

1 **STATINS WERE ASSOCIATED WITH A REDUCED GASTRIC CANCER**

2 **RISK IN PATIENTS WITH ERADICATED *HELICOBACTER PYLORI***

3 **INFECTION: A TERRITORY-WIDE PROPENSITY SCORE MATCHED**

4 **STUDY**

5 **RUNNING TITLE: STATINS AND GASTRIC CANCER**

6

7 Ka Shing Cheung,¹ Esther W Chan,² Angel YS Wong,³ Lijia Chen,¹ Wai Kay Seto,¹

8 Ian CK Wong,^{2,4} Wai K Leung¹

9

10 ¹Department of Medicine, The University of Hong Kong, Queen Mary Hospital, Hong

11 Kong

12 ²Centre for Safe Medication Practice and Research, Department of Pharmacology and

13 Pharmacy, The University of Hong Kong, Hong Kong

14 ³Department of Non-communicable Disease Epidemiology, Faculty of Epidemiology

15 and Population Health, London School of Hygiene and Tropical Medicine, London,

16 United Kingdom

17 ⁴UCL School of Pharmacy, University College London, London, United Kingdom

18

1 **Correspondence to:**

2 Wai K. Leung, Department of Medicine, Queen Mary Hospital, 102 Pokfulam Road,

3 Hong Kong

4 Email: waikleung@hku.hk

5 Fax: +852 2816 2863

6 Phone: + 852 2255 3348

7 **Guarantor of the article:** Prof. Wai K Leung

8 **Specific author contributions:** Dr. Ka Shing Cheung and Wai Kay Seto were

9 involved with study concept and design; analysis and interpretation of data; drafting

10 of manuscript; and approval of the final version of the manuscript. Dr. Esther W Chan,

11 Dr. Angel YS Wong and Ms. Lijia Chen were involved with acquisition of data;

12 critical revision of the manuscript for important intellectual content; and approval of

13 the final version of the manuscript. Professors Ian CK Wong, and Wai K Leung were

14 involved with the study concept and design; analysis and interpretation of data;

15 drafting of manuscript; critical revision of the manuscript for important intellectual

16 content; study supervision; and approval of the final version of the manuscript.

17 **Financial support:** Nil

18 **Potential competing interests:** EWC has received funding support from Pfizer, BMS,

19 Bayer, Takeda, Janssen (a division of Johnson & Johnson); Research Grants Council

1 of Hong Kong; Narcotics Division, Security Bureau; and the National Natural Science

2 Foundation of China, all for work unrelated to the current study.

3 WKL has received honorarium for attending advisory board meetings of AbbVie,

4 Takeda and Abbott Laboratories.

5

6 Word count: 3218 (excluding abstract)

7 Word count of abstract: 231

8 Number of tables: 3

9 Number of figures: 2

10 Number of supplementary table: 1

11 Number of supplementary figure: 0

12

13 KEY WORDS: gastric adenocarcinoma, chemoprevention, lipid-lowering drug, *H.*

14 *pylori*

15

16

17

18 **ABSTRACT**

1 **Background:** Individuals may still develop gastric cancer (GC) even after *H. pylori*
2 (HP) eradication. We aimed to investigate statin effect on GC development in HP-
3 eradicated subjects.

4 **Methods:** All adult subjects who were prescribed clarithromycin-based triple therapy
5 between 2003 and 2012 were identified in this retrospective cohort study utilizing a
6 territory-wide electronic healthcare database. Patients were observed from index date
7 of HP therapy, and censored at GC diagnosis, death or December 2015 (study end
8 date). Statin use was defined as ≥ 180 -day use after index date. Exclusion criteria
9 included GC diagnosed within the first year after index date, previous GC or
10 gastrectomy, and HP treatment failure. Subdistribution hazard ratio (SHR) of GC with
11 statins was calculated by competing risk regression with propensity score (PS)
12 analysis matching 19 variables (age, sex, comorbidities and other drug usage
13 including proton pump inhibitors, non-steroidal anti-inflammatory drugs, aspirin,
14 cyclooxygenase-2 inhibitors, and metformin).

15 **Results:** During a median follow-up of 7.6 years (IQR: 5.1–10.3), 169 (0.27%) of
16 63,605 patients developed GC at an incidence rate of 3.5 per 10,000 person-years.
17 Among 22,870 PS-matched subjects, statins were associated with a lower GC risk
18 (SHR 0.34; 95% CI:0.19-0.61), in a duration- and dose-response manner (p-
19 trend<0.05).

1 **Conclusion:** Statins associated a lower GC risk in a duration- and dose-response

2 manner among HP-eradicated patients.

3 **Impact:** This study provides evidence on the additional benefits of statins as

4 chemopreventive agents against GC among HP-eradicated patients.

5

6

7

8

9

10

11

12

13

14

15

16

17

18 **BACKGROUND**

1 Globally, gastric cancer is the fifth most common cancer and third leading cause of
2 cancer-related death.¹ *Helicobacter pylori* (*H. pylori*) is the most important etiological
3 agent of gastric cancer (more than 3-fold increase in risk).^{2,3} As eradication of *H.*
4 *pylori* only reduces gastric cancer risk by 47%,^{4,5} there is still an unmet need to
5 identify chemopreventive agents against gastric cancer.

6

7 Statins inhibit 3-hydroxy-3-methylglutaryl coenzyme A (HMG-CoA) reductase (an
8 enzyme involved in cholesterol synthesis), and are used for primary and secondary
9 prevention of cardiovascular diseases.⁶ In addition to their lipid lowering effect,
10 statins have potential chemopreventive effects on various solid organ tumours, which
11 are believed to be mediated via arresting cell-cycle progression, inducing apoptosis,
12 inhibiting angiogenesis, and immunomodulation.⁷ Lovastatin has been shown to
13 suppress genes involved in cell division, upregulate cell cycle inhibitors and suppress
14 anti-apoptotic proteins in human gastric cancer-derived cell lines.⁸ In addition, statins
15 inhibit gastric cancer cell growth in mice models.⁹

16

17 As yet, there is no randomized clinical trial (RCT) dedicated to investigate the effect
18 of statins on gastric cancer as the primary outcome. Observational studies, on the
19 other hand, yield conflicting results with some studies showing a lower gastric cancer

1 risk by statins,¹⁰⁻¹³ while others failed to show such a benefit.¹⁴⁻²⁰ Although a recent
2 meta-analysis conclude that statins were associated with lower gastric cancer risk,²¹
3 all included studies enrolled both *H. pylori*-infected and *H. pylori*-negative subjects.
4 In addition, few studies stratified the cancer risk according to cancer location of non-
5 cardia and cardia, as etiological factors are different for these two cancer subtypes,
6 with *H. pylori* infection and gastroesophageal reflux disease being the major risk
7 factors for non-cardia and cardia cancer, respectively.^{2,22} However, in areas where *H.*
8 *pylori* are prevalent, both non-cardia and cardia gastric cancer could be associated
9 with *H. pylori* infection.² To date, there are no studies that specifically investigate the
10 potential chemopreventive role of statins in gastric cancer prevention after *H. pylori*
11 eradication. Therefore, we conducted this territory-wide study to determine the
12 potential effect of statins on gastric cancer risk with stratification to cancer subsites
13 after receiving *H. pylori* eradication therapy.

14

15

16

17

18

19 MATERIAL AND METHODS

1 **Study design and data source**

2 This was a retrospective cohort study based on data retrieved from the territory-wide
3 electronic healthcare database, Clinical Data Analysis and Reporting System
4 (CDARS), of the Hong Kong Hospital Authority. The Hospital Authority is the only
5 public-funded healthcare provider in Hong Kong with a population of around 7.3
6 million, covering 87-94% of all secondary and tertiary care in the territory during the
7 study period.²³ Essential clinical information such as patient's demographics, death,
8 diagnoses, drug dispensing records, procedures and laboratory results, hospitalization
9 records, attendance of outpatient clinics and emergency departments are all recorded
10 in CDARS. Prescription and dispensing are performed at the same time, and
11 prescription record generally matches the dispensing record. Various studies utilizing
12 CDARS were undertaken,²⁴⁻²⁷ demonstrating a high diagnostic coding accuracy
13 (International Classification of Diseases, Ninth Revision [ICD-9]) with positive and
14 negative predictive values of more than 85–90%.

15

16 This study was conducted in accordance with Declaration of Helsinki. Each patient
17 was assigned an anonymous identifier (reference key) in CDARS to protect
18 confidentiality. Therefore, written informed consent was not required with ethics

1 approval obtained from the Institutional Review Board of the University of Hong
2 Kong and the Hong Kong West Cluster of the Hospital Authority.

3

4 **Study Subjects**

5 All *H. pylori*-infected adults aged ≥ 18 years who had received a course of
6 clarithromycin-based triple therapy for *H. pylori* between 1 January 2003 and 31
7 December 2012 (i.e. index date) were identified from CDARS. The use of triple
8 therapy was identified by co-prescription of one of the proton pump inhibitors (PPIs)
9 with clarithromycin and either amoxicillin or metronidazole with the correct doses,
10 same prescription start date and a treatment duration of 7-14 days as previously
11 described.^{25, 26} Clarithromycin-based triple therapy was the first-line treatment for *H.*
12 *pylori* due to the low clarithromycin resistance rate (8%)²⁸ and high eradication rate
13 ($> 90\%$) in Hong Kong during the study period.²⁹ Endoscopy-based tests (including
14 histology and rapid urease test) as well as urea breath test are the only diagnostic tests
15 for *H. pylori* infection available in local public hospitals.

16

17 Exclusion criteria were: (1) gastric cancer development within the first year of index
18 date (to exclude prevalent cases due to possibly missed/delayed diagnosis); (2) history
19 of gastric cancer or gastrectomy before index date; (3) triple therapy failure. Due to

1 unavailability of direct ICD-9 code, triple therapy failure was inferred by repeated
2 clarithromycin-based triple therapy, or requirement of a second-line therapy (either
3 PPI-levofloxacin-amoxicillin or bismuth-based quadruple therapy), or a third-line
4 therapy (rifabutin-based therapy). Subject recruitment process is depicted in **Figure 1**.

5

6 **Study Outcome and data validation**

7 The outcome of interest was gastric adenocarcinoma. We observed the patients from
8 index date, and they were censored at cancer diagnosis, death or study end date (31
9 December 2015). **Supplementary Table 1** shows the ICD-9 codes for gastric
10 adenocarcinoma. The date of cancer diagnosis was the earliest date of hospitalization
11 for treatment and/or workup.

12

13 As individuals are anonymized in CDARS, we could only validate the outcome of
14 subjects in our institution (Queen Mary Hospital) which is an acute hospital and a
15 tertiary referral center. The clinical details of 14 (8.3%) patients with gastric cancer
16 were reviewed, with all fulfilling the selection criteria. Histology reports revealed all
17 cases being adenocarcinoma without *H. pylori* infection.

18

19 **Exposure of interest and covariates**

1 The exposure of interest was statin usage after index date. Simvastatin, atorvastatin
2 and rosuvastatin were the only statins available in the public hospitals. Covariates
3 used for propensity score (PS) matching (described in details in later section) included
4 the age of receiving triple therapy, sex, alcohol use, smoking, prior peptic ulcer
5 disease, diabetes mellitus,³⁰ and other comorbidities (hypertension, dyslipidemia,
6 ischemic heart disease, atrial fibrillation, congestive heart failure, stroke, cirrhosis,
7 and chronic renal failure) as well as usage of other drugs (non-steroidal anti-
8 inflammatory drugs [NSAIDs], aspirin,²⁵ cyclooxygenase-2 [COX-2] inhibitors,
9 metformin²⁶ and PPIs³¹) (**Table 1**). As the true prevalence of smoking and alcoholism
10 may be underestimated by diagnosis coding only, a large set of comorbidities were
11 included to serve as surrogate markers of these two imperfectly measured
12 confounders. The diagnosis codes of these variables are shown in **Supplementary**
13 **Table 1**.

14

15 We defined statin exposure (as well as other medications) as ≥ 180 -day use after index
16 date during the observation period according to Lee et al¹¹. The date of prescription,
17 daily dose, and duration of each prescription were collected. To investigate dose-
18 response relationship, we quantified statin use based on the defined daily doses
19 (DDDs) to unify the dose for different statins (one DDD is equivalent to simvastatin

1 30mg, atorvastatin 20mg and rosuvastatin 10mg).³² With this approach, the cDDD
2 would take both the potency and quantity of statins into consideration, which is a
3 common proxy for both duration and dose effect of different statins. Cumulative DDD
4 (cDDD) was then derived by summing the DDDs of any statins during observation
5 period. To investigate the duration-response relationship, statin use was categorized
6 into three groups: (i) non-statin use, (ii) < 5 years, and (iii) \geq 5 years.

7

8 **Statistical analyses**

9 We used R version 3.2.3 (R Foundation for Statistical Computing) statistical software
10 to perform the statistical analyses. We expressed continuous variables as median and
11 interquartile range (IQR). PS analysis was used to control for confounding due to
12 unbalance in treatment allocation. PS was derived by multivariable logistic regression
13 taking various covariates (age, sex, comorbidities and concurrent medications) into
14 consideration. As such, any difference in cancer risk would be theoretically ascribed
15 to statin effect solely. Furthermore, we excluded individuals in the extreme ends of PS
16 distribution to reduce the effect of unmeasured confounding.³³ Twenty categories of
17 5% each for the PS distribution were created, followed by trimming of the first and
18 20th PS categories (i.e. PS trimming).

19

1 We used PS matching as the primary analysis to calculate gastric cancer risk with
2 statin usage with reference to non-statin usage. Statin users were matched to non-
3 statin users in a 1:1 ratio with replacement using a greedy distance-based matching
4 algorithm with the logit of the PS within 0.1 standard deviation. Due to the strict
5 matching criteria, the final patient number was 11,678 and 11,192 in statin and non-
6 statin groups. The balance of covariates between the two groups was assessed by
7 absolute standardized difference (ASD), which was derived from the absolute
8 difference in means or proportions divided by the pooled standard deviation. An ASD
9 of < 0.20 indicates good balance for a particular covariate. Imbalance covariates with
10 $ASD > 0.20$ after matching were adjusted for in the competing regression risk
11 model.³⁴

12
13 Competing risk regression model was used to estimate the subdistribution hazard ratio
14 (SHR),³⁵ as death was a competing risk for gastric cancer with statin users having
15 higher cardiovascular risk (**Table 1**) and thus mortality. Stratified analysis was
16 performed according to the location of gastric cancer (cardia and non-cardia regions),
17 as the underlying carcinogenic mechanisms differ.²² The PS adjusted absolute
18 difference in cancer risk between the two groups was derived by $(\text{adjusted HR} - 1) \times$
19 $(\text{crude incidence rate of gastric cancer in non-statin users})$. The duration- and dose-

1 response relationship between statins and gastric cancer was derived by the competing
2 risk regression model using PS adjustment after trimming. The trend for duration-
3 response of statins was assessed by Cochran-Armitage test. The survival difference
4 between the statin and non-statin users was illustrated in terms of Kaplan-Meier curve
5 and log-rank p-value.

6

7 Sensitivity analyses were conducted by (1) changing the days of exposure to define
8 statin use (≥ 30 and ≥ 90 days), (2) not including other comorbidities except for peptic
9 ulcer disease and diabetes mellitus, (3) PS regression adjustment with trimming (with
10 all covariates included into the competing risk regression model), (4) multivariable
11 analysis as well as (5) Cox proportional hazards model (effect estimate expressed as
12 adjusted HR). ‘Complementary log-log’-scaled Kaplan-Meier plot and schoenfeld
13 residuals for statin use (p -value > 0.05) confirmed non-violation of the Cox
14 proportional-hazard assumption. Prior statin users (defined as individuals with any
15 statin prescription within two years before the index date) were excluded in further
16 sensitivity analysis.

17

18 To address potential immortal time bias that may spuriously augment the beneficial
19 effect of a drug,³⁶ further sensitivity analysis was performed by treating all

1 medications including statins as time-varying covariates in the multivariable Cox
2 model,³⁷ in which the observation period was disintegrated into yearly intervals and
3 medication usage was defined as ≥ 90 -day use in each interval. Statistical significance
4 was defined by a two-sided p-value of < 0.05 .

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

1 **RESULTS**

2 **Cohort characteristics**

3 We identified 63,605 eligible subjects. **Table 1** shows the baseline characteristics of
4 the cohort. Out of 54,594 subjects with available ethnicity data, 54,219 (99.3%) were
5 Asian. The mean age of receiving clarithromycin-based triple therapy was 55.6 (± 14.6)
6 years, and 46.6% were male. There were 15,990 (25.1%) statin users in the cohort
7 (simvastatin:12,578 [78.7%]; atorvastatin:532 [3.3%]; rosuvastatin:275 [1.7%]; use of
8 two or more statins at different times: 2605 [16.3%]). Before PS matching, most of
9 the baseline characteristics were imbalance between statin and non-statin users.
10 However, there was no statistically significant difference in the median number of
11 upper endoscopies (statin users: 2, IQR:1.5–3 vs non-statin users: 2, IQR: 1–
12 3;p=0.892). After PS matching, a balance of covariates were achieved between the
13 two groups except for chronic renal failure (ASD > 0.2), which was adjusted for in the
14 subsequent competing risk regression model.

15

16 **Risk of gastric cancer development**

17 During a median follow up of 7.6 years (IQR:5.1–10.3) with 484,680 person-years,
18 169 (0.27%) patients were diagnosed with gastric cancer at an incidence rate of 3.5
19 per 10,000 person-years. Gastric cancer patients were diagnosed at a median of 71.1
20 years (IQR:61.6–81.8), and they received eradication therapy at a median of 66.7

1 years (IQR:56.6–76.5). The location of these cancers were as follows: 34 (20.1%) in
2 cardia, 98 (58.0%) in non-cardia region, and site was unspecified in 37 (21.9%) cases.

3

4 **Relationship between statins and gastric cancer**

5 The median duration of statin use was 3.6 years (IQR:1.6–5.9), with a median cDDD
6 of 432 (IQR:181.6–323.2). Thirty-one (0.19%) of 15,990 statin users developed
7 gastric cancer (crude incidence rate: 2.4 per 10,000 person-years). In contrast, 138
8 (0.29%) non-statin users developed gastric cancer (crude incidence rate: 3.8 per
9 10,000 person-years). After PS matching, statins were associated with a lower gastric
10 cancer risk (adjusted SHR:0.34, 95% CI:0.19–0.61) (**Table 2**). The PS adjusted
11 absolute risk difference was 2.6 fewer gastric cancers (95% CI:1.56–3.12) per 10,000
12 person-years when comparing statin with non-statin use. **Figure 2** shows the Kaplan
13 Meier plot of gastric cancer incidence among statin and non-statin users (log-rank
14 $p < 0.001$). Stratified analysis shows statins remained protective for non-cardia cancer
15 (SHR:0.48, 95% CI:0.24–0.98), but borderline significance was noted for cardia
16 cancer (SHR:0.31, 95% CI:0.09–1.03).

17

18

1 Sensitivity analyses by changing days of exposure to define statin use to ≥ 30 and \geq
2 90 days show similar results (≥ 30 -day use: SHR 0.34, 95% CI:0.20–0.59; $p < 0.001$; \geq
3 90-day use: SHR 0.32, 95% CI:0.18–0.56; $p < 0.001$). By not including other
4 comorbidities except for peptic ulcer disease and diabetes mellitus, the SHR was 0.45
5 (95% CI:0.27–0.77; $p = 0.003$). A total of 3,621 patients had prior statin use and were
6 excluded for sensitivity analysis. The adjusted SHR was 0.26 (95% CI:0.12–0.55;
7 $p < 0.001$). Sensitivity analysis by competing risk regression model using PS
8 regression adjustment with trimming and multivariable analysis yield similar results
9 (**Table 2**). PS matching with Cox model also showed that adjusted HR of gastric
10 cancer with statins was 0.29 (95% CI:0.16–0.52). When analyzing medications as
11 time-varying covariates in the multivariable Cox model, the adjusted HR was 0.54
12 (95% CI:0.35–0.87).

13

14 **Duration- and dose-response association between statins and gastric cancer**

15 **Table 3** shows that a lower gastric cancer risk was observed among patients who used
16 statins longer (SHR 0.46 [95% CI:0.25–0.86] for < 5 years of use and SHR 0.43 [95%
17 CI:0.29–0.66] for ≥ 5 years of use; p -trend < 0.001). In addition, the SHR of gastric
18 cancer with every 100 increase in cDDD of statins was 0.90 (95% CI:0.81–0.99).

19

1 **DISCUSSION**

2 Individuals can develop gastric cancer despite successful *H. pylori* eradication. In this
3 cohort study of more than 63,000 patients with prior *H. pylori* treatment, we
4 demonstrate that statins were associated with a 66% decrease in gastric cancer risk in
5 a duration- and dose-dependent manner.

6

7 To date, association between statins and gastric cancer remains elusive. Although a
8 previous meta-analysis of 11 studies²¹ conclude that statins were associated with a
9 lower risk of gastric cancer, one of the major limitations of the included studies was
10 the failure to acknowledge the *H. pylori* status.²¹ The study by Chiu et al¹⁰ was the
11 only one that adjusted for *H. pylori* eradication, but 85% of the patients had unknown
12 *H. pylori* status in that study. Failure to account for this causative factor likely poses a
13 significant impact on determining the causal relationship and magnitude of beneficial
14 effect of statins on gastric cancer. In addition, gastric cancer was the primary outcome
15 of interest in two studies only.^{10, 11} Also, inadequate adjustment for major risk factors
16 (history of peptic ulcer diseases³⁸, diabetes mellitus³⁹ and medication usage
17 [aspirin/NSAIDs,^{40, 41} metformin⁴² and PPIs⁴³]) may either under- or over-estimate the
18 effects of statins.⁴⁴ Of note, post-hoc analyses of randomised controlled trials of
19 cardiovascular studies included a relatively short follow-up duration, with potential
20 ascertainment bias and bias from competing risks.⁴⁴

1

2 Although being an observational study, our study had a large sample size (>63,000)
3 with long follow-up duration (median 7.6 years), eliminated the confounding effect of
4 *H. pylori* infection, and used PS matching to minimise bias. Importantly, few studies
5 systematically evaluated the duration- and dose-response of statins use.⁴⁴ The
6 chemopreventive effects of statins shown in this study (SHR 0.34) was greater than
7 that reported by previous studies (odds ratios ranging from 0.68 to 0.84)^{10, 12, 13},
8 except for the study by Lee et al¹¹ which recruited patients with diabetes mellitus only
9 (odds ratio: 0.21). The greater risk reduction observed in this study could be due to
10 the inclusion of subjects with prior *H. pylori* infection, therefore having a higher
11 gastric cancer risk. We also performed stratified analysis according to cancer site,
12 which had not been performed in any of the previous studies. We found that statins
13 was protective against non-cardia cancer, while the beneficial effect was of borderline
14 significance for cardia cancer (SHR of 0.31 with p=0.055). This result should be
15 interpreted with caution due to underpower (number of cardia cancer cases=34).
16 Lastly, our study used the territory-wide healthcare database with complete capture of
17 diagnosis, drug prescription and dispensing records, which could address potential
18 selection, information and recall biases of previous observational studies.⁴⁵
19 Surveillance or ascertainment bias was unlikely as there existed no difference in the

1 number of upper endoscopies between the statin and non-statin users. The robustness
2 of the result was further supported by various sensitivity analyses, in particular by
3 using time-varying covariates in treating all medications to address potential immortal
4 time bias. Furthermore, as statin users generally had more comorbidities like
5 cardiovascular diseases and diabetes mellitus (**Table 1**), this negates the concern of
6 healthy user bias.⁴⁶ As such, any beneficial effect of statin would only be
7 underestimated (i.e. biased towards null).

8
9 There are several limitations of this study. First, residual and unmeasured
10 confounding may still exist for an observational study despite PS matching. Second,
11 information on some risk factors were unavailable in our database, for instance, diet,
12 body mass index, and family history. Third, the accuracy of diagnosis code could only
13 be confirmed by validation of a small subset of gastric cancer patients who had
14 follow-up in our institution. There is also likely an underestimation of prevalence of
15 smoking and alcohol use with ICD-9 codes of COPD and alcohol-related diseases
16 only, although the inclusion of a large set of comorbidities helps to act as surrogate
17 markers for these two imperfectly measured confounders. Fourth, identification of
18 patients with failure of clarithromycin-based triple therapy was indirect rather than
19 based on the actual post-treatment *H. pylori* status since this information was

1 unavailable in the database. Nevertheless, the re-treatment rate of 13% in our study
2 was consistent with that reported in our locality during the study period.²⁸ *H. pylori*
3 recurrence could not be ascertained in this database. However, a past local study
4 showed an annual recurrence rate of 3.3% only.⁴⁷ Fifth, compliance to medications
5 could not be confirmed, although non-compliance will usually underestimate the
6 beneficial effect of statins. Although data on over-the-counter (OTC) medication
7 usage is unavailable, this is unlikely is a major concern as medications are dispensed
8 at a very low cost from hospital pharmacy in Hong Kong. Unlike western countries,
9 OTC purchase of aspirin is uncommon in Hong Kong. The leading anti-pyretic agent
10 is paracetamol whereas NSAIDs are more often used in pain relief. Sixth, as data on
11 baseline gastric histology was not available, we could not determine on what stages
12 along the Correa cascade that statins exert the strongest effect. Lastly, generalizability
13 of the study result to other statins is a concern, as the majority of patients (79%) were
14 prescribed simvastatin. In addition, this study only focuses on the specific group of *H.*
15 *pylori*-eradicated patients. Further research on the chemopreventive effects of statins
16 in both *H. pylori*-positive and negative subjects is mandated.

17

18

19

1 **CONCLUSIONS**

2 Long-term statin use associated a lower gastric cancer risk in a dose- and duration-
3 response manner among *H. pylori*-eradicated patients. Our findings may help in
4 decision making for initiating statins in patients at high gastric cancer risk.

5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32

1 **REFERENCES**

- 2 1. Fitzmaurice C, Allen C, Barber RM, et al. Global, Regional, and National
3 Cancer Incidence, Mortality, Years of Life Lost, Years Lived With Disability,
4 and Disability-Adjusted Life-years for 32 Cancer Groups, 1990 to 2015: A
5 Systematic Analysis for the Global Burden of Disease Study. *JAMA Oncol*
6 2017;3:524-548.
- 7 2. Helicobacter and Cancer Collaborative Group. Gastric cancer and
8 *Helicobacter pylori*: a combined analysis of 12 case control studies nested
9 within prospective cohorts. *Gut* 2001;49:347-53.
- 10 3. Correa P, Piazuelo MB, Camargo MC. The future of gastric cancer prevention.
11 *Gastric Cancer* 2004;7:9-16.
- 12 4. Lee YC, Chiang TH, Chou CK, et al. Association Between *Helicobacter pylori*
13 Eradication and Gastric Cancer Incidence: A Systematic Review and Meta-
14 analysis. *Gastroenterology* 2016;150:1113-1124.e5.
- 15 5. Cheung KS, Leung WK. Risk of gastric cancer development after eradication
16 of *Helicobacter pylori*. *World J Gastrointest Oncol* 2018;10:115-123.
- 17 6. Baigent C, Keech A, Kearney PM, et al. Efficacy and safety of cholesterol-
18 lowering treatment: prospective meta-analysis of data from 90,056 participants
19 in 14 randomised trials of statins. *Lancet* 2005;366:1267-78.

- 1 7. Demierre MF, Higgins PD, Gruber SB, et al. Statins and cancer prevention.
2 Nat Rev Cancer 2005;5:930-42.
- 3 8. Follet J, Corcos L, Baffet G, et al. The association of statins and taxanes: an
4 efficient combination trigger of cancer cell apoptosis. Br J Cancer
5 2012;106:685-92.
- 6 9. Cheng-Qian Y, Xin-Jing W, Wei X, et al. Lovastatin inhibited the growth of
7 gastric cancer cells. Hepatogastroenterology 2014;61:1-4.
- 8 10. Chiu HF, Ho SC, Chang CC, et al. Statins are associated with a reduced risk of
9 gastric cancer: a population-based case-control study. Am J Gastroenterol
10 2011;106:2098-103.
- 11 11. Lee J, Lee SH, Hur KY, et al. Statins and the risk of gastric cancer in diabetes
12 patients. BMC Cancer 2012;12:596.
- 13 12. Haukka J, Sankila R, Klaukka T, et al. Incidence of cancer and statin usage--
14 record linkage study. Int J Cancer 2010;126:279-84.
- 15 13. Matsushita Y, Sugihara M, Kaburagi J, et al. Pravastatin use and cancer risk: a
16 meta-analysis of individual patient data from long-term prospective controlled
17 trials in Japan. Pharmacoepidemiol Drug Saf 2010;19:196-202.
- 18 14. Kaye JA, Jick H. Statin use and cancer risk in the General Practice Research
19 Database. Br J Cancer 2004;90:635-7.

- 1 15. Vinogradova Y, Coupland C, Hippisley-Cox J. Exposure to statins and risk of
2 common cancers: a series of nested case-control studies. *BMC Cancer*
3 2011;11:409.
- 4 16. Emberson JR, Kearney PM, Blackwell L, et al. Lack of effect of lowering
5 LDL cholesterol on cancer: meta-analysis of individual data from 175,000
6 people in 27 randomised trials of statin therapy. *PLoS One* 2012;7:e29849.
- 7 17. Friedman GD, Flick ED, Udaltsova N, et al. Screening statins for possible
8 carcinogenic risk: up to 9 years of follow-up of 361,859 recipients.
9 *Pharmacoepidemiol Drug Saf* 2008;17:27-36.
- 10 18. Graaf MR, Beiderbeck AB, Egberts AC, et al. The risk of cancer in users of
11 statins. *J Clin Oncol* 2004;22:2388-94.
- 12 19. Marelli C, Gunnarsson C, Ross S, et al. Statins and risk of cancer: a
13 retrospective cohort analysis of 45,857 matched pairs from an electronic
14 medical records database of 11 million adult Americans. *J Am Coll Cardiol*
15 2011;58:530-7.
- 16 20. Sato S, Ajiki W, Kobayashi T, et al. Pravastatin use and the five-year
17 incidence of cancer in coronary heart disease patients: from the prevention of
18 coronary sclerosis study. *J Epidemiol* 2006;16:201-6.

- 1 21. Singh PP, Singh S. Statins are associated with reduced risk of gastric cancer: a
2 systematic review and meta-analysis. *Ann Oncol* 2013;24:1721-30.
- 3 22. Abdi E, Latifi-Navid S, Zahri S, et al. Risk factors predisposing to cardia
4 gastric adenocarcinoma: Insights and new perspectives. *Cancer Med* 2019.
- 5 23. The Hospital Authority. Hospital authority statistical report 2012–2013.
6 http://www.ha.org.hk/haho/ho/stat/HASR1415_2.pdf. Accessed
7 January 12, 2019.
- 8 24. Cheung KS, Chen L, Seto WK, et al. Epidemiology, characteristics, and
9 survival of post-colonoscopy colorectal cancer in Asia: A population-based
10 study. *J Gastroenterol Hepatol* 2019;34:1545-1553.
- 11 25. Cheung KS, Chan EW, Wong AYS, et al. Aspirin and Risk of Gastric Cancer
12 After *Helicobacter pylori* Eradication: A Territory-Wide Study. *J Natl Cancer*
13 *Inst* 2018;110:743-749.
- 14 26. Cheung KS, Chan EW, Wong AYS, et al. Metformin Use and Gastric Cancer
15 Risk in Diabetic Patients After *Helicobacter pylori* Eradication. *J Natl Cancer*
16 *Inst* 2019;111:484-489.
- 17 27. Cheung KS, Chen L, Chan EW, et al. Statins reduce the progression of non-
18 advanced adenomas to colorectal cancer: a postcolonoscopy study in 187 897
19 patients. *Gut* 2019.

- 1 28. Gu Q, Xia HH, Wang JD, et al. Update on clarithromycin resistance in
2 Helicobacter pylori in Hong Kong and its effect on clarithromycin-based triple
3 therapy. *Digestion* 2006;73:101-6.
- 4 29. Hung IF, Chan P, Leung S, et al. Clarithromycin-amoxicillin-containing triple
5 therapy: a valid empirical first-line treatment for Helicobacter pylori
6 eradication in Hong Kong? *Helicobacter* 2009;14:505-11.
- 7 30. Cheung KS, Chan EW, Chen L, et al. Diabetes Increases Risk of Gastric
8 Cancer After Helicobacter pylori Eradication: A Territory-Wide Study With
9 Propensity Score Analysis. *Diabetes Care* 2019;42:1769-1775.
- 10 31. Cheung KS, Leung WK. Long-term use of proton-pump inhibitors and risk of
11 gastric cancer: a review of the current evidence. *Therap Adv Gastroenterol*
12 2019;12:1756284819834511.
- 13 32. WHO Collaborating Center for Drugs Statistics Methodology.
14 https://www.whocc.no/atc_ddd_index/. Accessed July 12, 2019.
- 15 33. Sturmer T, Rothman KJ, Avorn J, et al. Treatment effects in the presence of
16 unmeasured confounding: dealing with observations in the tails of the
17 propensity score distribution--a simulation study. *Am J Epidemiol*
18 2010;172:843-54.

- 1 34. Austin PC. Balance diagnostics for comparing the distribution of baseline
2 covariates between treatment groups in propensity-score matched samples.
3 Stat Med 2009;28:3083-107.
- 4 35. Fine JP, Gray RJ. A proportional hazards model for the subdistribution of a
5 competing risk. J Am Stat Assoc 1999;94:496-509.
- 6 36. Targownik LE, Suissa S. Understanding and Avoiding Immortal-Time Bias in
7 Gastrointestinal Observational Research. Am J Gastroenterol 2015;110:1647-
8 50.
- 9 37. Zhang Z, Reinikainen J, Adeleke KA, et al. Time-varying covariates and
10 coefficients in Cox regression models. Ann Transl Med 2018;6:121.
- 11 38. Hansson LE, Nyren O, Hsing AW, et al. The risk of stomach cancer in patients
12 with gastric or duodenal ulcer disease. N Engl J Med 1996;335:242-9.
- 13 39. Yoon JM, Son KY, Eom CS, et al. Pre-existing diabetes mellitus increases the
14 risk of gastric cancer: a meta-analysis. World J Gastroenterol 2013;19:936-45.
- 15 40. Wu CY, Wu MS, Kuo KN, et al. Effective reduction of gastric cancer risk
16 with regular use of nonsteroidal anti-inflammatory drugs in Helicobacter
17 pylori-infected patients. J Clin Oncol 2010;28:2952-7.

- 1 41. Cheung KS, Leung WK. Modification of gastric cancer risk associated with
2 proton pump inhibitors by aspirin after *Helicobacter pylori* eradication.
3 *Oncotarget* 2018;9:36891-36893.
- 4 42. Zhou XL, Xue WH, Ding XF, et al. Association between metformin and the
5 risk of gastric cancer in patients with type 2 diabetes mellitus: a meta-analysis
6 of cohort studies. *Oncotarget* 2017;8:55622-55631.
- 7 43. Cheung KS, Chan EW, Wong AYS, et al. Long-term proton pump inhibitors
8 and risk of gastric cancer development after treatment for *Helicobacter pylori*:
9 a population-based study. *Gut* 2018;67:28-35.
- 10 44. Wu XD, Zeng K, Xue FQ, et al. Statins are associated with reduced risk of
11 gastric cancer: a meta-analysis. *Eur J Clin Pharmacol* 2013;69:1855-60.
- 12 45. Algra AM, Rothwell PM. Effects of regular aspirin on long-term cancer
13 incidence and metastasis: a systematic comparison of evidence from
14 observational studies versus randomised trials. *Lancet Oncol* 2012;13:518-27.
- 15 46. Patrick AR, Shrank WH, Glynn RJ, et al. The association between statin use
16 and outcomes potentially attributable to an unhealthy lifestyle in older adults.
17 *Value Health* 2011;14:513-20.

- 1 47. Lai KC, Lam SK, Chu KM, et al. Lansoprazole for the prevention of
- 2 recurrences of ulcer complications from long-term low-dose aspirin use. N
- 3 Engl J Med 2002;346:2033-8.
- 4

1 **ACKNOWLEDGMENTS**

2 The electronic database utilized in this study is managed by the Hong Kong Hospital

3 Authority, and researchers were granted approval to access this database without

4 charge.

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

1 **Table 1. Baseline characteristics of study cohort before and after propensity score**
 2 **matching**

	All (n=63,605)	Before PS Matching			After PS Matching *		
		Statin (n=15,990)	Non-statin (n=47,615)	ASD [#]	Statin (n=11,678)	Non-statin (n=11,192)	ASD [#]
Age at triple therapy (years)	55.6 (+/-14.6)	62.6 (+/-11.1)	53.5 (+/-14.9)	0.66	61.7 (+/-11.0)	63.6 (+/-13.8)	0.18
Male sex (n, %)	29629 (46.6%)	8041 (50.3%)	21588 (45.3%)	0.09	5714 (48.9%)	5313 (47.5%)	0.01
Duration of follow-up (years)	7.6 (5.1 – 10.3)	8.0 (5.5 – 10.5)	7.4 (4.9 – 10.2)	-	7.9 (5.5 – 10.3)	7.1 (4.7 – 9.8)	-
Smoking (n, %)	1647 (2.6%)	561 (3.5%)	1086 (2.3%)	0.08	394 (3.4%)	327 (2.9%)	0.02
Alcohol (n, %)	556 (0.9%)	78 (0.5%)	478 (1.0%)	0.01	51 (0.4%)	45 (0.4%)	0.01
History of GU (n, %)	1463 (2.3%)	448 (2.8%)	1015 (2.1%)	0.05	322 (2.8%)	286 (2.6%)	0.03
History of DU (n, %)	1913 (3.0%)	444 (2.8%)	1469 (3.1%)	0.02	318 (2.7%)	270 (2.4%)	0.01
DM (n, %)	7436 (11.7%)	4652 (29.0%)	2784 (5.8%)	0.44	2827 (24.2%)	1821 (16.3%)	0.07
Dyslipidem ia (n, %)	5082 (8.0%)	3974 (24.9%)	1108 (2.3%)	0.39	1897 (16.2%)	851 (7.6%)	0.08
Hypertensio n (n, %)	13173 (20.7%)	6776 (42.4%)	6397 (13.4%)	0.47	4271 (36.6%)	3221 (28.8%)	0.06
IHD (n, %)	5756 (9.0%)	4189 (26.2%)	1567 (3.3%)	0.37	2054 (17.6%)	1092 (9.8%)	0.05
AF (n, %)	2439 (3.8%)	1107 (6.9%)	1332 (2.8%)	0.16	770 (6.6%)	653 (5.8%)	0.04
CHF (n, %)	2554 (4.0%)	1300 (8.1%)	1254 (2.6%)	0.18	831 (7.2%)	612 (5.5%)	0.02
Stroke (n, %)	4005 (6.3%)	2422 (15.1%)	1583 (3.3%)	0.28	1485 (12.7%)	929 (8.3%)	0.01
CRF (n, %)	1416 (2.2%)	764 (4.8%)	652 (1.4%)	0.14	487 (12.7%)	362 (8.3%)	0.40
Cirrhosis (n, %)	1049 (1.6%)	115 (0.7%)	934 (2.0%)	0.02	75 (0.6%)	86 (0.8%)	0.03
Aspirin (n, %)	11116 (17.5%)	7684 (48.1%)	3432 (7.2%)	0.63	4215 (36.1%)	2287 (20.4%)	0.01
Metformin (n, %)	8993 (14.1%)	6200 (38.8%)	2793 (5.9%)	0.57	3772 (32.3%)	2253 (20.1%)	0.06
NSAIDs/ COX-2 inhibitors (n, %)	14692 (23.1%)	4435 (27.7%)	10257 (21.5%)	0.10	1418 (12.1%)	1383 (12.4%)	0.01

PPIs (n, %)	7715 (12.1%)	2955 (18.5%)	4760	0.18	1224	1020	0.02
			(10.0%)		(10.5%)	(9.1%)	

Age of receiving triple therapy was expressed as mean (years) +/- 1 standard deviation

Duration of follow-up was expressed as median (years) with interquartile range

Categorical variables were expressed as number (%)

Drug use was defined as use for more than 180 days, and expressed as number (%)

Abbreviations: PS, propensity score; ASD, absolute standardized difference; GU, gastric ulcer; DU, duodenal ulcer; DM, diabetes mellitus; IHD, ischemic heart disease; AF, atrial fibrillation; CHF, congestive heart failure; CRF, chronic renal failure; NSAIDs, non-steroidal anti-inflammatory drugs; COX-2, cyclooxygenase-2; PPIs, proton pump inhibitors

* PS matching was performed after trimming of the extreme PS strata (5th and 95th percentiles). Non-statin users were matched to statin users on PS within a caliper width of 0.1. All variables were included in the model for PS estimation

Variables with an ASD > 0.20 is considered to be imbalance

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30

1 **Table 2. Association between statin use and gastric cancer risk (whole cohort and**
 2 **stratified analysis according to non-cardia and cardia regions)**

Statin use	Univariate analysis (n=63,605, GC=169)			PS matching* (n=22,870, GC=62)			PS adjustment* (n=57,243, GC=150)			Multivariable analysis (n=63,605, GC=169)		
	SHR	95% CI	p-value	SHR	95% CI	p-value	SHR	95% CI	p-value	SHR	95% CI	p-value
Non-statin use	Ref	-	-	Ref	-	-	Ref	-	-	Ref	-	-
Statin use	0.61	0.41–0.92	0.020	0.34	0.19–0.61	<0.001	0.33	0.18–0.59	<0.001	0.44	0.28–0.68	<0.001
Non-cardia GC	(n=63,571, GC=135)			(n=22,865) GC=36)			(n=57,123, GC=120)			(n=63,571, GC=135)		
Non-statin use	Ref	-	-	Ref	-	-	Ref	-	-	Ref	-	-
Statin use	0.56	0.35–0.90	0.017	0.48	0.24–0.98	0.044	0.33	0.17–0.65	0.001	0.46	0.27–0.74	0.002
Cardia GC	(n=63,470, GC=34)			(n=22,865) GC=15)			(n=57,123, GC=30)			(n=63,470, GC=34)		
Non-statin use	Ref	-	-	Ref	-	-	Ref	-	-	Ref	-	-
Statin use	0.83	0.39–1.90	0.660	n.a. [#]	n.a. [#]	n.a. [#]	0.31	0.09–1.03	0.055	n.a. [#]	n.a. [#]	n.a. [#]

Statin use was defined as use for more than 180 days

Abbreviations: PS, propensity score; SHR, subdistribution hazard ratio; 95% CI, 95% confidence interval; PS, propensity score; GC, gastric cancer

* PS analysis was performed after trimming of the extreme PS strata (5th and 95th percentiles)

[#] SHR could not be calculated as the estimation procedure for fitting the subdistribution hazard model failed to converge

3
4
5
6
7
8
9
10

1 **Table 3. Association between duration and dose of statin use and gastric cancer risk**
2 **(propensity score adjustment)**

3

Statin use	SHR*	95% CI	p-value	p-trend
Duration				
Non-statin use	Ref	-	-	
< 5 years	0.46	0.25 – 0.86	0.015	<0.001
≥ 5 years	0.43	0.29 – 0.66	<0.001	
Dose				
Non-statin use	Ref	-	-	
Statin use (for every 100 increase in cDDD)	0.90	0.81 – 0.99	0.037	

Abbreviations: SHR, subdistribution hazard ratio; 95% CI, 95% confidence interval
* SHR was derived by PS adjustment after trimming of the extreme PS strata (5th and 95th percentiles)

4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27

1 **FIGURE LEGENDS**

2

3 **Figure 1: Patient selection flow diagram**

4 Abbreviations: GC, gastric cancer

5

6

7 **Figure 2: Kaplan Meier plot of gastric cancer incidence among propensity score**

8 **matched statin and non-statin users**

9

Adults prescribed clarithromycin-based triple therapy between 1 January 2003 and 31 December 2012 (**number=74,161**)

Exclusion criteria:

Prior history of GC
(**number=5**)

74,156

Diagnosis of GC within one year
after clarithromycin-based
triple therapy (**number=550**)

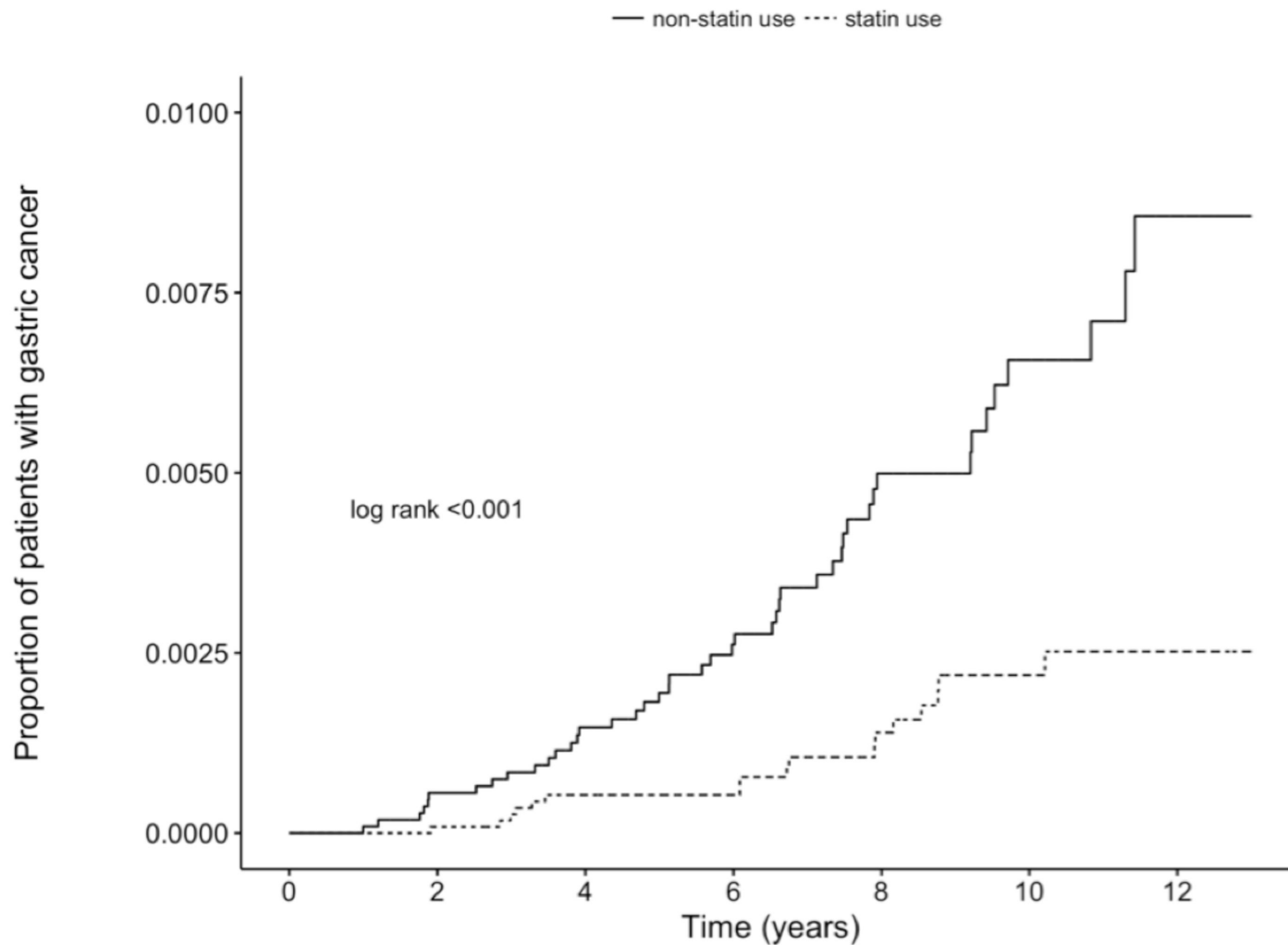
73,606

Prior history of gastrectomy
(**number=161**)

73,445

Failure of clarithromycin-based
triple therapy (**number=9840**)

Study cohort
(**n=63,605**)



Number at risk by time

	0	2	4	6	8	10	12
non-statin use	11192	10672	9181	6878	4580	2613	775
statin use	11678	11564	10420	8145	5720	3295	907

Figure 2

Cancer Epidemiology, Biomarkers & Prevention

AACR American Association
for Cancer Research

Statins were associated with a reduced gastric cancer risk in patients with eradicated *Helicobacter pylori* infection: a territory-wide propensity score matched study

Ka Shing Cheung, Esther W Chan, Angel YS Wong, et al.

Cancer Epidemiol Biomarkers Prev Published OnlineFirst December 2, 2019.

Updated version	Access the most recent version of this article at: doi: 10.1158/1055-9965.EPI-19-1044
Supplementary Material	Access the most recent supplemental material at: http://cebp.aacrjournals.org/content/suppl/2019/12/04/1055-9965.EPI-19-1044.DC1
Author Manuscript	Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

E-mail alerts	Sign up to receive free email-alerts related to this article or journal.
Reprints and Subscriptions	To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at pubs@aacr.org .
Permissions	To request permission to re-use all or part of this article, use this link http://cebp.aacrjournals.org/content/early/2019/11/28/1055-9965.EPI-19-1044 . Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.