

1 **The prevalence of laboratory-confirmed *Pneumocystis jirovecii* in HIV-**  
2 **infected adults in Africa: a systematic review and meta-analysis**

3

4 **Running title:** *Pneumocystis jirovecii* prevalence in Africa

5

6 Nicola K Wills (MChB, MSc, DHIV, DTM&H),<sup>1,2,3 \* †</sup> David S Lawrence (MChB, MSc,  
7 DTM&H, MRCP [UK]),<sup>2,4</sup> Elizabeth Botsile (MChB, FCP[SA]),<sup>5</sup> Mark W Tenforde (MD,  
8 PhD, MPH, DTM&H),<sup>6,7</sup> Joseph N Jarvis (MBBS, MSc, PhD, DTM&H, MRCP[UK])<sup>2,4</sup>

9

10 <sup>1</sup> Welcome Centre for Infectious Diseases Research in Africa, Institute of Infectious  
11 Disease and Molecular Medicine, University of Cape Town, Cape Town, South Africa,  
12 7925

13 <sup>2</sup> Department of Clinical Research, Faculty of infectious and Tropical Diseases, London  
14 School of Hygiene and Tropical Medicine, London, UK, WC1E 7HT

15 <sup>3</sup> Department of Medicine, Groote Schuur Hospital University of Cape Town, Cape  
16 Town, South Africa, 7925

17 <sup>4</sup> Botswana Harvard AIDS Institute Partnership, Gaborone, Botswana

18 <sup>5</sup> Department of Medicine, Princess Marina Hospital, Gaborone, Botswana

19 <sup>6</sup> Division of Allergy and Infectious Diseases, Department of Medicine, University of  
20 Washington School of Medicine, Seattle, USA, WA 98195

21 <sup>7</sup> Department of Epidemiology, University of Washington School of Public Health,  
22 Seattle, USA, WA 98195

23

24 # **Corresponding author:** Nicola Kimberley Wills

25 Present address: Department of Medicine, Groote Schuur Hospital, University of  
26 Cape Town, Anzio Road. Observatory, 7925, Cape Town, South Africa.

27 Phone: +27 78 106 9560. Email: [nicolakwills@outlook.com](mailto:nicolakwills@outlook.com)

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

## 48 **Abstract**

49 **Background:** The epidemiology of *Pneumocystis jirovecii*, known to colonize the  
50 respiratory tract and cause a life-threatening HIV-associated pneumonia (PCP), is  
51 poorly described in Africa. We conducted a systematic review to evaluate *Pneumocystis*  
52 *jirovecii* prevalence in African HIV-positive adults with or without respiratory symptoms.

53

54 **Methods:** We searched Medline, Embase, Cochrane library, Africa-Wide and Web of  
55 Science for studies employing PCR and/or microscopy for *Pneumocystis jirovecii*  
56 detection in respiratory samples from HIV-positive adults in Africa between 1995-2020.  
57 Prevalence with respiratory symptoms was pooled using random-effect meta-analysis,  
58 and stratified by laboratory method, sample tested, study setting, CD4 count and  
59 trimethoprim/sulfamethoxazole prophylaxis. Colonization prevalence in asymptomatic  
60 adults and in adults with non-PCP respiratory disease was described, and quantitative  
61 PCR (qPCR) thresholds to distinguish colonization from microscopy-confirmed PCP  
62 reviewed.

63

64 **Results:** Thirty-two studies were included, with 27 studies (87%) at high risk of  
65 selection bias. *Pneumocystis jirovecii* was detected in 19% (95% confidence interval  
66 (CI) 12%–27%) of 3583 symptomatic and in 9% (95%CI 0%-45%) of 140 asymptomatic  
67 adults. Amongst symptomatic adults, prevalence was 22% (95%CI 12%–35%) by PCR  
68 and 15% (95%CI 9%-23%) by microscopy. Seven percent of 435 symptomatic adults  
69 had PCR-detected *Pneumocystis* colonization without evidence of PCP (95%CI 5%-

70 10%, four studies). One study established a qPCR cut-off of 78 copies/5 $\mu$ L of DNA in  
71 305 induced sputum samples to distinguish *Pneumocystis* colonization from  
72 microscopy-confirmed PCP.

73

74 **Conclusion:** Despite widened access to HIV services, *Pneumocystis jirovecii* remains  
75 common in Africa. Prevalence estimates and qPCR-based definitions of colonization are  
76 limited, and overall quality of studies low.

77

78 Word count: 250 words

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

## 98 **Introduction**

99

100 Pneumocystis pneumonia (PCP) is a life-threatening opportunistic infection caused by  
101 the fungus *Pneumocystis jirovecii*. *Pneumocystis* has a worldwide distribution, with  
102 human infections reported from almost all regions of the world.<sup>1,2</sup> After airborne exposure,  
103 both immunocompromised and immunocompetent individuals may temporarily harbour  
104 *Pneumocystis* cysts or trophozoites, which colonize the respiratory tract in the absence  
105 of clinical and radiological features of PCP. Depending on host immune status, colonizing  
106 organisms may be cleared, persist at low burdens, or progress to cause clinical  
107 pneumonia.<sup>3-6</sup> In HIV-positive individuals, PCP usually occurs with advanced immune  
108 deficiency (CD4 count  $\leq$  200 cells/ $\mu$ L)<sup>7</sup> and carries an estimated case-fatality of 19% in  
109 sub-Saharan Africa.<sup>8</sup>

110

111 A systematic review that examined the burden of clinically suspected or laboratory-  
112 confirmed PCP in sub-Saharan Africa from 1995 – 2015 reported a pooled PCP  
113 prevalence of 19% amongst HIV-positive adults presenting with respiratory disease.<sup>8</sup>  
114 However, significant heterogeneity exists in reported PCP rates (ranging from 1<sup>9</sup> to  
115 77%<sup>10</sup>), reflecting differences in the populations studied and difficulties associated with  
116 both clinical and laboratory PCP diagnosis. Interpretation of typically non-specific clinical  
117 and radiological signs is challenging, and diagnostic difficulties are compounded by the  
118 potential for colonization, frequent co-infection with other respiratory pathogens, and poor  
119 access to sensitive, albeit costly and invasive, diagnostic tools.<sup>8</sup> Given the poor specificity

120 of clinical definitions of PCP, there is a need to establish more robust prevalence  
121 estimates, focusing on laboratory-confirmed (microscopy or polymerase chain reaction  
122 [PCR] proven) *Pneumocystis jirovecii* in respiratory samples from HIV-positive adults with  
123 respiratory disease in Africa.

124  
125 Since highly sensitive PCR testing may detect scanty organisms in respiratory samples  
126 from individuals colonized with *Pneumocystis jirovecii* in the absence of PCP, interpreting  
127 a positive PCR from an individual with non-specific respiratory signs may be challenging  
128 for clinicians. A non-quantified positive PCR result cannot, in isolation, distinguish  
129 between a colonizing or clinically-significant *Pneumocystis jirovecii* organism burden –  
130 only for the latter of which high dose trimethoprim/sulfamethoxazole or other PCP-  
131 targeted treatment would be appropriate. In light of this, several studies have investigated  
132 quantitative PCR (qPCR) cycle thresholds ( $C_T$ ), or fungal load cut-offs, which may then  
133 be used to distinguish between the typically low-burden colonization state and the high-  
134 burden infected (PCP) state in immunocompromised patients.<sup>11–17</sup> Previous thresholds  
135 (ranging from 27- 39 cycles),<sup>11,13,14,18</sup> generally explored in non-African settings, have  
136 been developed to correspond to robust definitions of microscopy-confirmed  
137 *Pneumocystis* disease and are specific to the respiratory specimen analysed, the  
138 population studied, and the laboratory PCR technique (including choice of *Pneumocystis*  
139 *jirovecii* target gene) employed.<sup>19</sup>

140  
141 *Pneumocystis* colonization has two further significant implications. Firstly, it enables  
142 person-to-person transmission and allows the fungus to circulate in the community,

143 threatening severe disease when encountered by HIV-positive persons or other  
144 individuals with depleted immunity.<sup>4,20</sup> Secondly, fungal reservoirs that accumulate in  
145 individuals with immune defects have been documented to evolve into PCP.<sup>3,5,6</sup> Current  
146 knowledge on the epidemiology of *Pneumocystis* colonization in HIV-positive adults is  
147 largely shaped by studies in Europe and North America, with a paucity of data from  
148 Africa.<sup>4</sup> Given these implications, and as PCR-based diagnostics become increasingly  
149 available, there is a need to establish African prevalence estimates of *Pneumocystis*  
150 colonization, as well as to explore African qPCR colonization thresholds that can improve  
151 the interpretation of, and therapeutic decisions based on, positive PCR assays.

152

153 To address these gaps, we conducted a systematic review and meta-analysis with the  
154 primary aim to determine the prevalence of laboratory-detected *Pneumocystis jirovecii* in  
155 African HIV-positive adults with respiratory symptoms, and to contrast this with the rates  
156 at which *Pneumocystis jirovecii* is harboured in HIV-positive adults without respiratory  
157 complaints.

158

## 159 **Materials and Methods**

160

### 161 **Objectives**

162 Our primary objective was to determine the prevalence of laboratory-detected  
163 *Pneumocystis jirovecii* (using any PCR or microscopy technique) in HIV-positive adults  
164 ( $\geq 13$  years of age) in Africa (1) with respiratory symptoms and (2) without respiratory  
165 symptoms. As secondary objectives, we evaluated (1) quantitative *Pneumocystis* PCR

166 fungal burden thresholds, established in African laboratories, that attempt to  
167 differentiate between PCR-detected *Pneumocystis* colonization and confirmed PCP  
168 (with laboratory detection of *Pneumocystis* plus a compatible clinical syndrome) in HIV-  
169 positive adults in Africa, and (2) the proportion of HIV-positive adults presenting with  
170 respiratory symptoms with PCR-detected *Pneumocystis jirovecii* who are *Pneumocystis*  
171 colonized without other supportive clinical, radiological and laboratory features to  
172 confirm PCP.

173

#### 174 **Study inclusion**

175 Observational studies or randomised controlled trials meeting eligibility criteria, outlined  
176 in Table 1, published in peer-reviewed journals and enrolling at least 10 participants after  
177 1 January 1995, were included. This date was chosen to reflect *Pneumocystis jirovecii*  
178 prevalence after wider availability of PCR diagnostics in Africa. No language restriction  
179 was applied. Studies enrolling mixed paediatric, adult, HIV-negative and HIV-positive  
180 participants, without reporting disaggregated data in HIV-positive adults, were excluded.  
181 Definitions of *Pneumocystis* colonization and PCP applied in the selection of and  
182 interpretation of studies are outlined in Table 2.

183

#### 184 **Literature search strategy**

185 A search was conducted on 10 July 2018, then updated on 11 July 2019 and 19 May  
186 2020, in Medline, Embase, Cochrane library, Africa-Wide, Web of Science,  
187 ClinicalTrials.gov and PRISMA databases. Our search strategy, limited to published  
188 literature from 1995 – present, incorporated four key components (*Pneumocystis*,



189 respiratory infection, HIV and Africa). Full search terms are included in Supplementary  
190 file 1 (Table S3).

191

## 192 **Record management and data collection**

193 Records from the primary search were entered into Mendeley Reference Management  
194 Software Version 1.19.4 (<https://www.mendeley.com/>) and duplicates removed. Titles  
195 and abstracts were screened against the study eligibility criteria (Table 1) with review of  
196 the full texts of potentially eligible articles for inclusion, followed by extraction of variables  
197 of interest onto a Microsoft Excel spreadsheet by NKW, verified by EB, DSL and MWT.  
198 Study authors were contacted if data of interest was missing or unclear. JNJ was  
199 consulted for review of any conflict regarding study inclusion or data discrepancies.  
200 Reference lists of included studies were searched to identify additional eligible studies.  
201 Included studies (all observational in design) were assessed using an adapted  
202 Newcastle-Ottawa scoring tool,<sup>21</sup> with judgement of attrition and selection bias using the  
203 Cochrane Risk of Bias guidelines (see Supplementary file 2).<sup>22</sup>

204

## 205 **Data analysis**

206 *Pneumocystis jirovecii* prevalence proportions were pooled using random effects meta-  
207 analysis, after stabilizing for variance using the Freeman-Tukey double arcsine  
208 transformation. Heterogeneity was quantified using the  $I^2$  statistic. We performed  
209 additional stratified analyses by variables known to influence reported prevalence in  
210 symptomatic adults, including: time period of evaluation (1995 – 2005, the pre-ART era  
211 in most African countries, versus 2006 – 2020), patient setting (inpatient versus

212 outpatient), median CD4 count (less than or  $\geq$  100cells/ $\mu$ L) and  
213 trimethoprim/sulfamethoxazole exposure (less than or  $\geq$  50%) amongst investigated  
214 adults, laboratory method (PCR versus microscopy) and type of respiratory sample  
215 tested. We presented pooled estimates with 95% confidence intervals in forest plots and  
216 summary tables (in text and in Supplementary files 3, 4 and 5). Analyses were conducted  
217 in R Studio using *metaprop* in the *meta* package. Due to the paucity of data, descriptive  
218 analyses of *Pneumocystis jirovecii* prevalence in adults without respiratory symptoms,  
219 qPCR thresholds to distinguish colonization from PCP, and prevalence of *Pneumocystis*  
220 colonization amongst symptomatic HIV-positive adults with non-PCP respiratory disease,  
221 were conducted.

222

## 223 **Results**

224

### 225 **Characteristics of included studies**

226 Figure 1 outlines the flow of records from the primary database search through to study  
227 inclusion. 247 full text articles were reviewed, and 32 studies included. Details of included  
228 studies are summarised in Supplementary file 3, Table S2.

229

230 In the 32 included studies from 15 African countries, 3723 HIV-positive adults were  
231 investigated in total for *Pneumocystis jirovecii*, 140 of whom did not report any respiratory  
232 complaint. Twenty-six percent of participants (n = 1177, 13 studies) were on ART with  
233 38% (n = 956, nine studies) taking trimethoprim/sulfamethoxazole prophylaxis. Restricted  
234 to patients evaluated after 2005, 45% were on ART (n = 655, six studies) and 52% taking

---

235 trimethoprim/sulfamethoxazole prophylaxis (n = 673, five studies). Median CD4 count  
236 ranged from 58 to 342 cells/ $\mu$ L (n = 1855, 15 studies).

237  
238 All included studies were observational. Using an adapted Newcastle-Ottawa score,<sup>21</sup> 19  
239 studies (59%) were assessed to be poor quality (see detailed assessment of quality and  
240 risk of bias for each included study Supplementary file 4, Figure S1 and Table S3).  
241 Twenty-seven studies (87%) were at high risk of selection bias – conducting  
242 investigations for *Pneumocystis jirovecii* on highly selected cohorts, often after exclusion  
243 of smear-positive pulmonary tuberculosis (n = 13 studies) and/or after poor clinical  
244 response to antibiotic treatment (n = six studies) or only in targeted sub-groups with  
245 suggestive clinical or radiological features of PCP (n = eight studies). Studies that utilised  
246 bronchoscopy only as a diagnostic tool (n = 12 studies) excluded severely ill or hypoxic  
247 participants; in other studies, adults with suspected PCP but with advanced disease may  
248 have been physically unable to provide a sputum or other respiratory sample, possibly  
249 further under-representing the true *Pneumocystis jirovecii* prevalence.

250  
251 **Prevalence of *Pneumocystis jirovecii* in HIV-positive adults with respiratory**  
252 **symptoms**

253 Prevalence estimates were derived using data from 32 distinct populations (counted as  
254 separate studies). One study conducted independent cross-sectional surveys in Senegal  
255 and Central African Republic, and prevalence estimates from these two regions were  
256 input separately into the meta-analysis model.<sup>23</sup> Two studies reported sequential  
257 prevalence data derived from the same investigated cohort in Uganda, and were included

258 as one combined prevalence estimate.<sup>24,25</sup> The pooled prevalence of *Pneumocystis*  
259 *jirovecii* detected on any respiratory specimen in adults with respiratory symptoms was  
260 19% (95% confidence interval (CI) 12% – 27%, see Supplementary file 5, Figure S2). A  
261 high level of heterogeneity was observed ( $I^2 = 97\%$ ,  $p < 0.01$ ). Stratified by laboratory  
262 testing method, prevalence of *Pneumocystis jirovecii* reported in studies conducting PCR  
263 testing on any respiratory sample was 22% (2244 participants, 95% CI 12% – 35%,  $n =$   
264 17 studies); comparatively, prevalence in studies utilising microscopy was 15% (2659  
265 participants, 95%CI 9% - 23%,  $n = 25$  studies) (Figure 2).

266  
267 Sub-analysis by time-period did not reveal evidence for a marked decline in reported  
268 prevalence of *Pneumocystis jirovecii* among HIV-positive adults with respiratory  
269 symptoms, with a prevalence of 21% in 1995 – 2005 ( $n = 1425$  participants, 95% CI 12%  
270 – 31%, 15 studies) and 18% in 2006 – 2020 ( $n = 2158$  participants, 95% CI 9% – 30%,  
271 17 studies) (see Supplementary file 5, Figure S3). A higher prevalence was reported from  
272 17 studies exclusively enrolling inpatients (24%, 95% CI 12% – 38%,  $n = 1753$   
273 participants) compared to six studies enrolling outpatients (14%, 95% CI 4% – 28%,  $n =$   
274 898 participants) (Supplementary file 5, Figure S4).

275  
276 In 15 studies reporting median CD4 count amongst investigated adults, *Pneumocystis*  
277 prevalence did not differ between studies in which median CD4 count was less than or  $\geq$   
278 100cells/ $\mu$ L (see Supplementary file 5, Figure S5). In studies in which less than 50% of  
279 the investigated adults had reported exposure to trimethoprim/sulfamethoxazole  
280 prophylaxis, prevalence was 18% (95% CI 4% – 38%, seven studies,  $n = 659$

281 participants), versus a prevalence of 13% (95% CI 7% – 21%, n = 307 participants) in two  
282 studies in which more than 50% of adults had prior exposure (see Supplementary file 5,  
283 Figure S6).

284  
285 *Pneumocystis jirovecii* prevalence by respiratory sample tested (employing PCR and/or  
286 microscopy) is outlined in Table S4 (see Supplementary file 5; see also Figure S7 for  
287 forest plot). The highest prevalence was reported in studies testing induced sputum (23%,  
288 eight studies, n = 1062, 95% CI 6 – 46%) with a similar prevalence in BAL specimens  
289 (21%, 14 studies, n = 1098, 95% CI 13 – 30). Further restricting analysis to prevalence  
290 estimates from five studies (n = 769 participants) conducting PCR on induced sputum  
291 yielded a pooled prevalence of 27% (95% CI 5% – 57%); in comparison, prevalence  
292 across five studies (n = 509 participants) that used PCR testing on BAL was 24% (95%  
293 CI 9% – 44%) (see Supplementary file 5, Figure S8).

294  
295 **Prevalence of *Pneumocystis* colonization in HIV-positive adults without respiratory**  
296 **symptoms**

297 Three small studies reported the prevalence of *Pneumocystis jirovecii* in HIV-positive  
298 adults without respiratory symptoms and were all conducted alongside investigation of  
299 symptomatic HIV-positive adults. Studies in Tanzania,<sup>26</sup> Guinea-Bissau,<sup>27</sup> and  
300 Cameroon<sup>28</sup> reported 0% (0/8), 1.8% (2/111), 42.9% (9/21) of participants, free of any  
301 respiratory complaint, to be colonized with *Pneumocystis jirovecii* respectively (pooled  
302 prevalence of 9%, 95% CI 0% – 45%, see Supplementary file 5, Figure S9). All studies  
303 employed PCR testing in either outpatient or community settings - the first two on oral

304 wash and the third Cameroon study on induced sputum. The same type of respiratory  
305 specimen was analysed from symptomatic and asymptomatic participants within each  
306 study. The aims of the three studies, rationale for testing asymptomatic adults for  
307 *Pneumocystis* colonization and comparison of the PCR techniques employed are outlined  
308 in Supplementary file 3 (Table S2).

309  
310 Out of 11 colonized participants across these three studies, fungal load was only  
311 quantified in two participants from Guinea-Bissau, with fungal loads of 524 copies/ $\mu$ L and  
312 3 copies/ $\mu$ L (CD4 count 23 cells/ $\mu$ L and 18 cells/ $\mu$ L respectively). Little disaggregated  
313 data was available on the asymptomatic cohorts from Cameroon (involving 21 HIV-  
314 positive outpatients) and Tanzania (eight matched community controls included in a study  
315 of *Pneumocystis jirovecii* prevalence amongst inpatients with pulmonary tuberculosis).

316

### 317 **qPCR thresholds to distinguish between *Pneumocystis* colonization and PCP**

318 One laboratory-based study, through review of 305 induced sputum samples from an  
319 inpatient South African cohort with clinically-suspected PCP, evaluated a qPCR fungal  
320 load that may be used to distinguish between *Pneumocystis* colonization and IFA-  
321 confirmed PCP.<sup>19</sup> Copies of *Pneumocystis jirovecii* DNA (with qPCR primers targeting the  
322 well-conserved mitochondrial large subunit ribosomal RNA locus) that correlated with  
323 PCP (IFA-positive cases) versus colonization (IFA-negative cases) were investigated. On  
324 receiver operating characteristic analysis, a qPCR cut-off of 78 copies/5 $\mu$ L of DNA ( $C_T$   
325 38.2) was found to correctly classifying 92% of all IFA results. Notably, although enrolled  
326 participants were clinically reviewed, this study group did not comment on the participants'

327 radiological features; a subset of the PCR-positive and IFA-negative cases may have had  
328 radiological changes in keeping with PCP. This limits the accuracy of the established  $C_T$   
329 to distinguish true *Pneumocystis* colonisation from PCP.

330

### 331 ***Pneumocystis* colonization in HIV-positive adults with non-PCP respiratory** 332 **disease**

333 Across four studies investigating 435 adults with respiratory symptoms, 7% of individuals  
334 (95% CI 5% – 10%) had PCR-detected *Pneumocystis jirovecii*, and in the absence of  
335 positive microscopy and other clinical and/or radiological features to support a diagnosis  
336 of PCP, were deemed to be colonized (see Supplementary file 5, Figure S10). Details of  
337 these studies are outlined in Table 3. Significantly, outcomes in colonized participants  
338 were only reported in two studies.<sup>25,29</sup> Possible exposure to high-dose  
339 trimethoprim/sulfamethoxazole (or other PCP-active) treatment given for another  
340 infection, as well as transparent description of clinical and radiological features that lead  
341 to the exclusion of PCP in PCR-positive cases, were not clearly reported across all  
342 studies, limiting the certainty with which PCP can be excluded in these patients.

343

344 Median CD4 count was reported in two of the investigated cohorts (65 cells/ $\mu$ L<sup>30</sup> and 88  
345 cells/ $\mu$ L<sup>25</sup>), with ART and trimethoprim/sulfamethoxazole exposure only reported in the  
346 latter group.<sup>25</sup> Fungal load in colonized versus non-colonized adults was not explored in  
347 the above four studies. One group reported a significantly lower mean  $C_T$  value in nine  
348 individuals with both microscopy and PCR-detected *Pneumocystis jirovecii*, compared to

349 mean C<sub>T</sub> in eight individuals positive on PCR only (two of whom had suggestive clinical  
350 features of PCP, hence not meeting strict criteria for colonization).<sup>30</sup>

351

## 352 **Discussion**

353

354 Across 32 distinct African HIV-positive populations undergoing respiratory specimen  
355 testing, we found a pooled *Pneumocystis jirovecii* prevalence of 19% in adults with  
356 respiratory symptoms and 9% in adults without any respiratory complaint. Using strict  
357 laboratory criteria to confirm a microbiological diagnosis rather than highly variable and  
358 non-specific clinical definitions of PCP, this review confirms that *Pneumocystis jirovecii*  
359 remains a significant respiratory pathogen in HIV-positive adults in Africa presenting  
360 with respiratory disease, despite expanded access to ART as well as  
361 trimethoprim/sulfamethoxazole prophylaxis. These two interventions are essential for  
362 reducing the incidence of PCP;<sup>31–33</sup> in this review, we observed an increase in ART use  
363 (from 5% to 45%) and trimethoprim/sulfamethoxazole use (from 5% to 52%) amongst  
364 adults investigated for PCP in 1995 – 2005 and 2006 – 2020. However, *Pneumocystis*  
365 *jirovecii* prevalence in symptomatic adults remained relatively constant at 21% in 1995 –  
366 2005 and 18% in 2006 – 2020. Although our study does not provide any data regarding  
367 the overall number of PCP cases over this time, it is concerning that the prevalence of  
368 *Pneumocystis jirovecii* has not markedly declined in HIV-positive individuals presenting  
369 with respiratory symptoms in Africa. PCP typically develops in the setting of advanced  
370 HIV (CD4 count < 200 cells/μL),<sup>34</sup> and the minimal observed change in *Pneumocystis*  
371 *jirovecii* prevalence over time may be in part explained by the documented persistently



372 high burden of advanced HIV amongst adults presenting to African healthcare settings  
373 in the post-ART era.<sup>35–38</sup>  
374  
375 With increasing use of highly sensitive PCR testing in African settings, prevalence  
376 estimates of *Pneumocystis* colonization, as well as quantitative PCR thresholds that  
377 distinguish colonization from microscopy-confirmed PCP, are needed to guide  
378 therapeutic decisions and enhance the clinical utility of these emerging diagnostics. In  
379 this review, limited data from three very small studies in Africa reported between 0 and  
380 49%<sup>26–28</sup> of asymptomatic HIV-positive adults to be colonized with *Pneumocystis*  
381 *jirovecii*. Differences in the type of respiratory sample analyzed (induced sputum versus  
382 oral wash), PCR technique used, and degree of control for amplicon contamination,  
383 may have contributed to the marked differences in yields observed across the studies.  
384 Further details, including CD4 data, ART and trimethoprim/sulfamethoxazole  
385 prophylaxis exposure were also not comprehensively reported within the three sub-  
386 groups, restricting further analysis. The small number of asymptomatic adults studied  
387 (140 in total) limits the ability to compare the prevalence of asymptomatic *Pneumocystis*  
388 colonisation with the prevalence of *Pneumocystis jirovecii* derived from the 3583  
389 symptomatic adults studied in our review. Non-African estimates of asymptomatic  
390 colonization are similarly limited; one early UK study reported 16% of asymptomatic  
391 HIV-positive men to be colonized on PCR testing of induced sputum, with rates  
392 inversely proportional to CD4 count.<sup>5</sup>

393

394 Four African studies in symptomatic adults, that defined colonization as a positive  
395 *Pneumocystis* PCR and negative microscopy with either (1) clinical recovery in the  
396 absence of PCP-specific treatment or (2) absence of other clinical and radiological  
397 features of PCP, reported 5 – 10% of adults to be colonized.<sup>25,29,30,39</sup> In non-African  
398 studies using these same definitions, *Pneumocystis* colonization has been reported in  
399 13%<sup>6</sup> and 19%<sup>40</sup> of HIV-positive adults presenting with respiratory disease. Hence,  
400 isolated use of PCR to confirm PCP in HIV-positive adults with non-specific clinical  
401 features, without microscopy validation or application of a valid qPCR threshold, risks  
402 inappropriate and potentially deleterious treatment of colonized adults with high dose  
403 trimethoprim/sulfamethoxazole, steroids or other PCP-specific treatment.

404

405 The use of quantitative PCR thresholds may be used to guide therapeutic decisions by  
406 indicating which adults, amongst those who are PCR-positive, have sufficiently high  
407 (PCP-associated) fungal burdens that warrant PCP treatment. In comparison to the fungal  
408 burden cut-off ( $C_T$  of 38.2) identified above in a South African laboratory,<sup>19</sup> three non-  
409 African studies have reported widely varying  $C_T$  value cut-offs of greater than 27<sup>11</sup>, 35<sup>14</sup>  
410 and 39<sup>13</sup> to indicate *Pneumocystis* colonization rather than PCP. Although the African  
411 and mentioned non-African studies all amplified a fragment of the mitochondrial large  
412 subunit (MtLSU) rRNA gene in their PCR assays, these cut-offs still carry limitations, since  
413 they are derived from laboratory-specific microscopy and qPCR techniques and require  
414 caution when applied in other settings. Furthermore, whilst IFA is regarded as the gold  
415 standard for PCP diagnosis in many texts<sup>41,42</sup> and significantly higher qPCR fungal loads  
416 have shown to correlate with microscopy-positivity,<sup>30,43</sup> limited evidence suggests

417 colonized adults may have small numbers of IFA-detectable *Pneumocystis* organisms in  
418 respiratory secretions.<sup>44,45</sup>

419

420 Other studies in Africa have used less stringent definitions to delineate *Pneumocystis*  
421 colonization from PCP in individuals with respiratory symptoms. A Malawian group used  
422 a qPCR C<sub>T</sub> of greater than 35 cycles to infer colonization<sup>46</sup> – this cut-off was developed  
423 in European populations with a low representation of HIV-positive adults,<sup>15,18</sup> who typically  
424 harbour higher fungal loads than other immunosuppressed groups.<sup>3,4</sup> A Cameroon study  
425 utilised a two-step (conventional followed by nested) PCR technique to delineate high  
426 from low fungal burdens, and reported 43% of adults to be colonized.<sup>28</sup> Lastly, a recent  
427 laboratory-based study, defining colonization as detectable *Pneumocystis jirovecii* DNA  
428 with negative IFA microscopy, reported 24% of 712 symptomatic individuals to harbour  
429 colonizing organisms.<sup>43</sup> Without a critical review of clinical and radiological features, nor  
430 therapeutic outcome in the absence of PCP treatment, these definitions are subject to  
431 error.

432

433 Furthermore, without a true gold standard to exclude PCP in symptomatic colonized  
434 adults, it may be argued that the very low fungal loads detected through PCR testing  
435 may represented early, evolving PCP, rather than colonization. Two of the above  
436 African prospective cohort studies reported substantially high mortality rates in  
437 *Pneumocystis* colonized adults,<sup>24,29</sup> with one study reporting a significantly increased  
438 mortality in colonized compared to non-colonized participants.<sup>25</sup> Whether this mortality  
439 risk reflects either a failure to appropriately initiate PCP-specific treatment in participants

440 misdiagnosed as being *Pneumocystis* colonized, or points towards colonization as a risk  
441 factor for subsequent *Pneumocystis* disease, are questions not yet answered in current  
442 African literature. A UK study that examined the genotypic evolution of colonising strains  
443 of *Pneumocystis jirovecii* before and after episodes of HIV-associated PCP found no  
444 genotypic correlation between colonising strains and those implicated in prior episodes  
445 of PCP, although in the two individuals examined who had evidence of colonisation prior  
446 to developing PCP, the type of *Pneumocystis jirovecii* observed during the subclinical  
447 infection was the same as that causing the clinical disease.<sup>6</sup> Other genotypic studies  
448 have reported both repeated isolation of the same *Pneumocystis jirovecii* strain across  
449 recurrent episodes of PCP within the same individual, as well as detection of new  
450 strains in subsequent PCP episodes in other individuals.<sup>47-49</sup> Recent studies have  
451 demonstrated heterogenous *Pneumocystis jirovecii* genotypes in respiratory samples  
452 from individuals with PCP<sup>50,51</sup> suggesting PCP may represent a failure of the immune  
453 system to contain a rapidly growing, and diverse, population of both newly acquired and  
454 reactivated latent strains. Arguably therefore, patients who are identified to be colonized  
455 through PCR testing, but are felt to not have other suggestive features of PCP, should  
456 receive at minimum effective trimethoprim/sulfamethoxazole prophylaxis to reduce or  
457 eliminate this fungal load.

458

459 This review has several limitations. Firstly, prevalence data was derived and pooled  
460 from studies of largely poor quality, with significant selection bias identified in 87% of  
461 studies. Pursuing select investigation for the fungus in only AFB-smear negative  
462 individuals, those with non-response to antibiotics or with clinically suggestive PCP

463 (68% of all studies) may misrepresent true *Pneumocystis jirovecii* prevalence in adults  
464 with respiratory symptoms. Further, 39% of included studies conducted BAL only  
465 testing, and often excluded hypoxic participants most at risk of being infected with  
466 *Pneumocystis jirovecii*. Our review was not designed to evaluate the performance of  
467 various laboratory tests for isolation of *Pneumocystis jirovecii*, but the heterogenous  
468 prevalence reports across included studies is likely also reflective of differences in  
469 laboratory methods employed (including type of microscopy stain used, experience of  
470 microscopist(s), use of conventional versus real-time PCR, and selected PCR gene  
471 target). Secondly, due to missing or unreported data, some intended sub-analysis, such  
472 as prevalence of *Pneumocystis jirovecii* stratified by plasma HIV-1 viral load, or  
473 meaningful analysis of laboratory prevalence by CD4 strata (only available for 47% of  
474 studies) could not be completed. Lastly, most studies did not report the specific clinical  
475 and radiological criteria that were used, alongside negative microscopy, to exclude PCP  
476 in individuals thought to be *Pneumocystis* colonized. This limits the ability make  
477 comparisons and draw generalizable conclusions from studies that have examined  
478 colonization prevalence in symptomatic adults.

479

## 480 **Conclusions**

481

482 *Pneumocystis jirovecii* is a commonly isolated pathogen in HIV-positive patients with  
483 respiratory symptoms in Africa. In the context of *Pneumocystis* colonization, accurate  
484 interpretation of a positive PCR result requires consideration of fungal load, microscopy  
485 findings as well as the patient's clinical and radiological features. Further studies in

486 African populations are required to better quantify the burden of colonization in both  
487 symptomatic and asymptomatic HIV-positive adults, and to develop more widely  
488 applicable qPCR thresholds that can guide therapeutic decision making.

489

490 Word count: 3964 words

491

## 492 **Acknowledgements**

493

494 The authors thank the London School of Hygiene and Tropical Medicine (LSHTM)  
495 library service, consulted on 8 July 2018, who provided input on the search strategy for  
496 this review. No funding was received for the review. JNJ receives support from the U.K.  
497 National Institute for Health Research using Official Development Assistance (ODA)  
498 funding through a Global Health Professorship (RP-2017-08-ST2-012). MWT received  
499 salary support outside of this submitted work through the National Institute of Allergy  
500 and Infectious Diseases (NIAID) of the National Institutes of Health (NIH) under Award  
501 Number F32AI140511. The content is solely the responsibility of the authors (JNJ and  
502 MWT) and does not necessarily represent the official view of the NIH, NHS, the NIHR or  
503 the Department of Health and Social Care.

504

## 505 **Disclosures of potential conflicts of interest**

506 All authors have no competing interests to declare.

507

508

## 509 **References**

510

- 511 1. Smulian A, Sullivan D, Linke M, et al. Geographic variation in the humoral  
512 response to *Pneumocystis carinii*. *J Infect Dis*. 1993;167(5):1243-1247.
- 513 2. Morris A, Lundgren JD, Masur H, et al. Current epidemiology of Pneumocystis  
514 pneumonia. *Emerg Infect Dis*. 2004;10(10):1713-1720.  
515 doi:10.3201/eid1010.030985
- 516 3. Alanio A, Bretagne S. *Pneumocystis jirovecii* detection in asymptomatic patients:  
517 what does its natural history tell us? *F1000Research*. 2017;6((F1000 Faculty  
518 Rev)):739-749. doi:10.12688/f1000research.10619.1
- 519 4. Morris A, Wei K, Afshar K, Huang L. Epidemiology and Clinical Significance of  
520 *Pneumocystis* Colonization. *J Infect Dis*. 2008;197(1):10-17. doi:10.1086/523814
- 521 5. Leigh TR, Kangro HO, Gazzard BG, Jeffries DJ, Collins J V. DNA amplification by  
522 the polymerase chain reaction to detect sub-clinical *Pneumocystis carinii*  
523 colonization in HIV-positive and HIV-negative male homosexuals with and without  
524 respiratory symptoms. *Respir Med*. 1993;87(7):525-529. doi:10.1016/0954-  
525 6111(93)90008-N
- 526 6. Wakefield AE, Lindley AR, Ambrose HE, Denis C, Miller RF. Limited  
527 Asymptomatic Carriage of *Pneumocystis jirovecii* in Human Immunodeficiency  
528 Virus–Infected Patients. *J Infect Dis*. 2003;187(6):901-908. doi:10.1086/368165
- 529 7. Badri M, Maartens G, Bekker LG, Wood R. The spectrum and prognosis of AIDS-  
530 defining illnesses in Cape Town. *South Afr J HIV Med*. 2005;2005(19):11-16.  
531 <http://www.sajhivmed.org.za/index.php/hivmed/issue/archive>.

- 532 8. Wasserman S, Engel ME, Griesel R, Mendelson M. Burden of *Pneumocystis*  
533 pneumonia in HIV-infected adults in sub-Saharan Africa: a systematic review and  
534 meta-analysis. *BMC Infect Dis.* 2016;16:482. doi:10.1186/s12879-016-1809-3
- 535 9. Lewden C, Drabo YJ, Zannou DM, et al. Disease patterns and causes of death of  
536 hospitalized HIV-positive adults in West Africa: a multicountry survey in the  
537 antiretroviral treatment era. *J Int AIDS Soc.* 2014;17:18797.  
538 doi:<https://dx.doi.org/10.7448/IAS.17.1.18797>
- 539 10. Govender S, Du Plessis SJ, Ocana et al. Prevalence of *Pneumocystis jirovecii* and  
540 *Mycoplasma pneumoniae* in patients presenting with pneumonia at hospitals in  
541 Port Elizabeth. *South African J Epidemiol Infect.* 2008;23(2):21-24.  
542 [http://search.ebscohost.com/login.aspx?direct=true&AuthType=cookie,ip,shib&db](http://search.ebscohost.com/login.aspx?direct=true&AuthType=cookie,ip,shib&db=awn&AN=589823&site=ehost-live)  
543 [=awn&AN=589823&site=ehost-live.](http://search.ebscohost.com/login.aspx?direct=true&AuthType=cookie,ip,shib&db=awn&AN=589823&site=ehost-live)
- 544 11. Fauchier T, Hasseine L, Gari-Toussaint M, Casanova V, Marty PM, Pomares C.  
545 Detection of *Pneumocystis jirovecii* by quantitative PCR to differentiate  
546 colonization and pneumonia in immunocompromised HIV-Positive and HIV-  
547 Negative Patients. *J Clin Microbiol.* 2016;54(6):1487-1495.  
548 doi:10.1128/JCM.03174-15
- 549 12. Maillet M, Maubon D, Brion JP, et al. *Pneumocystis jirovecii* (Pj) quantitative PCR  
550 to differentiate Pj pneumonia from Pj colonization in immunocompromised  
551 patients. *Eur J Clin Microbiol Infect Dis.* 2014;33(3):331-336. doi:10.1007/s10096-  
552 013-1960-3
- 553 13. McTaggart L, Wengenack N, Richardson S. Validation of the MycAssay  
554 *Pneumocystis* kit for detection of *Pneumocystis jirovecii* in bronchoalveolar lavage



555 specimens by comparison to a laboratory standard of direct immunofluorescence  
556 microscopy, real-time PCR, or conventional PCR. *J Clin Microbiol.*  
557 2012;50(6):1856-1859. doi:10.1128/JCM.05880-11

558 14. Alanio A, Desoubeaux G, Sarfati C, et al. Real-time PCR assay-based strategy for  
559 differentiation between active *Pneumocystis jirovecii* pneumonia and colonization  
560 in immunocompromised patients. *Clin Microbiol Infect.* 2011;17(10):1531-1537.  
561 doi:10.1111/j.1469-0691.2010.03400.x

562 15. Flori P, Bellete B, Durand F, et al. Comparison between real-time PCR,  
563 conventional PCR and different staining techniques for diagnosing *Pneumocystis*  
564 *jirovecii* pneumonia from bronchoalveolar lavage specimens. *J Med Microbiol.*  
565 2004;53(7):603-607. doi:10.1099/jmm.0.45528-0

566 16. Larsen HH, Masur H, Kovacs JA, et al. Development and evaluation of a  
567 quantitative , touch-down , real-time PCR assay for diagnosing *Pneumocystis*  
568 *carinii* pneumonia. *J Clin Microbiol.* 2002;40(2):490-494.  
569 doi:10.1128/JCM.40.2.490

570 17. Torres J, Goldman M, Wheat JL, et al. Diagnosis of *Pneumocystis carinii*  
571 pneumonia in human immunodeficiency virus-infected patients with polymerase  
572 chain reaction: A blinded comparison to standard methods. *Clin Infect Dis.*  
573 2000;30(1):141-145.  
574 [http://www.embase.com/search/results?subaction=viewrecord&from=export&id=L30067071%5Cnhttp://dx.doi.org/10.1086/313584%5Cnhttp://sfx.library.uu.nl/utrecht?sid=EMBASE&issn=10584838&id=doi:10.1086%2F313584&atitle=Diagnosis+](http://www.embase.com/search/results?subaction=viewrecord&from=export&id=L30067071%5Cnhttp://dx.doi.org/10.1086/313584%5Cnhttp://sfx.library.uu.nl/utrecht?sid=EMBASE&issn=10584838&id=doi:10.1086%2F313584&atitle=Diagnosis+of+Pneumocystis+carinii+pneumonia+)  
575 [of+Pneumocystis+carinii+pneumonia+](http://www.embase.com/search/results?subaction=viewrecord&from=export&id=L30067071%5Cnhttp://dx.doi.org/10.1086/313584%5Cnhttp://sfx.library.uu.nl/utrecht?sid=EMBASE&issn=10584838&id=doi:10.1086%2F313584&atitle=Diagnosis+of+Pneumocystis+carinii+pneumonia+)  
576  
577

- 578 18. Linssen CFM, Jacobs JA, Beckers P, et al. Inter-laboratory comparison of three  
579 different real-time PCR assays for the detection of *Pneumocystis jirovecii* in  
580 bronchoalveolar lavage fluid samples. *J Med Microbiol.* 2006;55(9):1229-1235.  
581 doi:10.1099/jmm.0.46552-0
- 582 19. Moodley B, Tempia S, Freaan JA. Comparison of quantitative real-time PCR and  
583 direct immunofluorescence for the detection of *Pneumocystis jirovecii*. *PLoS One.*  
584 2017;12(7):e0180589. doi:http://dx.doi.org/10.1371/journal.pone.0180589
- 585 20. Huang L, Crothers K, Morris A, et al. *Pneumocystis* Colonization in HIV-Infected  
586 Patients. *J Eukaryot Microbiol.* 2003;50(SUPPL.):616-617. doi:10.1111/j.1550-  
587 7408.2003.tb00651.x
- 588 21. Wasserman S, Engel ME, Mendelson M. Burden of *Pneumocystis* pneumonia in  
589 HIV-infected adults in sub-Saharan Africa: protocol for a systematic review. *Syst*  
590 *Rev.* 2013;2:112-117. doi:https://dx.doi.org/10.1186/2046-4053-2-112
- 591 22. The Cochrane Collaboration. Editors: Higgins J, Green S. *Cochrane Handbook for*  
592 *Systematic Reviews of Interventions.*; 2011. https://handbook-5-  
593 1.cochrane.org/front\_page.htm.
- 594 23. Vray M, Germani Y, Chan S, et al. Clinical features and etiology of pneumonia in  
595 acid-fast bacillus sputum smear-negative HIV-infected patients hospitalized in  
596 Asia and Africa. *AIDS.* 2008;22(11):1323-1332.  
597 doi:https://dx.doi.org/10.1097/QAD.0b013e3282fdf8bf
- 598 24. Taylor SM, Meshnick SR, Worodria W, et al. Low Prevalence of *Pneumocystis*  
599 pneumonia (PCP) but High Prevalence of *Pneumocystis* dihydropteroate  
600 synthase (dhps) Gene Mutations in HIV-Infected Persons in Uganda. *PLoS One.*

- 601 2012;7(11):1-5. doi:<https://dx.doi.org/10.1371/journal.pone.0049991>
- 602 25. Taylor SM, Meshnick SR, Worodria W, et al. Low prevalence of *Pneumocystis*  
603 *jirovecii* lung colonization in Ugandan HIV-infected patients hospitalized with non-  
604 *Pneumocystis pneumonia*. *Diagn Microbiol Infect Dis*. 2012;72(2):139-143.  
605 doi:<https://dx.doi.org/10.1016/j.diagmicrobio.2011.10.009>
- 606 26. Jensen L, Jensen A V, Praygod G, et al. Infrequent detection of *Pneumocystis*  
607 *jirovecii* by PCR in oral wash specimens from TB patients with or without HIV and  
608 healthy contacts in Tanzania. *BMC Infect Dis*. 2009;10:140.  
609 doi:<http://dx.doi.org/10.1186/1471-2334-10-140>
- 610 27. Hviid CJ, Lund M, Sorensen A, et al. Detection of *Pneumocystis jirovecii* in oral  
611 wash from immunosuppressed patients as a diagnostic tool. *PLoS One*.  
612 2017;12(3):e0174012. doi:<https://dx.doi.org/10.1371/journal.pone.0174012>
- 613 28. Riebold D, Enoh DO, Kinge TN, et al. *Pneumocystis jirovecii* colonisation in HIV-  
614 positive and HIV-negative subjects in Cameroon. *Trop Med Int Heal*.  
615 2014;19(6):643-655. doi:10.1111/tmi.12299
- 616 29. van Oosterhout JJG, Laufer MK, Perez MA, et al. *Pneumocystis pneumonia* in  
617 HIV-positive adults, Malawi. *Emerg Infect Dis*. 2007;13(2):325-328.  
618 <http://www.cdc.gov/eid/content/13/2/pdfs/325.pdf>.
- 619 30. Kibiki G, Beckers P, Mulder B, et al. Aetiology and presentation of HIV/AIDS-  
620 associated pulmonary infections in patients presenting for bronchoscopy at a  
621 referral hospital in northern Tanzania. *East Afr Med J*. 2007;84(9):420-428.  
622 [http://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=reference&D=emed11&NEWS=](http://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=reference&D=emed11&NEWS=N&AN=350157399)  
623 [N&AN=350157399](http://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=reference&D=emed11&NEWS=N&AN=350157399).

- 624 31. Suthar AB, Granich R, Mermin J, van Rie A. Effect of cotrimoxazole on mortality  
625 in HIV-infected adults on antiretroviral therapy: A systematic review and meta-  
626 analysis. *Bull World Health Organ.* 2012;90(2):128-138.  
627 doi:10.2471/BLT.11.093260
- 628 32. Loannidis J, Cappelleri JC, Skolnik PR, Lau J, Sacks HS. A meta-analysis of the  
629 relative efficacy and toxicity of *Pneumocystis carinii* prophylactic regimens. *Arch*  
630 *Intern Med.* 1996;156:177-188.
- 631 33. Pulvirenti J, Herrera P, Venkataraman P, Ahmed N. *Pneumocystis carinii*  
632 Pneumonia in HIV-Infected Patients in the HAART Era. *AIDS Patient Care STDS.*  
633 2003;17(6):261-265.
- 634 34. Badri M, Maartens G, Bekker LG, et al. The spectrum and prognosis of AIDS-  
635 defining illnesses in Cape Town. *South Afr J HIV Med.* 2005;2005(19):11-16.  
636 <http://www.sajhivmed.org.za/index.php/hivmed/issue/archive>.
- 637 35. Carmona S, Bor J, Nattey C, et al. Persistent High Burden of Advanced HIV  
638 Disease among Patients Seeking Care in South Africa's National HIV Program:  
639 Data from a Nationwide Laboratory Cohort. *Clin Infect Dis.* 2018;66(Suppl  
640 2):S111-S117. doi:10.1093/cid/ciy045
- 641 36. Glencross DK, Glencross DK, Cassim N, Cassim N, Coetzee LM, Coetzee LM.  
642 Documented higher burden of advanced and very advanced HIV disease among  
643 patients, especially men, accessing healthcare in a rapidly growing economic and  
644 industrial hub in South Africa: A call to action. *South African Med J.*  
645 2020;110(6):505-513. doi:10.7196/SAMJ.2020.v110i6.14352
- 646 37. Osler M, Hilderbrand K, Goemaere E, et al. The Continuing Burden of Advanced

- 647 HIV Disease over 10 Years of Increasing Antiretroviral Therapy Coverage in  
648 South Africa. *Clin Infect Dis*. 2018;66(Figure 1):S118-S125.  
649 doi:10.1093/cid/cix1140
- 650 38. Chihana ML, Huerga H, Van Cutsem G, et al. Distribution of advanced HIV  
651 disease from three high HIV prevalence settings in Sub-Saharan Africa: a  
652 secondary analysis data from three population-based cross-sectional surveys in  
653 Eshowe (South Africa), Ndhiwa (Kenya) and Chiradzulu (Malawi). *Glob Health  
654 Action*. 2019;12(1). doi:10.1080/16549716.2019.1679472
- 655 39. Aderaye G, Bruchfeld J, Olsson M, Lindquist L. Occurrence of *Pneumocystis  
656 carinii* in HIV-positive patients with suspected pulmonary tuberculosis in Ethiopia.  
657 *AIDS*. 2003;17(3):435-440.  
658 [http://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=reference&D=med4&NEWS=N  
659 &AN=12556698](http://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=reference&D=med4&NEWS=N&AN=12556698).
- 660 40. Rabodonirina M, Raffenot D, Cotte L, et al. Rapid Detection of *Pneumocystis  
661 carinii* in Bronchoalveolar Lavage Specimens from Human Immunodeficiency  
662 Virus- Infected Patients : Use of a Simple DNA Extraction Procedure and Nested  
663 PCR. 1997;35(11):2748-2751.
- 664 41. Boyles TH, Brink A, Calligaro GL, et al. South African guideline for the  
665 management of community- acquired pneumonia in adults. 2017;9(A Iii):1469-  
666 1502. doi:10.21037/jtd.2017.05.31
- 667 42. Procop GW, Haddad S, Quinn J, et al. Detection of *Pneumocystis jirovecii* in  
668 respiratory specimens by four staining methods. *J Clin Microbiol*.  
669 2004;42(7):3333-3335.

- 670 <http://search.ebscohost.com/login.aspx?direct=true&AuthType=cookie,ip,shib&db>  
671 [=awn&AN=874691&site=ehost-live.](http://search.ebscohost.com/login.aspx?direct=true&AuthType=cookie,ip,shib&db)
- 672 43. Dini L, Du Plessis M, Freaan J, Fernandez V. High Prevalence of Dihydropteroate  
673 Synthase Mutations in *Pneumocystis jirovecii* Isolated from Patients with  
674 Pneumocystis Pneumonia in South Africa. *J Clin Microbiol.* 2010;48(6):2016-  
675 2021. doi:10.1128/JCM.02004-09
- 676 44. Calderon E, Regordan C, Medrano F, Ollero M, Varela J. *Pneumocystis carinii*  
677 infection in patients with chronic bronchial disease. *Lancet.* 1996;347(9006):977.  
678 doi:10.1016/S0140-6736(96)91468-3
- 679 45. Ponce CA, Gallo M, Bustamante R, Vargas SL. *Pneumocystis* colonization is  
680 highly prevalent in the autopsied lungs of the general population. *Clin Infect Dis.*  
681 2010;50(3):347-353. doi:10.1086/649868
- 682 46. Hartung T, Chimbayo D, Van Oosterhout J, et al. Etiology of suspected  
683 pneumonia in adults admitted to a high-dependency unit in Blantyre, Malawi. *Am*  
684 *J Trop Med Hyg.* 2011;85(1):105-112.  
685 doi:<http://dx.doi.org/10.4269/ajtmh.2011.10-0640>
- 686 47. Keely SP, Stringer JR, Baughman RP, Linke MJ, Walzer PD, Smulian AG.  
687 Genetic Variation among *Pneumocystis carinii* hominis Isolates in Recurrent  
688 Pneumocystosis. *J Infect Dis.* 1995;172(2):595-598. doi:10.1093/infdis/172.2.595
- 689 48. Tsolaki AG, Miller RF, Underwood AP, Banerji S, Wakefield AE. Genetic diversity  
690 at the internal transcribed spacer regions of the rRNA operon among isolates of  
691 *Pneumocystis carinii* from AIDS patients with recurrent pneumonia. *J Infect Dis.*  
692 1996;174(1):141-156. doi:10.1093/infdis/174.1.141

- 693 49. Parobek CM, Jiang LY, Patel JC, et al. Multilocus microsatellite genotyping array  
694 for investigation of genetic epidemiology of *Pneumocystis jirovecii*. *J Clin*  
695 *Microbiol.* 2014;52(5):1391-1399. doi:<https://dx.doi.org/10.1128/JCM.02531-13>
- 696 50. Alanio A, Gits-Muselli M, Mercier-Delarue S, Dromer F, Bretagne S. Diversity of  
697 *Pneumocystis jirovecii* during infection revealed by ultra-deep pyrosequencing.  
698 *Front Microbiol.* 2016;7(MAY). doi:10.3389/fmicb.2016.00733
- 699 51. Helweg-Larsen J, Lundgren B, Lundgren JD. Heterogeneity and  
700 compartmentalization of *Pneumocystis carinii* f. sp. hominis genotypes in autopsy  
701 lungs. *J Clin Microbiol.* 2001;39(10):3789-3792. doi:10.1128/JCM.39.10.3789-  
702 3792.2001
- 703 52. World Health Organisation. WHO case definitions of HIV for surveillance and  
704 revised clinical staging and immunological classification in adults and children.  
705 *HIV/AIDS Program policy Br.* 2007. <http://womenchildrenhiv.org>.
- 706 53. Deok-jong Yoo S, Worodria W, Davis JL, et al. The prevalence and clinical course  
707 of HIV-associated pulmonary cryptococcosis in Uganda. *J Acquir Immune Defic*  
708 *Syndr.* 2010;54(3):269-274. doi:10.1097/QAI.0b013e3181ce6b19
- 709  
710

711 **Figure/Table legends**

712

713 **Figure 1.** PRISMA diagram. AM – antemortem, CINAHL – Cumulative Index of Nursing  
714 and Allied Health, HIV – Human immunodeficiency virus, PCP – pneumocystis  
715 pneumonia, *P.jirovecii* – Pneumocystis jirovecii, PM – post-mortem, PRISMA –  
716 Preferred Reporting Items for Systematic Reviews and Meta-analysis

717 **Figure 2.** Pooled prevalence of Pneumocystis jirovecii in symptomatic HIV-positive  
718 adults, stratified by laboratory testing method (PCR versus microscopy). PCR –  
719 polymerase chain reaction.

720 **Table 1.** Study eligibility criteria

721 **Table 2.** Definitions of *Pneumocystis* colonization and PCP applied in the selection and  
722 interpretation of studies.

723 **Table 3.** Details of studies examining *Pneumocystis* colonization in symptomatic adults

724



725 **Tables**

726 **Table 3. Study eligibility criteria**

---

<b>Population</b>	<b>HIV-positive adults (<math>\geq 13</math> years of age) in Africa, with or without respiratory symptoms</b>
<b>Intervention</b>	Laboratory investigation (any PCR or microscopy staining method) for <i>Pneumocystis jirovecii</i> , on any respiratory sample (oral wash, sputum, endotracheal aspirate, bronchoalveolar lavage or biopsy) in at least 10% of enrolled cohort
<b>Comparator</b>	Nil
<b>Outcomes</b>	Proportion of HIV-positive adults, with or without respiratory symptoms, with detectable <i>Pneumocystis jirovecii</i> in those undergoing laboratory investigation (primary objective)  OR  Quantitative PCR fungal burden thresholds that differentiate between <i>Pneumocystis</i> colonization and confirmed PCP (laboratory detection of <i>Pneumocystis</i> plus compatible clinical syndrome) (secondary objective)  OR  Proportion of symptomatic HIV-positive adults undergoing laboratory investigation and colonized with <i>Pneumocystis jirovecii</i> (without evidence of laboratory-confirmed PCP) (secondary objective)
<b>Timing</b>	Enrolment after 1 January 1995

---

727 PCP – Pneumocystis pneumonia, PCR – polymerase chain reaction

728 **Table 4. Definitions of *Pneumocystis* colonization and PCP applied in the**  
 729 **selection and interpretation of studies.**

<b>Primary objectives</b>	
<i>Pneumocystis</i> colonization (asymptomatic adults)	Laboratory-detected <i>Pneumocystis jirovecii</i> in the absence of respiratory symptoms
<b>Secondary objectives</b>	
<i>Pneumocystis</i> colonization (symptomatic adults) <sup>3,4</sup>	PCR-detected <i>Pneumocystis jirovecii</i> and: <ol style="list-style-type: none"> <li>1. Negative microscopy with clinical improvement in the absence of PCP-specific treatment, or</li> <li>2. Negative microscopy and without supportive clinical or radiological features of PCP (as per study clinician and blinded radiologist assessment) or</li> <li>3. Organism burden below a predefined (laboratory, as well as population-specific) African qPCR colonization threshold i.e previously developed in a laboratory from samples obtained from a particular study group, then later reapplied, within that laboratory and replicating the established method, to individuals from the same community or target population</li> </ol>
PCP <sup>3,4,52</sup>	1. Microscopy detection of <i>Pneumocystis jirovecii</i> , with supportive clinical or radiological features (as per study clinician and

---

blinded radiologist assessment) and/or clinical improvement with  
PCP-specific treatment or

2. PCR-detected *Pneumocystis jirovecii* in symptomatic adults with  
organism burden exceeding a predefined (laboratory and  
population-specific) African qPCR colonization threshold.

---

730 PCP – Pneumocystis pneumonia qPCR – quantitative polymerase chain reaction

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

746

747

748 **Table 3. Details of studies examining *Pneumocystis* colonization in symptomatic**  
 749 **adults**

<b>Study</b>	<b>Proportion <i>Pneumocystis</i> colonized (%)</b>	<b>Criteria used, alongside negative microscopy, to exclude PCP in <i>Pneumocystis</i> colonized adults</b>	<b>Outcome in <i>Pneumocystis</i> colonized adults</b>
<b>†</b>			
<b>Expectorated sputum testing</b>			
Van Oosterhout (2007) <sup>29</sup>	9/95 (9.5)	Clinical recovery in the absence of PCP treatment (minimum 4 weeks follow up)	1 death (11% mortality rate) after 23 weeks follow-up.
Aderaye (2003) <sup>39</sup>	10/96 (10.4) ‡	Physician assessment at baseline and 2-3 day follow up, with blinded CXR review by chest physician and two independent radiologists	Not reported
<b>BAL testing</b>			
Taylor (2012) <sup>25</sup>	7/124 (5.6)	Standardised clinical assessment by study investigator with blinded CXR review by radiologist §	Significantly increased mortality in colonized versus non-colonized adults (71% versus 25%) over 2-month follow up.

Kibiki (2007) <sup>30</sup>	6/120 (5) ¶¶	Physician assessment with blinded CXR review by radiologist	Not reported
--------------------------------	--------------	---	--------------

---

750 †colonized cases (PCR positive, microscopy negative, without supportive clinical and  
751 radiological features of PCP) amongst symptomatic HIV-positive adults investigated for  
752 *Pneumocystis jirovecii*. A separate study exploring a qPCR threshold to distinguish  
753 between colonization and PCP reported 16% of 305 samples to yield a fungal burden  
754 below the colonization threshold of 78 copies/5µL of DNA; the number of *Pneumocystis*  
755 colonized adults (and not samples) was not reported and hence not included in this  
756 table.<sup>19</sup> ‡reported in a sub-group of 96 *Mycobacterium tuberculosis* culture-positive HIV-  
757 positive adults investigated for *Pneumocystis jirovecii*. The 10 patients with positive PCR  
758 and negative microscopy had neither clinical or radiological suspicion of PCP and were  
759 diagnosed, based on CXR, with pulmonary tuberculosis (n = 6), other pneumonia (n = 2),  
760 and two patients had normal CXRs. §conducted as part of a broader study examining the  
761 causes of HIV-associated opportunistic pneumonias in Uganda<sup>53</sup> - details of clinical and  
762 radiological features in colonized adults, or possible exposure to trimethoprim-  
763 sulfamethoxazole for treatment for another infection, not specifically reported. ¶¶eight  
764 adults had negative microscopy and positive PCR, but two had clinical features  
765 warranting introduction of trimethoprim-sulfamethoxazole by the attending physician and  
766 were excluded from our analysis. AFB – acid fast bacilli, BAL – bronchoalveolar lavage,  
767 CXR – chest X-ray, PCP – Pneumocystis pneumonia

768

769