVR, however the non-inferiority margin was not met and PCI resulted in a higher
16 incidence of bleeding.

17 **What is next?**

18 Longer term follow up is required to determine if there is a long-term mortality benefit of
19 PCI prior to TAVR.

20

21 **Acknowledgements:**

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23 Clinical Trial registration: ACTIVATION ISRCTN

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9 **Figure Legends**

10 **Figure 1. Trial Flow Diagram**

11 Withdrawals up to 1 year following randomization. These are presented graphically in
12 eFigure 3 in the Supplemental Material. Withdrawals up to 1 year post TAVR are provided in
13 eTable 4.

14 **Figure 2. Time to event curves for the primary composite endpoint**

15 Kaplan-Meier estimates of the rate of the primary composite endpoint of death and
16 rehospitalization in patients who underwent PCI prior to TAVR compared to no PCI.
17 Presented as (A) cumulative risk of death/re-hospitalization from date of TAVR procedure to
18 1 year post-TAVR, and (B) cumulative risk of death/re-hospitalization from date of
19 randomization to 1 year post-TAVR. The hazard ratio of 0.92 is due to longer follow up in
20 the PCI arm.

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1 **Tables**
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Characteristic*	PCI (n=119)	No PCI (n=116)
Demographics		
Age (years)	83.6 (±5.0)	84.3 (±5.0)
Gender (male)	69 (58.0)	75 (64.7)
Height (cm)	166 (±8)	166 (±10)
Weight (kg)	78.4 (±15.4)	73.2 (±15.6)
BMI (kg/m ²)	28.7 (±6.1)	26.6 (±5.0)
Clinical Assessment		
Dyspnea	98 (82.4)	94 (82.5)
Syncope	14 (11.8)	13 (11.4)
NYHA Class		
I	4 (3.4)	4 (3.6)
II	41 (35.3)	43 (38.4)
III	67 (57.8)	60 (53.6)
IV	4 (3.4)	5 (4.5)
Angina grade (CCS class)		
0	81 (68.1)	79 (69.3)
1	14 (11.8)	18 (15.8)

2	23 (19.3)	16 (14.0)
3	1 (0.8)	1 (0.9)
4	0 (0.0)	0 (0.0)
EuroSCORE %	11.3 (1.4-63.8)	13.9 (1.2-77.4)
STS Score* %	4.44 (1.34-26.90)	4.41 (1.08-36.53)
Missing	21	22
Creatinine (mg/L) (0.5-1.0)	10.7 (5.1-24.0)	10.9 (.2-51.7)
eGFR (mL/min)* (<60)	57.0 (0.0-138.7)	59.0 (8.0-134.9)
Missing	0	5
Hemoglobin g/dL (12.0-15.5)	12.6 (8.4-15.8)	12.6 (8.9-16.8)
Platelets 10 ⁹ /L (150-450)	212 (80-507)	207 (104-576)
Risk factors and medical history		
Diabetes	35 (29.4)	27 (23.3)
Smoker	6 (5.0)	3 (2.6)
Hyperlipidemia	42 (35.3)	40 (34.5)
Hypertension	94 (79.0)	90 (77.6)
Hypercholesterolemia	40 (33.6)	34 (29.3)
Pulmonary hypertension**	13 (10.9)	11 (9.5)
Renal failure eGFR<30mL/min	17 (14.3)	22 (19.0)

Heart failure presentation	26 (21.8)	31 (27.2)
Prior myocardial infarction	14 (11.8)	26 (22.8)
Hospitalization for aortic stenosis	34 (28.6)	25 (21.9)
Prior PCI	28 (23.5)	24 (21.1)
Prior CABG	8 (6.7)	11 (9.6)
Peripheral vascular disease	15 (12.6)	24 (21.1)

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2 **Table 1. Baseline characteristics of the patient cohorts**

3 Values are displayed as mean (\pm SD), median (IQR) or counts (%). Abbreviations: BMI body
4 mass index, NYHA New York heart association functional class, CCS Canadian Cardiac
5 Society angina class, STS Society of Thoracic Surgeons score, PCI percutaneous coronary
6 intervention, CABG coronary artery bypass graft surgery, SD standard deviation, IQR inter-
7 quartile range.

8 * All variables had fewer than 5 missing values except for STS Score (n=43) and eGFR (n=5)

9 **Primary or secondary pulmonary hypertension with PA systolic pressures greater than two
10 thirds of systemic pressure.

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	PCI (n=119)	No PCI (n=116)
Coronary Artery Disease		
Left anterior descending >70%	73 (61.3)	69 (60.5)
Circumflex >70%	42 (35.3)	38 (33.3)
Right coronary artery >70%	47 (39.5)	59 (51.8)

Left main stem >70%	3 (2.5)	6 (5.3)
Vein graft >70%		
0	90 (75.6)	93 (80.2)
1	17 (14.3)	14 (12.1)
2	8 (6.7)	6 (5.2)
3	3 (2.5)	3 (2.6)
4	0 (0.0)	0 (0.0)
5	1 (0.8)	0 (0.0)
Number of vessels intended for PCI		
0	2 (1.7)	-
1	85 (71.4)	-
2	29 (24.4)	-
3	2 (1.7)	-
4	1 (0.8)	-
PCI undertaken	116 (97.5)	-
Number of patients	116 (97.5)	
Number of lesions	194	
Lesion length (mm)	17.4(±6.6)	
Median number treated lesions	1 (0-2)	
Median number of stents	1 (0-4)	
Bare metal stent implantation		
Number of patients	21 (17.6)	
Number of stents/lesions	39/194 (20)	
PCI procedural complication	3 (2.5)	

Coronary perforation and tamponade	1 (0.8)	
Target vessel dissection	1 (0.8)	
Technical failure	1 (0.8)	
Post PCI TIMI 3 flow	163/167 lesions (98)	
Pre-PCI stenosis (%)	80.3 (\pm 15.2)	
Post-PCI stenosis (%)	2.2 (\pm 7.2)	
TAVR undertaken	110 (92.4)	107 (92.2)
Transfemoral TAVR access site	98 (89.0)	86 (80.4)
Valve size (mm)	26 (23-29)	26 (20-29)
Valve type		
Edwards Sapien	103 (93.6)	95 (88.8)
Other**	6 (5.5)	11 (10.3)
No valve deployed	1 (0.9)	1 (0.9)
TAVR procedural complication*	17 (14.3)	11 (9.5)
Mean prosthetic valve gradient (mmHg)	11.1(\pm 4.6)	12.5(\pm 6.7)
Moderate or greater para-valvular leak	4 (3.4)	1 (0.9)

1

2 **Table 2. Angiographic and Procedural Characteristics**

3 The findings of coronary +/- graft angiography, PCI details (if relevant), procedural
4 complications and valve type. Abbreviations: TIMI Thrombolysis In Myocardial Infarction
5 flow, PCI percutaneous coronary intervention, SD standard deviation. * TAVR-related
6 complications are provided in eTable 7. **Other valves used 1) in PCI arm: Medtronic
7 Corevalve, Lotus - Boston Scientific , Medtronic Core Valve Evolut, Corevalve Evolut R 2)

1 no PCI arm: Medtronic Corevalve, Sapien XT, Corevalve Evolut R, Lotus, Symetis,
 2 Corevalve Evolut Pro.

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	PCI (n=119)	No PCI (n=116)	Hazard Ratio (95% CI)
MACCE at 30 days			
MACCE rate	10 (8.4)	10 (8.6)	1.17 (0.60-2.26), P=0.65
Death	3 (2.5)	6 (5.2)	0.45 (0.11-1.82), P=0.25
Cardiac death	1	5	
Non-cardiac death	2	1	
MI	5 (4.2)	2 (1.7)	2.14 (0.41-11.10), P=0.35
Peri-procedural	3	1	
Spontaneous	2	1	
Stroke	5 (4.2)	4 (3.4)	0.82 (0.21-3.11), P=0.77
Ischemic	4	4	
Hemorrhagic	0	0	
Rehospitalization at 30 days			
Rehospitalization	11 (9.2)	12 (10.3)	0.56 (0.24-1.31), P=0.17
Acute kidney injury at 30 days			

AKI	12 (10.1)	5 (4.3)	1.91 (0.67-5.46), P=0.22
Bleeding at 30 days			
Any Bleed	49 (41.2)	31 (26.7)	1.46 (0.93-2.29), P=0.098
During TAVR procedure	8 (6.7)	4 (3.4)	
Major Bleed	31 (26.1)	21 (18.1)	1.23 (0.68-2.22), P=0.49
During TAVR procedure	7 (5.8)	2 (1.7)	
MACCE at 1 year			
MACCE rate	23 (19.3)	22 (19.0)	1.22 (0.74-2.02), P=0.43
Death	16 (13.4)	14 (12.1)	1.00 (0.49-2.06), P=0.99
Cardiac death	7	11	
Non-cardiac death	9	3	
MI	8 (6.7)*	4 (3.4)	1.86 (0.56-6.17), P=0.30
Peri-procedural	3	1	
Spontaneous	5	3	
Stroke	7 (5.9)*	8 (6.9)	0.73 (0.26-2.03), P=0.55

Ischemic	4	8	
Hemorrhagic	2	0	
Unknown	1	0	
Rehospitalization at 1 year			
Rehospitalization	41 (34.5)	39 (33.6)	0.91 (0.59-1.42), P=0.69
Acute kidney injury at 1 year			
AKI	12 (10.1)	5 (4.3)	2.29 (0.81-6.51), P=0.11
Bleeding events at 1 year			
Any Bleed	53 (44.5%)	33 (28.4%)	1.66 (1.07-2.56), P=0.021
Major Bleed	31 (26.1%)	21 (18.1%)	1.44 (0.83-2.51), P=0.19

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	PCI (n=119)	No PCI (n=116)	Hazard Ratio (95% CI)
MACCE at 30 days			
MACCE rate	10 (8.4)	10 (8.6)	1.17 (0.60-2.26), P=0.65

Death	3 (2.5)	6 (5.2)	0.45 (0.11-1.82), P=0.25
Cardiac death	1	5	
Non-cardiac death	2	1	
MI	5 (4.2)	2 (1.7)	2.14 (0.41-11.10), P=0.35
Peri-procedural	3	1	
Spontaneous	2	1	
Stroke	5 (4.2)	4 (3.4)	0.82 (0.21-3.11), P=0.77
Ischemic	4	4	
Hemorrhagic	0	0	
Rehospitalization at 30 days			
Rehospitalization	11 (9.2)	12 (10.3)	0.56 (0.24-1.31), P=0.17
Acute kidney injury at 30 days			
AKI	12 (10.1)	5 (4.3)	1.91 (0.67-5.46), P=0.22
Bleeding at 30 days			
Any Bleed	49 (41.2)	31 (26.7)	1.46 (0.93-2.29), P=0.098
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Death	16 (13.4)	14 (12.1)	1.00 (0.49-2.06), P=0.99
Cardiac death	7	11	
Non-cardiac death	9	3	
MI	8 (6.7)*	4 (3.4)	1.86 (0.56-6.17), P=0.30
Peri-procedural	3	1	
Spontaneous	5	3	
Stroke	7 (5.9)*	8 (6.9)	0.73 (0.26-2.03), P=0.55
Ischemic	4	8	
Hemorrhagic	2	0	
Unknown	1	0	
Rehospitalization at 1 year			
Rehospitalization	41 (34.5)	39 (33.6)	0.91 (0.59-1.42), P=0.69
Acute kidney injury at 1 year			
AKI	12	5 (4.3)	2.29 (0.81-6.51), P=0.11

	(10.1)		
Bleeding events at 1 year			
Any Bleed	53 (44.5%)	33 (28.4%)	1.66 (1.07-2.56), P=0.021
Major Bleed	31 (26.1%)	21 (18.1%)	1.44 (0.83-2.51), P=0.19

1 **Table 3. Key secondary endpoints with MACCE and bleeding events from**
2 **randomization to 30 days and 1 year post TAVR**

3 Data are presented as counts (%). Abbreviations: MACCE major adverse cardiovascular and
4 cerebrovascular events, MI myocardial infarction, AKI acute kidney injury, CI confidence
5 interval.

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