

# **Diarrhoeal disease outbreaks associated with sanitation provision failures in refugee camps worldwide: a literature review**

ESPÉRIE BURNET<sup>1</sup> and JAMES W. RUDGE<sup>2</sup>

<sup>1</sup> MSc student at the University of London International Programme/London School of Hygiene and Tropical Medicine

<sup>2</sup> Assistant Professor in Infectious Disease Epidemiology at the Department of Global Health and Development, London School of Hygiene and Tropical Medicine; Adjunct Professor at the Faculty of Public Health, Mahidol University, Bangkok, Thailand

## ABSTRACT

**Objectives:** The objective of this review is to identify sanitation failures that have contributed to the occurrence of diarrhoeal disease outbreaks among displaced populations living in camps.

**Methods:** Three electronic databases (Medline, Embase, Global Health) and reference lists were searched for peer-reviewed literature using a systematic approach. Articles published since 1960 describing both diarrhoeal disease outbreaks and sanitation characteristics in camps hosting displaced populations were included. Evidence linking outbreaks to sanitation-related factors was synthesised and critically appraised.

**Results:** The search yielded 608 articles, of which 12 met inclusion criteria. They described cholera and shigellosis outbreaks occurring in 21 different camps between 1974 and 2009. Recurring contributing factors across outbreaks included a sudden population influx, inadequate provision or maintenance of latrines, sudden rains and insufficient safe water quantities. Most studies were descriptive only or did not consider sanitation-related exposures in risk factor analyses. However, two case-control studies found that cases were significantly more likely than controls to share latrines with several households. Two other case-control studies identified an increased risk of infection from exposure to drinking contaminated river or shallow well water.

**Conclusions:** Evidence from previous outbreak investigations illustrates how sanitation failures, particularly following population influxes, can contribute to the occurrence of diarrhoeal disease outbreaks in refugee camps. Further development and application of sanitation assessment tools and metrics would enable more robust evaluation of risks associated with specific sanitation-related exposures and the effectiveness of interventions. Recent guidelines address the identified risk factors but stakeholders should be aware of the impact of population dynamics.

**Keywords:** Sanitation, water contamination, displaced populations, refugee camp, diarrhoeal disease outbreak, epidemic.

## 1 INTRODUCTION

2 The United Nations High Commission on Refugees (UNHCR) estimates that the number  
3 of people displaced by war, famine, civil strife or natural disaster reached 68.5 million at the  
4 end of 2017, 85% of whom are hosted in developing countries (UNHCR, 2018). About 40% of  
5 them live in camps, the majority of which are in Low and Middle Income Countries (LMICs),  
6 where resources and infrastructure are in short supply (UNHCR, 2018). Assistance from  
7 international agencies and non-governmental organisations (NGOs) helps provide basic  
8 services but funding is seldom sufficient to ensure that all needs are met (United Nations High  
9 Level Panel on Humanitarian Financing, 2016).

10 One of the most challenging, yet crucial, aspects of camp management is sanitation,  
11 which includes five stages: containment, collection, transport, treatment and final disposal or  
12 reuse (Bill & Melinda Gates Foundation, 2010; USAID, 2016). A failure at any stage of the chain  
13 can contaminate soil and water, thus establishing a reservoir for a pathogen to spread not  
14 only within a camp, but also beyond its boundaries. In 1997, the Red Cross and Red Crescent  
15 Societies, in partnership with other NGOs, initiated the Sphere project in order “to develop a  
16 set of universal minimum standards in core areas of humanitarian response” (The Sphere  
17 Project, 2011), including Water, Sanitation and Hygiene (WASH) services. The Sphere  
18 Handbook, first published in 2000, specifies the number of people per latrine that should not  
19 be exceeded (20) and the minimum per person safe water quantity (15 litres/day) that should  
20 be available, among other criteria for outbreak prevention (Campbell and Howard, 2012).

21 Yet, in October 2010, a cholera outbreak hit Haiti seven months after an earthquake  
22 displaced 1.5 million people (Schuller and Levey, 2014). It was later discovered that the  
23 pathogen had been imported from an endemic country and introduced by a pipe discharging  
24 human waste from a United Nations Stabilisation Mission in Haiti (MINUSTAH) camp into the  
25 Artibonite River (Piarroux *et al.*, 2011), causing 480,000 cases and 7,000 deaths within a year  
26 (Piarroux and Faucher, 2012). The Haiti outbreak is an example of a sanitation failure leading  
27 to the spread of a pathogen into a susceptible population as a consequence of inadequate  
28 management of human excreta disposal by an organisation that had the means, awareness  
29 and responsibility to uphold standards and guidelines.

30  
31 Data from the UNHCR Health Information System have been analysed in studies  
32 examining water and sanitation provision and the associated diarrhoeal disease burden  
33 (Cronin *et al.*, 2008, 2009; Hershey *et al.*, 2011). Cronin *et al.* (2009) collected data from 130  
34 camps and found that more than a quarter of them failed to meet sanitation standards in  
35 2005. In another study by Cronin and colleagues (2008), covering 39 camps, 132,000 cases of  
36 diarrhoea were estimated to be “attributable to incomplete water and sanitation provision”  
37 out of an aggregated camp population of 1 million. From 2006 to 2010, diarrhoeal diseases  
38 were estimated to be associated with 7% of deaths and 10% of overall morbidity in children  
39 under five years of age living in 90 camps distributed across 16 countries (Hershey *et al.*, 2011).

40 Several recent reviews examining the impact of WASH interventions on reducing the  
41 incidence of diarrhoeal diseases in low resource settings have highlighted a lack of published  
42 evidence regarding the effectiveness of sanitation-specific interventions (Brown *et al.*, 2012;  
43 Ramesh *et al.*, 2015; Blanchet *et al.*, 2017). Their focus on interventions implies that outbreak  
44 investigations that searched for the cause of the outbreaks might not have been included.  
45 Examining the sanitation-related risk factors that contributed to past outbreaks could help the  
46 framing and evaluation of interventions and might guide future research. The aim of this  
47 review is to synthesize the findings of peer-reviewed articles that have documented both  
48 outbreak investigations and sanitation characteristics in camps hosting displaced populations  
49 over the last 60 years.

## 50 **METHODS**

### 51 **Search strategy and inclusion criteria**

52 A search for literature published in English and in French from 1960 to April 2018 was  
53 undertaken, using a systematic approach, in the Medline, Embase and Global Health  
54 databases on 25 April 2018. Each database was searched using the subject headings and  
55 keywords associated with the key concepts ‘sanitation’, ‘diarrhoea’ and ‘refugee camp’. The  
56 Boolean operators OR and AND were used to link each subject heading with the associated

57 keywords and to combine the three key concepts/keywords, respectively. Appendix A  
58 describes the full search strategy and search strings.

59 Articles were initially screened by title and abstract. Eligibility was determined in a  
60 stepwise approach based on whether articles met all of the following criteria: 1) a diarrhoeal  
61 disease outbreak was investigated; 2) the outbreak occurred in a camp hosting a displaced  
62 population; and 3) sanitation characteristics were described. Where abstracts did not provide  
63 sufficient information, full texts were retrieved and the same stepwise approach was used to  
64 determine final inclusion. In addition, reference lists of relevant articles were screened to  
65 identify further eligible papers based on the same criteria.

## 66 **Data extraction and analysis**

67 Data extraction, analysis and synthesis were conducted following the Preferred  
68 Reporting Items for Systematic Reviews and Meta Analyses (PRISMA) statement (Moher *et al.*,  
69 2009). For each included article, data was extracted on: study design; outbreak characteristics;  
70 person, place and time parameters; sanitation provision characteristics; measures of effect;  
71 and potential sources of bias. Information on contributing factors such as water source,  
72 quantity and quality, weather events, cultural factors and any other relevant information were  
73 also extracted. A summary table was developed to synthesize outbreak, camp and WASH  
74 characteristics, as well as any contextual factors that may have contributed to the outbreak's  
75 occurrence.

76 A critical appraisal of the evidence was conducted for each study using a common  
77 checklist adapted from the Critical Appraisal Skills Programme guidelines (CASP, 2017), from  
78 the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE)  
79 statement (von Elm *et al.*, 2007) and from Reingold's guidelines for outbreak investigations  
80 (Reingold, 1998) (see Appendix B: critical appraisal checklist).

81 In addition to the overall critical appraisal based on the checklist, the strength of the  
82 evidence linking sanitation failure and outbreak occurrence in each study was classified as  
83 possible, probable or strong based on the inclusion of a sanitation parameter in statistical  
84 analyses and/or the description of the mechanism for pathogen transmission in the  
85 epidemiological investigation (see Table 1). Sanitation variables were considered both within

86 a camp and at the individual or household level to determine: a) whether identified cases were  
 87 significantly more likely to have been exposed to sanitation-related risk factors; b) whether  
 88 attack rates differed between populations with contrasting sanitation characteristics; and, c)  
 89 whether the outbreak began within one incubation period from the time of the suspected  
 90 sanitation failure.

91 **Table 1: criteria for classifying the strength of the evidence linking sanitation failures and**  
 92 **outbreak occurrence**

Possible	Circumstantial evidence linking sanitation failure to outbreak occurrence
Probable	Statistically significant association between exposure to a sanitation variable and being a case found in univariate analysis. Or descriptive epidemiological investigation suggests likely mechanism for sanitation failure leading to drinking water contamination
Strong	Statistically significant association between exposure to sanitation variable (e.g. person/latrine ratio, access to clean latrine, shared latrine as dichotomous variable) and being a case found in multivariate analysis after adjusting for other explanatory factors. Or descriptive epidemiological investigation provides detailed description of person, place and time parameters linking sanitation provision failure to the outbreak.

## 93 RESULTS

### 94 Study characteristics

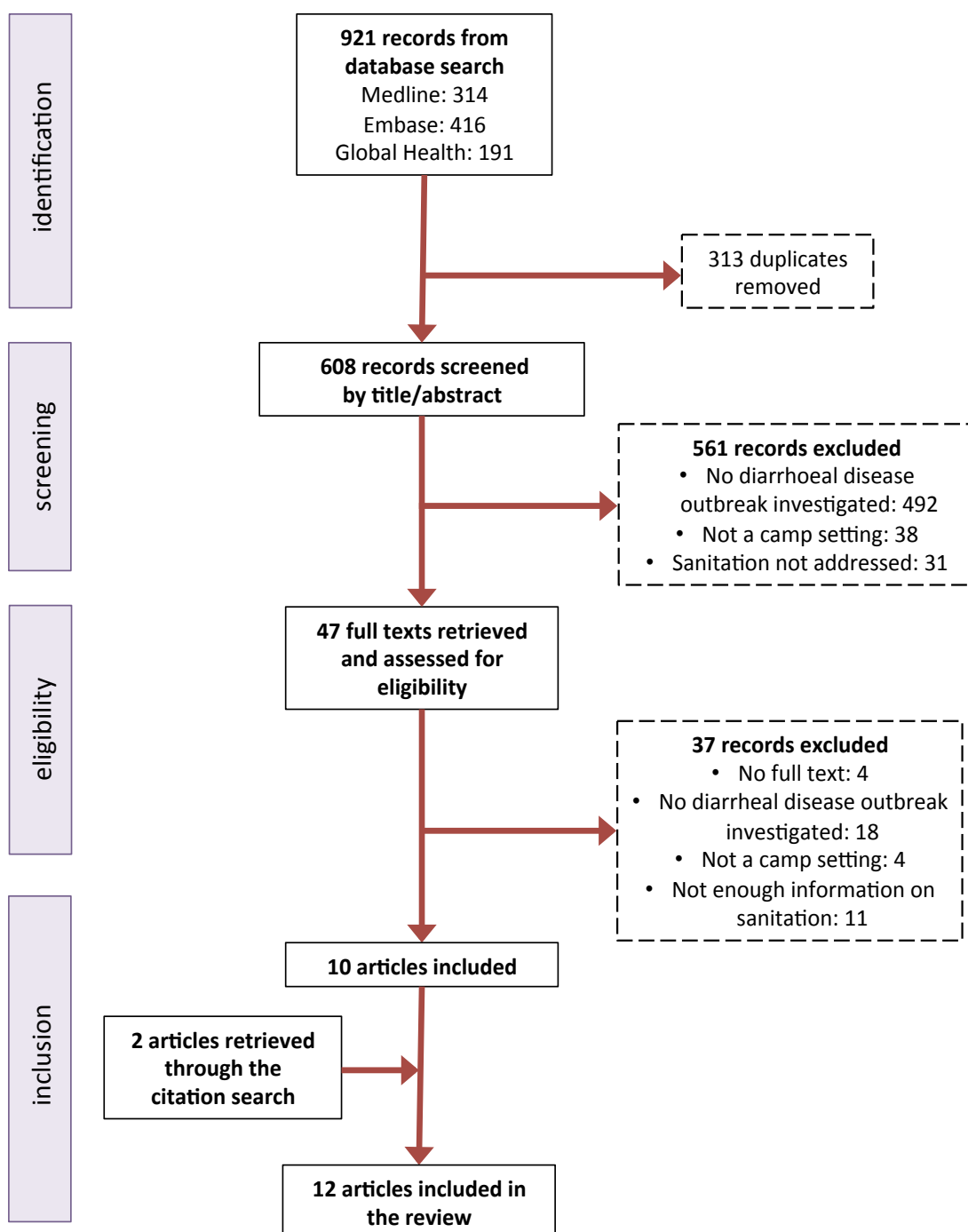
95 A total of 608 articles were identified through the systematic database search and  
 96 screened by title/abstract: 561 were not eligible and 47 were examined further, of which 10  
 97 met inclusion criteria. The reference list search yielded an additional two studies, resulting in  
 98 the inclusion of twelve articles in the review (see Figure 1). The articles reported on 21  
 99 outbreaks that occurred between 1974 and 2009 (see Table 2 and Appendix C).

100 Two outbreaks took place near Dhaka, Bangladesh; one in 1974 among the landless  
 101 rural population resettled after independence (Khan and Shahidullah, 1982), the other in 1978  
 102 in a camp hosting Burmese refugees fleeing civil war (Khan and Munshi, 1983). All the other  
 103 outbreaks were in East Africa, along the Great Rift Valley. Of these, one took place in the  
 104 Sudanese camp of Shagarab in 1985 among Ethiopian refugees fleeing famine (Mulholland,

105 1985); four occurred during the Mozambican civil war in three camps along the Malawi-  
106 Mozambican border in 1988 (Moren *et al.*, 1991), 1990 (Swerdlow *et al.*, 1997) and 1992  
107 (Mulemba and Nabeth, 1994) and in a fourth camp in Zimbabwe in 1992 (Bradley *et al.*, 1996).



Figure 1: Study selection flowchart



110 One of the most severe cholera epidemics broke out in Goma, Zaire (now the  
111 Democratic Republic of the Congo, DRC), in July 1994, during the Rwandan civil war, infecting  
112 close to 700,000 refugees. It was followed by an outbreak of bacillary dysentery caused by  
113 *Shigella dysenteriae* type 1 (Sd1); these two outbreaks were described in two articles (Goma  
114 Epidemiology Group, 1995; Bechen *et al.*, 1996). One study described 11 Shigellosis outbreaks  
115 that occurred from 1993 to 1995 in camps in Tanzania, DRC (including the Goma outbreak)  
116 and Rwanda, using data collected by *Médecins Sans Frontières* (MSF) (Kernéis *et al.*, 2009).  
117 Finally, two cholera epidemics occurred in Kakuma camp (Kenya) in 2005 (Shultz *et al.*, 2009)  
118 and in 2009 (Mahamud *et al.*, 2012). The camp had been established in 1991 to host refugees  
119 from neighbouring countries. The search did not yield any articles published after 2012 that  
120 met inclusion criteria.

121 Overall, the strength of evidence linking specific sanitation related factors with the  
122 occurrence of an outbreak, and with individual risk of infection in an outbreak, was assessed  
123 as strong in one study, probable in seven and possible in four (see Table 2). Four studies used  
124 a matched pair case-control design (Moren *et al.*, 1991; Swerdlow *et al.*, 1997; Shultz *et al.*,  
125 2009; Mahamud *et al.*, 2012) but, of these, only two included a sanitation variable as an  
126 exposure (Shultz *et al.*, 2009; Mahamud *et al.*, 2012). The other two examined water source  
127 and contact with a specific location as exposures, considering sanitation-related risk factors  
128 as contributing to water contamination in the discussion only (Moren *et al.*, 1991; Swerdlow  
129 *et al.*, 1997). Of the eight other studies, one presented the results of a spatio-temporal  
130 statistical model comparing the same outbreak occurring in a camp and farm community  
131 (Bradley *et al.*, 1996) and the remaining seven were descriptive (Khan and Shahidullah, 1982;  
132 Khan and Munshi, 1983; Mulholland, 1985; Mulemba and Nabeth, 1994; Goma Epidemiology  
133 Group, 1995; Bechen *et al.*, 1996; Kernéis *et al.*, 2009).

134 **Table 2: Study characteristics and critical appraisal summary**

Author(s) (publication year)	Country Year of outbreak	Study design	Epidemiological curve	Sanitation as exposure	Strength of evidence linking sanitation failure to outbreak <sup>a</sup>
Khan & Shahidullah (1982)	<i>Bangladesh</i> 1974	Descriptive	No	No	Possible
Khan & Munshi (1983)	<i>Bangladesh</i> 1978	Descriptive	No	No	Possible
Mulholland (1985)	<i>Sudan</i> 1985	Descriptive	No	No	Probable
Moren et al. (1991)	<i>Malawi</i> 1988	Matched case control	Yes	Yes	Probable
Swerdlow et al. (1997)	<i>Malawi</i> 1990	Matched case control	Yes	Yes	Probable
Mulemba & Nabeth (1994)	<i>Malawi</i> 1992	Descriptive	No	No	Possible
Goma Epidemiology Group (1995)	<i>DRC</i> 1994	Descriptive	Yes	No	Probable
Bradley et al. (1996)	<i>Zimbabwe</i> 1992	Statistical modelling	Yes	No	Possible
Bechen et al. (1996)	<i>DRC</i> 1994	Descriptive	Yes	No	Probable
Kernéis et al. (2009)	<i>Tanzania, DRC &amp; Rwanda</i> 1993-94	Descriptive	Yes	No	Probable
Schultz et al. (2009)	<i>Kenya</i> 2005	Matched case control	Yes	Yes	Strong
Mahamud et al. (2012)	<i>Kenya</i> 2009	Matched case control	Yes	Yes	Probable

<sup>a</sup> see table 1 for classification criteria

135  
136

137 Only one study showed strong evidence of an association between a measured sanitation  
138 parameter and the likelihood of being a case in multivariable statistical analysis. Three other studies  
139 included sanitation as an exposure parameter but the evidence was not conclusive enough to  
140 confirm the association. Among the eight remaining studies, those that showed the distribution of  
141 cases over time (epidemiological curve) and described a possible mechanism of transmission were  
142 given a higher score in the critical appraisal.

143 **Table 3: Outbreak characteristics**

Author(s) (publication year)	Camp (country) Year of outbreak	Pathogen (serotype) Case definition <sup>1</sup>	Total camp pop. (n)	Total cases (n)	AR (%)	Duration (weeks)	Recent Pop influx*
Khan and Shahidullah (1982)	Dhaka (Bangladesh) 1974	<i>V. cholera</i> Syndromic, requiring IV rehydration	73,162	177	0.24 <sup>2</sup>	-	No
Khan and Munshi (1983)	Leda (Bangladesh) 1978	<i>V. cholera</i> & <i>S. dysenteriae</i> Positive faecal culture	17,695	Vc: 128 <sup>3</sup> Sd: 1,741 <sup>4</sup>	Vc: 0.72 <sup>5</sup> Sd: 9.84 <sup>6</sup>	-	Yes
Mulholland (1985)	Shagarab (Sudan) 1985	<i>V. cholera</i> (Inaba) Syndromic + direct stool observation Active case finding	30,000	1,166	3.89	6	Yes
Moren <i>et al.</i> (1991)	Mankhokwe (Malawi) 1988	<i>V. cholera</i> (Inaba) Diarrhoea, vomiting or collapse due to dehydration Active case finding	29,745	784	2.60	9	Yes
Swerdlow <i>et al.</i> (1997)	Nyamithuthu (Malawi) 1990	<i>V. cholera</i> (Inaba) Syndromic. Requiring IV rehydration	80,519	1,931	2.40	16	Yes

144 Abbreviations: Vc: Vibrio cholera, Sd: Shigella dysenteriae

145 \*"recent" influx is considered as being 6 months or less prior to the first cases being reported but ranged from days (Goma Epidemiology Group, 1995; Bechen *et al.*, 1996;146 Kernéis *et al.*, 2009) to 6 months (Khan and Munshi, 1983)147 <sup>1</sup> All case definitions imply admission to health care facility.148 <sup>2</sup> Weighted average of sections A (AR=0.16), B (AR=0.40) and C (AR=0.43)149 <sup>3</sup> Calculated based on 5.5% rectal swabs positive for cholera of a total of 2321 collected  $(2321/100) \times 5.5 = 128$ . Cultures limited to 10 per day. The authors do not mention  
150 whether one culture corresponds to one case.151 <sup>4</sup> Calculated based on 75% rectal swabs positive for Shigella of a total of 2321 collected :  $(2321/100) \times 75 = 1741$ 152 <sup>5</sup> Calculated based on 128 inferred cholera cases and mean camp population:  $(128/17,695) \times 100 = 3.80$ 153 <sup>6</sup> Calculated based on 1741 inferred Shigella cases and mean camp population:  $(1741/17,695) \times 100 = 9.84$ 

154

155 **Table 3 (cont.): Outbreak characteristics**

Author(s) (publication year)	Camp (country) Outbreak Year	Pathogen Case definition	Total camp pop (n)	Total cases (n)	AR (%)	Duration (weeks)	Recent pop influx*
Mulemba and Nabeth (1994)	Lisungwi (Malawi) 1992	<i>Cholera</i> Syndromic Active case finding	51,930	3730	7.18	-	Yes
Bradley et al. (1996)	Tongogara (Zimbabwe) <i>Farm (F) vs Camp (C)</i> 1992	<i>V. cholera</i> (Ogawa & Inaba) Syndromic	C: 48,000 F: 8,000	C: 1,155 F: 436	C: 2.41 F: 5.50	C: 18 F: 21	Yes
Goma Epidemiology Group (1995)  Bechen et al. (1996)	Goma (DRC) 1994	<i>V. cholera</i> (Ogawa) Diarrhoea, dehydration  <i>S. dysenteriae</i> type 1 bloody stool	800,000	Vc: 70,000 <sup>7</sup>  Sd1: 15,543	Vc: 7.30 <sup>8</sup>  Sd1: -	4	Yes
Kernéis et al. (2009)	11 camps (Tanzania, DRC, Rwanda) 1993-94	<i>S. dysenteriae</i> type 1 Diarrhoea + visible blood in stool	Range: 8,588 to 215,889	181,921	6 to 39	5 to 29	Yes
Shultz et al. (2009)	Kakuma (Kenya) 2005	<i>V. cholera</i> (Inaba) Syndromic Active case finding	90,000	348	0.49	6	Yes
Mahamud et al. (2012)	Kakuma (Kenya) 2009	<i>V. cholera</i> (Inaba) Syndromic Active case finding	62,015	163	0.27	12	Yes

156 <sup>7</sup>Estimate from Bechen (1996). Goma Epidemiology group (1995) provides a range of between 58,000 and 80,000.

157 <sup>8</sup>Based on high population estimate of 800,000 and low estimate of total cases of 58,000.

158 The variation in case definitions prevented any comparisons between outbreaks. Outbreak duration and attack rates seemed to be  
159 unrelated to camp size. Higher attack rates were reported in the Shigella outbreaks, reflecting easier detection of cases (visible blood in the  
160 stool). All but one camp saw a sudden influx of population within six months preceding the identification of first case.

## 161 **Outbreak characteristics**

162           Eighteen outbreaks occurred within six months of a population fleeing war, famine or  
163 drought, two soon after the transfer of a group from one camp to another and one three years  
164 after the population had been established in the camp. *Vibrio cholerae* O1 biotype El Tor,  
165 serotypes Inaba, Ogawa, or both were isolated from faecal specimens in 11 outbreaks. Three  
166 studies mentioned only “cholera” without further specification. *Shigella dysenteriae* type 1  
167 (Sd1) was isolated in Goma (DRC) in 1994 shortly after the onset of the cholera outbreak, in  
168 Leda camp (Bangladesh), where cholera was also identified, and in all 11 dysentery outbreaks  
169 documented by MSF (see Table 3).

170           In the two articles describing the Goma outbreak, the authors calculated the number  
171 of cases retrospectively, based on the number of bodies collected in the streets of the town  
172 before surveillance could be put in place, and triangulated this estimate with clinic data and  
173 household surveys (Goma Epidemiology Group, 1995; Bechen *et al.*, 1996). Apart from these  
174 two studies and that of Khan and Munshi (1983), who used positive faecal samples as a case  
175 definition, the number of cases was determined from health care facility records using  
176 syndromic case definitions. Five articles noted that active case finding was undertaken after  
177 the first cases were detected. None attempted to differentiate between primary and  
178 secondary cases (see table 3).

179           Total camp populations ranged from 8,588 to 215,589 and all but two studies provided  
180 an Attack Rate (AR), using total camp population as the denominator (see table 3). ARs were  
181 much lower in the cholera outbreaks, ranging from 0.24% in Dhaka (patients requiring IV  
182 rehydration) to 7.30% in Goma (all individuals presenting to the cholera treatment centre),  
183 compared with the dysentery outbreaks (range: 5.5% in Kashusha to 39.1% in Kibumba, both  
184 in DRC, same case definition). Case definition specificity could have introduced a degree of  
185 selection bias as those who sought treatment a) had not yet died b) had severe enough  
186 symptoms to seek care and c) were aware of the presence of a treatment centre.

187           Where indicated, mean outbreak duration was 14 weeks, ranging from 4 to 29 weeks  
188 with the peak occurring between 6 and 43 days after the start of data collection (see Table 3  
189 and Appendix C). Five studies showed a rapid increase in the number of cases within months  
190 or days of a population influx. Only two studies identified a potential index case, one of which

191 was among new arrivals, but neither could confirm where, or from whom, the person had  
192 acquired the pathogen (see Appendix C).

193 Case Fatality Rates (CFR) for cholera were given in eight studies, and ranged from  
194 0.37% in Lumashi to 6.5% in Goma. A large proportion of deaths were thought to be iatrogenic  
195 in two cholera outbreaks. Antibiotic resistance and inappropriate prescription practices were  
196 thought to have contributed to the high CFRs in 9 of the 11 *Shigella* outbreaks described by  
197 Kernéis *et al.* (2009).

### 198 **Sanitation characteristics and defecation practices**

199 Five studies reported a persons per latrine ratio, which ranged from 13 to 1,029. The  
200 ratio was greater than 100 in six camps. Sphere standards, first published in 2000, recommend  
201 a maximum person per latrine ratio of 40 during the acute phase of a complex emergency (i.e.  
202 within the first three months of population displacement) and of 20 thereafter (The Sphere  
203 Project, 2011). The two studies that reported on outbreaks that occurred after these  
204 guidelines were published, both at Kakuma camp, either did not provide a ratio or reported  
205 the official figure of 13 persons per latrine and emphasized the great variability in latrine  
206 distribution within the camp (see Table 4).

207 Five articles described sanitation facility characteristics, which included sewer-  
208 connected toilets, ventilated and improved pit latrines, unprotected surface latrines and  
209 trench latrines. Rocky and/or volcanic soil limited or prevented the digging of latrines in the  
210 Goma and in Leda camps. Latrine emptying or excreta collection practices were mentioned  
211 only by Mahamud *et al.* (2012), who observed that a large number of latrines were full and  
212 non-functional without further description in the 2009 Kakuma outbreak. Two articles  
213 mentioned lack of latrine cleaning, which was under the responsibility of the users, as a barrier  
214 to utilisation. Open defecation in fields, bushes, the banks of ponds, rivers or lakes and/or  
215 compound grounds was reported in eight studies (see Table 4 and Appendix C).

216 **Table 4: Sanitation characteristics**

Author(s) (publication year)	Camp (Country)	Persons per latrine (n)	Latrine type	Alternative defecation practices
Khan and Shahidullah (1982)	Dhaka (Bangladesh) sections A, B, C	A: 130 B: 325 C: 405	A: enclosed, connected to sewer B&C: surface, unprotected <sup>1</sup>	A: - B&C: Open field, ponds
Khan and Munshi (1983)	Leda (Bangladesh)	50	Trench	-
Mulholland (1985)	Shagarab (Sudan)	-	Trench	Open field Compound ground
Moren et al. (1991)	Mankhokwe (Malawi)	-	-	-
Swerdlow et al. (1997)	Nyamithuthu (Malawi)	-	-	Open field Riverbed
Mulemba and Nabeth (1994)	Lisungwi (Malawi)	>10	Communal	-
Bradley et al. (1996)	Tongogara (Zimbabwe)	C: 28	Ventilated Improved pit <sup>2</sup>	-
Kernéis et al. (2009)	Nsangwa Kaduha Rukundo (Tanzania) Benaco Lumashi (Rwanda)	60-120 200 - - 20	-	-
Goma Epi Group (1995) Bechen et al. (1996) Kernéis et al. (2009)	Mungunga Kibumba Katale Kalehe Kashusha Inera (DRC)	1029 500 184 - - -	Lake Kivu	Open field Open field Open field - - -
Shultz et al. (2009)	Kakuma (Kenya)	13 <sup>3</sup>	Household Communal	Bushes Riverbed
Mahamud et al. (2012)	Kakuma (Kenya)	-	Household Communal Some non-functional	Bushes, Riverbed Compound ground

217 <sup>1</sup> No containment of human waste from environment, no covering or pit218 <sup>2</sup> No further description given in text219 <sup>3</sup> Wide variations in distribution throughout the camp, household latrines included in total



## 220 Sanitation-related risk factors for outbreak occurrence

### 221 *Delayed provision of sanitation facilities after a population influx*

222 Insufficient latrine provision after a recent population influx in the camp was  
223 mentioned in six articles (see Table 5). The heterogeneity in case definitions prevented  
224 comparisons between cholera outbreaks but Kernéis *et al.* (2009) showed that, in the *Shigella*  
225 outbreaks, higher ARs were found in camps that had a higher persons per latrine ratio and  
226 where the humanitarian response was delayed or lacked capacity. In Goma, despite early  
227 warning (from international players present in the area) of potential large population  
228 displacements, the agencies on the ground received support only after the outbreak had been  
229 reported by international media (Goma Epidemiology Group, 1995; Bechen *et al.*, 1996;  
230 Kernéis *et al.*, 2009). In Leda camp, agencies arrived on the scene several months after the  
231 refugees were settled (Khan and Munshi, 1983). In Lisungwi, communal latrines were built  
232 when refugees first arrived but were insufficient (Mulemba and Nabeth, 1994).

233 Shultz *et al.* (2009) evaluated the association between “sharing a latrine with three or  
234 more households” and becoming a case, which yielded an Odds Ratio (OR) of 2.17 (95%CI:  
235 1.01-4.68) after adjusting for recent arrival and water storage in a sealed container. Though  
236 recent arrivals had more than four times the odds of symptomatic disease (OR: 4.66, 95%CI:  
237 1.35-16.05), the authors could not establish with any certainty whether they introduced the  
238 pathogen into a non-immune population or provided a pool of susceptibles for an endemic  
239 strain. However, the sections of the camp that saw the highest ARs also had the lowest latrine  
240 coverage and hosted the majority of new arrivals (Shultz *et al.*, 2009). In Nyamithuthu,  
241 Swerdlow *et al.* (1997) found that 86% of the cases had arrived three months prior to  
242 becoming infected.

243 In the 2009 Kakuma outbreak, sharing a communal latrine was associated with higher  
244 odds of becoming a case in bivariate analysis, with an OR of 3.33 (95% CI: 1.34-8.30) but was  
245 not found to be statistically significant in multivariate analysis (data not shown in article),  
246 which included only dirty water storage containers and hand washing with soap as covariates  
247 in the final multivariate model (Mahamud *et al.*, 2012). Although the authors noted that  
248 12,000 people had been transferred from another camp one month earlier, recent arrival was

249 not found to be a significant risk factor (OR: 1.83; 95% CI: 0.68-4.96) (Mahamud *et al.*, 2012).  
250 However, almost half of eligible cases could not be located for an interview and controls were  
251 excluded if any member of the compound had experienced diarrhoea as of two days after  
252 outbreak detection, thus introducing potential selection bias.

253 The high proportion of asymptomatic individuals in cholera-infected populations (Sack  
254 *et al.*, 2004) implies a high risk of differential misclassification bias in the case-control studies.  
255 In the other articles, the risk of ecological fallacy (Carneiro and Howard, 2011) prevented the  
256 isolation of sanitation failure effects from water and hygiene-related factors when estimating  
257 exposure.

### 258 *Open defecation and the use of unsafe water sources*

259 Open defecation practices were described as contributing factors in nine outbreaks,  
260 as the areas that had been used for defecation were also used for drinking, washing and  
261 bathing in the context of limited water availability (see table 5). The daily per person quantity  
262 of safe water provided at the time of outbreak detection was given in seven studies and  
263 ranged from 0.2 to 20 Litres per person per day with only one camp meeting the minimum  
264 daily requirement set by Sphere of 15 Litres of water per person (The Sphere Project, 2011).

265 In Nyamithuthu, cases were 16 times more likely to have “visited the river” and to  
266 drink river water (OR: 16.1; 95% CI: 2.0-351.2) (Swerdlow *et al.*, 1997). In contrast, Shultz *et al.*  
267 (2009), suspecting that the river might have been a common source of infection in the  
268 2005 Kakuma outbreak, found that cases were not significantly more likely to drink river water  
269 compared with controls despite the riverbank having been used for defecation. However,  
270 they acknowledged that a high risk of recall and misclassification bias, an on-going education  
271 campaign and a small sample size, might have under-estimated the association.

272 Cultural factors were described in four studies. In Dhaka (Khan and Shahidullah, 1982)  
273 and Kakuma in 2005 (Shultz *et al.*, 2009), populations migrating from rural areas were  
274 reported to have placed little value in the use of latrines, resorting to open defecation instead,  
275 and to have shown little concern for the upkeep and maintenance of shared facilities. Visible  
276 human faeces were observed on compound grounds in Shagarab (Mulholland, 1985) and in

277 Kakuma in 2009 (Mahamud *et al.*, 2012), where the authors noted that some camp dwellers  
278 considered children's faeces harmless.

279 *Accidental water contamination*

280 The most precise description of the mechanism by which drinking water was  
281 contaminated by infected faeces was given by Mulholland (1985), who described tanker  
282 trucks driving through a muddy field used for defecation before their tanks were filled in a  
283 lake to supply the camp with drinking water. In the Mankhokwe outbreak, heavy rains  
284 destroyed half the latrines 15 days before the first cases of cholera were detected and cases  
285 were 4.5 times more likely than controls to use the water from shallow wells (95%CI: 1.0-20.9,  
286  $p=0.04$ ); the distance between the surface of the water table and the bottom of nearby pit  
287 latrines was less than 1 metre (Moren *et al.*, 1991). In both outbreaks that occurred in  
288 Bangladesh, heavy rain filled ditches and holes that had previously been used for defecation,  
289 and camp residents were reported to have used the rain water for washing and bathing (Khan  
290 and Shahidullah, 1982; Khan and Munshi, 1983).

291 Table 5: Sanitation and water accessibility

Author(s) (publication year)	Camp (country)	Persons per latrine (n)	Safe water quantity (L/person/ day)	Defecation at water source	Delayed response
<b>Sphere</b>		<b>&lt;20</b>	<b>&gt;15</b>		
Khan and Shahidullah (1982)	Dhaka A Dhaka B Dhaka C	130 325 405	- - -	- yes yes	No
Khan and Munshi (1983)	Leda	50	“extremely low”	yes	yes
Mulholland (1985)	Shagarab	-	10	Yes	Yes
Moren <i>et al.</i> (1991)	Mankhokwe	-	“critical shortages”	-	Yes
Mulemba and Nabeth (1994)	Lisungwi	>10	6-14 4-12	-	Yes
Goma Epi Group (1995) Bechen <i>et al.</i> (1996)	Goma	-	0.2	Yes	Yes
Bradley <i>et al.</i> (1996)	Tongogara	28	-	-	-
Swerdlow <i>et al.</i> (1997)	Nyamithuthu	-	-	yes	yes
Kernéis <i>et al.</i> (2009)	Nsangwa	60-120	20	-	-
	Kaduha	200	3	-	-
	Rukundo	-	-	-	-
	Benaco	-	3.7	-	No
	Lumashi	20	15	-	No
	Mungunga	1029	1	Yes	Yes
	Kibumba	500	1	Yes	Yes
	Katale	184	1	Yes	Yes
	Kalehe	-	6	-	No
Kashusha	-	2	-	No	
Inera	-	-	-	-	No
Shultz <i>et al.</i> (2009)	Kakuma	13	8-17	Yes	Yes
Mahamud <i>et al.</i> (2012)	Kakuma Section 2	-	9.8 - 12.2	Yes	Yes

## 293 DISCUSSION

294 The search for peer-reviewed literature yielded 12 articles, published between 1982  
295 and 2012, describing diarrhoeal disease outbreaks and sanitation characteristics in 21 camps  
296 hosting displaced populations. The evidence of an association between specific sanitation  
297 failures and infection risk was difficult to isolate in the context of safe drinking water  
298 shortages. Nonetheless, based on the evidence found in these articles, three main sanitation-  
299 related risk factors were identified as having contributed to pathogen transmission, both in  
300 isolation and concurrently: 1) lack of sanitation provision due to a delay in humanitarian  
301 response after a population influx; 2) open defecation in the proximity of lakes, rivers or  
302 ponds used for washing, bathing and drinking; and 3) direct contact between faecal sludge  
303 and water sources after heavy rains.

304 The focus on sanitation failures was motivated by recent reviews that found a lack of  
305 evidence on the effectiveness of sanitation-specific interventions in controlling  
306 communicable diseases during complex emergencies (Brown *et al.*, 2012; Ramesh *et al.*, 2015;  
307 Blanchet *et al.*, 2017). Despite the low threshold for the level of detail on sanitation provision  
308 required for inclusion, only 12 articles met inclusion criteria, reflecting the dearth of published  
309 peer-reviewed outbreak investigations that evaluated exposure to sanitation-related  
310 parameters in camps. A limitation was to have excluded grey literature, where relevant  
311 evidence might have shown improvements – or lack thereof – in sanitation provision since  
312 the publication of Sphere guidelines.

313 The findings of the present review do not suggest that no outbreaks have occurred in  
314 camps since 2009. Rather, they show that very few published articles reporting on outbreak  
315 investigations examined sanitation-related factors, which is likely due to the difficulty in  
316 measuring individual-level exposure. A search on ProMED, an online report system for  
317 infectious disease outbreaks which is widely used internationally (*Promedmail*, no date),  
318 showed that, between 2009 and 2014 alone, 18 diarrhoeal disease outbreaks occurred in  
319 refugee camps. The lack of precision in these reports precluded their inclusion in this study  
320 however.

321 This confirms the need for further research, particularly when considering that the  
322 outbreaks that were investigated occurred in camps where a) health care services were in

323 place, b) surveillance was under way and considered reliable and c) sanitation provision data  
324 was available. Given the high likelihood of publication bias, these outbreaks could have been  
325 quite different from others that were not detected, not documented in peer-reviewed  
326 journals, or in which surveillance was not systematic (Bruckner and Checchi, 2011). Future  
327 research should explore the use of more robust indicators reflecting latrine utilisation and  
328 condition as well as accessibility. Questionnaires should include items on whether the use of  
329 latrines is systematic, occasional and/or concurrent with open defecation practices. The  
330 conditions of the latrines used and whether users had any direct contact with faeces should  
331 also be documented.

332         Examining the environmental determinants of cholera outbreaks in inland Africa from  
333 1970 to 2012, Rebaudet *et al.* (2013), noted the importance of drinking water contamination  
334 either through open defecation near water sources in the context of droughts or secondary  
335 to the flooding of latrines into shallow wells, which is consistent with the findings of this  
336 review. They also suggested that human behaviour and social factors, along with population  
337 mobility and migration, were more likely to explain geographical patterns than seasonality or  
338 the presence of an established environmental reservoir (Rebaudet *et al.*, 2013).

339         All but one outbreak examined here took place within six months of the arrival of a  
340 population fleeing war, famine and/or drought, therefore in the context of a complex  
341 humanitarian emergency (Toole and Waldman, 1997). A delay in adapting sanitation capacity  
342 to a population influx would have increased the potential for direct contact with infected  
343 faeces and for subsequent water contamination, to which both new arrivals and existing camp  
344 dwellers would have been exposed (Lam *et al.*, 2015). Cronin *et al.* (2009) have shown that,  
345 in camps where the persons per latrine ratio is greater than 30, the percentage of the  
346 population with access to improved sanitation is approximately 10%; this increases to 25%  
347 when the ratio is between 21 and 30. They also demonstrated that access to sanitation plays  
348 a more important role in controlling diarrhoea than access to water (Cronin *et al.*, 2009).

349         The use of river, pond or lake water for domestic purposes, and for drinking in the  
350 context of insufficient safe water resources, would have exposed a susceptible population  
351 either to an existing environmental reservoir or, more likely, to a common source, established  
352 with the introduction of the pathogen by an infected individual. A similar mechanism of  
353 transmission was suspected in Juba, Sudan, in 2007, among refugees returning from camps

354 (Centers for Disease Control and Prevention, 2009) and in Kenya, in 1994, among Somali  
355 refugees and Kenyan nationals living in slums (Iijima *et al.*, 1995).

356 Most of the outbreaks reported on in this review occurred in established camps after  
357 a sudden influx of population. Given the location of current conflicts, civil strife and extreme  
358 weather conditions, it is likely that such population movements will continue in future as  
359 people migrate to existing camps, overwhelming sanitation and water services that have been  
360 planned for temporary occupation and for a limited number of people. The creation of the  
361 Sphere project in 1997 (The Sphere Project, 2011) shows that the will to improve sanitation  
362 services in camps has been in place for at least twenty years. Yet in 2015, a WHO working  
363 panel on cholera control highlighted that progress in implementing these guidelines was slow,  
364 particularly in terms of anticipation and response during high-risk periods (Seukap Pena *et al.*,  
365 2016).

366 Adequate sanitation provision can contribute to the prevention not only of diarrhoeal  
367 disease outbreaks but also of vector borne diseases and hookworm, dracunculiasis and  
368 schistosomiasis infections as well (Esrey *et al.*, 1991; Cairncross and Valdmanis, 2006).  
369 Compared with other WASH interventions, such as soap distribution, container chlorination  
370 and community education, sanitation provision in camps generally requires a greater degree  
371 of planning in order to be effective.

372 Camp dwellers should be involved in designing and building facilities in order to ensure  
373 they are appropriate in terms of customs and habits. Innovation in designing temporary  
374 shared facilities should be encouraged, funded and evaluated in terms of impact and  
375 effectiveness. More importantly, allocating resources and ensuring continuity of service, both  
376 for the initial construction of facilities and for their maintenance should not be neglected. To  
377 quote Francesco Checchi and colleagues (2007), “timely and appropriate relief, grounded in  
378 clearly outlined, scientifically sound reasoning, focusing discussion on substantive matters  
379 and reducing the scope for political manipulation” is paramount. Stakeholders should be  
380 aware of basic infectious disease epidemiology concepts and should mobilize the appropriate  
381 resources to ensure sanitation facilities are adapted to the camp context, as well as  
382 topography, weather patterns and cultural norms.

## 383 CONCLUSION

384 A search for peer-reviewed articles describing both a diarrhoeal disease outbreak and  
385 sanitation characteristics in refugee camp settings yielded 12 articles published over the past  
386 60 years. A number of sanitation-related factors were identified as having contributed to  
387 outbreak occurrence, in particular delayed latrine provision following a population influx and  
388 inadequate maintenance of existing facilities, which contributed to open defecation and  
389 subsequent water contamination. However, few studies measured sanitation characteristics  
390 in detail and only two considered them as exposures in risk factor analyses. Further research  
391 using more robust measurement tools and greater collaboration between the WASH and  
392 health sectors are necessary in order to design interventions that are adaptable, readily  
393 available and culturally appropriate. Using in-country resources and involving the local  
394 population in the design of sanitation infrastructure that will meet their needs will likely  
395 encourage the use and maintenance of facilities, and therefore reduce the risk of disease  
396 transmission. Though major actors of the humanitarian relief field have emphasised the role  
397 of coordination, collaboration and accountability in upholding sanitation and camp  
398 management standards, the role of population dynamics in pathogen transmission should be  
399 highlighted in order to justify resource allocation, and to emphasise the necessity for funds  
400 to be readily available.



## BIBLIOGRAPHY

Bechen, R., Boutin, J. P., Combes, D., Coue, J. C., Desfontaine, M., Lamarque, D., Milleliri, J. M., Merouze, F., Niel, L., Regis, R., Rey, J. L., Signoret, J. and Soares, J. L. (1996) 'Cholera in Goma, July 1994', *Revue d'Epidemiologie et de Sante Publique*, 44(4), pp. 358–363.

Bill & Melinda Gates Foundation (2010) *Water, Sanitation & Hygiene Fact Sheet*. Available at: <https://docs.gatesfoundation.org/documents/water-sanitation-hygiene-fact-sheet-2010.pdf> (last accessed: 20 October 2016).

Blanchet, K., Ramesh, A., Frison, S., Warren, E., Hossain, M., Smith, J., Knight, A., Post, N., Lewis, C., Woodward, A., Dahab, M., Ruby, A., Sistenich, V., Pantuliano, S. and Roberts, B. (2017) 'Evidence on public health interventions in humanitarian crises', *Lancet*, 390(10109), pp. 2287–2296. doi: 10.1016/S0140-6736(16)30768-1.

Bradley, M., Shakespeare, R., Ruwende, A., Woolhouse, M. E. J., Mason, E. and Munatsi, A. (1996) 'Epidemiological features of epidemic cholera (El Tor) in Zimbabwe', *Transactions of the Royal Society of Tropical Medicine and Hygiene*, 90(4), pp. 378–382.

Brown, J., Jeandron, A., Cavill, S. and Cumming, O. (2012) *Evidence review and research priorities : Water, sanitation, and hygiene for emergency response*.

Bruckner, C. and Checchi, F. (2011) 'Detection of infectious disease outbreaks in twenty-two fragile states, 2000-2010: a systematic review.', *Conflict and health*, 5(1), p. 13. doi: 10.1186/1752-1505-5-13.

Cairncross, S. and Valdmanis, V. (2006) 'Disease Control in Developing Countries: Chapter 41 Water Supply, Sanitation and Hygiene Promotion', *Disease Control in Developing Countries*, pp. 771–792.

Campbell, F. and Howard, N. (2012) 'The Humanitarian System', in Howard, N., Sondorp, E., and Veen, A. M. ter (eds) *Conflict and Health*. Maidenhead : Open University Press, pp. 46–

56.

Carneiro, I. and Howard, N. (2011) *Introduction to Epidemiology*. 2nd edn. Maidenhead: Open University Press.

Centers for Disease Control and Prevention (2009) 'Cholera outbreak--southern Sudan, 2007', *Morbidity and mortality weekly report*. United States, 58(13), pp. 337–341. doi: mm5813a3 [pii].

Checchi F, Gayer M, Freeman Grais R, Mills EJ. Public Health in crisis-affected populations, a practical guide for decision-makers. Humanitarian Practice Network. 2007;(61):1–57.

Critical Appraisal Skills Programme (2017) *CASP (case control study checklist)*. Available at: <http://www.casp-uk.net/casp-tools-checklists> (last accessed: 2 April 2017).

Cronin, A. A., Shrestha, D., Cornier, N., Abdalla, F., Ezard, N. and Aramburu, C. (2008) 'A review of water and sanitation provision in refugee camps in association with selected health and nutrition indicators - The need for integrated service provision', *Journal of Water and Health*, 6(1), pp. 1–13. doi: <http://dx.doi.org/10.2166/wh.2007.019>.

Cronin, A. A., Shrestha, D., Spiegel, P., Gore, F. and Hering, H. (2009) 'Quantifying the burden of disease associated with inadequate provision of water and sanitation in selected sub-Saharan refugee camps', *Journal of Water and Health*, 7(4), pp. 557–568. doi: <http://dx.doi.org/10.2166/wh.2009.089>.

von Elm, E., Altman, D. G., Egger, M., Pocock, S. J. and Gøtzsche, P. C. (2007) 'The Strengthening the Reporting of Observational Studies in Epidemiology ( STROBE ) Statement : Guidelines for Reporting Observational Studies', *PLoS ONE*, 4(10), p. e296. doi: <https://doi.org/10.1371/journal.pmed.0040296>.

Esrey, S. A., Potash, J. B., Roberts, L. and Shiff, C. (1991) 'Effects of improved water supply and sanitation on ascariasis, diarrhoea, dracunculiasis, hookworm infection, schistosomiasis, and trachoma.', *Bulletin of the World Health Organization*, 69(5), pp. 609–21. doi: <http://dx.doi.org/10.2147/IJWH.S77807>.

Goma Epidemiology Group (1995) 'Public health impact of Rwandan refugee crisis: what happened in Goma, Zaire, in July, 1994?', *Lancet*, 345(8946), pp. 339–344. doi: [http://dx.doi.org/10.1016/S0140-6736\(95\)2990338-0](http://dx.doi.org/10.1016/S0140-6736(95)2990338-0).

Hershey, C. L., Doocy, S., Anderson, J., Haskew, C., Spiegel, P. and Moss, W. J. (2011) 'Incidence and risk factors for Malaria, pneumonia and diarrhea in children under 5 in UNHCR refugee camps: a retrospective study.', *Conflict and Health*, 5(24), pp. 1–11. doi: 10.1186/1752-1505-5-24.

Iijima, Y., Oundo, J., Taga, K., Saidi, S. and Honda, T. (1995) 'Simultaneous outbreak due to *Vibrio cholerae* and *Shigella dysenteriae* in Kenya', *The Lancet*. England: Elsevier, 345(8941), pp. 69–70. doi: 10.1016/S0140-6736(95)91197-9.

Kernéis, S., Guerin, P. J., von Seidlein, L., Legros, D. and Grais, R. F. (2009) 'A look back at an ongoing problem: *Shigella dysenteriae* type 1 epidemics in refugee settings in Central Africa (1993-1995)', *PLoS ONE*, 4(2), pp. 1–6. doi: 10.1371/journal.pone.0004494.

Khan, M. U. and Munshi, M. H. (1983) 'Clinical illnesses and causes of death in a Burmese refugee camp in Bangladesh', *International Journal of Epidemiology*, 12(4), pp. 460–464. doi: 10.1093/ije/12.4.460.

Khan, M. U. and Shahidullah, M. D. (1982) 'Role of water and sanitation in the incidence of cholera in refugee camps.', *Transactions of the Royal Society of Tropical Medicine and Hygiene*, 76(3), pp. 373–377. doi: 10.1016/0035-9203(82)90194-8.

Lam, E., McCarthy, A., Brennan, M., E., L., A., M., Lam, E., McCarthy, A. and Brennan, M. (2015) 'Vaccine-preventable diseases in humanitarian emergencies among refugee and internally-displaced populations', *Human Vaccines and Immunotherapeutics*, 11(11), pp. 2627–2636. doi: <http://dx.doi.org/10.1080/21645515.2015.1096457>.

Luque Fernández, M. Á., Mason, P. R., Gray, H., Bauernfeind, A., Fesselet, J. F. and Maes, P. (2011) 'Descriptive spatial analysis of the cholera epidemic 2008-2009 in Harare, Zimbabwe: A secondary data analysis', *Transactions of the Royal Society of Tropical Medicine and Hygiene*, 105(1), pp. 38–45. doi: 10.1016/j.trstmh.2010.10.001.

Mahamud, A., Ahmed, J., Nyoka, R., Auko, E., Kahi, V. and Ndirangu, J. (2012) 'Epidemic cholera in Kakuma refugee camp, Kenya, the importance of sanitation and soap.', *Journal of Infection in Developing Countries*, 6(3), pp. 234–241.

Moher, D., Liberati, A., Tetzlaff, J., Altman, D. G. and Grp, P. (2009) 'Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement', *PLoS Medicine*, 6(7), p. e1000097. doi: 10.1371/journal.pmed1000097.

Moren, A., Stefanaggi, S., Antona, D., Bitar, D., Gastellu Etchegorry, M., Tchatchioka, M., Lungu, G., Etchegorry, M. G., Tchatchioka, M. and Lungu, G. (1991) 'Practical field epidemiology to investigate a cholera outbreak in a Mozambican refugee camp in Malawi, 1988', *Journal of Tropical Medicine and Hygiene*, 94(1), pp. 1–7.

Mulemba, F. and Nabeth, P. (1994) 'Environmental sanitation for the control of cholera in Lisungwi Refugee Camp, Malawi', *Waterlines*, 12(4), pp. 9–11. doi: 10.3362/0262-8104.1994.016.

Mulholland, K. (1985) 'Cholera in Sudan: An account of an epidemic in a refugee camp in eastern Sudan, May-June 1985', *Disasters*, 9(4), pp. 247–258.

Piarroux, R., Barraï, R., Faucher, B., Haus, R., Piarroux, M., Gaudart, J., Magloire, R. and Raoult, D. (2011) 'Understanding the cholera epidemic, Haiti', *Emerging Infectious Diseases*, 17(7), pp. 1161–1167. doi: 10.3201/eid1707.110059.

Piarroux, R. and Faucher, B. (2012) 'Cholera epidemics in 2010: Respective roles of environment, strain changes, and human-driven dissemination', *Clinical Microbiology and Infection*. European Society of Clinical Infectious Diseases, 18(3), pp. 231–238. doi: 10.1111/j.1469-0691.2012.03763.x.

*Promedmail* (no date). Available at: <https://www.promedmail.org/> (last accessed: 30 November, 2018).

Ramesh, A., Blanchet, K., Ensink, J. H. J. and Roberts, B. (2015) 'Evidence on the effectiveness of water, sanitation and hygiene (WASH) interventions on health outcomes in

humanitarian crises: a systematic review.', *PLoS ONE*, 10(9), pp. 1–20. doi: 10.1371/journal.pone.0124688.

Rebaudet, S., Sudre, B., Faucher, B. and Piarroux, R. (2013) 'Environmental determinants of cholera outbreaks in inland africa: A systematic review of main transmission foci and propagation routes', *Journal of Infectious Diseases*, 208(SUPPL. 1), pp. S46–S54. doi: 10.1093/infdis/jit195.

Reingold, A. (1998) 'Outbreak investigations-A perspective.', *Emerging Infectious Diseases*, 4(1), pp. 21–27.

Sack, D. A., Sack, R. B., Nair, G. B. and Siddique, A. (2004) 'Cholera', *The Lancet*, 363(9404), pp. 223–233. doi: 10.1016/S0140-6736(03)15328-7.

Schuller, M. and Levey, T. (2014) 'Kabrit ki gen twòp mèt: Understanding gaps in WASH services in Haiti's IDP camps', *Disasters*. M. Schuller, 38(S1), pp. S1–S24. doi: 10.1111/disa.12053.

Seukap Pena, E., Bwire, G., Dzotsi, E., Bonnet, M. C. and Hessel, L. (2016) 'New momentum in prevention, control and elimination of cholera in Africa: priority actions identified by affected countries', *The Weekly Epidemiological Record*, 24(91), pp. 305–316. doi: 10.1016/j.actatropica.2012.04.013.

Shultz, A., Omollo, J. O., Burke, H., Qassim, M., Ochieng, J. B., Weinberg, M., Feikin, D. R. and Breiman, R. F. (2009) 'Cholera outbreak in Kenyan refugee camp: risk factors for illness and importance of sanitation.', *American Journal of Tropical Medicine and Hygiene*, 80(4), pp. 640–645. doi: 80/4/640 [pii].

Swerdlow, D. L., Malenga, G., Begkoyian, G., Nyangulu, D., Toole, M., Waldman, R. J., Puhr, D. N. D. and Tauxe, R. V (1997) 'Epidemic cholera among refugees in Malawi, Africa: treatment and transmission.', *Epidemiology and Infection*, 118(3), pp. 207–214. doi: <http://dx.doi.org/10.1017/S0950268896007352>.

The Sphere Project (2011) *Humanitarian Charter and Minimum Standards in Humanitarian*

*response*. 3rd edn. Bourton on Dunsmore: Practical Actrion Publishing. doi: ISBN 978-1-908176-00-4.

Toole, M. J. and Waldman, R. J. (1997) 'The Public Health Aspects of Complex Emergencies and Refugee Situations', *Annual Review of Public Health*, 18(1), pp. 283–312. doi: 10.1146/annurev.publhealth.18.1.283.

UNHCR (2018) *Global Trends: Forced Displacement in 2017*. Available at: <http://www.unhcr.org/globaltrends2017/> (last accessed: 27 June 2018).

United Nations High Level Panel on Humanitarian Financing (2016) *Too Important to fail - addressing the humanitarian financing gap. Report to the secretary general., World Humanitarian Summit*. Available at: <https://reliefweb.int/report/world/high-level-panel-humanitarian-financing-report-secretary-general-too-important-fail> (last accessed: 26 April 2017).

USAID (2016) *Sanitation: Water and Development Strategy Implementation Brief*, Washington, DC: USAID.

## APPENDICES:

### A. Detailed search strategy

Steps	Search terms
Sanitation (concept A)	
1	<b>Subject headings</b> <u>Medline, Embase</u> : Sanitation; risk factors <u>Global health</u> : Sanitation; latrines
2	<b>Keywords</b> Sanitation OR latrine* OR toilet* OR WASH OR excreta disposal OR hygiene OR defecate* OR feces OR water OR risk factor
3	1 OR 2 → all results for concept A
Diarrhoeal disease outbreak (concept B)	
4	<b>Subject headings</b> <u>Medline, Embase</u> : Diarrhea AND epidemic <u>Global health</u> : Infectious diseases
5	<b>Keywords</b> (Diarrh* OR Cholera* OR Dysenter* OR Shigell* OR E* Coli OR Rotavirus OR Norovirus OR Astrovirus OR Salmonell* OR Amoeb*)
6	4 OR 5 → all results for concept B
7	3 AND 6 → all results for concepts A and B
Refugee camps (concept C)	
8	<b>Subject headings</b> <u>Medline, Embase</u> : Refugee OR refugee camp <u>Global Health</u> : refugees
9	<b>Keywords</b> Refugee* OR internally displaced person* OR IDP OR Displace*
10	8 OR 9 → all results for concept C
11	6 AND 10 → all results for concepts B and C
12	3 AND 10 → all results for concepts A and C
13	3 AND 6 AND 10 → all results for concepts A and B and C

**B. Critical appraisal checklist** (All items scored equally. Overall score attributed based on percentage of criteria met)

<b>Author (pub year) title:</b>		
<b>Study design:</b>		
<b>Score:</b>	<b>Yes</b>	<b>No</b>
<b>A. Introduction</b>		
1. Aim and Objectives clearly stated?		
2. Study design presented?		
3. Outbreak location and dates described?		
4. Period of data collection stated?		
5. Sanitation measured as exposure?		
<b>B. Case definition and control selection</b>		
6. Appropriate case definition (specificity vs sensitivity)?		
7. Cases representative of study population?		
8. Established, reliable system for detecting cases?		
9. Pathogen identified?		
10. Controls randomly selected from susceptible population?		
11. Matched controls?		
12. Recall, ascertainment or classification bias acknowledged?		
13. Appropriate sample size?		
<b>C. Outbreak investigation</b>		
14. Epidemiological curve presented and interpreted?		
15. Susceptible population described?		
16. Population characteristics and dynamics described?		
17. Attack rate provided and reliable?		
18. Case fatality rate provided and reliable?		
<b>D. Exposures</b>		
19. Sanitation characteristics described, including person:latrine ratio?		
20. Other defecation practices described?		
21. Water quantity, source and quality described?		
22. Seasonal information provided?		
23. Soil/ground conditions described?		
24. Other confounders or effect modifiers identified?		
<b>E. Statistical methods</b>		
25. All statistical methods described and appropriate?		
26. Crude associations presented?		
27. Confounding controlled for?		
28. Interaction examined?		
29. Missing data and loss to follow-up addressed?		
<b>F. Results and discussion</b>		
30. Unadjusted estimates and, if applicable, adjusted estimates given?		
31. 95% Confidence intervals and tests of significance presented?		
32. Limitations of the study discussed, including incomplete data?		
33. Sources of potential bias discussed?		
34. Mechanism of pathogen transmission explored?		
35. Alternative interpretations for end of outbreak considered?		
<b>G. Implications</b>		



36. Are the results coherent with other available evidence?		
37. Can the results be used to inform stakeholders?		
38. Can these results be used to make recommendations?		

## Appendix C: Article Summary Table

Author(s) (publication year) <i>Design</i>	Country, camp name (population)	Outbreak dates & pathogen	Study population, case definition & data source	Outcome measures	Risk factors
Bechen et al. (1996)  <i>Descriptive</i>	<b>Zaire</b>  Goma (800,000)	July to 14/08/1994  <i>Vibrio cholerae</i> (Ogawa)	Case: Bodies of deceased collected, watery diarrhoea and/or dehydration.  Data source: dispensaries, cholera wards	AR: 10% (estimated by authors)  Mortality rate: Katalé: 41,3/10,000 Kibumba: 28,1/10,000. 85-90% attributable to diarrhoea.	Volcanic soil– cannot dig latrines Lake Kivu only water source. Drinking water: 0.2L/person/day mid July, increased to 2L/person/day in late July and 5L/person/day in August but decrease in number of cases before increase in water distribution.  <i>Civil war in Rwanda. Late humanitarian response despite predictability of outbreak.</i>
Bradley et al. (1996)  <i>Descriptive</i>	<b>Zimbabwe</b>  Tongogara (n=48,000)	1992  <i>Vibrio cholerae</i> (Ogawa & Inaba)	Case: “symptomatic”, WHO definition (1992)  Compares camp to commercial farming community  no info on data source	Cases: 1,155 (camp) vs 436 (farm) AR: 2.4% (calculated based on data provided)  Doubling time in camp: 1.2 days in first 11 days. Doubling time in farm: 4.3 days in first 30 days.	Camp: Ventilated and improved latrines, 28 people/latrine boreholes, lagoons, river and irrigation canal. Safe water sources: 1borehole/10,000 people in camp vs 1 borehole/320 people in farm Women of childbearing age and children at greatest risk.  <i>Civil unrest and drought in Mozambique. Camp run by Zimbabwe government and UNHCR, established in 1983.</i>
Goma Epidemiology Group (1994)  <i>Descriptive</i>	<b>Zaire</b>  Katalé (n=80,000)  Kibumba (n=180,000), Mugunga (n=150,000)	Early July – 14 August 1994  <i>Vibrio cholerae</i> (Ogawa)  & <i>Shigella dysenteriae</i> type 1	Case: Non-specific. UNHCR definition  Sources: agencies that collected bodies, health facilities, agencies caring for unaccompanied children, UNHCR, cluster survey	AR: 7.3% (estimate for all refugees in Goma, not specific to camps)  Mugunga: 88% deaths from diarrhoeal disease, 57% diarrhoeal deaths due to cholera	Rocky, volcanic soil with poor drainage – cannot dig latrines, wells or graves. Open defecation predominant Lake is main water source All ages equally affected.  <i>Civil war in Rwanda. Late humanitarian response. Lack of security. Former Rwandan political and military leaders in camp control population.</i>

## Appendix C: Article Summary Table

Author(s) (publication year) <i>Design</i>	Country, camp name (population)	Outbreak dates & pathogen	Study population, case definition & data source	Outcome measures	Risk factors
Kernéis et al. (2009)  <i>Descriptive</i>	<b>Rwanda, Tanzania, DRC (11 camps)</b>  Population range: 8,588 to 215,889	Nov 1993- Feb 1995  <i>Shigella dysenteriae</i> type 1	Any person with diarrhoea (passage of 3 or more watery or loose stools in past 24 hours) and visible blood in the stool (WHO def.)  Compares camps in 3 countries by camp size as proxy for logistical complexity  Source: MSF surveillance data	Mean ARs by camp size: small: 18.3% (+/-9.9), medium: 13.5%(+/-4.8), large: 28.1%(+/-9.4)	Tanzania: drinking water & latrines available within first few days, sphere standards met Goma (DRC): person/latrine ratios Katala: 184; Kibumba; 500 Mugunga: 1029, Water sources: streams, lake. Bukavu (DRC): good organisation of camp site Rwanda: variable. 8 of 11 outbreaks occurred in the dry season, all within 3 months of arrival of refugees. ARs higher among <5 age group  <i>Civil wars in Burundi and Rwanda. Outbreaks occur within 3 months of refugee arrival. Difference in ARs attributed to camp management.</i>
Khan and Munshi (1983)  <i>Descriptive</i>	<b>Bangladesh</b>  Leda (n=17,695)	1978  <i>Shigella dysenteriae</i> type 1: 75% Cholera: 5.5%	Cases: patients admitted to diarrhoea clinic.  2,321 stool samples collected and analysed (not from all cases)  Sources: clinics, public health office in camp	Number of cases and AR not given & cannot be calculated with available data  60% all illness due to diarrheal disease. 29.9% rectal swabs positive	356 Trench latrines (ratio 50:1), dug by refugees Rocky ground, hand pump tube wells cannot be sunk. Water supplied by tanker truck Heavy rains, Ditches & ponds used for washing & bathing 10,000 new arrivals.  <i>Burmese refugees arrived in first quarter of 1978. Camps officially opened in April/May.</i>

## Appendix C: Article Summary Table

Author(s) (publication year) <i>Design</i>	Country, camp name (population)	Outbreak dates & pathogen	Study population, case definition & data source	Outcome measures	Risk factors
Khan and Shahidullah (1982)  <i>Descriptive</i>	<b>Bangladesh</b>  Dhaka camp A (n=49,675),  camp B (n=11,375) &  camp C (n=12,112)	1974 and 1975  <i>Vibrio cholerae</i>	Case: Patient admitted to ICDDR, B <sup>1</sup>  Source: 1974 camp census (total population), ICDDR, B <sup>1</sup> records.	ARs A: 1.61/1,000 <sup>2</sup> B: 3.95/1,000 C: 4.29/1,000	<u>Camp A</u> : latrines connected to sewer, water piped. (ratio 130:1) <u>Camps B &amp; C</u> : built in 1971, no planning for sanitation or drinking water: Latrines consist of fenced surface without pit or covering. Some built on bank of pond. Ratio camp B 325:1, camp C: 405:1. Open defecation common, use of river water & surface water after rain. Homogenous cultural and socioeconomic background  <i>Landless rural population refugees after independence of Bangladesh (1971)</i>
Mahamud (2012)  <i>Matched case-control (93 pairs)</i>	<b>Kenya</b>  Kakuma (n=62,015)	18 Sep – 15 Dec 2009  <i>Vibrio cholerae</i> (Inaba)	Cases: WHO definition in any camp resident >2 y.o. admitted to treatment centre with onset of illness after 01 Oct 2009  Controls matched on location and age  Sources: camp records, treatment centre, population survey	Total cases: 163  AR: 2.7/1,000 overall AR: 9.5/1,000 in Kakuma2 area  CFR: 1.8%	<u>Bivariate analysis</u> : Sharing communal latrine OR=3.33 (95%CI: 1.34-8.30, p=0.001) Human faeces visible on ground of compound OR=6.50 (95%CI 1.47-28.8, p=0.04) Open defecation common, children's faeces considered harmless Water sources: shallow wells in riverbed, stagnant water at tap stand  <i>Long-standing camp. 12,000 new arrivals from Dadaab camp 1 month prior.</i>

<sup>1</sup> ICDDR, B: International Centre for Diarrhoeal Disease Research, Bangladesh

## Appendix C: Article Summary Table

Author(s) (publication year) <i>Design</i>	Country, camp name (population)	Outbreak dates & pathogen	Study population, case definition & data source	Outcome measures	Risk factors
Moren et al. (1991)  <i>Matched-pair case-control (51 pairs)</i>	<b>Malawi</b>  Mankhokwe (n=29,745)	15 March – 17 May 1988  <i>Vibrio cholerae</i> (Inaba)	Case: “Person with an acute onset of profuse watery stools or profuse vomiting or collapse due to dehydration”, who was treated in the camp’s cholera treatment centre  Controls: randomly selected & matched for age, sex, and location  Source: cholera treatment centre, household survey	Total cases: 784  AR: 2.6% (range: 0.9 – 5.1)  AR higher in Market section throughout and among 5-14 age group in market section: 6.7%  CFR: 3.3%	<u>Univariate analysis:</u> Lack of communal latrines at market Water table 5m below surface, latrines 3-4m deep. 5 of 24 wells positive for faecal coliforms. Shallow wells vs boreholes used: OR=4.5 (95%CI 1.0-20.9, p=0.04) Contact with market OR=3.5 (95%CI=0.7-16.9, p=0.09) No association with food exposure. End of rainy season. Heavy rains 15 days prior: half latrines destroyed. Recent gathering of 30,000 refugees.  <i>400,000 Mozambican refugees flee to Malawi. Established camp.</i>
Mulemba and Nabeth (1994)  <i>Descriptive</i>	<b>Malawi,</b> Lisungwi,  Luwani (n=35,790) & Ndelema (n=14,140)	25 May 1992 – 01 March 1993  <i>Vibrio cholerae</i>	Admitted to the cholera camp for “acute and profuse watery stools and dehydration needing IV rehydration”	Cases: 3,730  AR: 7.34%  CFR: 2.39%	Communal latrines, built when refugees first arrived. After beginning of outbreak, latrines built to reach quota of 10 people per latrine. Unknown initial ratio. No upkeep until team is hired to clean. Water insufficient, supplemented by trucked-in water. Household containers chlorinated at distribution point. Describes interventions to stop outbreak, written by MSF physicians.  <i>Mozambican civil war and drought. New camp.</i>

## Appendix C: Article Summary Table

Author(s) (publication year) <i>Design</i>	Country, camp name (population)	Outbreak dates & pathogen	Study population, case definition & data source	Outcome measures	Risk factors
Mulholland (1988)  <i>Descriptive</i>	<b>Sudan,</b> Shagarab East (SE)  Section 1 (n=10,000)  Section 2 (n=20,000)  .	15 May – 30 Jun 1985  <i>Vibrio cholerae</i> (Inaba)	“rice water stools” (observed by nursing staff), cold extremities, profound dehydration  Source: clinic records, door-to-door case finding by health workers	Cases S1: 287 Cases S2: 879  AR S1: 2.9% AR S2: 4.5%	Trench latrines, poorly utilized, scattered at camp periphery Open defecation on compound ground (children & ill adults, at night) & in muddy fields. Water trucked in from nearby dam. Bladder tanks filled after driving through field used for defecation. Clay soil, poor drainage. Road built through camp without drainage provisions. Rainstorm 3 days prior. 4-year-old boy with vomiting & diarrhoea during transport to SE2).  <i>Famine in Ethiopia. 20,000 Refugees in two transit camps transferred during month prior to start of outbreak.</i>
Schultz et al. (2009)  <i>Matched case-control (90 cases/170 controls)</i>	<b>Kenya</b>  Kakuma (n=90,000)	April 2005  <i>Vibrio cholerae</i> (Inaba)	Cases: “Any person of any age with profuse, effortless watery diarrhoea (3 or more stools per 24 hours) admitted to the IRC cholera ward between 01/4 and 30/06/2005”. Includes <5 age group.  Controls: matched by age and location within camp  Sources: hospital records, survey	Total cases: 348  AR overall: 4.9/1,000  AR Kakuma 2: 15.9/1,000 AR area 57 of Kakuma 1: 15.0/1,000 AR area 58 of Kakuma : 12.1/1,000	<u>Multivariable analysis:</u> - Sharing a latrine with 3 more households OR=2.17 (95%CI: 1.01-4.68) - Recent arrival adjusted OR=4.66 (95%CI: 1.35-16.05). New arrivals placed in Kakuma 2 Lack of latrines where cases clustered (average ratio for camp: 13:1 – wide variations). Upkeep by camp dwellers. Boreholes provide 8-17L/person/day (estimate accounts for leakage and uses other than domestic) Cases not more likely than controls to use water from riverbed.  <i>Long standing camp (established in 1991) IRC provides health and sanitation services. Coordination by ministry of health and UNHCR.</i>

## Appendix C: Article Summary Table

Author(s) (publication year) <i>Design</i>	Country, camp name (population)	Outbreak dates & pathogen	Study population, case definition & data source	Outcome measures	Risk factors
<p>Swerdlow et al. (1997)</p> <p><i>A: Matched case-control (50 pairs)</i></p> <p><i>B: Unmatched case-control (47 patients/137 households)</i></p>	<p><b>Malawi</b></p> <p>Nyamithuthu (n=57,000 on 15/10, 74,000 on 15/11)</p>	<p>23 Aug – 15 Dec 1990</p> <p><i>Vibrio cholerae</i> (Inaba)</p>	<p>Cases (A and B): “diarrhoeal illness in a person admitted to an IV treatment tent at Nyamithuthu camp between 23 August and 15 December, 1990”.</p> <p>Controls A: matched by age, sex and date of arrival.</p> <p>Controls B: cluster-survey, door-to-door household selection.</p> <p>Source: treatment tent records, camp registration records.</p>	<p>Overall cases: 6,114</p> <p>Admitted to IV treatment tent: 1,931</p> <p>AR (requiring IV treatment) =2.4%</p> <p>CFR (among IV treated)=3.5%</p>	<p><u>Univariate analysis</u>: Obtaining drinking water from river: OR=3.0 (95%CI: 1.4-6.4)</p> <p><u>Multivariable analysis</u>: Visited river and drank river water: Adjusted OR=16.1 (2.0-31.2)</p> <p>Open defecation in fields and at river predominant. Drilled wells but critical water shortages leading to river water use. Extreme heat.</p> <p>86% cases arrived 3 months prior. 52% &lt;16 days prior.</p> <p>New arrivals located at greater distance from well with no access to latrines.</p> <p>Cholera isolated in pooled water sample from 4 households.</p> <p><i>Mozambican refugees fleeing armed conflict. Camp opened in 1988, planned for 50,000. Sudden, unexpected influx of 20,000 refugees from 15/10 to 15/11/1990. Fourth outbreak of cholera in 2 years.</i></p>