

Open by Default Electronic Theses at LSHTM

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LONDON
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Introduction

- LSHTM Open Access Policy and E-Thesis Policy
- Theses made available under CC BY-NC-ND License
- All theses assigned a DOI
- A range of support provided to serve the policies
- Concerns from students with open by default

Institutional profile

- London School of Hygiene and Tropical Medicine (LSHTM)
- Research intensive based on Keppel St, building at Tavistock Place, two MRC Research Units (Gambia and Uganda)
- Institutional income 2017-18: £180m – including EU, Wellcome, RCUK, BMGF
- Specialist health institution
- c.900 academic staff
- Postgraduate teaching only: c.3300 distance learners, c.760 master's
- c.440 doctoral students (PhD and DrPH)




Open access policies

2013 – Electronic Doctoral Degree Thesis Submission Policy

2017 – Open Access Publishing Policy

- Requirement to submit electronic copy of thesis
- Asked to give LSHTM a non-exclusive license (CC BY-NC-ND)
- Re-use allowed for non-commercial purposes, no derivatives, as long as the author is acknowledged
- Students' responsibility to clear third-party material and ensure sensitive data is anonymized/removed, and data is managed
- Provision for restriction of access
 - Confidential material
 - Sensitive/commercial information
 - Uncleared copyright material
 - Research Paper Style Thesis
- Embargo max. two years in most cases
- Takedown policy
- Library & Archives Service should provide support

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ELECTRONIC DOCTORAL DEGREE THESIS SUBMISSION POLICY

1. INTRODUCTION

1.1 The London School of Hygiene & Tropical Medicine requires the electronic deposit of research degree theses into [LSHTM Research Online](#). This service underlines the School's support for open access to research.

1.2 E-thesis submission benefits the research student by:

- Making their research available within weeks of award of their degree
- Making their research available on the internet to the widest possible audience
- Placing their research alongside other research in the same discipline
- Developing knowledge and skills in preparing research outputs for open access

1.3 E-thesis submission benefits the School by:

- Showcasing more of the School's publicly funded research on the internet
- Demonstrating a commitment to open access principles and values
- Facilitating access by future research degree students to previous research

2. SCOPE OF E-THESIS SUBMISSION

2.1 This policy applies to both the thesis submitted for examination and the final, post-viva, corrected version of a thesis. However, only the latter version which will be made available in LSHTM Research Online.

3. LEVEL OF RESEARCH AWARD COVERED

3.1 E-thesis submission will apply to the following research awards at LSHTM:

- MPhil
- PhD
- DrPH

Why did we introduce the policy?

- PhDs contain a wealth of information, but traditionally inaccessible
- Promote work produced by the School, a kind of prospectus
- Most downloaded items from repositories (but not sure why!)
- Various audiences are served: researchers, students, health professionals, policy makers, journalists
- Public demonstration of commitment to open research
- Funder requirements for open access, e.g. RCUK and Wellcome

[To assess the impact of black soldier fly \(*Hermetia illucens*\) larvae on faecal reduction in pit latrines](#)

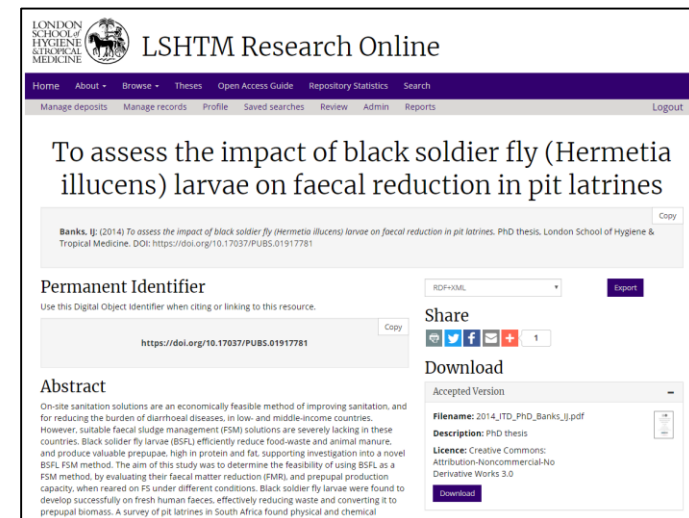
[\[PDF\] lshtm.ac.uk](#)

IJ Banks - 2014 - [researchonline.lshtm.ac.uk](#)

On-site sanitation solutions are an economically feasible method of improving sanitation, and for reducing the burden of diarrhoeal diseases, in low-and middle-income countries. However, suitable faecal sludge management (FSM) solutions are severely lacking in these countries. Black soldier fly larvae (BSFL) efficiently reduce food-waste and animal manure, and produce valuable prepupae, high in protein and fat, supporting investigation into a novel BSFL FSM method. The aim of this study was to determine the feasibility of using ...

☆ [🔍](#) [Cited by 17](#) [Related articles](#) [All 7 versions](#) [🔗](#)

- Prior to submission: intention to submit form, reproduction of thesis form
- Students retain copyright but permit distribution via CC BY-NC-ND license
- Restriction of access permitted for limited reasons as long as evidence provided
- Notification and files provided from Registry to Library
- Record created on Research Online, even for embargoed theses
- DOI created via DataCite EPrints plugin
 - Easier to cite the thesis, track with Altmetric
 - Permanent, stable link
 - Quality resource
 - Commitment to and investment in doctoral research
- One week grace period before file is made live

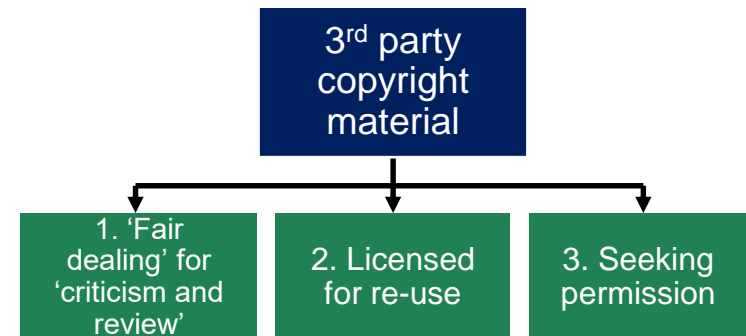


The screenshot shows the LSHTM Research Online interface. At the top, the LSHTM logo and name are visible. Below the navigation bar, the title of the thesis is displayed: "To assess the impact of black soldier fly (*Hermetia illucens*) larvae on faecal reduction in pit latrines". The author is listed as "Banks, IJ; (2014) To assess the impact of black soldier fly (*Hermetia illucens*) larvae on faecal reduction in pit latrines. PhD thesis, London School of Hygiene & Tropical Medicine. DOI: <https://doi.org/10.17037/PUBS.01917781>". The page includes a "Permanent Identifier" section with the DOI link and a "Share" section with social media icons. The "Abstract" section is partially visible, starting with "On-site sanitation solutions are an economically feasible method of improving sanitation, and for reducing the burden of diarrhoeal diseases, in low- and middle-income countries. However, suitable faecal sludge management (FSM) solutions are severely lacking in these countries. Black soldier fly larvae (BSFL) efficiently reduce food-waste and animal manure, and produce valuable prepupae, high in protein and fat, supporting investigation into a novel BSFL FSM method. The aim of this study was to determine the feasibility of using BSFL as a FSM method, by evaluating their faecal matter reduction (FMR), and prepupal production capacity, when reared on FS under different conditions. Black soldier fly larvae were found to develop successfully on fresh human faeces, effectively reducing waste and converting it to prepupal biomass. A survey of pit latrines in South Africa found physical and chemical".

- Copyright training necessary to mitigate risk
- 'Submitting your thesis' session: open access, copyright, RDM, registry – general sounding session gets more interest

Content:

- Basics of open access – green vs. gold
- Copyright and licensing: SHERPA, Creative Commons
- Re-use: exception, licensed for re-use, or seek permission
- Interactive examples
- FAQs
 - Pre-publication
 - Plagiarism
 - Breaching copyright regulations





SHERPA/ROMEO


Journal: [Lancet](#) (ISSN: 0140-6736, ESSN: 1474-547X)

RoMEO: This is a [RoMEO green](#) journal

Paid OA: A paid open access option is **available** for this journal.

Author's Pre-print:  author **can** archive pre-print (ie pre-refereeing)

Author's Post-print:  author **can** archive post-print (ie final draft post-refereeing)

Publisher's Version/PDF:  author **cannot** archive publisher's version/PDF

General Conditions:

- Pre-print on author's personal website or pre-print server
- Post-print on author's personal website or institutional website
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Publisher's version/PDF cannot be used
- If required by funding agency, authors may deposit in any repository after 6 months embargo
- NIH funded authors may deposit in any repository after 12 months embargo
- Author's post-print must be released with a Creative Commons Attribution Non-Commercial No Derivatives License

Look for the green tick here (post-print = accepted manuscript)

Look for any mention of embargo period here

Mandated OA: *(Awaiting information)*

Paid Open Access: [Open Access](#)

Notes:

- Publisher last reviewed on 01/07/2016

Copyright: [Guidelines for Authors](#) (pdf) - [Disclosure of results before publication](#) - [The Lancet journals welcome a new open access policy](#) - [What happens after publication](#)

Updated: 06-Mar-2017 - [Suggest an update for this record](#)

Link to this page: <http://www.sherpa.ac.uk/romeo/issn/0140-6736/>

Published by: [Elsevier: Lancet](#) - [Green Policies in RoMEO](#)

This summary is for the journal's *default* policies, and changes or exceptions can often be negotiated by
All information is correct to the best of our knowledge but should not be relied upon for legal advice

- Copyright training necessary to mitigate risk
- 'Submitting your thesis' session: open access, copyright, RDM, registry – general sounding session gets more interest

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- Research data management support
 - Data management plan
 - Storing data securely
 - Anonymising data
 - Keep data for 10 years
- Registry support
 - How to actually submit the thesis
 - What documents to provide and when
 - Questions

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LSHTM Data Compass

Home About Browse + Advanced Search Deposit items Research Data Management Guide Login

Search LSHTM Data Compass [Advanced Search...](#)



View datasets by location

Recent additions to LSHTM Data Compass

Falconer, J (2019). Search strategies for: "Interventions to improve drinking water supply and quality, sanitation and handwashing facilities in health care facilities and their effect on health care-associated"

How to deposit

Consult our deposit guides for information on sharing data through LSHTM Data Compass.

LSHTM Services

- LSHTM Discover
- LSHTM Research Online
- LSHTM Archives Catalogue
- LSHTM Open Educational Resources
- LSHTM Asset Bank

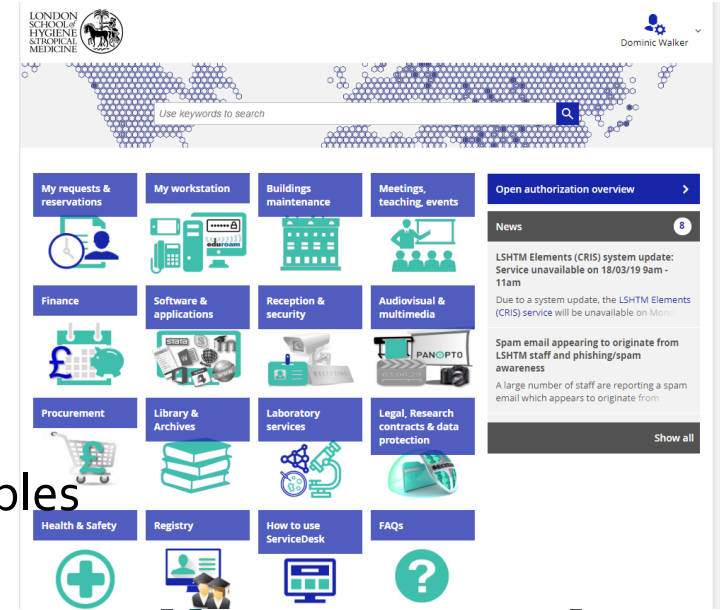
LSHTM RDM Twitter

Other support

- One-to-one support
 - In-depth sessions if requested
 - copyright@lshtm.ac.uk
 - Helpdesk portal
- Extensive, accessible guide for students/researchers/lecturers
- Twitter, Facebook, mailing lists, 'pop-up' tables
- Doctoral College endorsement

Future provision

- Mandatory training via Doctoral College
- Live-streaming
- Moodle
- 'Starting your thesis'



The screenshot shows the LSHTM ServiceDesk portal. At the top, there is a search bar with the text "Use keywords to search". Below the search bar is a grid of service categories, each with an icon and a title: "My requests & reservations", "My workstation", "Buildings maintenance", "Meetings, teaching, events", "Finance", "Software & applications", "Reception & security", "Audiovisual & multimedia", "Procurement", "Library & Archives", "Laboratory services", "Legal, Research contracts & data protection", "Health & Safety", "Registry", "How to use ServiceDesk", and "FAQs". To the right of the grid is a "News" section with a red notification icon and a "Show all" link. The user's name "Dominic Walker" is visible in the top right corner.

COPYRIGHT GUIDANCE FOR RESEARCHERS, LECTURERS AND STUDENTS

Catalogue information:

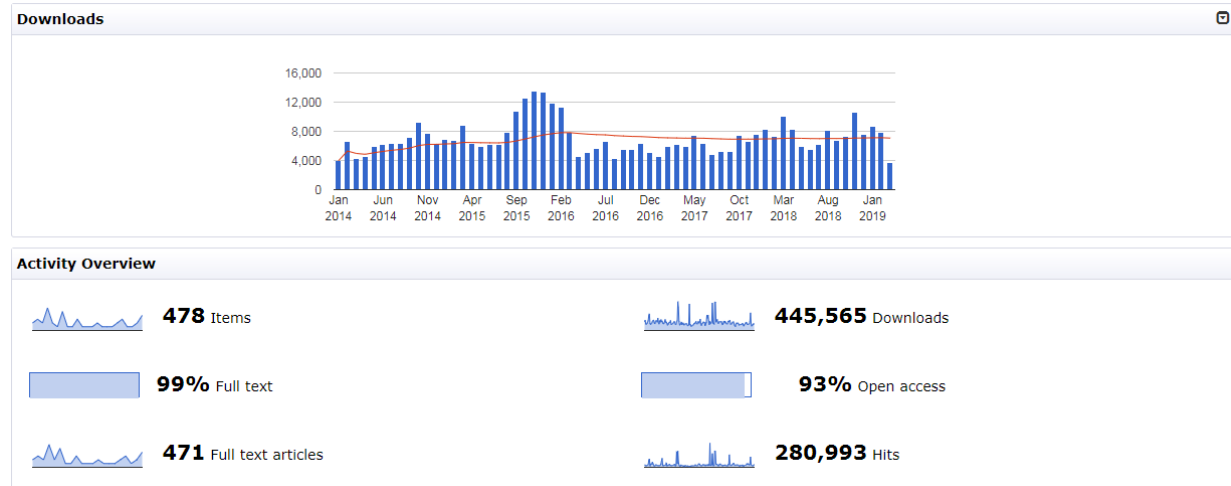
Field	Information
Title	Copyright guidance for researchers, lecturers and students
Version	1.2
Document type	Guide
Creator	Dominic Walker
Keywords	Copyright, fair dealing, exceptions, licences, CLA, open access, Creative Commons
Description	This guide provides advice to LSHTM researchers, lecturers and students about copyright issues faced in non-commercial research, teaching and private study. It outlines the most common uses of third-party copyright material, the questions that need to be considered when using this material, and what exceptions may apply under UK Copyright Law.
Date (dd/mm/yyyy)	21/08/2018
Language	English
Rights	Creative Commons Attribution 4.0 International License unless otherwise stated

Version control:

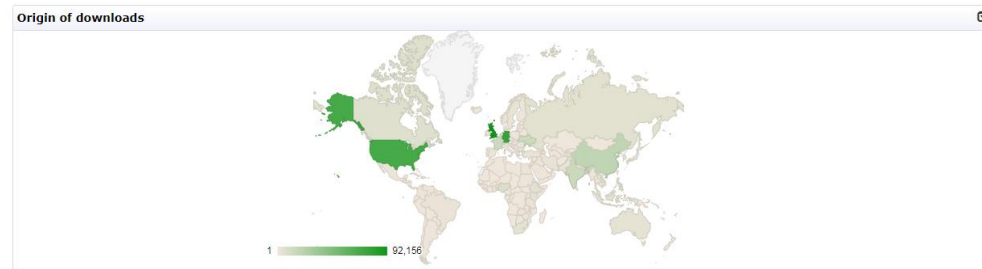
Version	Date	Change Description	Author
1.0	06/03/2018	First version	Dominic Walker
1.1	01/08/2018	Clarification of copyright exceptions, for teaching sub-section on MOOCs, FAQ on Creative Commons	Dominic Walker
1.2	21/08/2018	Revision of reading list guidance	Dominic Walker

Successes

- Most on board with the idea of open research
- Since 2014:



- UK, US
- Germany (75k)
- China (19k)
- India (14.5k)
- France (13.5k)



- Who's using the work? Copy request button
- 777 DOIs: 40% of total as of 2018
- Added value for DataCite subscription

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Accepted Version

Embargo Date: 27 February 2020

Restricted to: Repository staff only

[Request a copy](#)

Filename: Sera_IJE_20181122.pdf

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Attribution-Noncommercial-No
Derivative Works 3.0



Concerns and tips

- Still have c.700 undigitised theses
- Pre-publication of material
 - Monograph policies slightly more restrictive but still acceptable
 - UKCORR Knowledgebase
- Third-party material risk
 - Heightened risk for non-STEM subjects?
 - Mitigated with wide advertising of support
 - Few issues in practice
- Control over versioning
- Broaden the scope of the open access agenda
- Get better value out of the DataCite subscription
- Staffing: may require additional FTE, possibly roll into existing duties (open access staff know about Creative Commons)