

Sequence analysis

Detecting SNPs and estimating allele frequencies in clonal bacterial populations by sequencing pooled DNAKathryn E. Holt^{1*}, Yik Y. Teo², Heng Li¹, Satheesh Nair³, Gordon Dougan¹, John Wain³ and Julian Parkhill¹¹Wellcome Trust Sanger Institute, Wellcome Trust Genome Campus, Hinxton, Cambridge CB10 1SA,²Wellcome Trust Centre for Human Genetics, University of Oxford, Roosevelt Drive, Oxford OX3 7BN and³Laboratory of Gastrointestinal Pathogens, Centre for Infections, Health Protection Agency, 61 Colindale Avenue, London NW9 5HT, UK

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ABSTRACT

Summary: Here, we present a method for estimating the frequencies of SNP alleles present within pooled samples of DNA using high-throughput short-read sequencing. The method was tested on real data from six strains of the highly monomorphic pathogen *Salmonella* Paratyphi A, sequenced individually and in a pool. A variety of read mapping and quality-weighting procedures were tested to determine the optimal parameters, which afforded $\geq 80\%$ sensitivity of SNP detection and strong correlation with true SNP frequency at poolwide read depth of $40\times$, declining only slightly at read depths $20\text{--}40\times$.

Availability: The method was implemented in Perl and relies on the opensource software Maq for read mapping and SNP calling. The Perl script is freely available from <ftp://ftp.sanger.ac.uk/pub/pathogens/pools/>.

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Supplementary information: Supplementary data are available at *Bioinformatics* online.

The discovery of assayable genetic variation is essential in order to study the population structure of bacteria, which is crucial for addressing important research questions including evolution, transmission and epidemiology of bacterial pathogens and associated disease (Keim *et al.*, 2004; Nübel *et al.*, 2008). Multi-locus sequence typing (MLST) has been widely adopted for the study of bacterial population structure (Maiden, 2006), however this technique is not sensitive enough to detect variation among highly monomorphic bacteria such as *Salmonella* Typhi (Kidgell *et al.*, 2004). Furthermore, while many bacterial pathogens display a much more diverse population structure, disease outbreaks are often associated with the spread of a single clone associated with a single sequence type—e.g. methicillin resistant *Staphylococcus aureus* MRSA-15 and MRSA-16 (Enright *et al.*, 2000).

Here, we propose the high-throughput sequencing of pools containing equal amounts of genomic DNA extracted from multiple bacterial isolates. This approach facilitates genome-wide SNP detection among closely related isolates and is cheaper than sequencing isolates individually (even using multiplex libraries), allowing extensive sampling of a population at low cost. This

is crucial for unbiased detection of genetic variation within a population, which in turn is required to give an unbiased picture of the underlying population structure (Pearson *et al.*, 2004). Two likely applications of SNP detection in pooled DNA samples from bacteria are: (i) identifying SNPs for typing in a much larger population (Pearson *et al.*, 2004), and (ii) identification of SNPs associated with a particular phenotype, e.g. increased virulence (Falush and Bowden, 2006). In either application it will be important to estimate the allele frequencies of each SNP within each pool.

We used the Illumina GAI to sequence pools of DNA, and Maq (<http://maq.sourceforge.net/>) to map short reads to a reference sequence and make initial SNP calls. Maq is ideally suited to this task as it employs a user-specified number of haplotypes (in this case the number of strains in the pool) to detect the presence of SNPs (Li *et al.*, 2008). The frequency of each SNP k in pool p containing S_p strains was estimated using information (read from Maq's pileup output) on each read i of N reads mapped to the SNP locus, including the phred-like base quality $q_{k,p,i}$ which was used to calculate weights $w_{k,p,i}$ (see below). Frequencies were calculated according to the following formulae, implemented in a Perl script which calls Maq to do the initial read mapping and SNP calling (here $x_{k,p,i} = 1$ if SNP allele, 0 otherwise):

$$\text{prop}_{k,p} = \frac{\sum_{i=1}^N w_{k,p,i} x_{k,p,i}}{\sum_{i=1}^N w_{k,p,i}} \quad (1) \quad \text{freq}_{k,p} = \text{prop}_{k,p} * S_p \quad (2)$$

To optimize and validate the method for allele frequency estimation, we chose six strains of the monomorphic bacterial pathogen *Salmonella* Paratyphi A to be sequenced individually and in pools. The strains include ATCC9150 and five novel isolates. Illumina sequencing was used to generate 35 bp reads at $20\text{--}40\times$ depth (European Read Archive: ERA000083). Maq was used to map reads to the reference genome AKU_12601 (EMBL:FM200053) and call SNPs in each isolate. SNPs between the finished sequence ATCC9150 and the reference were also included [identified using MUMmer (Kurtz *et al.*, 2004)]. SNPs lying in repetitive or phage sequences (5% of genomic sequence) were excluded from the analysis, as were SNP calls with low quality (quality score < 20 or read depth < 10). For each SNP locus identified in any strain (550 loci) alleles were checked in all six strains, resulting in a set of 403 SNPs with a reliable frequency estimate among the six strains

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(depth ≥ 10 , quality score ≥ 20 in each strain). A pooled DNA sample (400 ng of DNA from each of the six strains) was also sequenced, generating 40 \times coverage of the pool. SNP detection and frequency estimation were performed on the pooled reads and the results compared with the SNP loci (550) and allele frequencies (for 403 loci) expected among the six strains.

Different parameters were trialled in order to determine the optimal method for accurate estimation of allele frequencies within pooled DNA samples. The maximum mismatches allowed during mapping (maq assemble -m option) was varied from 1 to 7 bases (i.e. up to 20% mismatches per 35 bp read); the minimum mapping quality for reads to be included in frequency estimation (maq pileup -q) was varied from 10 to 50. In order to avoid interpreting base calling errors as SNPs, the contribution of each base to the estimate of $prop_{k,p}$ [Equation (1)] was weighted according to its phred-like quality score $q_{k,p,i}$. Since quality scores are calibrated for each sequencing run, the simple and squared ratios of $q_{k,p,i}$ to the maximum possible quality score $\max Q$ were considered, resulting in four alternative weighting schemes:

$$w_{k,p,i} = 1 \quad (3) \quad w_{k,p,i} = \frac{q_{k,p,i}}{\max Q} \quad (5)$$

$$w_{k,p,i} = q_{k,p,i} \quad (4) \quad w_{k,p,i} = \frac{q_{k,p,i}^2}{\max Q^2} \quad (6)$$

All combinations of parameters and weighting measures were tested, and the following measures calculated (after removing SNP calls in repetitive or phage sequences): (i) sensitivity of SNP detection, i.e. proportion of the 550 known SNPs that were detected with an estimated frequency of ≥ 1 strain, (ii) false positive rate of SNP detection, i.e. proportion of the SNPs detected with estimated frequency at ≥ 1 strain that were not expected to be present in the pool, (iii) correlation (Pearson R^2) between the expected and estimated allele frequencies and (iv) the proportion (among the 403 SNPs with reliable frequency estimates) of loci for which estimated and expected allele frequencies differed by ≥ 1 strain, i.e. the rate of incorrect frequency estimates. Five additional weighting measures were trialled, but were excluded from further analysis as they gave highly insensitive or inaccurate results (see Supplementary Fig. 1). Analysis of variance tables for each measure are given in Supplementary Tables 1–4.

Using any combination of weights [Equations (3–6)], mismatches (1–7 per read) and mapping qualities (10–50), SNP detection was quite sensitive (78–84% of expected SNPs detected) and the experimentally observed frequencies were strongly correlated with the expected frequencies (Pearson R^2 0.92–0.95) (Supplementary Fig. 2). Specifying the number of haplotypes in the pool (maq assemble -N parameter) was crucial to maintain sensitivity as without this, sensitivity dropped to 70%. Detection sensitivity was highly dependent on SNP frequency, with 37% detection for SNPs present in just one strain, compared with 95% and 100% detection, respectively, for SNPs present in 2 or ≥ 3 strains. The false positive (f.p.) rate varied between 5% and 18% using different methods and was closely correlated with number of mismatches allowed during mapping (Supplementary Fig. 3). However, setting the number of mismatches ≤ 1 reduced sensitivity too low (78%), thus the optimal setting was ≤ 2 mismatches per read (mean f.p. 8.8%, mean sensitivity 82.7%). The proportion of incorrect frequency estimates was reduced by using any of the weighting methods (4–6) and was also dependent on mapping quality. The lowest rate of incorrect estimates (19%) was seen with a minimum mapping quality 40;

lowering or raising the cutoff increased the rate to $>20\%$, while offering very little improvement in f.p. or sensitivity (Supplementary Fig. 4). The most accurate measurements (low f.p., low error rate, high R^2) were obtained using the weighting method shown in Equation (6), regardless of other parameters, and the difference between expected and estimated frequencies was never more than one strain.

Thus, the optimal parameters were mismatches ≤ 2 during mapping, mapping quality ≥ 40 to be included in frequency estimate, and weighting as shown in Equation (6). These parameters are implemented in the script, which includes 95% confidence intervals for frequency estimates that may be used to guide selection of SNPs for further typing. In this experiment, the pool was sequenced to 40 \times read depth, which using the selected parameters gave 83% sensitivity, 9% false positives, and 81% correct frequency estimates. To test how accuracy declines at lower read depth, data sets of 1 \times , 2 \times , ..., 39 \times coverage were simulated by randomly sampling 50 subsets each of 1/40, 2/40, ..., 39/40 of the total available reads. The results, plotted in Supplementary Figure 5, show that accuracy declines only slightly with reduced read depth down to about 25 \times . Six strains were included in our pool, resulting in $\sim 6.5\times$ read depth per strain across the 4.5 Mbp genome, but frequency estimates would still be fairly accurate down to $\sim 4\times$ read depth per strain. This may be used to guide the design of similar experiments involving genomes of different size, although precise estimates would require further experimentation.

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