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STUDY PROTOCOL

The effectiveness and safety of antifibrinolytics in patients with acute intracranial haemorrhage: statistical analysis plan for an individual patient data meta-analysis [version 1; peer review: 2 approved]

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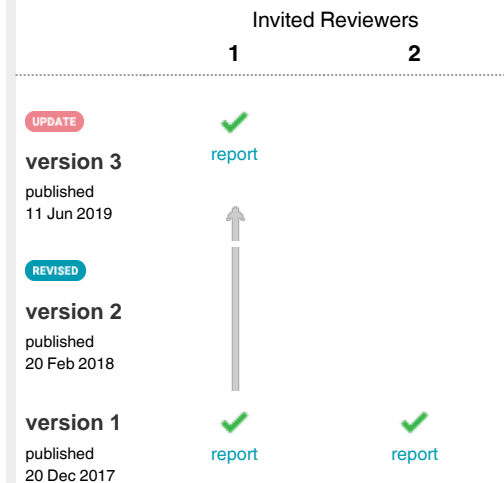
Abstract

Introduction: The Antifibrinolytic Trialists Collaboration aims to increase knowledge about the effectiveness and safety of antifibrinolytic treatment by conducting individual patient data (IPD) meta-analyses of randomised trials. This article presents the statistical analysis plan for an IPD meta-analysis of the effects of antifibrinolytics for acute intracranial haemorrhage.

Methods: The protocol for the IPD meta-analysis has been registered with PROSPERO (CRD42016052155). We will conduct an individual patient data meta-analysis of randomised controlled trials with 1000 patients or more assessing the effects of antifibrinolytics in acute intracranial haemorrhage. We will assess the effect on two co-primary outcomes: 1) death in hospital at end of trial follow-up, and 2) death in hospital or dependency at end of trial follow-up. The co-primary outcomes will be limited to patients treated within three hours of injury or stroke onset. We will report treatment effects using odds ratios and 95% confidence intervals. We use logistic regression models to examine how the effect of antifibrinolytics vary by time to treatment, severity of intracranial bleeding, and age. We will also examine the effect of antifibrinolytics on secondary outcomes including death, dependency, vascular occlusive events, seizures, and neurological outcomes. Secondary outcomes will be assessed in all patients irrespective of time of treatment. All analyses will be conducted on an intention-to-treat basis.

Conclusions: This IPD meta-analysis will examine important clinical questions about the effects of antifibrinolytic treatment in patients with intracranial haemorrhage that cannot be answered using aggregate data. With IPD we can examine how effects vary by time to treatment, bleeding

Open Peer Review

Reviewer Status ✔ ✔

	Invited Reviewers	
	1	**2**
UPDATE **version 3** published 11 Jun 2019	✔ report	
REVISED **version 2** published 20 Feb 2018		
version 1 published 20 Dec 2017	✔ report	✔ report

1 **Peter A. G. Sandercock** [ID], University of Edinburgh, Edinburgh, UK

2 **Jonathan Emberson**, University of Oxford, Oxford, UK

Any reports and responses or comments on the article can be found at the end of the article.

severity, and age, to gain better understanding of the balance of benefit and harms on which to base recommendations for practice.

Keywords

antifibrinolytics, meta-analysis, trials

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Author roles: Ker K: Conceptualization, Methodology, Project Administration, Writing – Original Draft Preparation, Writing – Review & Editing; **Prieto-Merino D**: Methodology, Writing – Review & Editing; **Sprigg N**: Methodology, Writing – Original Draft Preparation, Writing – Review & Editing; **Mahmood A**: Methodology, Writing – Original Draft Preparation, Writing – Review & Editing; **Bath P**: Methodology, Writing – Review & Editing; **Kang Law Z**: Methodology, Writing – Review & Editing; **Flaherty K**: Methodology, Writing – Review & Editing; **Roberts I**: Conceptualization, Methodology, Project Administration, Writing – Original Draft Preparation, Writing – Review & Editing

Introduction

Traumatic and spontaneous intracranial bleeding are leading causes of death and disability worldwide. Traumatic brain injury, responsible for over 10 million deaths or hospitalisations each year[1], is often accompanied by intracranial bleeding and the larger the bleed the worse the outcome[2]. Bleeding continues after hospital admission in most patients with moderate or severe traumatic brain injuries[3]. Haemorrhagic stroke affects about six million people every year worldwide[4]. About three million die and many survivors are permanently disabled[4]. Once again, bleeding can continue for up to 24 hours after stroke onset, although is most common in the first few hours[5]. The continuation of bleeding in the hours after onset in both traumatic and spontaneous intracranial bleeding, offers a therapeutic window to reduce the extent of the bleeding and improve patient outcomes.

Antifibrinolytics reduce bleeding by inhibiting the enzymatic breakdown of fibrin blood clots. They reduce surgical bleeding by about one third, irrespective of the site of surgery[6,7]. When given within three hours of onset, the antifibrinolytic tranexamic acid (TXA) reduces death due to bleeding in trauma and postpartum haemorrhage, with no evidence of heterogeneity by type of bleeding[8]. However, in both trauma and postpartum haemorrhage there is no apparent benefit when treatment starts more than three hours after bleeding onset. TXA does not appear to increase the risk of thromboembolic events in extracranial bleeding[7–9].

The improved outcomes with antifibrinolytics treatment in extracranial bleeding raises the possibility that they might improve outcomes after intracranial bleeding. There have been two small trials of TXA in traumatic brain injury[10,11]; both recruited patients within eight hours of injury. A meta-analysis showed a significant reduction in haemorrhage expansion with TXA[12]. However, even when combined the trials are too small to determine the overall risks and benefits, and whether these vary with treatment delay. Larger trials are ongoing. Trials of TXA in aneurysmal subarachnoid haemorrhage show less re-bleeding but more ischemia[13]. However, the long courses of treatment in these trials, unlike the eight-hour courses used in extracranial bleeding, may account for the increase in ischaemia. Larger trials of shorter regimens are underway[14,15].

The Antifibrinolytic Trialists Collaboration (ATC) aims to increase knowledge about the effectiveness and safety of antifibrinolytic treatment by conducting individual patient data (IPD) meta-analyses of randomised trials of antifibrinolytics in acute severe bleeding involving 1000 patients or more. This article presents the statistical analysis plan for an IPD meta-analysis of the effects of antifibrinolytics in acute intracranial haemorrhage. There are two ongoing trials of TXA in patients with intracranial haemorrhage that meet the inclusion criteria for the IPD, the CRASH-3 and TICH-2 clinical trials[14,16]. This plan was prepared prior to any knowledge of the results of either the CRASH-3 or TICH-2 trials.

Methods

Identification of eligible trials

We will conduct an individual patient data meta-analysis of randomised placebo-controlled trials with 1000 patients or more that assessed the effects of antifibrinolytics (aprotinin, tranexamic acid, epsilon-aminocaproic acid and p-aminomethylbenzoic acid) in acute intracranial haemorrhage. We will identify trials from a register of antifibrinolytic trials maintained by the LSHTM Clinical Trials Unit. We identify trials by running searches of the following databases: MEDLINE, EMBASE, the Cochrane Central Register of Controlled Trials (CENTRAL), Web of Science, PubMed, Popline and the WHO International Clinical Trials Registry Platform. We will screen abstracts for relevant trials and apply the relevant selection criteria. We discuss reasons for exclusion and resolve discrepancies by consensus. Two reviewers will extract data to minimise bias. We will analyse individual patient data for baseline, outcome, and predictor variables; dates and times of randomisation and death. We registered the protocol in November 2016 (PROSPERO 42016052155) without any knowledge of the results of the large ongoing trials. We judge that separate institutional review board (IRB) approval for this study is not required. This project involves the analysis of existing trial data. Each trial providing individual patient data will have received local ethical approval. The planned study will not require further recruitment or data collection from patients and the analysis will not include identifiable data. The lead investigators of the CRASH-3 and TICH-2 trials agree that use of the IPD data from their trials does not require separate ethics committee approval. If, however, there is uncertainty about the use of data from any other trial that we subsequently identify, we will seek approval from the IRB board that originally approved the trial before including the data in the analysis.

Comparison of baseline measures between trials

Before conducting analyses to estimate the effects of antifibrinolytic treatment, we will present descriptive analyses to show any differences in baseline characteristics between the types of patient enrolled in the included trials. We will present statistical comparisons of baseline means (t-tests) and prevalence measures (chi-squared tests) for patients enrolled in the included trials.

Intention to treat analyses and missing data

We aim to include all randomised patients, regardless of whether they received the trial treatment, on an intention-to-treat basis. For patients who withdraw consent after randomisation, data collected up to the point of withdrawal will be included. We do not anticipate substantial amounts of missing data for the primary outcome and subgroup factors. However, in the event that missing data is significant we will use a range of statistical approaches and will assess the impact of missing data on the results by conducting sensitivity analyses. We do anticipate substantial missing data for neuro-radiological outcomes measures, since many patients will not be scanned before and/or after randomisation because they died or did not require re-scanning. Indeed, the pilot data

from the CRASH-3 Intracranial Bleeding Mechanistic Sub-study suggests that post-randomisation scans are less likely to be done in patients who die soon after admission (i.e. patients with a low Glasgow Coma Scale score) but also in patients who have a mild head injury (i.e. patients with a high Glasgow Coma Scale score) who do not need a second scan. We will report the number of patients without pre- and post-randomisation scans by treatment arm. If the outcome of interest (haemorrhage expansion) is associated with the reason the data are missing (for example, patients with haemorrhage expansion may be more likely to die before the second scan), imbalance in missing data by treatment group could cause bias. If we suspect data are missing not at random, we will assess the impact of this in sensitivity analysis.

Primary outcomes

There are two co-primary outcomes.

1) Death in hospital at end of trial follow-up among patients treated within three hours of injury or stroke onset.

2) Death in hospital or dependency at end of trial follow-up among patients treated within three hours of injury, or stroke onset. Dependency will be defined as a score of 4–6 on the modified Rankin scale among TICH-2 patients and a score of ≥12 on the Disability Rating Scale in CRASH-3 patients.

Although some trials recruit patients up to eight hours after injury or stroke onset, evidence from pathophysiological studies and trials of TXA in extra-cranial bleeding strongly suggest that treatment beyond three hours of onset is unlikely to improve outcomes. We believe that this is even more likely in the context of intracranial bleeding because the majority of bleeding occurs within the first few hours of injury[17]. We will examine the effects of antifibrinolytics on death using logistic regression. We will report treatment effects using odds ratios (OR) and 95% confidence intervals (95% CI). We will first assess the homogeneity of the treatment effects between trials by estimating a random effects model where both the intercept and the treatment effect will be allowed to have a distribution across trials. The variance of the distribution of the treatment effect will give us an idea of the heterogeneity between trials. However, if only very few trials are included in the meta-analysis, instead of a random effects model we will examine the heterogeneity by including an interaction term between the treatment and the trial variable and reporting the p-value.

We will also plot a Kaplan-Meier curve for survival analysis comparing outcome of patients in treatment and placebo arms.

Subgroup analyses for co-primary outcomes

(a) Time to treatment – Does treatment delay modify the proportional effect of antifibrinolytics on death and or dependency taking into account any other independent relationships between severity/age and the treatment effect?

We define treatment delay as the time from injury or symptom onset to randomisation. We appreciate that there will be some time interval between randomisation and treatment delivery but not all trials record the time of treatment delivery and we expect this interval to be short (0–15 minutes). We expect that the effect of TXA will vary by time to treatment with early treatment being most effective. Initially, we will plot treatment effects and 95% confidence intervals by 60-minute intervals of treatment delay. In addition, we will assess the impact of treatment delay on treatment effect in a regression analysis that includes terms for hours of treatment delay and its square (because of potential non-linearity of the treatment effect), and interactions between these two variables with treatment group. To explore the interaction between treatment effect and time, we will use the data on all treated patients and not only those treated within three hours.

We will check for potential heterogeneity of these effects across trials, by running a random effects models allowing the coefficients to vary randomly across trials. However, if we only include a small number of trials, instead of the random effects model we will include a triple interaction between the terms for treatment delay, the treatment group, and the trial.

Because severity of intracranial bleeding and age could confound impact of treatment delay on treatment effectiveness, we will control all models for GCS and age (10-year intervals) which are strong risk factors for death. If the above regression analyses indicate a trend towards decreasing treatment effectiveness with increasing delay, we will estimate the time at which the estimated odds ratio reaches the null (1.00) and the time at which the lower 95% confidence interval reaches the null.

Because there is strong prior evidence to expect a time to treatment interaction, two-way interaction tests will be regarded as statistically significant and thus providing evidence of effect modification if the two-sided P-value is less than 0.05.

Assessment of regression dilution bias: Because time of bleeding onset (i.e. time of injury or stroke onset) is often uncertain, measurement error is inevitable. We will investigate the impact of misclassification of treatment delay in sensitivity analyses using a range of plausible errors. We will add a random number of minutes to the treatment delay using a uniform distribution with a constant minimum set at 0 and four sets of maximum value: 15, 30, 45 and 60 minutes. The corrections are based on data from an audit of treatment delay in a large clinical trial in traumatic brain injury (the CRASH-3 trial) in which treatment delay was rarely over-estimated but often under-estimated (mean under-estimation 51 minutes). For each of the four maximum values, we will re-estimate the final model 100 times to obtain ranges for the time to treatment interaction.

(b) Severity of intracranial bleeding – Does severity modify the proportional effect of antifibrinolytics taking into account any other independent relationships treatment delay or age and treatment effect?

We will examine the effect of antifibrinolytics on death stratified by baseline severity. We will examine three subgroups based on baseline GCS: mild (GCS 13-15), moderate (GCS 9-12) and severe (GCS 3-8). We will use interaction tests to see whether

the effect of the treatment (if any) differs across these subgroups. We will also assess the impact of baseline severity on the treatment effect in a regression analysis that includes continuous terms for severity and its square (because of potential non-linearity of the treatment effect). Because treatment delay and age could confound impact of severity on treatment effectiveness, we will control all models for treatment delay and age (10-year intervals) and their interaction with treatment. Unless there is strong evidence against the null hypothesis of homogeneity of effects (i.e. p<0.01) the overall odds ratio will be considered the most reliable guide to the approximate treatment effect in all patients.

(c) Age – Does the patient's age modify the proportional effect of antifibrinolytics taking into account any other independent relationships with treatment delay or severity and the treatment effect?

We do not expect the proportional benefits of antifibrinolytics to reduce with increasing patient age. However, because traumatic and spontaneous intracranial bleeding are increasingly common in older patients, who are sometimes denied potentially effective treatments on the basis that there is insufficient evidence in older patients, it will be important to consider this question. We will therefore conduct regression analyses to assess the impact of age on the treatment effect in a regression analysis that includes continuous terms for age and its square (because of potential non-linearity of the treatment effect) and their interaction with treatment. Because treatment delay and severity could confound the effect of age on treatment effectiveness, we will control all models for treatment delay and severity and their interactions with treatment. Unless there is strong evidence against the null hypothesis of homogeneity (i.e. p<0.01), the overall odds ratio will be considered the most reliable guide to the approximate treatment effect in all patients.

Secondary outcomes
We will assess the effect of TXA on the following secondary outcomes in all patients, irrespective of time of treatment.

Clinical outcomes
- Death or dependency
- Dependency score
- Cause specific mortality
- Stroke and other vascular occlusive events
- Seizures

Neuro-radiological outcomes
- The total volume of intracranial bleeding after randomisation (adjusting for total volume of intracranial bleeding at baseline if baseline volume is available)
- New focal ischaemic lesions (ischaemic lesions which appear on a post-randomisation scan but not on the pre-randomisation scan if the pre-randomisation scan is available)

- Frequency of progressive haemorrhage (number of patients with a post-randomisation CT scan with total haemorrhage volume of more than 33% of the volume on the pre-randomisation scan)
- The total volume of intracranial bleeding after neurosurgery (adjusting for total volume of intracranial bleeding at baseline if baseline volume is available)

A selection of approximately 1000 patients in the CRASH-3 trial are included in a neuro-radiological sub-study in which a simple validated rating scale (ABC/2) is used to measure intracranial haemorrhage. The majority of patients in the TICH-2 trial undergo brain imaging before and after randomisation. The TICH-2 trial also uses the ABC/2 method to estimate intracranial haemorrhage.

We will conduct subgroup analyses to examine whether the effect of TXA on neuro-radiological outcomes varies by haematoma type (intra-parenchymal, intra-ventricular, epidural, subdural, sub-arachnoid, lobar, deep). Intra-parenchymal haemorrhage is at the greatest risk of expansion and TXA may be most likely to reduce bleeding of this type. Intra-ventricular haemorrhage and clots could block the flow of cerebrospinal fluid and thereby increase the risk of hydrocephalus. TXA therefore may be ineffective or even harmful for patients with this type of bleeding. We will use interaction tests to see whether the effect of TXA (if any) differs across these subgroups. Unless there is strong evidence against the null hypothesis of homogeneity of effects (i.e. p<0.001) the overall relative risk will be considered the most reliable guide to the approximate relative risks in all subgroups.

Conclusions
The results of this IPD meta-analysis will provide a better understanding of the balance of risk and benefits of antifibrinolytic treatment in patients with intracranial haemorrhage and how they vary by time to treatment. This knowledge will enable better targeting of the use of antifibrinolytics and will influence treatment protocols.

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Open Peer Review

Current Peer Review Status: ✔ ✔

Version 1

Reviewer Report 24 January 2018

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✔ **Jonathan Emberson**
Nuffield Department of Population Health, University of Oxford, Oxford, UK

In my opinion, this statistical analysis plan succinctly describes an appropriate set of analyses for the proposed individual-patient-data meta-analysis. In particular, the approach set out to test and quantify effect modification by treatment delay (and also age and severity of intracranial bleeding, independently of treatment delay) is appropriate, and offers the best chance of detecting such modification, if it exists. I have no concerns about the methods proposed and look forward to seeing the results. Furthermore, should those results lead to the generation of new hypotheses or further exploratory analyses being done, the methods described in this report should also allow those analyses to be done according to the same general principles/approaches.

Is the rationale for, and objectives of, the study clearly described?
Yes

Is the study design appropriate for the research question?
Yes

Are sufficient details of the methods provided to allow replication by others?
Yes

Are the datasets clearly presented in a useable and accessible format?
Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Statistics; meta-analysis; randomised trials; cardiovascular disease

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Reviewer Report 21 December 2017

✔   **Peter A. G. Sandercock** iD

Centre for Clinical Brain Sciences, University of Edinburgh, Edinburgh, UK

General comment: A guideline on the content of statistical analysis plans has just been published in JAMA by Gamble et al[1], with a very useful accompanying Editorial by Demets[2]. It would be reasonable for the authors to consider whether they have covered the key points set out in the new guidance document (but interpreted in the light of the comments in the accompanying Editorial); i.e. there is no need slavishly to adhere to the guidance, but rather simply to ensure there are no major omissions in this SAP.

Specific comments
1. Searches. The Cochrane Stroke Group's register of stroke trials would be worth searching separately to Central either via the public portal www.askdoris.org or by contact with the Stroke Group Editorial Base team, since the register contains more information than is downloaded to Central.

2. Inclusion of studies with > 1000 patients. This seems sensible. The authors might in addition consider at least noting the smaller studies identified by the searches and reporting the name, and size of randomised trials with < 1000 patients to give an idea of the totality of evidence (and sadly, an idea of the research waste involved in such small uninformative studies), but not include them in analyses for fear of small study bias etc, etc.

3. Duration of scheduled follow up in CRASH3 and TICH-2 is different; 28 days in CRASH-3 and 90 days in TICH-2. Some consideration should be given for analyses of death and outcomes around 30 days in both trials to give greater clarity to readers on effects on early outcomes, as well as effects on outcomes at final follow-up.

4. Adjustment for baseline severity. GCS is measured in CRASH-3, and in TICH-2, the protocol states NIHSS is one of the minimisation variables [3] but there is no specific mention of GCS (if GCS is not routinely collected pre-randomisation, the protocol should specify how the NIHSS will be mapped onto GCS or vice versa.). If TICH-2 does measure GCS pre-randomisation, then it should just be made clear in the SAP.
5. Analyses of neuroradiological outcomes. These seem reasonable.

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Is the rationale for, and objectives of, the study clearly described?
Yes

Is the study design appropriate for the research question?
Yes

Are sufficient details of the methods provided to allow replication by others?
Yes

Are the datasets clearly presented in a useable and accessible format?
Yes

Competing Interests: I am the Independent Chairman (appointed by MRC/NIHR) of the CRASH-3 Trial Steering Committee

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Author Response 12 Feb 2018
Katharine Ker, London School of Hygiene & Tropical Medicine, London, UK

Responses to the comments by Peter Sandercock
General comment: A guideline on the content of statistical analysis plans has just been published in JAMA by Gamble et al, with a very useful accompanying Editorial by Demets. It would be reasonable for the authors to consider whether they have covered the key points set out in the new guidance document (but interpreted in the light of the comments in the accompanying Editorial); i.e. there is no need slavishly to adhere to the guidance, but rather simply to ensure there are no major omissions in this SAP.

Response
Thank you for bringing this new article to our attention. We have gone through the checklist of recommended items to check for major omissions and have added detail as appropriate.

Searches. The Cochrane Stroke Group's register of stroke trials would be worth searching separately to Central either via the public portal www.askdoris.org or by contact with the Stroke Group Editorial Base team, since the register contains more information than is downloaded to Central.

Response
Thank you for this suggestion. We have added the DORIS database to the search

strategy.

Inclusion of studies with > 1000 patients. This seems sensible. The authors might in addition consider at least noting the smaller studies identified by the searches and reporting the name, and size of randomised trials with < 1000 patients to give an idea of the totality of evidence (and sadly, an idea of the research waste involved in such small uninformative studies), but not include them in analyses for fear of small study bias etc, etc.

Response
We agree. We will include a description of trials involving <1000 patients. We have inserted text to confirm this.

Duration of scheduled follow up in CRASH3 and TICH-2 is different; 28 days in CRASH-3 and 90 days in TICH-2. Some consideration should be given for analyses of death and outcomes around 30 days in both trials to give greater clarity to readers on effects on early outcomes, as well as effects on outcomes at final follow-up.

Response
We agree with the reviewer. For our first co-primary outcome we have specified death in hospital within 30 days. However, as the TICH-2 trial does not collect disability data before 90 days, the second co-primary outcome is death in hospital or dependency at final follow-up within 90 days of randomisation.

Adjustment for baseline severity. GCS is measured in CRASH-3, and in TICH-2, the protocol states NIHSS is one of the minimisation variables but there is no specific mention of GCS (if GCS is not routinely collected pre-randomisation, the protocol should specify how the NIHSS will be mapped onto GCS or vice versa.). If TICH-2 does measure GCS pre-randomisation, then it should just be made clear in the SAP.

Response
We confirm that the TICH-2 trial collects data on GCS pre-randomisation. We have added a sentence to the relevant section in the Methods to clarify this.

Competing Interests: None