

1 **The association between HIV-stigma and antiretroviral therapy adherence among**
2 **adults living with HIV: Baseline findings from the HPTN 071 (PopART) trial in Zambia**
3 **and South Africa**

4
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1 **Abstract**

2

3 **Objectives:** Adherence to antiretroviral therapy (ART) leads to viral suppression for people
4 living with HIV (PLHIV) and is critical for both individual health and reducing onward HIV
5 transmission. HIV-stigma is a risk factor that can undermine adherence. We explored the
6 association between HIV-stigma and self-reported ART adherence among PLHIV in 21
7 communities in the HPTN 071 (PopART) trial in Zambia and the Western Cape of South
8 Africa.

9

10 **Methods:** We conducted a cross-sectional analysis of baseline data collected between
11 2013-2015, before the roll out of trial interventions. Questionnaires were conducted and
12 consenting participants provided a blood sample for HIV testing. Poor adherence was
13 defined as self-report of not currently taking ART, missing pills over the previous 7 days or
14 stopping treatment in the previous 12 months. Stigma was categorised into three domains:
15 community, health setting and internalised stigma. Multivariable logistic regression was used
16 for analysis.

17

18 **Results:** Among 2,020 PLHIV self-reporting ever taking ART, 1888 (93%) were included in
19 multivariable analysis. Poor ART adherence was reported by 15.8% (n=320) of participants,
20 25.7% (n=519) reported experiencing community stigma, 21.5% (n=434) internalised stigma
21 and 5.7% (n=152) health-setting stigma. PLHIV who self-reported previous experiences of
22 community and internalised stigma more commonly reported poor ART adherence than
23 those who did not (aOR 1.63, 95%CI 1.21 -2.19, p=0.001 and aOR 1.31, 95%CI 0.96-1.79,
24 p=0.09).

25

26 **Conclusions:** HIV-stigma was associated with poor ART adherence. Roll-out of universal
27 treatment will see an increasingly high proportion of PLHIV initiated on ART. Addressing HIV
28 stigma could make an important contribution to supporting lifelong ART adherence.

29

30

31 **Clinical Trial Number:** NCT01900977

32

33

1 **Key Words**

2

3 Human immunodeficiency virus, antiretroviral therapy, treatment adherence, stigma, South

4 Africa, Zambia

1 **Introduction**

2

3 For people living with HIV (PLHIV), adherence to antiretroviral therapy (ART) is crucial for
4 viral suppression ¹⁻³ and reducing HIV-related morbidity and mortality ⁴, onward transmission
5 ⁵⁻⁷ and drug resistance ⁸. UNAIDS 90-90-90 targets captured the importance of achieving
6 high levels of HIV testing and ART coverage, with the “third 90” target being that by 2020
7 90% of those on ART were virally suppressed ⁹. In 2016, an estimated 89% of PLHIV in
8 Zambia who reported current ART use ¹⁰, and 85% of those registered in HIV care and
9 taking ART in South Africa ¹¹ were virally suppressed. Understanding the factors that
10 influence adherence to ART is crucial if high levels of viral suppression are to be sustained
11 and increased.

12

13 HIV-stigma can undermine ART adherence ¹²⁻¹⁷ and is a frequently reported barrier to
14 adherence in sub-Saharan Africa ¹³. HIV-stigma is common in both Zambia and South
15 Africa, with over 35% of PLHIV reporting some type of stigma ¹⁸. While ART adherence is
16 consistently found to be worse among individuals experiencing stigma than among those
17 who do not ¹⁹⁻²⁵, a 2013 review concluded that all but one study was at risk of bias, and most
18 had not used validated exposure or outcome measures ¹⁹. Currently, data come mostly from
19 facility-based or purposively sampled populations, and there is heterogeneity in the
20 measurement of both ART adherence and HIV-stigma.

21

22 We analysed baseline data from the HPTN 071 (PopART) trial ^{26,27} to explore the
23 association between HIV-stigma and ART adherence for adults with HIV in a random
24 population sample from 21 urban and peri-urban communities in Zambia and the Western
25 Cape of South Africa. Data were collected between 2013-2015, after more than 10 years of
26 scale-up of HIV treatment services and ART in both countries. We explored these
27 associations among individuals who started ART prior to the implementation of the PopART
28 universal test and treat (UTT) interventions.

1 **Methods**

2

3 HPTN071 (PopART) was a cluster-randomised trial conducted in Zambia and South Africa
4 to assess the impact of a combination of HIV prevention interventions, including household-
5 based HIV testing and an offer of universal ART initiation regardless of CD4 count or clinical
6 stage for those testing HIV-positive, on HIV infection rates. Twenty-one urban communities
7 were purposively selected for inclusion in the trial if they had a health facility offering HIV and
8 TB services, high HIV prevalence and a population of >20,000. In each country, study
9 communities were matched in triplets based on HIV prevalence and geographic proximity,
10 and then randomised to one of three trial arms ^{26,27}.

11

12 Between November 2013 and March 2015 approximately 2000 individuals were enrolled in
13 each study community as a *'population cohort'* to assess the effect of trial interventions on
14 primary and secondary outcomes. From a simple random sample of households, household
15 members were enumerated and one adult (18-44 years) per household randomly selected
16 for inclusion in the cohort. Selected adults were asked for consent to enrol in the study and
17 participate in a baseline survey and three follow up surveys. For those giving consent, a
18 venous blood sample was taken and analysed in-country using a single fourth generation
19 serologic assay. A second fourth generation assay was used to confirm HIV-positive results
20 and any discrepancies tested with additional assays to confirm HIV status. The baseline
21 survey was conducted using face-to-face interviewer administered questionnaires, with data
22 collected on electronic devices. Participants were asked about their HIV status and if they
23 were happy to do so, share the results of their last HIV test. All participants were offered an
24 on-the-spot rapid HIV test.

25

26 Our analysis was restricted to individuals who self-reported living with HIV, with confirmation
27 from the laboratory HIV testing. Among this group, individuals were included if they reported
28 ever starting ART before the 1st January 2014. We excluded participants if they had no
29 information on the year of starting ART, or reported starting ART for the prevention of
30 mother to child transmission of HIV (PMTCT) but were no longer taking it, as this may have
31 been due to earlier initiation guidelines and not reflect non-adherence. We excluded
32 respondents if they had incomplete outcome data or missing data on all stigma questions.

33

34 We created a primary outcome variable from three survey questions on ART adherence. We
35 defined poor adherence as 'respondents self-reporting that they had ever started ART but
36 were not currently taking ART, or currently taking ART but had either stopped in the past 12
37 months, or missed pills in the past seven days'. To explore whether our findings were

1 sensitive to our primary definition of adherence we looked at a secondary outcome,
2 restricting our definition to those reporting they were currently taking ART but had missed
3 taking pills in the previous seven days. Both outcome variables were binary.

4
5 We used 11 survey questions on HIV-stigma to generate composite 'yes/no' binary variables
6 for experienced community stigma, experienced health-setting stigma and current
7 internalised stigma. Composite variables were only generated for participants responding to
8 all stigma questions contributing to that variable. Responses on internalised stigma were
9 given on a 4-point Likert scale (0=strongly disagree, 1=disagree, 2=agree, 3=strongly
10 agree), and later aggregated for each question (0/1=disagree. 2/3=agree). Questions on
11 community and health-setting stigma used pre-coded response categories capturing the
12 frequency of experiences during the last year (0=never, 1=once, 2=a few times, 3=often,
13 4=not applicable because no one knows my status ('never disclosed')). Those responding
14 'never' or 'never disclosed' were categorised as 'never experiencing either community or
15 health-setting stigma'. To create the three variables, respondents who disagreed or never
16 experienced stigma on all the questions related to that variable were grouped as 'never
17 experiencing' that type of stigma. Those agreeing or experiencing stigma on ≥ 1 question
18 were categorised as 'ever experiencing' that type of stigma ¹⁸. Our stigma measures were
19 aligned with standardised measures that were approved by the UNAIDS' monitoring and
20 evaluation reference group (MERG) in 2014 ^{18,28,29}

21
22 *A priori* knowledge on risk factors for ART adherence informed decisions on other
23 explanatory variables to explore for inclusion in analysis. We considered demographic
24 variables (country, community/study triplet, gender, age and marital status), socio-economic
25 factors (education, wealth, employment status and food security), mobility factors (nights
26 spent away from home), behavioural factors (alcohol and drug use) and HIV-specific factors
27 (year of HIV diagnosis, time on ART, hiding pills (responding to the question "Have you ever
28 hidden your ART pills so that others couldn't see them"), HIV status disclosure and reason
29 for starting ART). For alcohol use we categorised respondents using scores from the WHO
30 Alcohol Use Disorders Identification Test (AUDIT) ³⁰ and for wealth we used quintiles
31 derived using principal component analysis. The group identified at lowest risk of the
32 outcome was used as the reference category. Where this was unclear, we used the group
33 with the largest numbers.

34
35 We developed a conceptual framework (Figure 1) to structure our analysis using a
36 hierarchical approach ³¹ based on previous work conceptualising HIV-stigma ³² and

1 associations between stigma and ART adherence ¹⁹. We conducted analyses for the study
2 population and then separately for each country.

3
4 **[Insert]** Figure 1: Conceptual Framework

5
6 We first described our study participants. Second, we described the distribution of ART
7 adherence, HIV-stigma and other explanatory variables. Third, we used logistic regression to
8 estimate unadjusted associations between HIV-stigma and ART adherence. We also
9 estimated unadjusted associations between the other covariates and ART adherence and
10 did the same for HIV-stigma to understand potential confounding factors and identify
11 variables to consider further in multivariable models. We conducted an analysis of the
12 association between HIV-stigma and ART adherence, stratified on the other explanatory
13 variables that were considered *a priori* confounders, and also those showing evidence of
14 associations ($p < 0.05$) with adherence from our earlier unadjusted analysis.

15
16 Last, we conducted an adjusted analysis using multivariable logistic regression. We included
17 groups of variables in our models in the stages identified in our conceptual framework, in
18 order of their proximity to the outcome. Variables were included if they were considered
19 potential confounders, either *a priori* and/or those showing an unadjusted association
20 ($p < 0.05$) with the outcome. We excluded variables from our model if they were perceived to
21 be on the causal pathway between stigma and ART adherence. To control for confounding
22 by community-level factors we adjusted for study community (in Zambia) and study triplet (in
23 South Africa) in all multivariable analysis. Study triplet was used instead of community in
24 South Africa due to small numbers in the study population for several communities. The
25 same series of models were built for each of the three stigma variables. We considered
26 internalised stigma proximal to ART adherence and community and health setting stigma
27 distal, adjusting a final set of models for each of the experienced stigmas (health setting and
28 community) to account for this. We ran our models again with our restricted outcome
29 definition (only those reporting they were currently taking ART but had missed taking pills in
30 the previous seven days).

31
32 Written informed consent was obtained for all respondents enrolled in the population cohort.
33 Ethics approval was obtained for the HPTN 071 (PopART) trial from the University of
34 Zambia, Stellenbosch University, London School of Hygiene and Tropical Medicine.

1 **Results**

2

3 Our analysis initially included 2020 PLHIV (Zambia n=1099; South Africa n=921) (Figure 2).

4 The number of individuals per community ranged from three to 250, with a higher proportion

5 of women (88.6%) than men (11.4%). 76.6% of the study population were over the age of

6 30, and 6.3% aged 18-24 years. Approximately half the population (49%) were married or

7 living as married, but with a higher proportion in Zambia (62.3%) than South Africa (33.1%).

8 Upper secondary school or University education was reached by 45.5% of respondents,

9 although this proportion was notably higher in South Africa (70.1%) than Zambia (24.8%).

10 Similar proportions of the study population were diagnosed with HIV each year, from before

11 2007 up until 2012. Only 6.4% of respondents were initiated on ART prior to 2005, with

12 >60% starting ART after 2010 in both countries. Disclosure of HIV status (to friends, a

13 religious leader, a health worker, family, or a partner) was high, at 96.4% and 97.7% for

14 Zambia and South Africa respectively. 28% of the study population reported hiding their ART

15 pills, with a higher proportion in Zambia (40.7%) than South Africa (12.9%). Missing data on

16 all variables was minimal, ranging from 0-2.5% in Zambia and 0-2.7% in South Africa (Table

17 1).

18

19 **[Insert]** Figure 2: Study Population

20 **[Insert]** Table 1: Study Population Characteristics

21

22 Poor adherence to ART was reported by 320 (15.8%) respondents, with similar country

23 specific findings (Zambia n=186, 16.9%; SA n=134, 14.5%). Most of those categorised as

24 poor adherers reported '*missing pills in the past seven days*' (n=244). Thirty-two

25 respondents reported that they were not currently taking ART and 80 respondents reported

26 stopping in the previous 12 months. Poor adherence was slightly higher for men (18.7%)

27 than women (15.5%), with similar distributions in each country (Table 2).

28

29 **[Insert]** Table 2: Distribution of ART adherence and HIV-stigma

30

31 Stigma experienced in the community was most frequently reported (overall 25.7%; Zambia

32 28.8%; SA 21.9%), then internalised stigma (overall 21.5%; Zambia 23.4%; SA 19.2%).

33 Stigma experienced in health care settings was less frequently reported (overall 7.5%;

34 Zambia 6%; SA 9.3%) (Table 2)

35

36 Among the total study population those reporting stigma experienced in the community or

37 internalised stigma were more likely to be non-adherent than those who did not, with

1 unadjusted ORs of 1.68 (95%CI 1.29-2.18, $p<0.001$) and 1.52 (95%CI 1.15-2.01, $p=0.003$)
2 respectively. Those experiencing health setting stigma were only slightly more likely to be
3 non-adherent to ART than those who did not (OR 1.19, 95%CI 0.76-1.85, $p=0.45$). Country
4 specific estimates were similar. In Zambia, those experiencing community stigma had 1.89
5 (95%CI 1.35-2.65, $p<0.001$) the odds of poor adherence, and those reporting internalised
6 stigma 1.62 (95%CI 1.13-2.3, $p=0.008$) the odds of poor adherence. In South Africa, the
7 association between each of community and internalised stigma and poor adherence, gave
8 ORs of 1.32 (95%CI 0.85-2.05, $p=0.22$) and 1.34 (95%CI 0.85-2.11, $p=0.21$) respectively
9 (Table 4).

10

11 In the total study population, poor ART adherence was associated with explanatory variables
12 including community/triplet ($p<0.001$), higher alcohol consumption ($p<0.001$), lower
13 educational attainment ($p=0.04$), increased mobility ($p<0.001$) and hiding pills ($p=0.03$). Of
14 these, community/triplet showed strong evidence of an association with all three stigma
15 variables (all $p<0.001$). Higher alcohol consumption was associated with internalised stigma
16 ($p<0.001$) and hiding pills was associated with both internalised and health setting stigma
17 ($p<0.001$ and $p=0.02$ respectively), but there was no evidence of an association with
18 experienced community stigma ($p=0.73$). These associations differed slightly in each
19 country, for example, there was evidence that education was associated with poor
20 adherence in South Africa but not Zambia, and mobility in Zambia but not South Africa
21 (Table 3).

22

23 **[Insert]** Table 3: Logistic regression estimates of odds ratios for each variable with ART
24 adherence

25

26 Stigma experienced in the community was more likely to be reported by those who had
27 disclosed their HIV status to their family (OR 1.42 95%CI 1.08-1.87, $p=0.01$), or friends (OR
28 1.38 95%CI 1.05-1.81, $p=0.02$). There was little evidence that food security was associated
29 with ART adherence (OR 1.03 95%CI 0.75-1.42, $p=0.83$), but strong evidence that those
30 experiencing HIV-stigma were more likely to be food insecure than those who did not
31 (community, OR 1.88, 95%CI 1.53-2.32, $p<0.001$, internalised, OR 1.72 95%CI 1.38-2.14,
32 $p<0.001$ and health setting, OR 95%CI, $p=0.02$).

33

34 Multivariable analysis was restricted to individuals with complete data on all variables (Total
35 $n=1888$; Zambia $n=1034$, South Africa $n=854$). After adjusting for the potential confounding
36 effects of demographic, socio-economic, mobility and behavioural factors, and for the other
37 domains of stigma in line with our conceptual framework, there remained strong evidence of

1 an association between experienced community stigma and ART adherence (aOR 1.63,
2 95%CI 1.21 -2.19, p=0.001) but not internalised stigma and ART adherence (aOR 1.31,
3 95%CI 0.96-1.79, p=0.09) or health setting stigma and ART adherence (aOR 1.05; 95%CI
4 0.64-1.72; p=0.86) (Table 4).

5

6 **[Insert]** Table 4: Logistic regression estimates of odds ratios for each stigma variable and
7 ART adherence

8

9 In Zambia, there was strong evidence of an association between stigma experienced in the
10 community poor adherence (aOR 2.03, 95%CI 1.40-2.94, p<0.001), weak evidence of an
11 association between internalised stigma and poor adherence (aOR 1.44; 95%CI 0.97-2.14;
12 p=0.09) and no evidence of an association between health setting stigma and poor
13 adherence (aOR 0.80; 95%CI 0.39-1.65; p=0.54) (Table 4).

14

15 In South Africa, there was a stronger association between health setting stigma and ART
16 adherence than in Zambia, although the evidence for this association was weak (aOR 1.66
17 95%CI 0.79-3.47, p=0.18). For community and internalised stigma odds ratios were close to
18 1, and there was no evidence of associations with either (Table 4).

19

20 Although the odds of poor adherence for those reporting stigma experienced in the
21 community were different in each country (aOR 2.03 in Zambia vs aOR 1.01 in South
22 Africa), there was only weak evidence that these associations were different (p=0.08).
23 There was no evidence that the associations for health setting stigma and ART adherence
24 (p=0.38) and internalised stigma and ART adherence (p=0.57) differed in Zambia and South
25 Africa.

26

27 We conducted further analysis, restricting our outcome to individuals reporting they were
28 currently on ART (n=1861), and defining non-adherence as missing pills in the previous 7
29 days. Findings from our adjusted models for the whole study population were similar to our
30 primary definition of ART adherence (community stigma aOR 1.60 95% CI 1.15-2.22
31 p=0.005, internalised stigma aOR 1.28 95% CI 0.90-1.81, p=0.17; health setting stigma aOR
32 0.86 96% CI 0.48-1.53 p=0.60) (Supplementary Table 1).

33

1 Discussion

2

3 Among a large population sample of PLHIV reporting ever taking ART in the 21 communities
4 included in the HPTN 071 (PopART) study in Zambia and South Africa, 16% reported one or
5 more of missing pills in the previous seven days (12%), currently taking ART but having
6 stopped during the previous 12 months (4%), or no longer taking ART (2%). Approximately
7 25% reported ever experiencing community stigma, 20% internalised stigma and 8% health
8 setting stigma. PLHIV reporting stigma experienced in the community were more than 1.5
9 times more likely to report poor ART adherence than those who did not.

10

11 In Zambia, participants reporting experiences of community stigma were twice as likely to
12 report poor adherence as those who did not, but we saw no such association in South
13 Africa. Although there was only weak evidence that these associations were different in each
14 country, it is also possible that they represent the different contexts. HIV stigma and poor
15 adherence were both more common in Zambian than South African study communities. In
16 the South Africa, a strong history of community led HIV treatment advocacy and awareness
17 could have mitigated HIV-stigma and its effect on ART adherence.

18

19 Health setting stigma was less frequently reported and may play a less important role in
20 adherence because people generally take their pills away from a health facility. In both
21 countries, the association between internalised stigma and ART adherence was partly
22 explained after adjustments were made for experienced stigma in community or health
23 settings. We hypothesised that stigma experienced in the community may itself cause
24 internalised stigma.

25

26 Our findings are similar to previous cross-sectional studies looking at stigma and ART
27 adherence ¹⁹⁻²⁵, yet direct comparisons are challenging due to variation in the specific
28 measures used to look at these concepts. Variation also exists in the statistical adjustments
29 made when investigating these associations. We made our own theoretical assumptions on
30 factors to include in our multivariable models. Alcohol was considered a potential
31 confounder, as it has been in other studies exploring these associations ^{19,22,33}. Some
32 studies have however identified alcohol as a means of coping with HIV status ¹⁹,
33 compromising ability to adhere to treatment. Similarly, wealth was treated as a confounding
34 factor in our analysis, but the relationship between economic security and HIV-related
35 stigma is likely to be more complicated and potentially '*mutually reinforcing*' ¹⁹. We did not
36 treat hiding pills and HIV-status disclosure as confounders in our multivariable models as we
37 suggest these variables lie on the causal pathway between experience of stigma and ART

1 adherence. Including either of these variables in our models made little difference to the
2 associations we saw between stigma and ART adherence. Hiding pills has been frequently
3 reported in Zambia and South Africa ³⁴ and with strong unadjusted associations seen in this
4 study, would be useful to explore in further work on stigma related to HIV treatment.

5
6 Ours was a large study, we used validated measures of HIV-stigma ²⁹, and measured a
7 large number of characteristics providing the opportunity for a thorough assessment of
8 potential confounding. We looked at the association between three stigma “domains” on
9 adherence to ART, giving an opportunity to identify the specific areas of stigma that had the
10 strongest associations with ART adherence. We interpreted our findings based on a
11 conceptual framework that considered some of the latest thinking on HIV-stigma, enabling
12 wider comparison and contributing to existing work in this field. A composite measure of
13 ART adherence was used to ensure inclusion of poor adherence over a year, in line with our
14 stigma measures. In a systematic review of self-report measures, seven-day recall was most
15 commonly used and considered effective due to the inclusion of a shorter time period, whilst
16 covering a weekend (where adherence is often lower), but longer recall also considered
17 important for allowing greater variability in adherence ³⁵. We acknowledge that our
18 composite adherence outcome could measure slightly different concepts, but tested this
19 using a restricted outcome in our analysis and found similar results. There were relatively
20 few missing data.

21
22 There were also limitations. Our study communities were purposively sampled, and although
23 we consider our findings generalisable to socio-economically disadvantaged, peri-urban
24 communities with high HIV prevalence in Zambia and the Western Cape of South Africa ^{27,36},
25 the generalisability of our findings to other sub-Saharan African settings may be limited. The
26 greater proportion of women in our study population was reflective of the overall population
27 cohort and the higher HIV prevalence among women (26%) than men (12%) ²⁷, rather than a
28 selection bias among individuals who had ever taken ART. Yet this disparity limits the
29 generalisability of our findings to men, who in previous research have shown worse ART
30 adherence than women ^{15,37}. Our analysis excluded individuals who were not aware of or not
31 willing to report their HIV status, and those who reported no date for starting ART.

32 Experiences of stigma may have been different among those not willing to disclose their HIV
33 status to our research team, and may have led to an underestimation of HIV-stigma and of
34 its association with ART adherence. Underreporting of poor ART adherence was possible
35 due to it being contrary to clinical guidance. However, the extent of under-reporting to our
36 research team was unlikely to differ according to an individual’s experience of stigma, and
37 so it is unlikely to have introduced bias to our findings. Additionally, our findings of

1 approximately 84% adherence are compatible with viral suppression data on a random sub-
2 sample of individuals who were HIV-positive at the time of the baseline survey; these data
3 indicated that approximately 90% of HIV-positive individuals who were taking ART were
4 virally suppressed ²⁷. Other factors also relied on self-report and were potentially prone to
5 either under or over-reporting (e.g. alcohol consumption and wealth). Stigma questions
6 specifically relating to HIV treatment ³⁸ may have given a more specific indication of
7 mechanisms for non-adherence and would be useful for consideration in future work.

8
9

10 **Conclusions**

11

12 Our analysis has provided additional evidence that HIV-related stigma is associated with
13 poor ART adherence and has identified the relative importance of the different types and
14 components of stigma among a large sample of PLHIV across 21 communities in Zambia
15 and South Africa. If we are to reach viral suppression among 90% of people on ART by
16 2020, and 95% by 2030, it will be important to learn whether interventions that reduce HIV-
17 stigma could also improve lifelong adherence to ART.

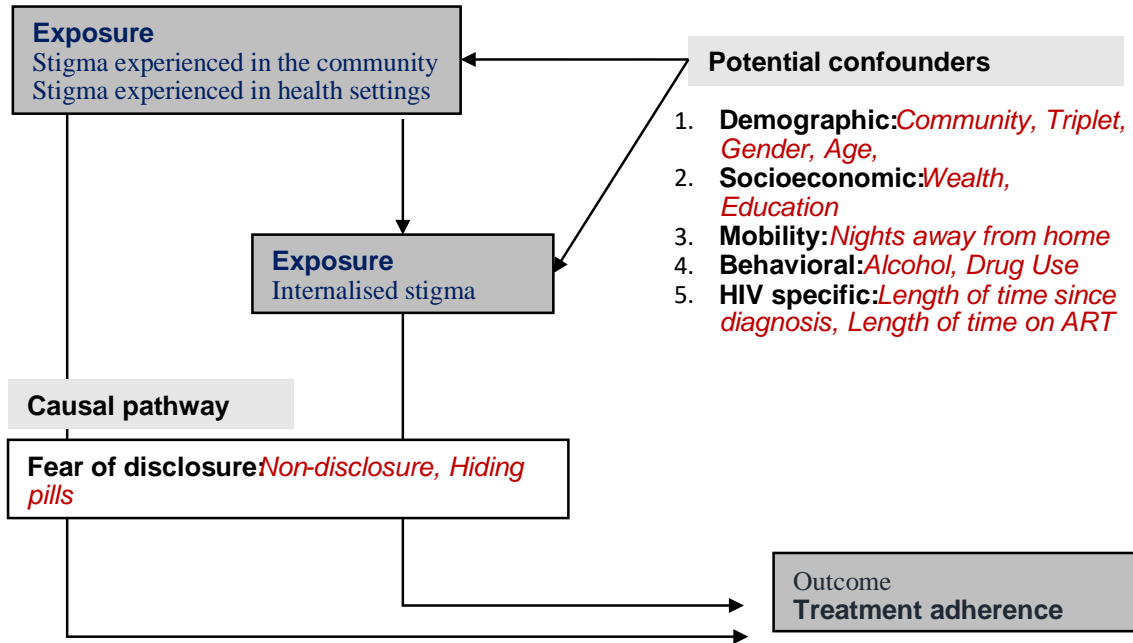
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1 **Figures**

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3 **Figure 1: Conceptual Framework**

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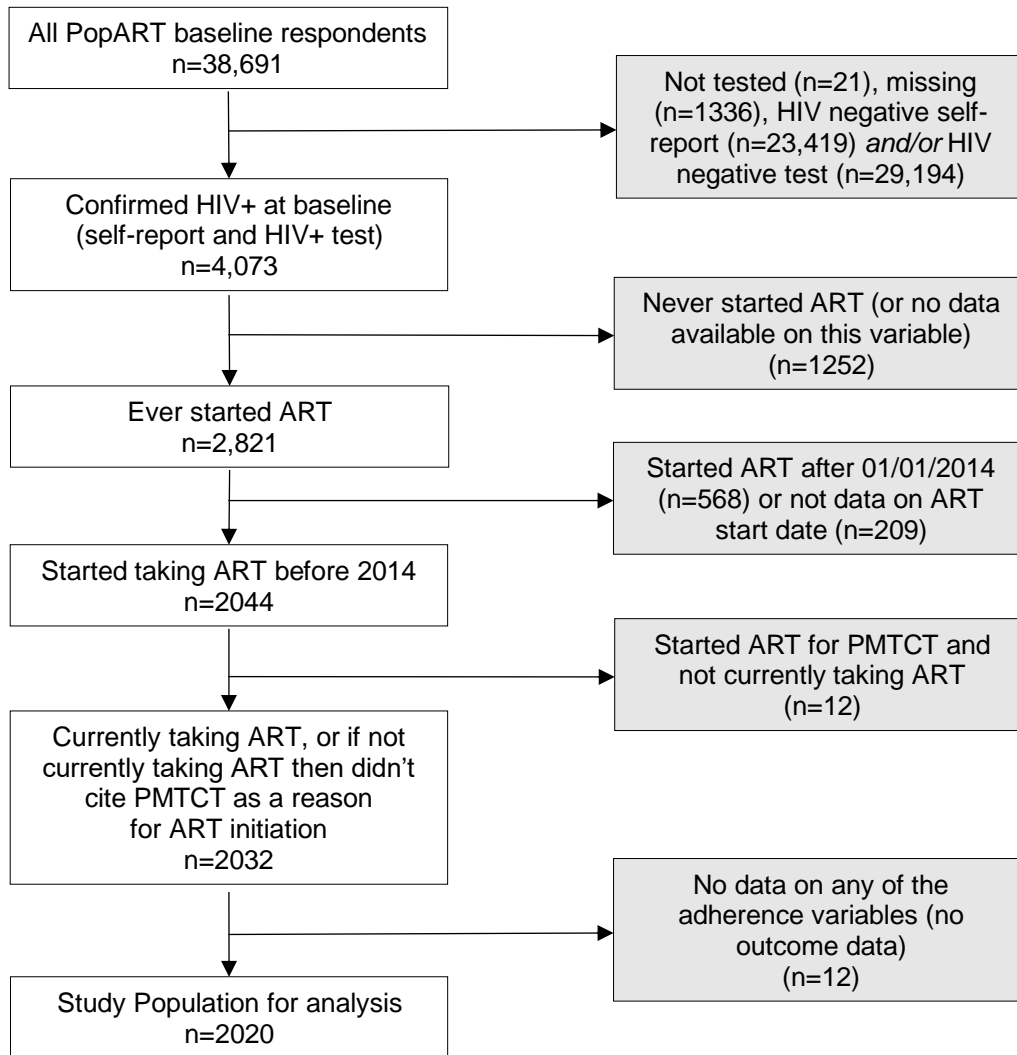
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1 **Figure 2: Study Population**

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Tables

Table 1: Study Population Characteristics

| | Total study population | | Zambia | | South Africa | |
|--------------------------------------|------------------------|----------|--------|----------|--------------|---------|
| | n | n/2020 % | n | n/1099 % | n | n/921 % |
| Demographic characteristics | | | | | | |
| Gender | | | | | | |
| Female | 1790 | 88.6% | 950 | 86.4% | 840 | 91.2% |
| Male | 230 | 11.4% | 149 | 13.6% | 81 | 8.8% |
| Age | | | | | | |
| 18-24 | 128 | 6.3% | 76 | 6.9% | 52 | 5.6% |
| 25-29 | 344 | 17.0% | 165 | 15.0% | 179 | 19.4% |
| 30-34 | 521 | 25.8% | 272 | 24.7% | 249 | 27.0% |
| 35-39 | 567 | 28.1% | 310 | 28.2% | 257 | 27.9% |
| >40 | 459 | 22.7% | 275 | 25.0% | 184 | 20.0% |
| Missing | 1 | 0.0% | 1 | 0.1% | | 0.0% |
| Study Triplet | | | | | | |
| | - | - | 258 | 23.5% | 529 | 57.4% |
| | - | - | 278 | 25.3% | 292 | 31.7% |
| | - | - | 291 | 26.5% | 100 | 10.9% |
| | - | - | 272 | 24.7% | | |
| Marital status | | | | | | |
| Married | 990 | 49.0% | 685 | 62.3% | 305 | 33.1% |
| Divorced/Separated | 246 | 12.2% | 214 | 19.5% | 32 | 3.5% |
| Widowed | 146 | 7.2% | 127 | 11.6% | 19 | 2.1% |
| Never married | 636 | 31.5% | 73 | 6.6% | 563 | 61.1% |
| Missing | 2 | 0.1% | 0 | 0.0% | 2 | 0.2% |
| Socioeconomic characteristics | | | | | | |
| Wealth quintile | | | | | | |
| 1 – Lowest | 536 | 26.5% | 295 | 26.8% | 241 | 26.2% |
| 2 | 426 | 21.1% | 173 | 15.7% | 253 | 27.5% |
| 3 | 422 | 20.9% | 219 | 19.9% | 203 | 22.0% |
| 4 | 408 | 20.2% | 249 | 22.7% | 159 | 17.3% |
| 5 – Highest | 223 | 11.0% | 163 | 14.8% | 60 | 6.5% |
| Missing | 5 | 0.2% | 0 | 0.0% | 5 | 0.5% |
| Education | | | | | | |
| None/Primary | 558 | 27.6% | 468 | 42.6% | 90 | 9.8% |
| Lower Secondary | 527 | 26.1% | 354 | 32.2% | 173 | 18.8% |
| Upper Secondary/ University | 919 | 45.5% | 273 | 24.8% | 646 | 70.1% |
| Missing | 16 | 0.8% | 4 | 0.4% | 12 | 1.3% |
| Currently working | | | | | | |
| No | 1494 | 74.0% | 802 | 73.0% | 692 | 75.1% |
| Yes | 526 | 26.0% | 297 | 27.0% | 229 | 24.9% |
| Food security | | | | | | |
| No | 1225 | 60.6% | 605 | 55.1% | 432 | 46.9% |
| Yes | 793 | 39.3% | 489 | 44.5% | 487 | 52.9% |
| Missing | 2 | 0.1% | 5 | 0.5% | 2 | 0.2% |
| Mobility characteristics | | | | | | |
| Nights away from home [†] | | | | | | |
| No | 1685 | 83.4% | 876 | 79.7% | 809 | 87.8% |
| Yes | 322 | 15.9% | 216 | 19.7% | 106 | 11.5% |
| Missing | 13 | 0.6% | 7 | 0.6% | 6 | 0.7% |
| Behavioural characteristics | | | | | | |
| Alcohol Audit score | | | | | | |
| Score 0-7 | 1771 | 87.7% | 967 | 88.0% | 804 | 87.3% |
| Score 8-15 | 155 | 7.7% | 85 | 7.7% | 70 | 7.6% |
| Score 16+ | 42 | 2.1% | 20 | 1.8% | 22 | 2.4% |
| Missing | 52 | 2.6% | 27 | 2.5% | 25 | 2.7% |
| Drug use (past 12 months) | | | | | | |
| No | 1988 | 98.4% | 1076 | 97.9% | 912 | 99.0% |
| Yes | 22 | 1.1% | 16 | 1.5% | 6 | 0.7% |
| Missing | 10 | 0.5% | 7 | 0.6% | 3 | 0.3% |

| HIV specific characteristics | | | | | | | |
|--|------|-------|------|-------|-----|-------|--|
| Year of HIV diagnosis | | | | | | | |
| Before 2009 | 421 | 20.8% | 170 | 15.5% | 251 | 27.3% | |
| 2007-2008 | 334 | 16.5% | 176 | 16.0% | 158 | 17.2% | |
| 2009-2010 | 438 | 21.7% | 260 | 23.7% | 178 | 19.3% | |
| 2011-2012 | 436 | 21.6% | 261 | 23.8% | 175 | 19.0% | |
| 2013-2014 | 275 | 13.6% | 165 | 15.0% | 110 | 11.9% | |
| <i>Missing</i> | 116 | 5.7% | 67 | 6.1% | 49 | 5.3% | |
| First started ART | | | | | | | |
| 1996-2005 | 130 | 6.4% | 69 | 6.3% | 61 | 6.6% | |
| 2006-2009 | 593 | 29.4% | 323 | 29.4% | 270 | 29.3% | |
| 2010-2011 | 500 | 24.8% | 283 | 25.8% | 217 | 23.6% | |
| 2012-2013 | 797 | 39.5% | 424 | 38.6% | 373 | 40.5% | |
| Hiding pills | | | | | | | |
| No | 1445 | 71.5% | 645 | 58.7% | 800 | 86.9% | |
| Yes | 566 | 28.0% | 447 | 40.7% | 119 | 12.9% | |
| <i>Missing</i> | 9 | 0.4% | 7 | 0.6% | 2 | 0.2% | |
| HIV status disclosure | | | | | | | |
| Disclosed to anyone | | | | | | | |
| No | 61 | 3.0% | 40 | 3.6% | 21 | 2.3% | |
| Yes | 1959 | 97.0% | 1059 | 96.4% | 900 | 97.7% | |
| Disclosed to friends | | | | | | | |
| No | 1711 | 84.7% | 980 | 89.2% | 731 | 79.4% | |
| Yes | 309 | 15.3% | 119 | 10.8% | 190 | 20.6% | |
| Disclosed to religious leader | | | | | | | |
| No | 1969 | 97.5% | 1064 | 96.8% | 905 | 98.3% | |
| Yes | 51 | 2.5% | 35 | 3.2% | 16 | 1.7% | |
| Disclosed to health care worker | | | | | | | |
| No | 1892 | 93.7% | 1020 | 92.8% | 872 | 94.7% | |
| Yes | 128 | 6.3% | 79 | 7.2% | 49 | 5.3% | |
| Disclosed to family | | | | | | | |
| No | 406 | 20.1% | 235 | 21.4% | 171 | 18.6% | |
| Yes | 1614 | 79.9% | 864 | 78.6% | 750 | 81.4% | |
| Disclosed to partner | | | | | | | |
| No | 1024 | 50.7% | 505 | 46.0% | 519 | 56.4% | |
| Yes | 996 | 49.3% | 594 | 54.0% | 402 | 43.6% | |
| Primary reason for starting ART | | | | | | | |
| Started for PMTCT | | | | | | | |
| No | 1760 | 87.1% | 958 | 87.2% | 802 | 87.1% | |
| Yes | 260 | 12.9% | 141 | 12.8% | 119 | 12.9% | |
| Recommend by health worker | | | | | | | |
| No | 1330 | 65.8% | 616 | 56.1% | 714 | 77.5% | |
| Yes | 690 | 34.2% | 483 | 43.9% | 207 | 22.5% | |
| Started to protect partner | | | | | | | |
| No | 1828 | 90.5% | 973 | 88.5% | 855 | 92.8% | |
| Yes | 192 | 9.5% | 126 | 11.5% | 66 | 7.2% | |
| Started for own health | | | | | | | |
| No | 938 | 46.4% | 473 | 43.0% | 465 | 50.5% | |
| Yes | 1082 | 53.6% | 626 | 57.0% | 456 | 49.5% | |
| † >1 in the past 3 months | | | | | | | |

Table 2: Distribution of ART adherence and HIV-stigma

| | | Total study population | | Zambia | | South Africa | |
|--|------------|------------------------|--------------|------------|--------------|--------------|--------------|
| | | n | n/2020 % | n | n/1099 % | n | n/921 % |
| ART Adherence | | | | | | | |
| Currently taking ART | Yes | 1,988 | 98.4% | 1092 | 99.4% | 896 | 97.3% |
| | No | 32 | 1.6% | 7 | 0.6% | 25 | 2.7% |
| Stopped ART in the past 12 months | Yes | 80 | 4.0% | 36 | 3.3% | 44 | 4.8% |
| | No | 1,908 | 94.5% | 1056 | 96.1% | 852 | 92.5% |
| | Missing | 32 | 1.6% | 7 | 0.6% | 25 | 2.7% |
| Missed pills in the past 7 days | Yes | 244 | 12.1% | 153 | 13.9% | 91 | 9.9% |
| | No | 1,744 | 86.3% | 939 | 85.4% | 805 | 87.4% |
| | Missing | 32 | 1.6% | 7 | 0.6% | 25 | 2.7% |
| ART adherence | | | | | | | |
| | Yes | 1,700 | 84.2% | 913 | 83.1% | 787 | 85.5% |
| | No | 320 | 15.8% | 186 | 16.9% | 134 | 14.5% |
| HIV Stigma | | | | | | | |
| I have lost respect or standing in the community because of my HIV status | Disagree | 1,732 | 85.7% | 919 | 83.6% | 813 | 88.3% |
| | Agree | 258 | 12.8% | 161 | 14.6% | 97 | 10.5% |
| | Missing | 30 | 1.5% | 19 | 1.7% | 11 | 1.2% |
| I think less of myself | Disagree | 1,763 | 87.3% | 952 | 86.6% | 811 | 88.1% |
| | Agree | 240 | 11.9% | 137 | 12.5% | 103 | 11.2% |
| | Missing | 17 | 0.8% | 10 | 0.9% | 7 | 0.8% |
| I have felt ashamed because of my HIV status | Disagree | 1,758 | 87.0% | 945 | 86.0% | 813 | 88.3% |
| | Agree | 242 | 12.0% | 141 | 12.8% | 101 | 11.0% |
| | Missing | 20 | 1.0% | 13 | 1.2% | 7 | 0.8% |
| | No | 1,552 | 76.8% | 819 | 74.5% | 733 | 79.6% |
| Internalised Stigma | | | | | | | |
| | Yes | 434 | 21.5% | 257 | 23.4% | 177 | 19.2% |
| | Missing | 34 | 1.7% | 23 | 2.1% | 11 | 1.2% |
| People have talked badly about me because of my HIV status | None | 1,617 | 80.0% | 846 | 77.0% | 771 | 83.7% |
| | Some | 382 | 18.9% | 238 | 21.7% | 144 | 15.6% |
| | Missing | 21 | 1.0% | 15 | 1.4% | 6 | 0.7% |
| I have been verbally insulted, harassed and/or threatened because of my HIV status | None | 1,803 | 89.3% | 972 | 88.4% | 831 | 90.2% |
| | Some | 200 | 9.9% | 116 | 10.6% | 84 | 9.1% |
| | Missing | 17 | 0.8% | 11 | 1.0% | 6 | 0.7% |
| I have been physically assaulted because of my HIV status | None | 1,899 | 94.0% | 1046 | 95.2% | 853 | 92.6% |
| | Some | 106 | 5.2% | 43 | 3.9% | 63 | 6.8% |
| | Missing | 15 | 0.7% | 10 | 0.9% | 5 | 0.5% |
| Someone else disclosed my HIV status without my permission | None | 1,682 | 83.3% | 904 | 82.3% | 778 | 84.5% |
| | Some | 314 | 15.5% | 184 | 16.7% | 130 | 14.1% |
| | Missing | 24 | 1.2% | 11 | 1.0% | 13 | 1.4% |
| I have felt that people have not wanted to sit next to me because of my HIV status | None | 1,915 | 94.8% | 1060 | 96.5% | 855 | 92.8% |
| | Some | 89 | 4.4% | 31 | 2.8% | 58 | 6.3% |
| | Missing | 16 | 0.8% | 8 | 0.7% | 8 | 0.9% |
| | No | 1,468 | 72.7% | 764 | 69.5% | 704 | 76.4% |
| Experienced stigma in the community | | | | | | | |
| | Yes | 519 | 25.7% | 317 | 28.8% | 202 | 21.9% |
| | Missing | 33 | 1.6% | 18 | 1.6% | 15 | 1.6% |
| Healthcare workers talked badly about me because of my HIV status | Disagree | 1,905 | 94.3% | 1050 | 95.5% | 855 | 92.8% |
| | Agree | 99 | 4.9% | 39 | 3.5% | 60 | 6.5% |
| | Missing | 16 | 0.8% | 10 | 0.9% | 6 | 0.7% |
| A health worker disclosed my HIV status without my permission | Disagree | 1,909 | 94.5% | 1054 | 95.9% | 855 | 92.8% |
| | Agree | 91 | 4.5% | 35 | 3.2% | 56 | 6.1% |
| | Missing | 20 | 1.0% | 10 | 0.9% | 10 | 1.1% |

| | | | | | | | |
|---|-----------------|--------------|--------------|-------------|--------------|------------|--------------|
| I have been denied health services because of my HIV status | Disagree | 1,939 | 96.0% | 1081 | 98.4% | 858 | 93.2% |
| | Agree | 65 | 3.2% | 10 | 0.9% | 55 | 6.0% |
| | <i>Missing</i> | 16 | 0.8% | 8 | 0.7% | 8 | 0.9% |
| Experienced stigma in health settings | Disagree | 1,844 | 91.3% | 1020 | 92.8% | 824 | 89.5% |
| | Agree | 152 | 7.5% | 66 | 6.0% | 86 | 9.3% |
| | <i>Missing</i> | 24 | 1.2% | 13 | 1.2% | 11 | 1.2% |

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Table 3: Univariable logistic regression estimates of odds ratios for each variable with ART adherence

| | Study Population (N=2020) | Non-adherence (n=320) | % | OR | 95% CI | p-value [†] |
|--|------------------------------|--------------------------|-------|------|-------------|----------------------|
| Demographic | | | | | | |
| Gender | | | | | | |
| Female | 1,790 | 277 | 15.5% | 1 | | 0.22 |
| Male | 230 | 43 | 18.7% | 1.26 | (0.88-1.79) | |
| Age | | | | | | |
| 18-24 | 128 | 19 | 14.8% | 0.97 | (0.56-1.68) | 0.50 |
| 25-29 | 344 | 66 | 19.2% | 1.32 | (0.91-1.91) | |
| 30-34 | 521 | 79 | 15.2% | 0.99 | (0.70-1.41) | |
| 35-39 | 567 | 86 | 15.2% | 0.99 | (0.71-1.40) | |
| >40 | 459 | 70 | 15.3% | 1 | | |
| Study Triplet | | | | | | |
| Zambia - 1 | 258 | 53 | 20.5% | 1 | | <0.001 |
| Zambia - 2 | 278 | 46 | 16.5% | 0.77 | (0.50-1.19) | |
| Zambia - 3 | 291 | 63 | 21.6% | 1.07 | (0.71-1.61) | |
| Zambia - 4 | 272 | 24 | 8.8% | 0.37 | (0.22-0.63) | |
| SA - 5 | 529 | 65 | 12.3% | 0.54 | (0.36-0.81) | |
| SA - 6 | 292 | 49 | 16.8% | 0.78 | (0.51-1.20) | |
| SA - 7 | 100 | 20 | 20.0% | 0.97 | (0.54-1.72) | |
| Socioeconomic | | | | | | |
| Wealth quintile | | | | | | |
| 1 - Lowest | 536 | 83 | 15.5% | 1 | | 0.06 |
| 2 | 426 | 70 | 16.4% | 1.07 | (0.76-1.52) | |
| 3 | 422 | 50 | 11.8% | 0.73 | (0.50-1.07) | |
| 4 | 408 | 73 | 17.9% | 1.19 | (0.84-1.68) | |
| 5 - Highest | 223 | 44 | 19.7% | 1.34 | (0.90-2.01) | |
| Missing | 5 | 5 | | | | |
| Education | | | | | | |
| None/Primary | 558 | 84 | 15.1% | 1 | | 0.04 |
| Lower Secondary | 527 | 103 | 19.5% | 1.37 | (1.00-1.88) | |
| Upper Secondary/ University | 919 | 133 | 14.5% | 0.95 | (0.71-1.28) | |
| Mobility | | | | | | |
| Nights away | | | | | | |
| No | 1,685 | 249 | 14.8% | 1 | | 0.002 |
| Yes | 322 | 71 | 22.0% | 1.63 | (1.21-2.19) | |
| Behavioural | | | | | | |
| Alcohol Audit score[‡] | | | | | | |
| Score 0-7 | 1,771 | 253 | 14.3% | 1 | | <0.001 |
| Score 8-15 | 155 | 40 | 25.8% | 2.09 | (1.42-3.06) | |
| Score 16+ | 42 | 14 | 33.3% | 3.00 | (1.56-5.78) | |
| Drug use (past 12 months) | | | | | | |
| No | 1,988 | 308 | 15.5% | 1 | | 0.06 |
| Yes | 22 | 7 | 31.8% | 2.55 | (1.03-6.29) | |
| HIV specific | | | | | | |
| Hiding pills | | | | | | |
| No | 1,445 | 212 | 14.7% | 1 | | 0.03 |
| Yes | 566 | 105 | 18.6% | 1.32 | (1.02-1.71) | |

HIV status disclosure

| | | | | | | |
|-----|-------|-----|-------|------|-------------|------|
| No | 61 | 12 | 19.7% | 1 | | 0.42 |
| Yes | 1,959 | 308 | 15.7% | 0.76 | (0.40-1.45) | |

Year of HIV diagnosis

| | | | | | | |
|-------------|-----|----|-------|------|-------------|------|
| Before 2007 | 421 | 64 | 15.2% | 1 | | 0.43 |
| 2007-2008 | 334 | 45 | 13.5% | 0.87 | (0.58-1.31) | |
| 2009-2010 | 438 | 70 | 16.0% | 1.06 | (0.73-1.53) | |
| 2011-2012 | 436 | 80 | 18.3% | 1.25 | (0.87-1.80) | |
| 2013-2014 | 275 | 47 | 17.1% | 1.15 | (0.76-1.74) | |

First started ART

| | | | | | | |
|-----------|-----|-----|-------|------|-------------|------|
| 1996-2005 | 130 | 20 | 15.4% | 0.87 | (0.52-1.45) | 0.46 |
| 2006-2009 | 593 | 84 | 14.2% | 0.79 | (0.59-1.06) | |
| 2010-2011 | 500 | 78 | 15.6% | 0.88 | (0.65-1.20) | |
| 2012-2013 | 797 | 138 | 17.3% | 1 | | |

† LRT for the overall association of the variable with ART adherence

‡ Low dependence 0-7, medium dependence 8-15, high dependence 16+

Table 4: Univariable and multivariable logistic regression estimates of odds ratios for each stigma variable and ART adherence

| | ART adherence | | Unadjusted models | | | Adjusted models [§] | | | Adjusted models [¶] | | |
|---------------------------------------|------------------|-------|--|-------------|----------------|------------------------------|-------------|----------------|------------------------------|-------------|----------------|
| | n/N [†] | % | OR | 95% CI | P _w | aOR | 95% CI | P _w | aOR | 95% CI | P _w |
| Total Study Population | N=2020 | | analysis restricted to n=1888 [‡] | | | | | | | | |
| Experienced stigma in the community | | | | | | | | | | | |
| No | 201/1468 | 13.7% | 1 | | | 1 | | | 1 | | |
| Yes | 110/519 | 21.2% | 1.68 | (1.29-2.19) | <0.001 | 1.65 | (1.25-2.18) | <0.001 | 1.63 | (1.21-2.19) | 0.001 |
| Experienced stigma in health settings | | | | | | | | | | | |
| No | 290/1844 | 15.7% | 1 | | | 1 | | | 1 | | |
| Yes | 27/152 | 17.8% | 1.19 | (0.76-1.86) | 0.44 | 1.38 | (0.87-2.20) | 0.17 | 1.05 | (0.64-1.72) | 0.86 |
| Internalised Stigma | | | | | | | | | | | |
| No | 228/1552 | 14.7% | 1 | | | 1 | | | 1 | | |
| Yes | 87/434 | 20.0% | 1.51 | (1.15-2.00) | 0.004 | 1.50 | (1.12-2.01) | 0.007 | 1.31 | (0.96-1.79) | 0.09 |
| Zambia | N=1099 | | analysis restricted to n=1034 [‡] | | | | | | | | |
| Experienced stigma in the community | | | | | | | | | | | |
| No | 106/764 | 13.9% | 1 | | | 1 | | | 1 | | |
| Yes | 75/317 | 23.7% | 1.89 | (1.35-2.65) | <0.001 | 1.98 | (1.38-2.83) | <0.001 | 2.03 | (1.40-2.94) | <0.001 |
| Experienced stigma in health settings | | | | | | | | | | | |
| No | 174/1020 | 17.1% | 1 | | | 1 | | | 1 | | |
| Yes | 11/66 | 16.7% | 0.99 | (0.51-1.94) | 0.98 | 1.10 | (0.55-2.22) | 0.79 | 0.80 | (0.39-1.65) | 0.54 |
| Internalised Stigma | | | | | | | | | | | |
| No | 125/819 | 15.3% | 1 | | | 1 | | | 1 | | |
| Yes | 58/257 | 22.6% | 1.62 | (1.13-2.31) | 0.008 | 1.67 | (1.15-2.44) | 0.007 | 1.44 | (0.97-2.14) | 0.07 |
| South Africa | N=921 | | analysis restricted to n=854 [‡] | | | | | | | | |
| Experienced stigma in the community | | | | | | | | | | | |
| No | 95/704 | 13.5% | 1 | | | 1 | | | 1 | | |
| Yes | 35/202 | 17.3% | 1.32 | (0.85-2.05) | 0.22 | 1.21 | (0.76-1.93) | 0.43 | 1.01 | (0.58-1.74) | 0.98 |
| Experienced stigma in health settings | | | | | | | | | | | |
| No | 116/824 | 14.1% | 1 | | | 1 | | | 1 | | |
| Yes | 16/86 | 18.6% | 1.45 | (0.80-2.64) | 0.22 | 1.67 | (0.89-3.13) | 0.11 | 1.66 | (0.79-3.47) | 0.18 |
| Internalised Stigma | | | | | | | | | | | |
| No | 103/733 | 14.1% | 1 | | | 1 | | | 1 | | |
| Yes | 29/177 | 16.4% | 1.34 | (0.85-2.11) | 0.21 | 1.41 | (0.87-2.27) | 0.16 | 1.31 | (0.78-2.21) | 0.30 |

[†] n=non-adherent; N=total individuals reporting ever starting ART

[‡] analysis restricted to respondents with complete data on community/triplet, gender, age, education, wealth, mobility, alcohol and all stigma variables

[§] adjusted for community/triplet, gender, age, education, wealth, mobility, alcohol

[¶] adjusted for community/triplet, gender, age, education, wealth, mobility, alcohol and experienced stigma (internalised stigma adjusted for community and health setting stigma; health setting stigma adjusted for community stigma; community stigma adjusted for health setting stigma)

1 **Conflict of interest statement**

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3 None of the authors declare any conflicts of interest.

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1 **Authors' Contribution**

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3 H.J, S.F and J.H conceived the study research question and analytical approach. H.J
4 conducted the analysis and led on writing the manuscript, with guidance and input from S.F,
5 J.H and T.P. H.A, S.Fi, P.B and R.H were the study PIs for the HPTN 071 trial. D.D oversaw
6 data management and analysis of the population cohort study that provided the data for this
7 analysis. J.B and N.M led delivery of the study in the field, with oversight from H.A and P.B
8 and A.M. J.H was the principal investigator and A.S, V.B, and G.H co-investigators on the
9 HPTN 071 (PopART) Stigma Ancillary Study. All authors contributed to writing and giving
10 feedback on the manuscript and have agreed the final draft for submission.

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