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3 **Congenital Chagas disease in Santa Cruz department, Bolivia is dominated by**
4 ***Trypanosoma cruzi* lineage V**

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26 **Abstract**

27 *Background:* This study identified *Trypanosoma cruzi* discrete typing units (DTUs) in maternal
28 and infant specimens collected from two hospitals in Bolivia, using conventional genotyping
29 and DTU-specific serotyping.

30 *Methods:* Specimens from 142 mothers were used, including 24 seronegative and 118
31 seropositive individuals; 29 women transmitted *T. cruzi* to their infants. Maternal and infant
32 parasite loads were determined by quantitative real-time PCR. Maternal sera were tested with an
33 in-house parasite lysate ELISA and serotyped by a lineage-specific peptide ELISA, targeting the
34 trypomastigote small surface antigen (TSSA). *T. cruzi* genotypes in infected infants were
35 determined by a triple PCR-RFLP assay.

36 *Results:* All infant specimens were genotyped as TcV. Maternal parasite loads and absorbance
37 values by the lysate ELISA were significantly higher for transmitters compared to non-
38 transmitters. Among seropositive mothers, 65.3% had positive results by the TSSA II/V/VI
39 peptide ELISA. No significant difference in reactivity to TSSA II/V/VI was observed for
40 transmitters compared to non-transmitters (79.3% vs. 60.7%, respectively).

41 *Conclusions:* Our findings reinforce the difficulty in obtaining sufficient sample numbers and
42 parasite DNA to investigate the interaction between parasite genetics and risk of congenital
43 transmission and argue for the inclusion of DTU-specific serotyping in prospective studies.

44

45 **Keywords:** Congenital transmission, *Trypanosoma cruzi*, Chagas disease, DTUs, PCR-RFLP,
46 ELISA, Bolivia, TcV, TcVI, TSSA

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48

49 **Introduction**

50 *Trypanosoma cruzi*, the parasite that causes Chagas disease, is transmitted when the feces of an
51 infected triatomine vector enters through broken skin or intact mucosa (Bern, 2015). Alternate
52 routes, via blood components, organ transplantation and congenital transmission, have taken on
53 more prominence as effective vector control programs have been established in most affected
54 regions of Latin America. Of new *T. cruzi* infections, an estimated 22% is now attributed to
55 mother-to-child transmission (WHO, 2015). Control requires pre- or perinatal identification of
56 infected women, followed by evaluation of their infants on multiple occasions over the first year
57 of life (Messenger and Bern, 2018). Diagnostic testing for infection in early infancy is best
58 performed by molecular techniques, but these are rarely available in the most highly endemic
59 areas. Direct microscopy, even with concentration, has low sensitivity (Messenger and Bern,
60 2018).

61 Bolivia has the highest prevalence of *T. cruzi* infection in the world. In Santa Cruz department,
62 infection prevalence among reproductive age women can be as high as 15-20% in the capital
63 city and 45-50% in towns and villages of the hyperendemic Chaco region (Kaplinski et al.,
64 2015). Barriers to effective screening programs range from biological features of the disease to
65 logistical challenges within health systems (Messenger and Bern, 2018).

66 Recent reviews have identified maternal parasite load as the primary determinant of vertical *T.*
67 *cruzi* transmission (Bustos et al., 2019; Klein et al., 2021). Although *T. cruzi* genetic lineages
68 (or discrete typing units; DTUs) have been hypothesized to alter congenital transmission risk,
69 direct data are sparse. Familial clustering has been reported, with mothers of one congenitally
70 infected child being significantly more likely to transmit to that child's siblings than mothers
71 without previous transmission (Sanchez Negrette et al., 2005). Selection for parasite strains
72 more apt to cross the placenta was hypothesized to underly this observation. However, maternal
73 factors such as age, parity or immune response could alter parasite load, independent of lineage.

74 Obtaining population-level genotypic information is limited by sample sizes, collection of
75 sufficient biological material, including paired mother-infant specimens, and suboptimal
76 sensitivity and cross-reactivity of current genotyping techniques (Messenger et al., 2015). To
77 date, most congenital genotyping studies have been performed in Argentina, Bolivia and Chile,
78 and reflect the predominance of TcII/V/VI lineages observed among chronic adult infections
79 (Messenger et al., 2015). However, congenital transmission occurs across the endemic range of
80 all major *T. cruzi* lineages, including TcI (Buekens et al., 2018), and the latter DTU circulates
81 sympatrically in the Southern Cone, albeit less frequently than TcII/V/VI. As an evaluation
82 nested within a cohort study of congenital Chagas disease in Santa Cruz department, Bolivia,
83 the objective was to identify *T. cruzi* DTUs present during congenital transmission, by

84 characterizing specimens from infants born to transmitters and non-transmitter mothers, using
85 both genotyping (PCR-RFLP) and serotyping techniques (in-house ELISAs to detect *T. cruzi*
86 infection and DTU-specific peptide ELISAs).

87

88 ***Methods***

89 The institutional review boards of Hospital Universitario Japonés; Universidad Católica
90 Boliviana; Universidad Peruana Cayetano Heredia; Asociación Benéfica Proyectos en
91 Informática, Salud, Medicina y Agricultura; Centers for Disease Control and Prevention; and
92 Johns Hopkins Bloomberg School of Public Health approved the protocol. Approval to perform
93 secondary data analyses was granted by the London School of Hygiene and Tropical Medicine.
94 All women in the study provided written informed consent for their own and their infants'
95 participation. The consent form included explicit agreement to the storage and future use of
96 specimens for evaluation of novel diagnostic techniques.

97 Maternal and infant specimens were collected during a 4-year cohort study of congenital Chagas
98 disease in Hospital Japonés in Santa Cruz de la Sierra and the Municipal Hospital of Camiri in
99 Camiri, described in detail elsewhere (Kaplinski et al., 2015; Messenger et al., 2017). In the
100 initial screen, maternal *T. cruzi* infection was confirmed using two rapid tests, *Trypanosoma*
101 Detect or Chagas Detect Plus (InBios, Seattle, Washington) and PolyChaco indirect
102 hemagglutination assay (IHA; Lemos Laboratories, Santiago del Estero, Argentina) at a single
103 dilution of 1:16. Discordant results were resolved by testing sera using IHA with multiple
104 dilutions, Chagatest lysate ELISA or Recombinante 3.0 ELISA (both from Wiener Laboratories,
105 Rosario, Argentina). Confirmed infection required positive results by two or more tests (Bern,
106 2015). During the original data generation, DNA was extracted from maternal blood and
107 neonatal umbilical cord blood and tissue. Parasite load was determined by quantitative real-
108 time PCR (qPCR), as previously described (Piron et al., 2007; Kaplinski, 2015).

109 A total of 1851 women were screened during the parent study; of these, 476 had confirmed *T.*
110 *cruzi* infection. The original cohort thus included 476 seropositive women and their 487 infants
111 (Messenger et al., 2017). The 118 seropositive mother-infant pairs in the current analysis
112 comprised a subset of the full cohort, based on availability of specimens at the time of the
113 laboratory analysis reported here. Specimens from 24 seronegative women were included as a
114 negative control group. qPCR data were available for 10 of the transmitting and 55 of the non-
115 transmitting seropositive mothers included the current analysis.

116 Maternal sera were tested by an in-house ELISA, to confirm *T. cruzi* infection, using lysate
117 produced by liquid nitrogen lysis of strain Chaco 23 col4 (TcII) epimastigotes (Bhattacharyya et
118 al., 2014). Maternal serotyping, to detect major *T. cruzi* DTUs, was undertaken using five
119 synthesized peptides targeting the trypomastigote small surface antigen (TSSA) (TSSApep-I,
120 TSSApep-II/V/VI, TSSApep-III, TSSApep-IV and TSSApep-V/VI) in an ELISA, according to
121 Bhattacharyya et al., 2014. Briefly, Nunc MaxiSorp® 96-well microplates were incubated
122 overnight at 4°C with: (i) avidin, diluted in carbonate-bicarbonate buffer pH 9.6 (Sigma-
123 Aldrich) (for binding to biotin bound to synthesized peptides; TSSApep-I, TSSApep-II/V/VI,
124 TSSApep-III, TSSApep-IV and TSSApep-V/VI), at a concentration of 1µg/100µl/per well; or
125 (ii) *T. cruzi* lysate (Chaco 23 col4) at a concentration of 0.2µg/100µl/per well, as a positive
126 control (run in parallel as standard for all assays). The next day, avidin and lysate that did not
127 bind to the plate were removed by washing with 1X PBS/0.05% Tween20 three times. Plate
128 wells were blocked by the addition of 200µl 2% skimmed milk and incubated for 2 hours (1
129 hour at 37°C and 1 hour at room temperature, under constant agitation). At the end of the
130 incubation, plates were washed three times, and peptides were added at 1µg/100µl/per well,
131 diluted in 1X PBS/Tween20 plus 2% skimmed milk and incubated at 37°C for one hour. At the
132 end of the incubation, 100µl/well of 1:200 serum samples, diluted in blocking buffer were
133 added and incubated at 37°C for one hour. At the end of the incubation, plates were washed six
134 times and a secondary anti-human IgG antibody labelled with horseradish peroxidase was added
135 at a dilution of 1/15000 and incubated at 37°C for 1 hour. At the end of the incubation, plates
136 were washed six times and 100µl of substrate/chromogen (TMB) was added and plates were
137 incubated in a dark room for 5 minutes. Finally, 50µl 2M H₂SO₄ was added and plates were
138 read at 450nm in a VERSA max microplate reader (Molecular Devices, USA).

139 Genotypes in infected infants were determined by a triple PCR-RFLP assay, targeting *COXII* +
140 *AluI*, 24Sα rRNA and the SL-IR, according to Zingales et al., 2012.

141 Categorical variables were compared using Mantel-Haenszel Chi square or Fisher's Exact test,
142 as appropriate. Distributions of continuous variables were compared using the Wilcoxon rank
143 sum test. The relationship between lysate optical density (OD) values and maternal parasite load
144 was tested in a linear regression model. All analyses were conducted in SAS 9.4.

145 **Results**

146 Specimens from 24 seronegative and 118 seropositive women were included in the current
147 analysis; 29 women transmitted *T. cruzi* to their infants. Results by the in-house lysate ELISA
148 showed 100% concordance with the serological results generated at the time of the original data
149 collection. Among infected women, both OD values by the lysate ELISA (median 2.64 vs. 2.23,

150 respectively; $p < 0.0001$) (Figure 1) and parasite loads by qPCR were significantly higher among
151 transmitters compared with non-transmitters (median 115.24 vs. 27.043 par-eq/ml, respectively;
152 $p = 0.0057$), as reported in our previous publications (Kaplinski et al., 2015; Messenger et al.,
153 2017). The relationship between lysate OD values and parasite load did not reach statistical
154 significance ($p = 0.128$ by linear regression).

155

156 Among seropositive mothers, 65.3% (77/118) had positive results by TSSA II/V/VI peptide
157 ELISA. For transmitters compared to non-transmitters, 79.3% (23/29) vs. 60.7% (54/89)
158 reacted to the TSSA II/V/VI peptide ($p = 0.12$), and OD distribution did not differ significantly
159 for transmitters vs non-transmitters (median 0.5401 vs. 0.5086, respectively; $p = 0.1925$).

160

161 Among 25 infected newborns with available specimens, 17 umbilical cord tissue and 13 cord
162 blood specimens had parasitic loads greater than 10^4 par-eq/ml, the limit of detection for DNA-
163 based genotyping in our lab. All infant specimens were genotyped as TcV (Figure 2) based on
164 bands visualized at 81bp and 294bp for COII + *AluI*, 150bp for the SL-IR and 110bp for 24 α
165 rRNA.

166

167 ***Discussion***

168 TcV was the only DTU detected in infected infants, consistent with previous reports from
169 Bolivia (Messenger et al., 2015; Virreira et al., 2006). Further work is needed to characterize
170 intra-DTU diversity associated with congenital transmission. The majority of seropositive
171 mothers reacted to TSSA II/V/VI, confirming the predominance of these lineages in Bolivia
172 (Messenger et al., 2015; del Puerto et al., 2010); however, as we lack a TSSA V-specific
173 peptide, due to shared motifs among TcII/V/VI from common ancestry, we are unable to
174 unequivocally confirm that these mothers are only infected with this particular DTU. Given the
175 challenges of obtaining specimens adequate for conventional genotyping, serotyping represents
176 a promising technique to screen exposed populations on a larger scale (Bhattacharyya et al.,
177 2019; Murphy et al., 2019), especially when used as a low-cost rapid test (Bhattacharyya et al.,
178 2018) and combined with a recently improved epitope specific to TcI (Murphy et al., 2020).
179 Screening with the latter assay could help resolve the role of TcI in congenital infection but was
180 unfortunately unavailable at the time of our study. The relative likelihood of congenital
181 transmission in areas with TcI predominance compared to those with TcII/V/VI predominance
182 remains a matter of debate (Buekens et al., 2018).

183 As previously, we observed higher parasite load associated with congenital transmission
184 (Kaplinski et al., 2015; Carlier and Truyens, 2015; Bustos et al., 2019), and for the first time,

185 significantly higher levels of anti-*T. cruzi* antibodies in women who transmitted compared to
186 those who did not. Anti-*T. cruzi* antibodies mediate extracellular parasite elimination by
187 complement-dependent and independent lysis and phagocytosis of opsonized parasites
188 (Kemmerling et al., 2019). Higher levels in transmitters may reflect an enhanced serological
189 response to higher circulating parasitemia (Bustos et al., 2019; Buss et al., 2020). With
190 congenital transmission affecting a variable, but small, proportion of infected mothers (1-10%)
191 among endemic regions (Howard et al. 2014), PCR assays must be conducted on specimens
192 from a large number of women to have sufficient statistical power to investigate the interaction
193 between parasite genetics and congenital transmission risk. DTU-specific serotyping, which
194 requires fewer costs and specialized infrastructure and is less constrained by low maternal
195 parasitaemia, may represent a more feasible method of *T. cruzi* lineage detection among
196 prospective maternal cohorts as well as in other population-based surveys.

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204 ***Conflict of interest***

205 No conflict of interest to declare.

206 ***Author contributions***

207 LS, LAM, TB, RHG, MAM and CB designed the study. LS, HM and MV performed the
208 experiments, with materials supplied by LAM, TB, RHG, RC, RB, MAM and CB. LS LAM,
209 TB, RHG, HM, MV, MAM and CB were responsible for data analysis and interpretation. LAM
210 and CB drafted the manuscript, which was revised by all co-authors. All authors read and
211 approved the final manuscript.

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219

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292

293 **Figure 1.** Recognition of maternal seropositive sera to *T. cruzi* in-house lysate (A) or TSSA
294 II/V/VI lineage-specific peptide (B) between congenitally transmitting and non-transmitting
295 mothers. Each data point represents the A_{450} of the mean reaction of duplicates of each serum
296 sample per assay. Medians for each group are represented by solid lines. M+B- = mother
297 seropositive, baby seronegative; M+B+ = mother seropositive, baby seropositive.

298

299 **Figure 2.** Amplification of PCR-RFLP assays with *T. cruzi* reference strains (A-D): Chaco 23
300 col4; TcII, JR c14; TcI, A18; TcIII, ERA; TcIV, Bug2148; TcV, CL Brener; TcVI; CN:
301 negative control) and congenital specimens (E-H), alongside a 100 bp molecular ladder (M). (A)
302 *COXII* reference DTUs; all bands are 375 bp. (B) *COXII* + *AluI* reference DTUs; TcII: 81 bp +
303 212 bp; TcI: 30 bp + 81 bp + 264 bp; TcIII: 81 bp + 294 bp; TcIV: 81 bp + 294 bp; TcV: 81 bp
304 + 294 bp; and TcVI: 81 bp + 294 bp. (C) 24S α rRNA reference DTUs; TcI: 110 bp; TcII: 125
305 bp; TcIII: 110 bp; TcIV: 120 bp; TcV: 110 bp or 110 bp + 125 bp; TcVI: 125 bp. (D) SL-IR
306 reference DTUs; TcI: 150 bp; TcII: 150 bp; TcIII: 200 bp; TcIV: 200 bp; TcV: 150 bp; TcVI:
307 150 bp. (E) *COXII* congenital specimens #1-9 + negative control (#10). (F) *COXII* + *AluI*
308 congenital specimens #1-9 + negative control (#10). (G) 24S α rRNA congenital specimens #1-
309 + negative control (#9). (H) SL-IR congenital specimens #1- + negative control (#9).

310