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## Completing Baseline Mapping of Trachoma in Uganda: Results of 14 Population-Based Prevalence Surveys Conducted in 2014 and 2018

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### ABSTRACT

**Purpose:** We aimed to estimate the prevalence of trichomatous inflammation—follicular (TF) in children aged 1–9 years, trichiasis in adults aged  $\geq 15$  years, and water and sanitation (WASH) indicators in 12 suspected-endemic districts in Uganda.

**Methods:** Surveys were undertaken in 14 evaluation units (EUs) covering 12 districts. Districts were selected based on a desk review in 2014 (four districts) and trachoma rapid assessments in 2018 (eight districts). We calculated that 1,019 children aged 1–9 years were needed in each EU to estimate TF prevalence with acceptable precision and used three-stage cluster sampling to select 30 households in each of 28 (2014 surveys) or 24 (2018 surveys) villages. Participants living in selected households aged  $\geq 1$  year were examined for trachoma; thus enabling estimation of prevalences of TF in 1–9 year-olds and trichiasis in  $\geq 15$  year-olds. Household-level WASH access data were also collected.

**Results:** A total of 11,796 households were surveyed; 22,465 children aged 1–9 years and 24,652 people aged  $\geq 15$  years were examined. EU-level prevalence of TF ranged from 0.3% (95% confidence interval [CI] 0.1–0.7) to 3.9% (95% CI 2.1–5.8). EU-level trichiasis prevalence ranged from 0.01% (95% CI 0–0.11) to 0.81% (95% CI 0.35–1.50). Overall proportions of households with improved drinking water source, water source in yard or within 1km, and improved sanitation facilities were 88.1%, 23.0% and 23.9%, respectively.

**Conclusion:** TF was not a public health problem in any of the 14 EUs surveyed: antibiotic mass drug administration is not required in these districts. However, in four EUs, trichiasis prevalence was  $\geq 0.2\%$ , so public health-level trichiasis surgery interventions are warranted. These findings will facilitate planning for elimination of trachoma in Uganda.

### ARTICLE HISTORY

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### KEYWORDS

Trachoma; trichiasis; Uganda; baseline surveys; global trachoma mapping project; SAFE strategy; tropical data

## Background

Trachoma, a neglected tropical disease, is the most common infectious cause of blindness. Elimination of trachoma as a public health problem (through the surgery, antibiotics, facial cleanliness and environmental improvement: “SAFE” strategy) is a global initiative that was endorsed by the World Health Assembly in 1998.<sup>1,2</sup> The World Health Organization (WHO) estimated that in 2016: 190.2 million people worldwide required the A, F and E components of SAFE for the purposes of trachoma elimination<sup>3</sup>; 1.9 million people were blind or visually impaired from the disease; and 3.2 million people needed surgery to avoid trichomatous blindness.<sup>4</sup>

Trachoma is endemic in Uganda. A review conducted in 1934 reported that tens of thousands of individuals with conjunctivitis and trachoma were presenting to the eye clinic at Mulago Hospital, Kampala.<sup>5</sup> Other evidence from the 1960s (Busoga district) and 1970s (Bukedi district) suggested that trachoma was likely to be endemic throughout the country and was the leading cause of blindness there.<sup>6–9</sup>

Prior to SAFE implementation, baseline surveys of trachoma prevalence are recommended to guide programmes to deliver appropriate interventions.<sup>10</sup> To enable planning for implementation of the SAFE strategy, baseline population-based prevalence surveys (PBPS) of trachoma were conducted from 2006–2012

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in districts of Uganda suspected to be endemic. Implementation of the SAFE strategy commenced in 2007 with gradual scale-up of interventions to cover, by 2014, all 38 districts confirmed to be endemic.

To consolidate SAFE implementation, in 2014 the Ministry of Health and partners launched a Trachoma Action Plan (TAP) that set the national elimination target as 2020,<sup>11</sup> in line with the international goal.<sup>1</sup> The TAP identified a total of 19 districts bordering known trachoma-endemic districts (based on 2006–2012 PBPS data). Following the criteria for where to map and where not to map used by the Global Trachoma Mapping Project,<sup>12</sup> the TAP recommended that additional baseline surveys of these districts be undertaken. This paper describes the methods and results of 14 such surveys undertaken in 12 unmapped districts (four completed in 2014 and eight in 2018). The surveys reported here aimed to estimate the prevalence of TF, trichiasis and key water, sanitation, and hygiene (WASH) indicators.

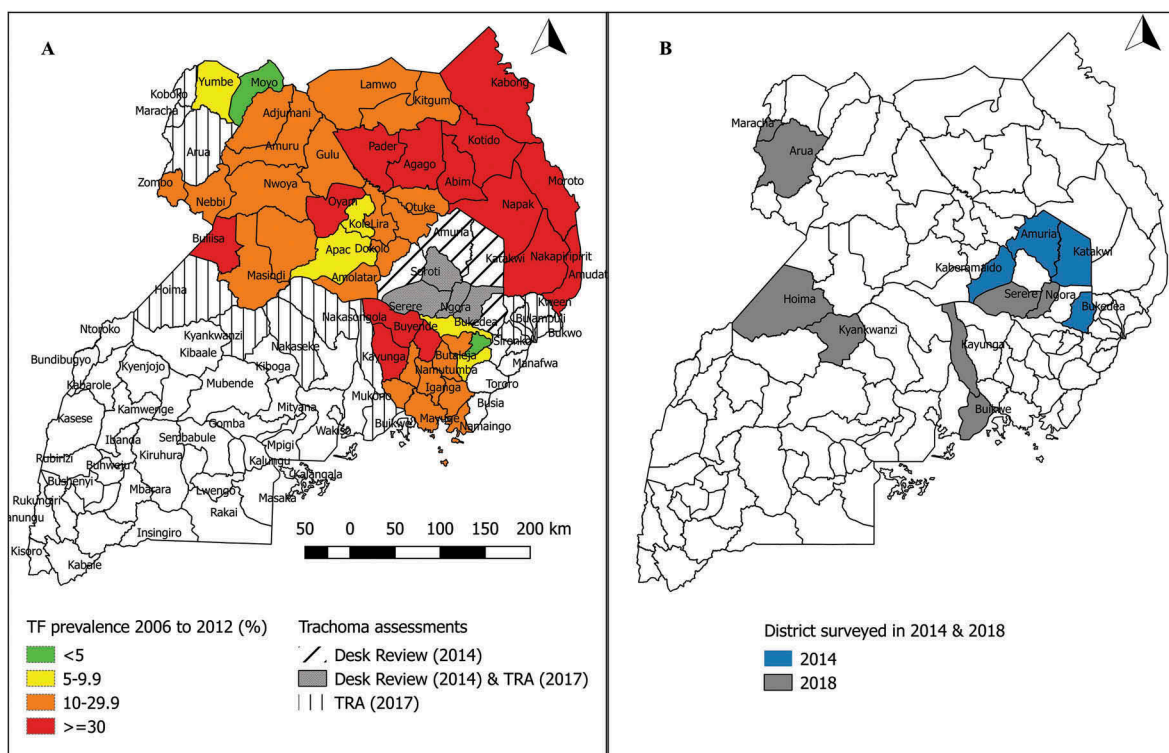
## Materials and methods

The Ministry of Health conducted PBPS using the standardised WHO-recommended methodology<sup>13</sup> with the support of the Global Trachoma Mapping

Project (GTMP)<sup>14</sup> for the 2014 surveys, and Tropical Data<sup>15</sup> (<https://www.tropicaldata.org/>) for the 2018 surveys. Fieldwork training was conducted using version 1 of the GTMP training system in 2014<sup>16</sup> and refresher training undertaken using Tropical Data methods in 2018.<sup>17</sup> Unlike the surveys supported by GTMP version 1, those using Tropical Data included examination for trichomatous conjunctival scarring (TS) and questions on previous trichiasis management recommendations from health workers, for all eyes diagnosed to have trichiasis, as recommended by a November 2015 Global Scientific Meeting.<sup>18</sup>

## Study settings

Figure 1 shows the districts in which surveys were undertaken. The four districts surveyed in 2014 were selected following a health facility-based desk-review that covered eight districts of the Teso sub-region. Based on the desk review, districts were prioritised for mapping if: 1) more than 10 cases of trichiasis had been reported per year, for two or more years in the period 2008–2012; 2) more than 40% of the health staff interviewed identified trichiasis as a problem in their catchment area; and 3) the district bordered a district in which the prevalence of TF in 1–9 year-olds was >10%. In 2017, trachoma rapid



**Figure 1.** Uganda districts surveyed for trachoma from 2006–2012, considered as part of the desk reviews and trachoma rapid assessments described herein (A), and/or surveyed in 2014 or 2018 (B).

TF, trichomatous inflammation—follicular; TRA, trachoma rapid assessment

assessments (TRAs)<sup>19</sup> were undertaken in 19 districts. Eight districts in which TRA findings suggested that trachoma might be a public health problem (proportion of children aged 1–9 years with TF $\geq$ 5% and/or proportion of people aged  $\geq$ 15 years with trichiasis  $\geq$ 1%) were prioritised for PBPS. To conform to WHO recommendations,<sup>20</sup> two districts (Arua and Hoima) with populations > 500,000 were each split into two evaluation units (EUs), whereas other districts were surveyed as single EUs. This created a total of 14 EUs, four of which were surveyed in 2014, and 10 in 2018.

### **Sample size estimation**

To estimate the district-level prevalence of TF among children aged 1–9 years, the sample size was calculated to allow 95% confidence of estimating an expected prevalence of 10% with absolute precision of  $\pm$  3%, using a design effect of 2.65 and inflated by a factor of 1.2 to account for non-response.<sup>13</sup> We therefore wanted to sample at least the number of households in which 1,222 children aged 1–9 years would be resident, in each EU, hoping to examine 1,019. For the 2014 surveys, because the mean number of children aged 1–9 years per household was estimated to be 1.5 (from DHS 2011)<sup>21</sup> and estimating that a survey team could complete 30 households within a day, a total of 28 clusters (villages) were required for each EU. One of the lessons from the 2014 surveys was that the mean number of children aged 1–9 years per household was higher, at 1.7. This lesson was applied to the 2018 survey and the number of clusters per EU was reduced to 24; the cluster size of 30 households was maintained.

### **Sample selection**

A multi-stage cluster sampling design was used.

#### **Selection of clusters**

For each EU, the list of parishes (the second lowest administrative level, mean population 5,000) and their population estimates were obtained from the Uganda Bureau of Statistics. In the first sampling stage, parishes (28 in 2014 and 24 in 2018) were systematically selected with probability proportional to population size. Within selected parishes, the list of villages was obtained from the district authorities and for the second sampling stage, one village was selected randomly using the lottery method.

#### **Selection of households and individuals**

A household was defined as persons living together and sharing meals from the same cooking pot. In the third sampling stage, 30 households per village were selected

using the compact segment sampling method and all household members aged one year and above were examined for trachoma.

### **Training of survey teams**

Initial training in 2014 was undertaken over 4 days using the GTMP training system.<sup>16</sup> The same grader/recorder teams participated in 2018 surveys; prior to that work, a specifically designed two-day refresher team training course was undertaken using Tropical Data methods.<sup>17</sup> Trainings were facilitated by GTMP/Tropical Data-certified grader and recorder trainers. For graders, inter-grader agreement tests were conducted using sets of 50 subjects. Only graders achieving a kappa of at least 0.7 for TF, compared to a certified grader trainer, were eligible to participate in the surveys. Survey recorders were trained on household interviews and electronic capture of survey data using the GTMP (2014) and Tropical Data (2018) systems. Graders and recorders had to pass their respective tests at each training point to qualify to contribute to the following surveys. Once grader and recorder training was completed, participants who qualified were paired into teams for further team training.

### **Household interviews**

Household interviews on WASH were undertaken by recorders using a standard questionnaire.<sup>13</sup> Heads of households (or another adult, if the head of household was absent or unable to respond for any reason) were interviewed about types of water sources, distance to water source and type of sanitation facilities used by the household; when the family reported using a latrine, the type of latrine was verified through observation.

### **Trachoma grading**

The eyelid and tarsal conjunctiva of each eye were examined using a 2.5 $\times$  magnifying loupe and torch or daylight, looking for signs of trichiasis and TF. Following successful piloting in Viet Nam<sup>22</sup> and Pakistan,<sup>23</sup> for the Uganda surveys conducted in 2018 (but not in 2014), the tarsal conjunctiva of eyes with trichiasis was examined for TS, and individuals were asked about previous trichiasis management recommendations from health workers.

### **Data management and analysis**

Data were collected electronically using Android smartphones loaded with purpose-built apps developed for the GTMP (2014) or Tropical Data (2018). Descriptive

statistics were generated to examine sample characteristics and EU-level proportions of households with key WASH indicators. WASH data were categorised based on WHO/UNICEF Joint Monitoring Program (JMP) definitions for improved and unimproved water sources and sanitation facilities (<https://www.wssinfo.org/definitions-methods/watsan-categories/>). Prevalence estimates and 95% confidence intervals (CIs) for TF and trichiasis were generated using GTMP methods in R (R Foundation for Statistical Computing, Vienna, Austria) and Structured Query Language. For each cluster, the proportion of 1–9 year-olds with TF was adjusted for age in one-year age bands, using data from the most recent (2014) census.<sup>24</sup> Similarly, for each cluster, the proportion of ≥15 year-olds with trichiasis was adjusted for gender and age in five-year age bands.<sup>13</sup> EU-level prevalences of TF and trichiasis were calculated as the means of the adjusted cluster-level proportions, and CIs for each were calculated by bootstrapping, with replacement, sets of *n* observations (where *n* was the number of clusters in the EU) over 10,000 replications and taking the 2.5<sup>th</sup> and 97.5<sup>th</sup> centiles of ordered results as the lower and upper CI bounds.

### Ethical considerations

Ethical approval was granted by the ethics committee of the London School of Hygiene & Tropical Medicine, London, UK (reference numbers 6319 and 8355) and the Biomedical Ethics Board of Uganda. We obtained informed verbal consent for examination from each participant or (for children) from their parent or guardian. Individuals with conjunctivitis, whether meeting the definition of active trachoma (TF and/or trichomatous inflammation—intense [TI]) or not, were provided with two tubes of 1% tetracycline eye ointment, while individuals with trichiasis were referred to a district hospital surgeon.

## Results

### Characteristics of survey population

Table 1 summarises the characteristics of the population by EU. A total of 47,117 participants aged 1–9 years and ≥15 years (93.8% of those enumerated) were examined. The proportions of male participants among children aged 1–9 years and people aged ≥15 years were 50.4% and 38.8%, respectively. The mean ages (standard deviations) of examinees aged 1–9 years and ≥15 years were 4.8 years (2.5) and 35.7 years (16.8), respectively.

**Table 1.** Characteristics of survey population by evaluation unit, trachoma prevalence surveys, Uganda, 2014 and 2018.

Region	Evaluation Unit*	Survey year	Estimated Population**	Number of clusters±	Number of households sampled	Children aged 1–9 years			Adults aged ≥ 15 years		
						Number enumerated	Number examined	Proportion male (%)	Number enumerated	Number examined	Proportion male (%)
Central Region	Buikwe	2018	436,406	24	842	1546	1,473	49.0	1893	1,677	35.2
Central Region	Kayunga	2018	370,210	24	842	1713	1,669	51.6	1994	1,791	39.3
Central Region	Kyankwanzi	2018	214,057	24	847	1691	1,668	48.7	1749	1,645	42.6
Eastern Region	Amuria	2014	287,248	28	840	1706	1,675	51.7	2030	1,807	35.3
Eastern Region	Bukedea	2014	200,540	28	838	1466	1,462	50.3	1846	1,711	39.6
Eastern Region	Kaberamaido	2014	226,500	28	841	1332	1,307	49.6	1806	1,618	42.8
Eastern Region	Katakwi	2014	175,738	28	839	1666	1,642	51.6	1959	1,765	36.7
Eastern Region	Ngora	2018	142,487	24	843	1769	1,662	50.4	2389	2,069	36.6
Eastern Region	Serere	2018	283,630	24	845	1909	1,868	50.6	2207	1,906	38.7
Northern Region	Arua 1	2018	400,009	24	844	1626	1,616	51.0	1930	1,767	35.8
Northern Region	Arua 2	2018	385,180	24	845	1702	1,697	50.6	1860	1,722	40.8
Northern Region	Maracha	2018	186,176	24	846	1490	1,716	50.2	1975	1,806	41.4
Western Region	Hoima 1	2018	250,502	24	844	1490	1,464	48.8	1843	1,724	38.6
Western Region	Hoima 2	2018	323,401	24	840	1584	1,546	51.2	1808	1,644	41.6
Total				352	11,796	22934	22,465	50.4	27289	24,652	38.8

\*Arua and Hoima districts were each split into two evaluation units.

\*\*Population projections based on the National Population and Housing Census 2014

±Number of clusters in 2014 and 2018 was based on estimated mean number of children aged 1–9 years per household (1.5 and 1.7, respectively).

## Prevalence of trachoma

A total of 22,465 children aged 1–9 years and 24,652 people aged  $\geq 15$  years were examined for TF and trichiasis, respectively. Prevalence of TF by EU ranged from 0.3% (95% CI 0.1–0.7) in Buikwe to 3.9% (95% CI 2.1–5.8) in Katakwi. Among people aged  $\geq 15$  years, prevalence of any trichiasis by EU ranged from 0.04% (95% CI 0.0–0.11) in Katakwi to 0.81% (95% CI 0.35–1.50) in Arua 2 (Table 2 and Figure 2). For 2018 surveys, in addition to calculating the prevalence of any trichiasis for each EU, we were able to determine EU-level prevalence estimates of trichiasis in which TS was also present in the same eye (trichiasis+TS) and the existence of that state was unknown to the health system.<sup>25</sup> Of the 10 EUs with these data, the prevalence of trichiasis+TS unknown to the health system was  $\geq 0.2\%$  in three (Table 2).

## Prevalence of access to water and sanitation

Table 3 summarises key indicators of access to WASH. The overall proportion of households that reported: using an improved drinking water source was 88% (range by EU 76–99); having a drinking water source in the household yard or within 1km of it was 23% (range by EU 4–41); and having access to improved sanitation facilities was 24% (range by EU 10–61).

## Discussion

Over the last decade, Uganda has made tremendous progress towards trachoma elimination, with 34 of 38 eligible endemic districts having stopped azithromycin mass drug administration (MDA) by December 2017.

With the national 2020 elimination target<sup>11</sup> fast approaching, data from baseline surveys in suspected endemic districts are critical for planning SAFE interventions. The data presented here show that TF was below  $<5\%$  in all 14 EUs surveyed, indicating that antibiotic MDA will not be required. However, four EUs had trichiasis prevalences of  $\geq 0.2\%$ , suggesting a requirement for public health-level trichiasis surgery programmes. Further trichiasis-only surveys<sup>26</sup> will ultimately be needed in those EUs to document attainment of elimination.<sup>27</sup>

Our surveys estimated key indicators of access to WASH, based on WHO/UNICEF JMP definitions, and this enables comparison with Demographic and Health Survey (DHS) findings. The 2016 Uganda DHS reported that of surveyed rural households, 74% used an improved source of drinking water; 45% had a drinking water source in the yard or within 1km distance; and 16% had access to an improved sanitation facility<sup>28</sup>; those national-level estimates can be compared to our more granular, EU-level ones (Table 3).

Our surveys were epidemiologically robust<sup>29</sup> because they used PBPS methods<sup>10</sup> recommended by WHO<sup>30</sup> for sampling of populations and examination for trachoma, and incorporated a suite of quality assurance and quality control measures.<sup>12</sup> Unlike the GTMP version 1 template, the Tropical Data system involved examination of individuals with trichiasis for TS and asking them about previous trichiasis management recommendations from health workers. These data help the country determine whether EUs had reached the elimination threshold for TT, which is a prevalence of TT “unknown to the health system” – i.e., the prevalence of TT counting only those individuals with the condition who have not previously been offered an

**Table 2.** Prevalence of trachoma by evaluation unit, Uganda, 2014 and 2018.

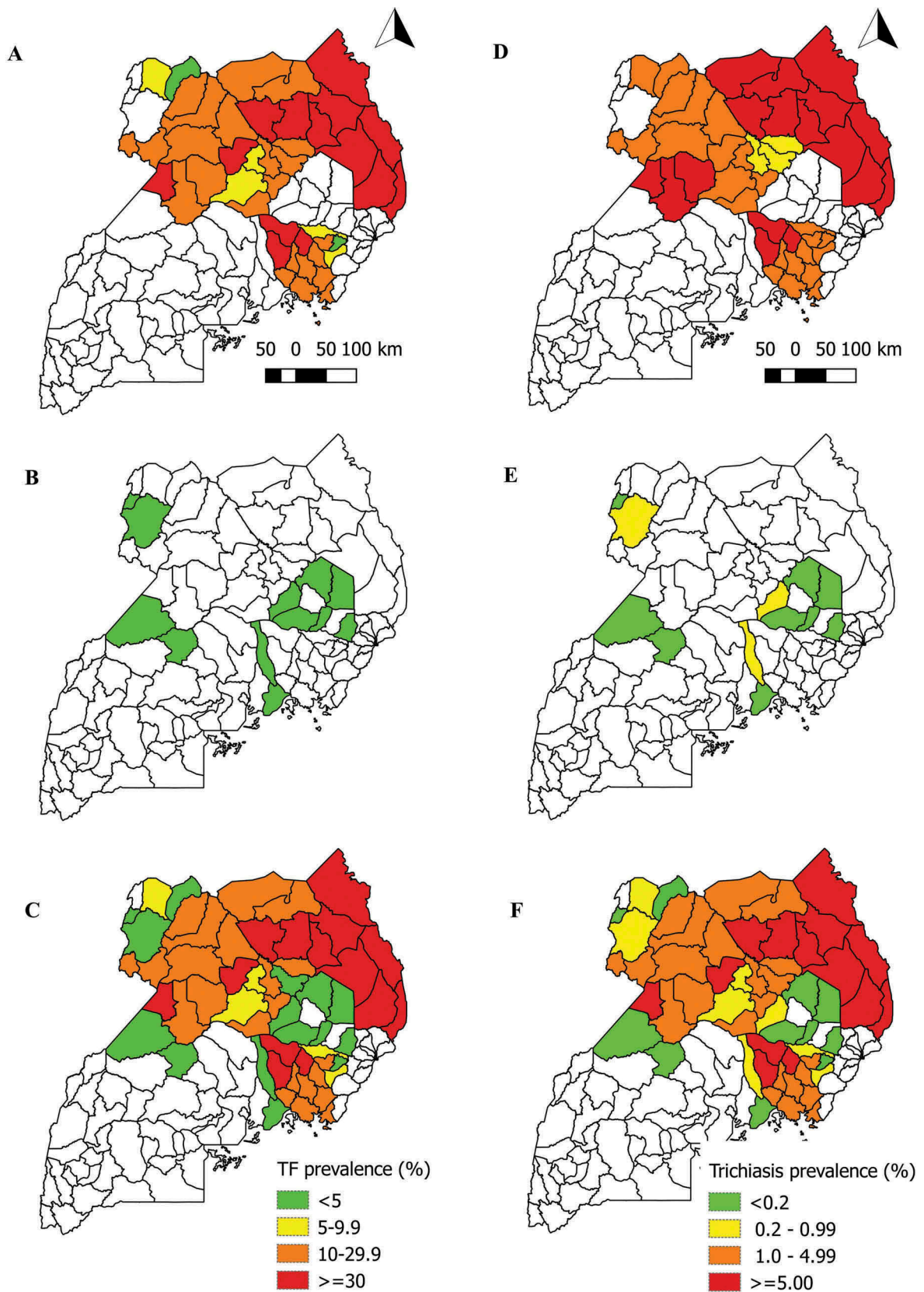
Region	Evaluation Unit*	Survey year	Prevalence of TF in children aged 1–9 years % (95% CI) <sup>a</sup>	Adults aged $\geq 15$ years	
				Prevalence of trichiasis (95% CI) <sup>b</sup>	Prevalence of trichiasis+TS unknown to health system % (95% CI) <sup>b</sup>
Central Region	Buikwe	2018	0.3 (0.1–0.7)	0.13 (0.03–0.24)	0.07 (0.01–0.14)
Central Region	Kayunga	2018	1.0 (0.5–1.5)	0.26 (0.08–0.53)	0.20 (0.06–0.4)
Central Region	Kyankwanzi	2018	0.9 (0.3–1.6)	0.06 (0.01–0.15)	0.06 (0–0.14)
Eastern Region	Amuria	2014	1.8 (0.7–3.4)	0.04 (0–0.12)	N/A
Eastern Region	Bukedea	2014	3.2 (1.7–4.9)	0.19 (0.05–0.38)	N/A
Eastern Region	Kaberamaido	2014	3.4 (1.5–6.0)	0.34 (0.16–0.54)	N/A
Eastern Region	Katakwi	2014	3.9 (2.1–5.8)	0.04 (0–0.11)	N/A
Eastern Region	Ngora	2018	0.9 (0.5–1.5)	0.04 (0–0.12)	0.04 (0–0.12)
Eastern Region	Serere	2018	1.3 (0.7–2.1)	0.07 (0–0.16)	0.07 (0–0.15)
Northern Region	Arua 1	2018	1.0 (0.1–2.2)	0.38 (0.09–0.79)	0.35 (0.05–0.76)
Northern Region	Arua 2	2018	1.2 (0.6–2.1)	0.81 (0.35–1.50)	0.65 (0.24–1.33)
Northern Region	Maracha	2018	1.0 (0.4–1.7)	0.13 (0.00–0.27)	0.09 (0–0.19)
Western Region	Hoima 1	2018	0.8 (0.3–1.6)	0.13 (0.02–0.29)	0.02 (0–0.06)
Western Region	Hoima 2	2018	0.7 (0.3–1.2)	0.10 (0–0.28)	0 (0–0)

CI, confidence interval; N/A, not applicable; trichiasis+TS, trichiasis with trachomatous conjunctival scarring

\*Arua and Hoima districts were each split into two evaluation units.

<sup>a</sup> adjusted for age, in one-year bands based on the National Population and Housing Census 2014

<sup>b</sup> adjusted for gender and age, in five-year bands based on the National Population and Housing Census 2014



**Figure 2.** Prevalence of trachomatous inflammation—follicular (TF) in children aged 1–9 years, and any trichiasis in people aged  $\geq 15$  years, Uganda, 2006–2018.

A) TF prevalence in districts mapped in 2006–2012; B) TF prevalence in districts mapped in 2014 or 2018; C) TF prevalence combining all data sources from 2006–2018; D) Trichiasis prevalence in districts mapped in 2006–2012; E) Trichiasis prevalence in districts mapped in 2014 or 2018; F) Trichiasis prevalence combining all data sources from 2006–2018

**Table 3.** Proportion of households with key water, sanitation and hygiene indicators by evaluation unit, trachoma prevalence surveys, Uganda, 2014 and 2018.

Region	Evaluation unit*	Number of households sampled	Proportion of households (%)**		
			Using improved drinking water source	With drinking water source in yard/within 1km	With improved sanitation facilities
Central Region	Buikwe	842	79.2	40.6	60.7
Central Region	Kayunga	842	89.6	37.9	40.4
Central Region	Kyankwanzi	847	77.1	22.1	30.1
Eastern Region	Amuria	840	94.3	7.9	10.5
Eastern Region	Bukedea	838	97.5	3.8	20.9
Eastern Region	Kaberaimaido	841	91.7	8.1	23.5
Eastern Region	Katakwi	839	98.9	19.3	10.8
Eastern Region	Ngora	843	99.5	24.6	14.5
Eastern Region	Serere	845	84.1	29.0	12.9
Northern Region	Arua 1	844	93.0	28.3	29.4
Northern Region	Arua 2	845	86.3	12.4	17.9
Northern Region	Maracha	846	88.5	24.0	19.5
Western Region	Hoima 1	844	76.1	32.2	29.0
Western Region	Hoima 2	840	77.7	32.3	13.9
Total		11,796	88.1	23.0	23.9

\*Arua and Hoima districts were each split into 2 evaluation units.

\*\*WASH data were categorised based on WHO/UNICEF Joint Monitoring Program definitions for improved and unimproved water sources and sanitation facilities (<https://www.wssinfo.org/definitions-methods/watsan-categories/>).

operation or epilation for it – of < 0.2%.<sup>20</sup> However, disproportionately low participation by adult males posed a potential limitation to the precision of our trichiasis estimates. Based on the 2014 population census, we expected roughly equal numbers of men and women aged  $\geq 15$  years to be enumerated during the surveys.<sup>24</sup> However, the number of males aged 15 years and above that our teams examined was low compared to the number of females in the same age group. We observed similar absences of men in trachoma surveys in neighbouring Tanzania<sup>31,32</sup>, and Democratic Republic of Congo.<sup>33</sup> This might be expected to lead to overestimation of TT prevalence (given that TT is generally more common in women than men).<sup>34</sup> Standardisation of TT prevalence estimates for age and gender will have partially corrected this bias, without, of course, eliminating it.

The findings from these surveys suggest that TF was not a public health problem in any of the 14 EUs that were previously suspected to be endemic, so antibiotic MDA is not required for trachoma elimination. However, four EUs (Kayunga, Kaberaimaido, Arua 1 and Arua 2) need to undertake public-health-level trichiasis surgery interventions and trichiasis-only surveys thereafter. These findings will facilitate planning for elimination of trachoma in Uganda.

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### Conflict of interest

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