Open by Default
Electronic Theses at LSHTM

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LONDON
SCHOOL of
HYGIENE
&TROPICAL
MEDICINE

Introduction

- LSHTM Open Access Policy and E-Thesis Policy

- Theses made available under CC BY-NC-ND License

- All theses assigned a DOI

- A range of support provided to serve the policies

- Concerns from students with open by default

Institutional profile

- London School of Hygiene and Tropical Medicine (LSHTM)
- Research intensive based on Keppel St, building at Tavistock Place, two MRC Research Units (Gambia and Uganda)
- Institutional income 2017-18: £180m – including EU, Wellcome, RCUK, BMGF
- Specialist health institution
- c.900 academic staff
- Postgraduate teaching only: c.3300 distance learners, c.760 master's
- c.440 doctoral students (PhD and DrPH)

Open access policies

2013 – Electronic Doctoral Degree Thesis Submission Policy

2017 – Open Access Publishing Policy

- Requirement to submit electronic copy of thesis

- Asked to give LSHTM a non-exclusive license (CC BY-NC-ND)

- Re-use allowed for non-commercial purposes, no derivatives, as long as the author is acknowledged

- Students' responsibility to clear third-party material and ensure sensitive data is anonymized/removed, and data is managed

- Provision for restriction of access

  - Confidential material
  - Sensitive/commercial information
  - Uncleared copyright material
  - Research Paper Style Thesis

- Embargo max. two years in most cases

- Takedown policy

- Library & Archives Service should provide support

Why did we introduce the policy?

- PhDs contain a wealth of information, but traditionally inaccessible
- Promote work produced by the School, a kind of prospectus
- Most downloaded items from repositories (but not sure why!)
- Various audiences are served: researchers, students, health professionals, policy makers, journalists
- Public demonstration of commitment to open research
- Funder requirements for open access, e.g. RCUK and Wellcome

To assess the impact of black soldier fly (Hermetia illucens) larvae on faecal reduction in pit latrines [PDF] lshtm.ac.uk
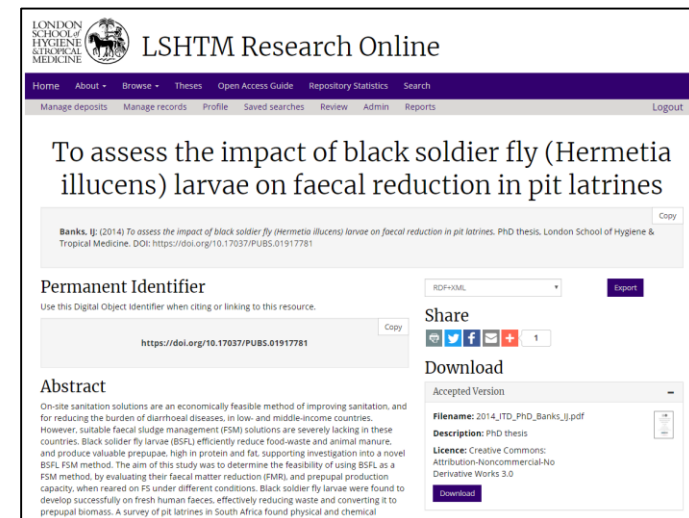
IJ Banks - 2014 - researchonline.lshtm.ac.uk

On-site sanitation solutions are an economically feasible method of improving sanitation, and for reducing the burden of diarrhoeal diseases, in low-and middle-income countries. However, suitable faecal sludge management (FSM) solutions are severely lacking in these countries. Black solider fly larvae (BSFL) efficiently reduce food-waste and animal manure, and produce valuable prepupae, high in protein and fat, supporting investigation into a novel BSFL FSM method. The aim of this study was to determine the feasibility of using ...

☆ 🙶🙶 Cited by 17 Related articles All 7 versions ≫

Procedures

- Prior to submission: intention to submit form, reproduction of thesis form

- Students retain copyright but permit distribution via CC BY-NC-ND license

- Restriction of access permitted for limited reasons as long as evidence provided

- Notification and files provided from Registry to Library

- Record created on Research Online, even for embargoed theses

- DOI created via DataCite EPrints plugin

  - Easier to cite the thesis, track with Altmetric

  - Permanent, stable link

  - Quality resource

  - Commitment to and investment in doctoral research

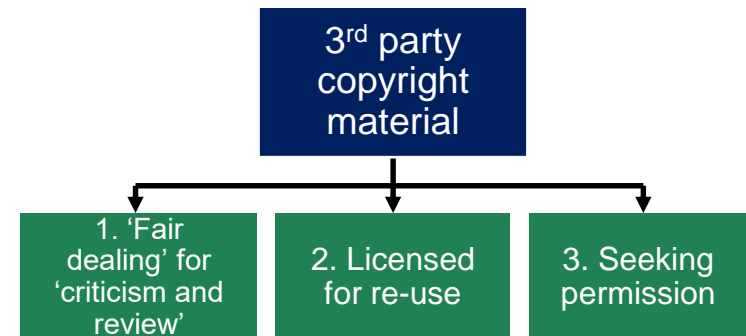- One week grace period before file is made live

Training and advice

- Copyright training necessary to mitigate risk
- 'Submitting your thesis' session: open access, copyright, RDM, registry – general sounding session gets more interest

Content:

- Basics of open access – green vs. gold
- Copyright and licensing: SHERPA, Creative Commons
- Re-use: exception, licensed for re-use, or seek permission
- Interactive examples
- FAQS
  - Pre-publication
  - Plagiarism
  - Breaching copyright regulations

3rd party copyright material

1. 'Fair dealing' for 'criticism and review'

2. Licensed for re-use

3. Seeking permission

SHERPA/ROMEO

Journal:	**Lancet** (ISSN: 0140-6736, ESSN: 1474-547X)
RoMEO:	This is a RoMEO **green** journal
Paid OA:	A paid open access option is **available** for this journal.
Author's Pre-print:	✔ author **can** archive pre-print (ie pre-refereeing)
Author's Post-print:	✔ author **can** archive post-print (ie final draft post-refereeing)
Publisher's Version/PDF:	✘ author **cannot** archive publisher's version/PDF
General Conditions:	• Pre-print on author's personal website or pre-print server • Post-print on author's personal website or institutional website • Publisher copyright and source must be acknowledged • Must link to publisher version • Publisher's version/PDF cannot be used • If required by funding agency, authors may deposit in any repository after 6 months embargo • NIH funded authors may deposit in any repository after 12 months embargo • Author's post-print must be released with a Creative Commons Attribution Non-Commercial No Derivatives License
Mandated OA:	(*Awaiting information*)
Paid Open Access:	Open Access
Notes:	• Publisher last reviewed on 01/07/2016
Copyright:	Guidelines for Authors (pdf) - Disclosure of results before publication - The Lancet journals welcome a new open access policy - What happens after publicatio
Updated:	06-Mar-2017 - Suggest an update for this record
Link to this page:	http://www.sherpa.ac.uk/romeo/issn/0140-6736/
Published by:	**Elsevier**: Lancet - *Green Policies in RoMEO*

> This summary is for the journal's *default* policies, and changes or exceptions can often be negotiated by
> All information is correct to the best of our knowledge but should not be relied upon for legal advic

Look for the green tick here (post-print = accepted manuscript

Look for any mention of embargo period here

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Training and advice

- Research data management support

  - Data management plan

  - Storing data securely

  - Anonymising data

  - Keep data for 10 years

- Registry support

  - How to actually submit the thesis

  - What documents to provide and when

  - Questions
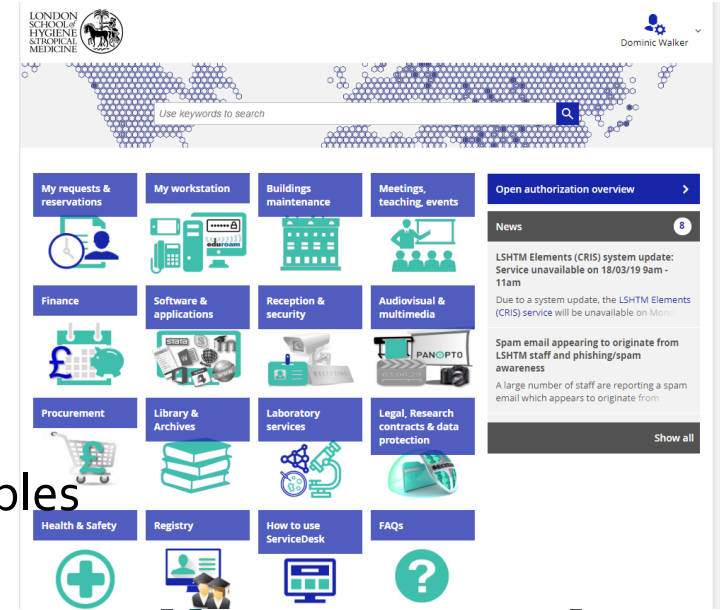
Other support

- One-to-one support
  - In-depth sessions if requested
  - copyright@lshtm.ac.uk
  - Helpdesk portal
- Extensive, accessible guide for students/researchers/lecturers
- Twitter, Facebook, mailing lists, 'pop-up' tables
- Doctoral College endorsement

Future provision

- Mandatory training via Doctoral College
- Live-streaming
- Moodle
- 'Starting your thesis'

Successes

- Most on board with the idea of open research
- Since 2014:
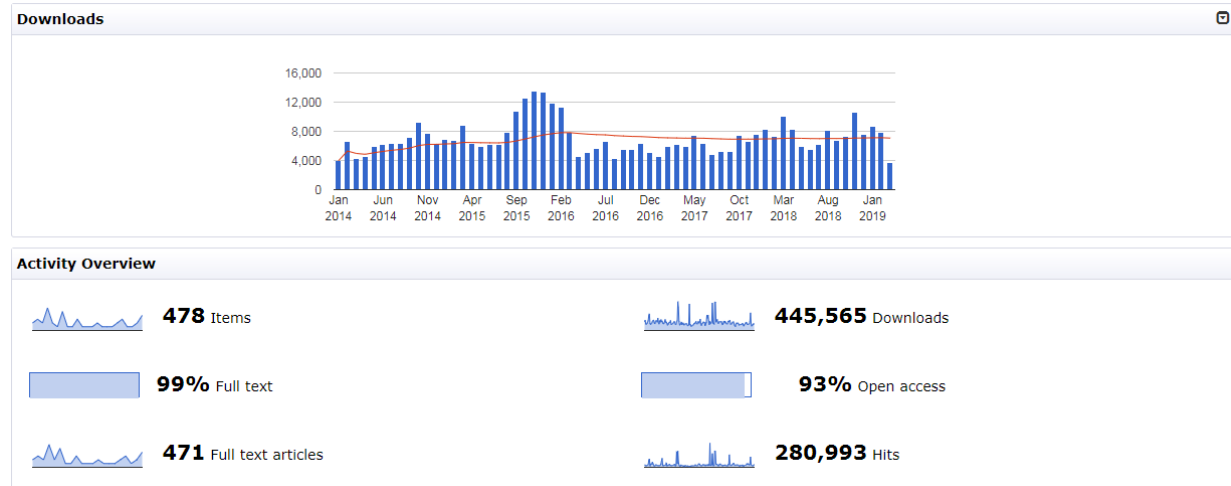
- UK, US
- Germany (75k)
- China (19k)
- India (14.5k)
- France (13.5k)

Successes
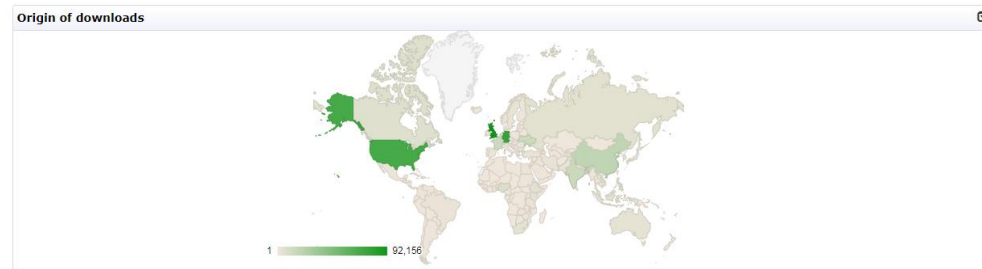
- Who's using the work? Copy request button
- 777 DOIs: 40% of total as of 2018
- Added value for DataCite subscription

Concerns and tips

- Still have c.700 undigitised theses
- Pre-publication of material
    - Monograph policies slightly more restrictive but still acceptable
    - UKCORR Knowledgebase
- Third-party material risk
    - Heightened risk for non-STEM subjects?
    - Mitigated with wide advertising of support
    - Few issues in practice
- Control over versioning
- Broaden the scope of the open access agenda
- Get better value out of the DataCite subscription
- Staffing: may require additional FTE, possibly roll into existing duties (open access staff know about Creative Commons)