

# **Metformin alters human host responses to *Mycobacterium tuberculosis* in healthy subjects**

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## **Summary**

Metformin has shown beneficial effects in a murine model of tuberculosis. Using in-vitro and in-vivo studies we show that metformin has beneficial effects on cellular metabolism, immune function and gene-transcription involved in innate host responses to *M. tuberculosis* in humans.

1 **Abstract**

2 **Background**

3 Metformin, the most widely administered diabetes drug, has been proposed as a  
4 candidate adjunctive host-directed therapy for tuberculosis, but little is known about its  
5 effects on human host responses to *Mycobacterium tuberculosis*.

6 **Methods**

7 We investigated in-vitro and in-vivo effects of metformin in humans.

8 **Results**

9 Metformin added to peripheral blood mononuclear cells from healthy volunteers  
10 enhanced in-vitro cellular metabolism whilst inhibiting the mammalian target of rapamycin  
11 (mTOR) targets p70S6K and 4EBP1, with decreased cytokine production and cellular  
12 proliferation, and increased phagocytosis. Metformin administered to healthy human  
13 volunteers led to significant down-regulation of genes involved in oxidative  
14 phosphorylation, mTOR signaling and type I interferon response pathways, particularly  
15 following stimulation with *M. tuberculosis*, and upregulation of genes involved in  
16 phagocytosis and reactive oxygen species (ROS) production was increased. These in  
17 vivo effects were accompanied by a metformin-induced shift in myeloid cells from  
18 classical to non-classical monocytes. At a functional level, metformin lowered *ex vivo*  
19 production of TNF- $\alpha$ , IFN- $\gamma$  and IL-1 $\beta$  but increased phagocytosis and ROS production.

20 **Conclusion**

21 Metformin has a range of potentially beneficial effects on cellular metabolism, immune  
22 function and gene-transcription involved in innate host responses to *M. tuberculosis*.

- 23 **Keywords:** metformin; tuberculosis; host-directed therapy; anti-mycobacterial
- 24 mechanisms, gene transcription

## 25 **Introduction**

26 Diabetes increases susceptibility to tuberculosis [1] and worsens tuberculosis outcome  
27 [2]. The mechanisms behind this increase in susceptibility are unclear and a role for  
28 diabetes drugs could be envisioned. In particular, the diabetes drug metformin is anti-  
29 inflammatory and inhibits pathways such as mammalian target of rapamycin (mTOR)  
30 signalling, which are important in the host defence to *M. tuberculosis* [3]. Nonetheless  
31 metformin has been demonstrated to enhance mycobacterial clearance in mice [4] and is  
32 associated with lower rates of *M. tuberculosis* infection in humans [5]. Adding to that, the  
33 use of metformin in humans has been associated with a plethora of positive effects,  
34 potentially linked to glycaemic control, such as a reduced risk of developing active TB [6,  
35 7], lower TB mortality [8], increased TB treatment success, reduced TB-relapse [9] and  
36 enhanced culture conversion [9, 10].

37 Proposed mechanisms for metformin's beneficial effects include an increase in  
38 mitochondrial reactive oxygen species (mROS) and enhanced killing of *M. tuberculosis*  
39 but none of these have been investigated in humans. Importantly the mechanism of action  
40 behind metformin's effects are not clearly defined as metformin acts through several  
41 pathways including mitochondrial complex I inhibition, an increase in AMP/ATP levels  
42 leading to increased AMP activated kinase (AMPK) signaling, and decreased glucagon  
43 and mTOR signaling [11]. Lastly it is challenging to study the effects of metformin in  
44 people living with diabetes as characteristics of diabetes such as hyperglycaemia,  
45 dyslipidaemia, vitamin D deficiency and oxidative stress may all affect immune responses  
46 to *M. tuberculosis* [12].

47 We therefore investigated the effects of metformin in humans without diabetes. We first  
48 characterised metformin's effects on *in-vitro* responses to *M. tuberculosis* and then  
49 validated these findings *in vivo* in healthy volunteers, showing that metformin alters  
50 mTOR signaling, inhibits p38 and AKT, rewires blood cellular landscape and enhances  
51 anti-*M. tuberculosis* responses.

52 **Methods**

53 *Healthy Volunteers and Functional laboratory assays*

54 In the *in vivo* study 11 healthy Dutch adults were given metformin in increasing doses  
55 ending with a commonly used dose of 1000 mg twice a day. For all other *in vitro*  
56 experiments blood from healthy Dutch adults (estimated tuberculosis incidence  
57 1.5/100,000) was subject to analysis in the presence or absence of metformin. Isolated  
58 PBMCs, CD14<sup>+</sup> monocytes or M1 / M2 macrophages were stimulated with *M. tuberculosis*  
59 lysate for production of tumour necrosis factor (TNF)- $\alpha$ , interleukin (IL)-1 $\beta$ , IL-6, IL-10, IL-  
60 17A, IL-22 and interferon gamma (IFN- $\gamma$ ). Proliferation of CD4<sup>+</sup> cells was measured by  
61 flow cytometry of Carboxyfluorescein succinimidyl ester (CFSE) labelled PBMCs  
62 stimulated for 6 d with *M. tuberculosis* lysate. Metabolic measurements included lactate  
63 production in stored cell culture supernatants, the NAD<sup>+</sup>/NADH redox ratio in cell lysates,  
64 glucose consumption and mitochondrial mass and potential. Activation of downstream  
65 mTOR targets signalling was assessed by western blot of phosphorylated(p)-AMPK, p-  
66 p70 S6K, p-4EBP1, p-P38 and p-AKT . Production of Reactive Oxygen Species (ROS)  
67 was determined after incubation of whole blood or PBMCs with zymosan or *M.*  
68 *tuberculosis* lysate by measurement of chemiluminescence after the addition of luminol.  
69 Phagocytosis was measured in PBMCs using pHrodo® Green Zymosan Bioparticles®  
70 Conjugate and flow cytometry. *M. tuberculosis* infection was measured in PBMCs  
71 incubated with *M. tuberculosis* (H37Rv) at a multiplicity of infection (MOI) of 5 for 3 hours,  
72 lysed, and cultured on Middlebrook 7H11. Cellular viability of PBMCs was assessed by  
73 flow cytometry of Annexin V-FITC and propidium-iodide stained PBMCs.

74

75 *Transcriptomics*

76 RNA-Seq (GSE102678) analysis was performed on participants' samples pre- and post-  
77 metformin administration, directly on *ex vivo* whole blood and on isolated PBMC following  
78 incubation with *M. tuberculosis* lysate. Libraries were prepared using stranded  
79 preparation reagents from Illumina and sequenced on a NextSeq500, generating ~36-  
80 45M million 43bp paired-end reads per sample. Sequence files were aligned to the human  
81 genome and aligned reads were counted. Differentially expressed genes were  
82 determined using the R package DESeq2, and gene set analyses were performed to  
83 determine how metformin affected biological pathways *in vivo* and in the *in vitro* response  
84 to *M. tuberculosis*. qRT-PCR was performed to validate RNA-Seq and functional assay  
85 results.

86

87 *CyTOF marker labelling, data acquisition and analysis*

88 PMA and ionomycin stimulated PBMCs were stained with heavy-metal isotope-labeled  
89 antibodies (Table E1) [13], barcoded and were acquired on CyTOF 1 (Fluidigm). Samples  
90 were de-barcoded using manual gating in FlowJo and analysis of live CD14<sup>+</sup>-CD16<sup>+</sup>-  
91 monocytes was carried out using the t-distributed stochastic neighbor embedding (tSNE)  
92 dimension reduction and Phenograph-based clustering algorithm [14]. See  
93 Supplementary Methods for details on Mass Cytometry and statistical analysis.

94

95 *Statistics*



96 All values are expressed as the mean  $\pm$  SEM of individual samples. Unless otherwise  
97 specified data analysis was performed using GraphPad Prism Software (GraphPad  
98 Software Inc.) using paired *t*-test or Wilcoxon signed-rank test.

99

#### 100 *Study Approval*

101 Written informed consent was received from participants prior to inclusion in the study.  
102 Experiments were conducted according to the principles expressed in the Declaration of  
103 Helsinki. Both for the *in vitro* (NL32357.091.10) and healthy volunteers (NL47793.091.14)  
104 studies ethical approval was granted by the Arnhem-Nijmegen Ethical Committee. As  
105 validation EDTA blood from 10 healthy young subjects given metformin (500 mg day 1-  
106 2) increasing to 1000 mg (day 3-8) was examined as part of a pharmacokinetic study  
107 (NL53534.091.15). The human RNA Seq study was approved by the LSHTM Research  
108 Ethics Committee (#11968).

109

110 **Results**

111 *Metformin regulates cellular metabolism and cytokine production in humans*

112 We assessed the effects of metformin on glycolytic metabolism in human cells. When  
113 added to *M. tuberculosis* lysate-stimulated PBMCs from healthy individuals metformin  
114 increased lactate production and glucose consumption (Fig. 1A and 1B) whilst decreasing  
115 the NAD<sup>+</sup>/NADH ratio (Fig. 1C). At both therapeutic (10 – 220 µM) and experimental  
116 concentrations [15] metformin showed clear effects on cytokine production. Depending  
117 on cell type different concentrations of metformin significantly decreased *M. tuberculosis*  
118 lysate-induced (i) TNF-α, IL-10, IFN-γ and IL-17 production from PBMCs (Fig. 2A), (ii) IL-  
119 1β, IL-6 and IL-10 from M1 and M2 monocyte derived macrophages (Fig. 2B) and (iii)  
120 TNF-α, IL-1 β and IL-10 from CD14<sup>+</sup> monocytes (Supplemental Fig. S1A). At a  
121 transcriptional level metformin inhibited expression of *IL-18*, *IL-23p19* and *TGF-β1* genes  
122 (Fig. 2C). The minimal effect of metformin on cellular proliferation (Fig. 2D) is unlikely to  
123 account for the strong effects on cytokine production (Fig. 2E). Finally, although only  
124 suggestive, metformin also decreased the phosphorylation levels of the downstream  
125 mTOR targets, phospho-p70S6K and phospho-4EBP1, whilst increasing phosphorylation  
126 of its known molecular target, AMPK (Supplemental Fig. S1B). Metformin at the doses  
127 tested also had no significant effect on cellular viability (Supplemental Fig. S1C).

128

129 *Transcriptional profiling reveals a metformin-related gene expression signatures in*  
130 *humans*

131 Next, we investigated the *in vivo* effect of metformin. Healthy subjects took standard dose  
132 metformin and blood was drawn at several time-points before and after metformin intake

133 (Fig. 3A). As expected phospho-AMPK was increased in both unstimulated and *M.*  
134 *tuberculosis* lysate stimulated PBMCs after metformin intake (Td6 vs Td0) (Fig. 3B – 3D  
135 and Supplemental Fig. S2A). In genome-wide (unbiased) transcriptional analysis using  
136 RNA sequencing (RNAseq) on whole blood, metformin intake had no significant effects  
137 on individual genes (Supplemental Fig. S2B). Instead, a consistent metformin-mediated  
138 effect was observed on combined sets of genes (Fig. 3E), including a significant  
139 downregulation of OXPHOS and ribosome pathways and a significant upregulation of  
140 endocytosis/phagocytosis, MAPK and chemokine signaling pathways.

141 In PBMCs, metformin intake led to differential expression of approximately 800 genes,  
142 both in unstimulated and *M. tuberculosis* lysate stimulated cells (Supplemental Fig. S2C).

143 In unstimulated PBMCs, metformin intake led to upregulation of genes involved in mitosis,  
144 and downregulation of genes involved in OXPHOS, adipogenesis and myc targets (Fig.  
145 3F). In *M. tuberculosis* stimulated PBMCs, metformin intake led to suppression of genes  
146 involved in (i) signaling of cytokines such as IFN- $\alpha$ , IFN- $\gamma$  and TNF- $\alpha$ , (ii) OXPHOS and  
147 (iii) mTOR (Fig. 3F) all in line with the *in vitro* effects of metformin (Fig. 1 and Fig. 2  
148 respectively).

149

#### 150 *Cytokine responses to M. tuberculosis are suppressed by metformin in vivo*

151 Each gene ontology (GO) group in the identified gene sets was investigated and the  
152 “response to type 1 interferon” GO set showed the most markedly reduced expression in  
153 *ex vivo M. tuberculosis* lysate-stimulated PBMCs from individuals taking metformin  
154 (Supplemental Fig. S2D). Within this GO, the expression of eight genes (Interferon-  
155 induced protein with tetratricopeptide repeats (*IFIT*) 1, *IFIT* 2 and *IFIT* 3, 2'-5'-

156 oligoadenylate synthase (*OAS*) 1, *OAS2* and *OAS3*, MX dynamin like GTPase (*MX*) 1  
157 and radical S-adenosyl methionine domain containing 2 (*RSAD2*) was more than two-fold  
158 reduced following metformin administration in cells stimulated with *M. tuberculosis* lysate  
159 for 4 hours (Fig. 4A), and to a lesser extent at 24 hours, as shown by qRT-PCR (Fig. 4A).  
160 Additionally, metformin intake led to a significant decrease in TNF- $\alpha$ , IL-1 $\beta$ , IL-6, IFN- $\gamma$   
161 and IL-17 release in response to *M. tuberculosis* lysate (Fig. 4B), with effects on cytokine  
162 production up to 21 d post metformin intake. Collectively, our results indicate that  
163 metformin inhibits *M. tuberculosis*-induced type 1 interferon response and inflammation  
164 in human PBMCs.

165  
166 *Metformin regulates the AKT-mTOR pathway and mitochondrial metabolism in humans*

167 The MAPK, AKT and mTOR pathways are known to strongly influence cytokine  
168 production and so respectively the levels of phospho- and total-P38 (Fig. 4C and  
169 Supplemental Fig. S3A), phospho-AKT and phospho-4EBP1 (Fig. 4D and Supplemental  
170 Fig. S3B) were measured in PBMCs pre- and post-metformin intake. An overall decrease  
171 in the phosphorylation of all three targets were observed. Quantitative band intensity  
172 analysis showed that the ratio of p-P38 to total-P38, the levels of p-AKT/actin and p-  
173 4EBP1/actin were in most cases significantly reduced due to metformin intake (Fig. 4E).  
174 Supplemental Figs. S3C-E demonstrate the effects on phosphorylation at an individual  
175 level. For further evidence we analysed the effect of metformin on the gene expression  
176 levels of these enzymes and found a decrease in expression of *AKT2* (fitting with  
177 metformin's role in homeostasis) and an increase in *PRKAB2* (a regulatory subunit of  
178 AMPK) (Supplemental Fig. S3F). As AKT and mTOR are central metabolic regulators [16,

179 17] we investigated the effects of metformin on mitochondrial mass (Supplemental Fig.  
180 S4A). Metformin increased the mitochondrial mass of CD14<sup>+</sup>CD16<sup>-</sup> classical monocytes  
181 as demonstrated by increased Mitotracker green median fluorescence intensity (MFI; Fig.  
182 4F). This increase was not observed for CD14<sup>-</sup>CD16<sup>+</sup> non-classical monocytes  
183 (Supplemental Fig. S4B). This highlights metformin mediated alterations in mitochondrial  
184 functionality in CD14<sup>+</sup>CD16<sup>-</sup> classical monocyte which may correlate with the anti-  
185 inflammatory effect of metformin [18, 19].

186

### 187 *Metformin modulates the peripheral monocyte landscape in humans*

188 Metformin intake altered the number and distribution of circulating immune cells. In whole  
189 blood metformin led to a transient increase in total white blood cells (WBC) and  
190 neutrophils (Fig. 5A) without altering the relative distribution of cell types (Fig. 5B). In  
191 PBMCs metformin increased the proportion of monocytes and decreased the proportion  
192 of lymphocytes (Fig. 5C).

193

194 To achieve a single cell systems-level perspective of the effect of metformin on  
195 monocytes, PBMCs from pre- (Td0) and post-metformin intake (Td6) blood, were  
196 stimulated with phorbol ester and ionomycin, stained with a panel of 38 surface and  
197 intracellular cytokine markers (Table S1) and analysed using CyTOF [20]. We first verified  
198 the panel antibodies for their binding to the PBMCs (Supplemental Fig. S5) and then  
199 gated out the pure population of monocytes (CD3<sup>-</sup>CD19<sup>-</sup>CD56<sup>-</sup>γδTCR<sup>-</sup>Vd1<sup>-</sup>VD2<sup>-</sup>CD57<sup>-</sup>  
200 CD161<sup>-</sup>CD14<sup>+/-</sup>CD16<sup>+/-</sup>) for analysis (Supplemental Fig. S6). Analysis of monocytes using  
201 tSNE in conjunction with a phenograph clustering algorithm [14, 21] identified 12 distinct

202 cell clusters with shared surface and intracellular marker expression characteristics (Fig.  
203 5D and 5E). Based on the expression of CD14, CD16 and CCR2 the 12 clusters were  
204 divided into 5 monocyte subsets (Fig. 5E), illustrating significant heterogeneity among the  
205 classical and non-classical monocyte population in humans. Three out of 12 clusters were  
206 found to be significantly enriched or depleted in Td6 samples compared to Td0. These  
207 differentiated clusters included diverse activated phenotypes, i.e. CD14<sup>hi</sup>CD16<sup>-</sup>MIP-  
208 1 $\beta$ <sup>+</sup>IL-2<sup>-</sup>TNF $\alpha$ <sup>-</sup> (Cluster 2, downregulated); CD14<sup>hi</sup>CD16<sup>-</sup>MIP-1 $\beta$ <sup>+</sup>IL-2<sup>+</sup>TNF $\alpha$ <sup>-</sup> (Cluster 10,  
209 downregulated) and CD14<sup>lo</sup>CD16<sup>lo</sup>MIP-1 $\beta$ <sup>+</sup>IL-2<sup>-</sup>TNF $\alpha$ <sup>+</sup> (Cluster 5, upregulated) (Fig. 5F).  
210 The accuracy of machine-learning automated gating when validated by manual gating  
211 indeed showed that clusters 2 and 10 were CD14<sup>hi</sup>CD16<sup>-</sup> whereas cluster 5 was  
212 CD14<sup>lo</sup>CD16<sup>mid</sup> (Supplemental Fig. S7A). Furthermore, when assessed for cytokine  
213 secretion by manual gating, only cluster 5 and 10 was found to express TNF $\alpha$  and IL-2  
214 respectively (Fig. 5F), similar to as identified by tSNE analysis; while all three clusters  
215 (cluster 5, 10 and 2) were found to express MIP-1 $\beta$  (Fig. 5F) confirming the tSNE analysis.  
216 The manual gating strategy also indicated a trend towards a decreased total population  
217 frequencies of CD14<sup>hi</sup>CD16<sup>-</sup> classical monocytes or increased CD14<sup>-</sup>CD16<sup>+</sup> non-classical  
218 monocytes (Supplemental Fig. S7B). Collectively, our results delineate the effect of  
219 metformin on the functional capacity of heterogeneous peripheral monocytes.

220

### 221 *Metformin enhances innate host defense pathways in exposed human leukocytes*

222 Metformin intake showed clear effects on innate host defense mechanisms. ROS  
223 production was strongly upregulated in whole blood in samples immediately post  
224 metformin treatment (Td6), both spontaneously and upon stimulation with *M. tuberculosis*

225 lysate and zymosan (Fig. 6A). In line with increased ROS production in whole blood,  
226 genes involved in ROS production such as NADPH Oxidase 2 (*CYBB*), p22-PHOX  
227 (*CYBA*), *RAC1* and particularly for ROS production in neutrophils p47-PHOX (*NCF1*),  
228 p67-PHOX (*NCF2*) and p40-PHOX (*NCF4*) were strongly upregulated in blood after  
229 metformin intake (Fig. 6B). The increase in ROS did not correlate with an increase in  
230 white blood cell counts or neutrophil counts (Supplemental Fig. S8B and S8C). No  
231 increase in ROS was observed in isolated PBMCs (Supplemental Fig. S8A).

232  
233 Whole blood RNAseq analysis revealed that metformin upregulated genes involved in  
234 endocytosis such as receptors (RTKs and GPCR), regulators of clathrin-mediated pit  
235 formation (*AP2*) and clathrin uncoating (Hsp70) and regulators of intracellular vesicular  
236 trafficking (Arfs, ArgGAPs and ArfGEFs) (Supplemental Fig. S9A). Increased  
237 phagocytosis following metformin intake was confirmed in a second group of healthy  
238 subjects taking metformin, using zymosan labelled beads in whole blood (Fig. 6C). The  
239 increase in phagocytosis correlated with an increase in WBC counts but not neutrophil  
240 counts (Supplemental Fig. S9B). Furthermore *in vitro* metformin pre-treated PBMCs also  
241 showed upregulated phagocytosis (Supplemental Fig. S9C). Finally, we examined the  
242 effect of metformin on the killing of *M. tuberculosis*. Out of eight subjects, metformin led  
243 to restricted *ex vivo* growth of *M. tuberculosis* in four subjects. Overall there were no  
244 significant differences (Fig. 6D). The CFU results were unaffected by normalization to  
245 monocyte numbers.

246

247 **Discussion**

248  
249 A study in mice and retrospective human data suggest that metformin, the most widely  
250 used diabetes drug, may improve outcome of tuberculosis [4, 6, 8]. We examined how  
251 metformin modulates the peripheral immune cell distribution, its gene expression and its  
252 functional output in humans using high dimensional phenotypic and RNA analyses.  
253 Metformin administration was found to dampen pro-inflammatory cytokine production  
254 whilst promoting phagocytosis and ROS production, possibly through the generation of  
255 non-classical monocytes, which are implicated in trained innate immunity [22]. These  
256 functional changes were associated with an inhibition of the type 1 interferon pathway,  
257 and a decrease in p-AKT and p-P38 signaling and an increase in AMPK signaling. Our  
258 data are in line with increasing evidence that metformin possesses anti-inflammatory  
259 properties, considered to be mediated in part via alterations in cellular metabolism [23].

260 A strong effect of metformin on inflammatory cytokine signalling was observed both *in*  
261 *vitro* and *in vivo*. Metformin inhibited the type I interferon response by blocking the  
262 expression of interferon-stimulated genes *IFIT1*, *IFIT2* and *IFIT3*, which amongst other  
263 activities, regulate inflammatory cytokine mRNA stability, cell proliferation and apoptosis  
264 [24]. Neutrophil driven type 1 interferon signaling in blood, including upregulated *IFIT1*,  
265 *IFIT2*, *IFIT3* and genes similar to those in our data [25], but not type 1 IFNs themselves,  
266 have been identified as a signature of active tuberculosis disease [26] and inhibiting this  
267 pathway using zileuton, an arachidonic acid metabolism modulator, protects mice from  
268 tuberculosis [27]. Our data show that metformin can down-regulate the type-1 interferon  
269 pathway in humans.



270 ROS production and phagocytosis were increased by metformin and this was not  
271 explained by altered cell counts, suggesting that the observed effects are intrinsically  
272 mediated by metformin. This is supported by the accompanying transcriptional changes  
273 observed in both ROS and phagocytosis related genes and the increase in phagocytosis  
274 induced by metformin *in vitro*. Mechanistically, AMPK activation has been linked to  
275 phagocytosis activity as pharmacologic [28, 29] or genetic ablation [30, 31] of AMPK  
276 subunits negatively influenced phagocytosis. It will be interesting to investigate the effect  
277 of metformin on autophagy in future studies and to determine how it compares with an  
278 elegant study showing that autophagic capacity does not correlate with *M. tuberculosis*  
279 susceptibility in mice [32]. As ours is the first exploratory study of the effects of metformin  
280 on host defense *in vivo* in non-diabetic individuals future studies should examine the  
281 effect of metformin on the phagocytic capacity of specific cell types such as macrophages  
282 and dendritic cells.

283  
284 Metformin intake increased *ex vivo* mycobacterial killing capacity of PBMCs in some  
285 individuals but not all. In earlier work, we found that mycobacterial survival decreased in  
286 metformin-treated human macrophages [4]. This effect of metformin was reversed by the  
287 inclusion of ROS-scavenging agents. It is possible that five days of metformin exposure  
288 *in vivo* is too short, that the effect of metformin on killing capacity of PBMCs is somewhat  
289 lost during cryopreservation, or that other cells such as neutrophils contribute to the anti-  
290 mycobacterial effects of metformin. Future studies could use bronchiolar lavage cells to  
291 investigate control mechanisms from the disease site rather than in peripheral blood.  
292 Alternatively, metformin could have subtle effects on mycobacterial killing and bigger

293 effects on ameliorating inflammation. Whilst pro-inflammatory cytokines are required for  
294 the control of *M. tuberculosis*, it is the balance between pro and anti-inflammatory  
295 cytokines that is important for the restriction of mycobacterial growth and prevention of  
296 overt pathology [33, 34]. Here, we found that metformin dampens the expression of pro-  
297 inflammatory cytokines whilst simultaneously enhancing anti-mycobacterial processes  
298 such as phagocytosis and ROS.

299  
300 In mice, we have previously shown metformin-mediated restriction of *M. tuberculosis*  
301 outgrowth [4] although another study found no additive effect of metformin when  
302 combined to the standard tuberculosis treatment [35]. In diabetic tuberculosis patients,  
303 metformin use has been linked with more rapid culture conversion [9], particularly in  
304 patients with cavitary lung disease and high bacterial burden [10], and with better  
305 treatment outcomes [9], indicating that the net result of all the effects of metformin is  
306 enhanced mycobacterial control *in vivo*. In a cohort of 296 diabetic tuberculosis patients  
307 in Singapore [4] metformin was associated with lower mortality and a similar association  
308 was found amongst a cohort of 634 diabetic patients in Taiwan [8]. However, neither of  
309 these two cohort studies included microbiological data. The survival difference could  
310 equally be explained by the well-known beneficial effects of metformin on cardiovascular  
311 mortality or its immuno-modulating effects as found in this study. Future clinical trials in  
312 non-diabetic tuberculosis patients will help establish the effect of metformin on clinical  
313 and microbiological outcome of tuberculosis treatment.

314

315 Metformin is put forward as a candidate for host-directed therapy in tuberculosis but some  
316 caution is warranted. For example, in a model of candidemia metformin resulted in  
317 increased lethality [36]. Also, it is unknown if tuberculosis or concurrent use of anti-  
318 tuberculous drugs increase the risk of metformin-associated gastrointestinal side-effects  
319 or lactic acidosis [37]. With regard to possible drug interactions, a recent study in diabetic  
320 tuberculosis patients has shown that rifampicin increases metformin exposure, but does  
321 not alter blood glucose levels.

322

323 In summary metformin effectively modulates the balance between inflammation and  
324 effective host responses to *M. tuberculosis*. It ameliorates the pathological inflammatory  
325 responses associated with tuberculosis whilst enhancing anti-mycobacterial processes  
326 such as ROS and phagocytosis in humans.

327

328 **Conflict of interest:** A.S. holds the patent with respect to the use of metformin for  
329 controlling mycobacterial infection, WO2014039011A1. Other authors declared no  
330 conflict of interest.

331

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359 **Author Contributions:**

360 E.L. designed, performed and analysed the experiments, conducted the trial and wrote  
361 the paper. C.E., J.M.C, H.M.D. performed and analysed the RNA-seq data and wrote and  
362 reviewed the paper. V.K., B.B., R.J.W.A. and C.V.D.H helped with the trial and performed  
363 experiments. J.B. and M.B.M. performed and analysed mycobacterial killing and  
364 mitochondrial experiments. J.C., K.W.W.T., and E.N. performed and/or analysed the  
365 CyTOF data. A.S. analysed the mycobacterial experiments, CyTOF data and wrote the  
366 paper. M.G.N. and R.V.C supervised the entire study, designed experiments, conducted  
367 the trial, analysed data and wrote the paper.

368

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465 **Figure Legends**

466 Fig. 1. Metformin alters mTOR signalling axis whilst maintaining glucose regulatory  
467 effects. (A) Lactate production, (C) glucose consumption and (D) NAD<sup>+</sup>/NADH fold  
468 change in PBMCs stimulated with *M. tuberculosis* lysate in the presence or absence of  
469 1000µM metformin for 24h, 48h, or 7d. For A, data are from two individual experiments.  
470 For A-C data are shown as means ± S.E.M. from 2-3 experiments/6- 9 donors. \* p<0.05,  
471 \*\* p<0.01 (Wilcoxon matched-pairs signed rank test).

472

473 Fig. 2. Metformin affects the cytokine profile of human cells stimulated with *M.*  
474 *tuberculosis*. Cytokine production from (A) human PBMCs and (B) monocyte-derived  
475 M1 and M2 macrophages stimulated with *M. tuberculosis* lysate +/- 3–3000µM of  
476 metformin for 24h (TNF-α, IL-6, IL-1β and IL-10) or 7 d ( IFN-γ, IL-17 or IL-22), and (C)  
477 cytokine gene expression in CD14<sup>+</sup> monocytes stimulated with *M. tuberculosis* lysate  
478 +/- 3000µM metformin after 4 h (IL-18 and TGF-β1) or 24 h (IL-23p19 and IL-12p35  
479 subunits). (D) Percentage CD4<sup>+</sup> T cell proliferation in PBMCs stimulated with *M.*  
480 *tuberculosis* lysate in the presence or absence of 300 µM metformin for 6 d, using  
481 CFSE labelling to track generations. (E) Radial graph representing fold-change in  
482 cytokines from PBMCs stimulated with *M. tuberculosis* lysate in the +/- 3000µM  
483 metformin, relative to stimulation in absence of metformin. Values < 1 indicate reduced  
484 cytokine production. This is indicated by projection towards the centre of the radius. For  
485 A-C and E all data (mean ± s.e.m.) are from 3 experiments/6-13 donors. For D data are  
486 (mean ± s.e.m.) from four experiments/ 7 donors. \* p<0.05, \*\* p<0.01 (Wilcoxon  
487 matched-pairs signed rank test for A-C and Paired t-test for D.

488 Fig. 3. Global effects of metformin in healthy human volunteers. (A) Healthy volunteers  
489 (n =11) received an increasing dose of metformin for five consecutive days. Blood was  
490 drawn twice pre-(TdB) and several times post-metformin treatment. (B) Western blot  
491 analysis of p-AMPK in lysates of PBMCs, collected from healthy volunteers before and  
492 after metformin intake and stimulated for 2h with RPMI(-) or *M. tuberculosis* lysate (+):  
493 four representative donors are shown. (C) Quantitative relative band intensity analysis  
494 of p-AMPK between pre- (Td0) and post-metformin (Td6) periods for RPMI and *M.*  
495 *tuberculosis* lysate stimulation: data are mean  $\pm$  S.E.M. from eight donors. (D) Fold  
496 change in p-AMPK levels between pre- (Td0) and post-metformin (Td6) periods for  
497 RPMI and *M. tuberculosis* lysate stimulation for eight donors. \*  $p < 0.05$ , \*\*  $p < 0.01$   
498 (Paired t test). All western blot data depicted here are normalized to the loading control  
499 actin. (E) Gene set analysis from RNA-Seq data showing KEGG pathways which were  
500 differentially expressed in *ex vivo* blood samples following metformin administration.  
501 The bar length indicates the magnitude of the change of expression of the gene set.  
502 Data were analyzed using the Piano R package, and pathways with adjusted  $P < 0.01$   
503 are shown. (F) Hallmark gene set enrichment and network analysis, showing gene sets  
504 up- (red) or down- (blue) regulated following metformin administration in PBMCs in  
505 either resting state or stimulated with *M. tuberculosis* lysate for 4h. The colour intensity  
506 indicates the adjusted P-value for the gene set enrichment.

507

508 Fig. 4. Metformin intake in healthy volunteers affects cytokine production via P38 and  
509 AKT inhibition. (A) Expression of eight genes in the “response to type 1 interferon” Gene  
510 Ontology group in PBMCs stimulated with *M. tuberculosis* lysate *in vitro* for 4 or 24 h,

511 before and after *in vivo* metformin administration in healthy volunteers. Expression  
512 measured by RNA-Seq (4hr) and qRT-PCR (4 and 24hr). (B) Cytokine production from  
513 isolated PBMCs stimulated with *M. tuberculosis* lysate 24h (TNF- $\alpha$ , IL-6, IL-1 $\beta$  and IL-  
514 10) or after 7d (IFN- $\gamma$ , IL-17 or IL-22) in the presence of 10 % pooled human serum  
515 before and after metformin intake. (C) Western blot analysis of p-38 and Total p38 and  
516 (D) p-AKT and p-4EBP1 levels in lysates of PBMCs stimulated for 2h RPMI (-) or *M.*  
517 *tuberculosis* lysate (+) from healthy volunteers before and after metformin intake. Data  
518 are representative of four of eight measured donors from the trial. All western blot data  
519 depicted here are normalized to the loading control actin. (E) Fold change in p-38/Total  
520 p38 levels, p-AKT/actin or p-4EBP1/actin between pre- (Td0) and post-metformin (Td6)  
521 periods for RPMI and *M. tuberculosis* lysate stimulation. (F) Mitochondrial mass  
522 assessment in CD14<sup>+</sup>CD16<sup>-</sup> monocytes: left panel – overlay of before and after  
523 metformin from same individual, right panel - MFI of MitoTracker Green from n=3  
524 samples. Grey – FMO control. \* p<0.05, \*\* p<0.01 (Paired t test). All western blot data  
525 (mean  $\pm$  S.E.M.) are representative of a total of eight donors presented in (C) or (D) or  
526 Supplementary Fig. 3A or 3B.

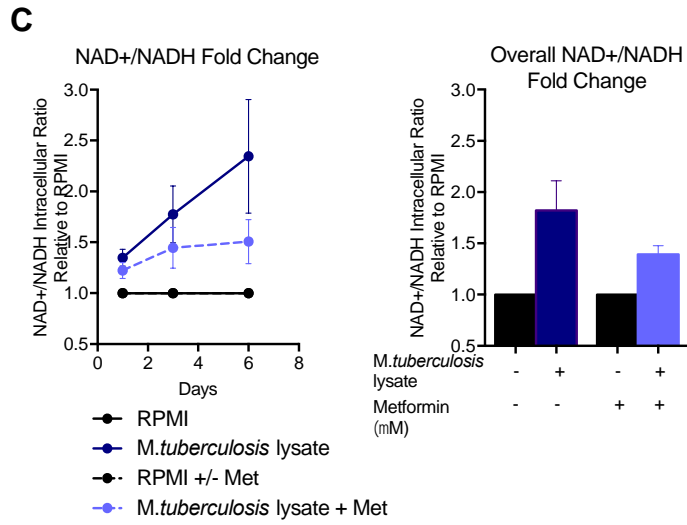
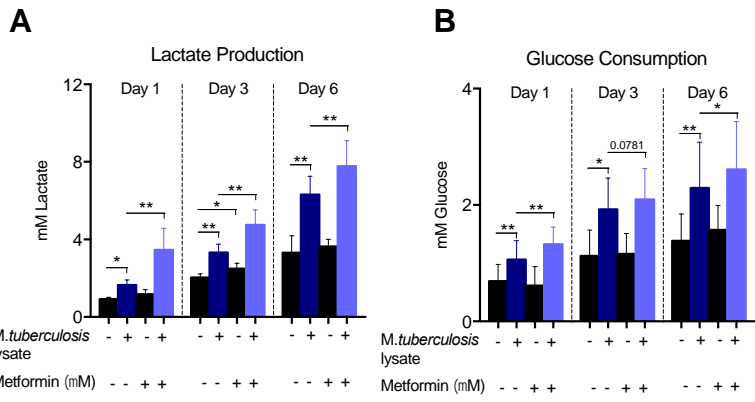
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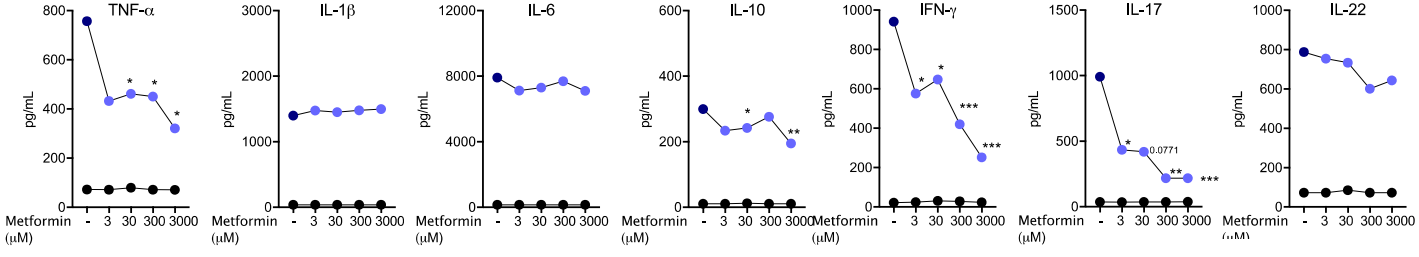
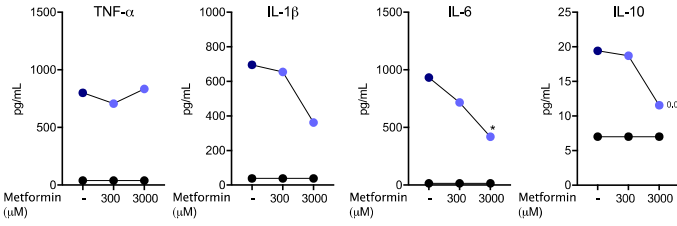
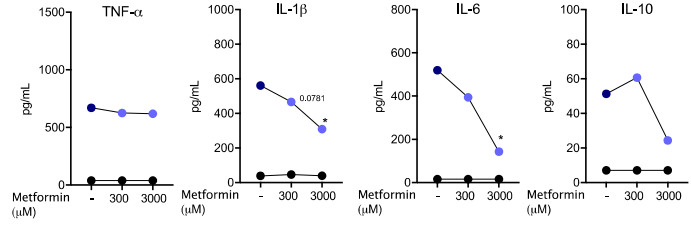
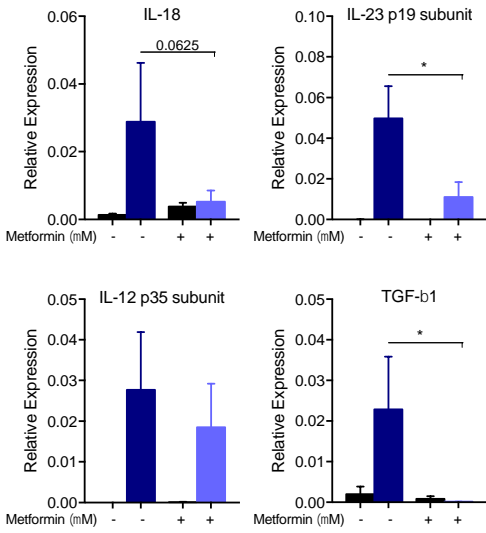
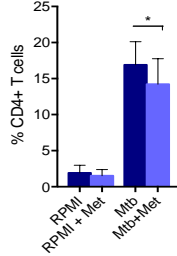
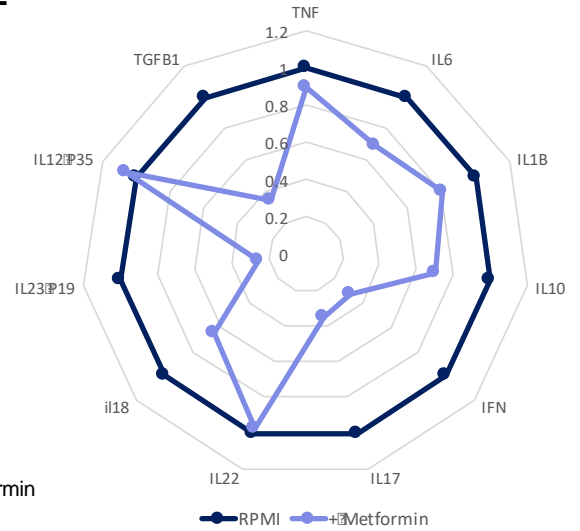
528 Fig. 5. Metformin intake in healthy volunteers alters the blood cellular composition  
529 landscape. Analysis of leukocyte counts plotted (A) as raw cell counts for whole blood,  
530 (B) as percentage of total counts for whole blood and (C) as percentage of total counts  
531 for isolated PBMCs. (D) Cryo-preserved PBMCs before (Td0) and after (Td6) metformin  
532 intake were stimulated with PMA-ionomycin and analysed by mass cytometry. tSNE  
533 analysis of single-cell data from blood monocytes of analyzed samples. Cells were

534 plotted and color-coded by the 12 'unsupervised' phenograph clusters. (E) Heat-plot  
535 summary of average median expression of each marker analysed for the 12 clusters  
536 identified. 12 clusters are divided into five subsets based on the expression of CD14,  
537 CD16 and CCR2. (F) Mass cytometry data was analyzed by manual gating strategy.  
538 The 3 differentially regulated monocyte clusters were overlaid to assess the  
539 expression of cytokines. Table on right indicates the depiction of (in terms of + and -)  
540 which cluster express which cytokine based on the manual gating strategy.

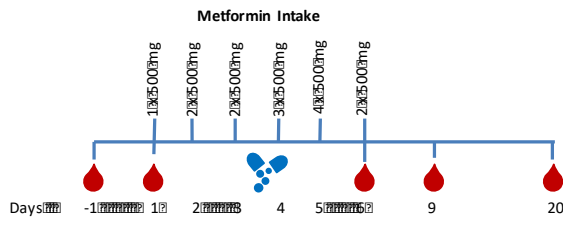
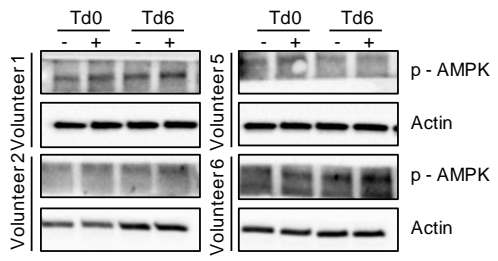
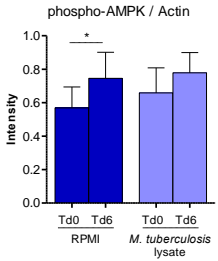
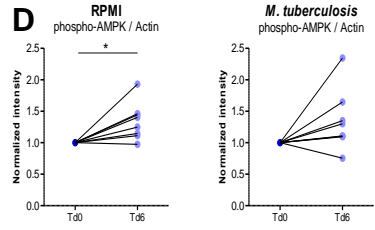
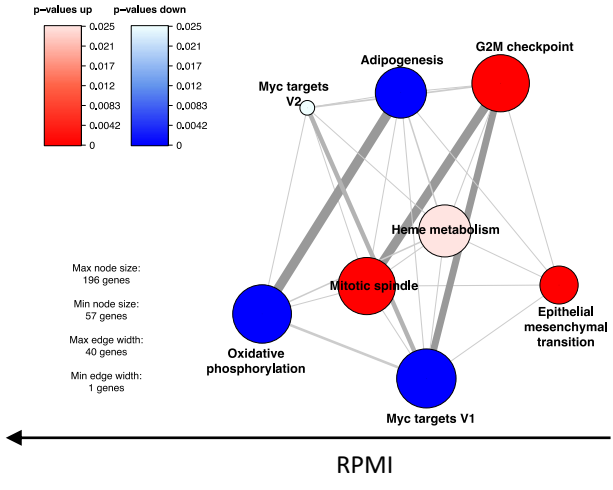
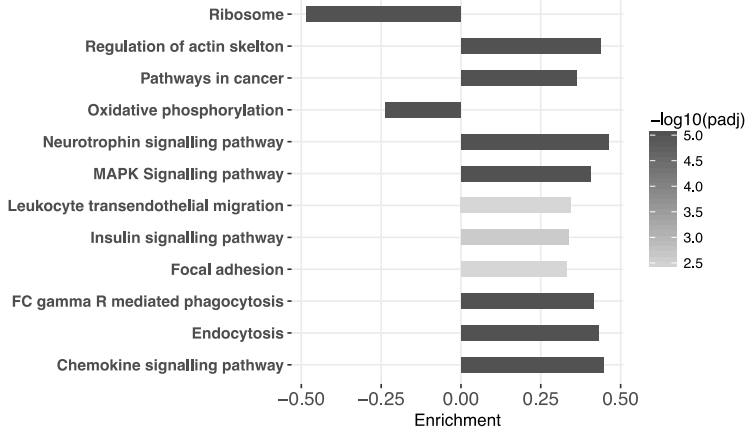
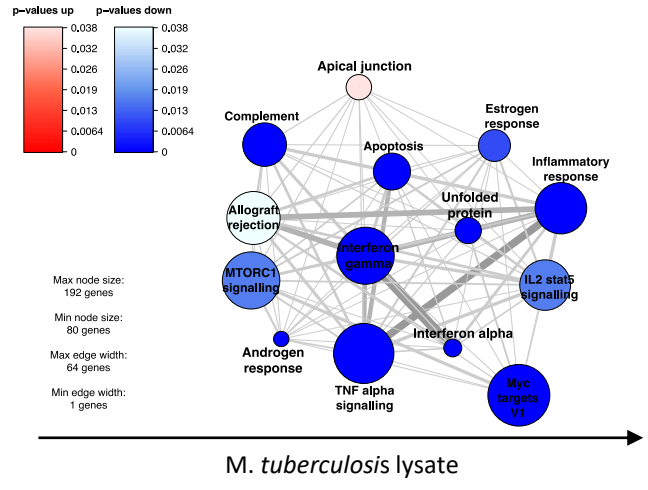
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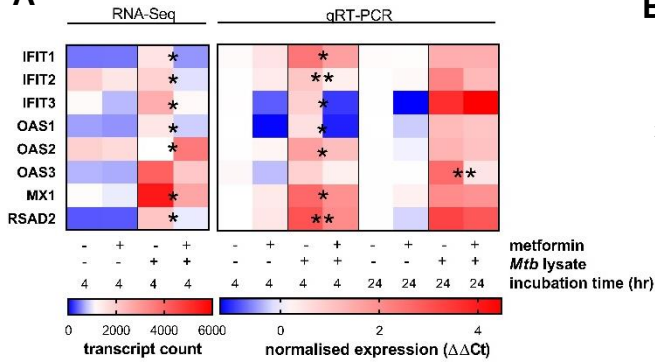
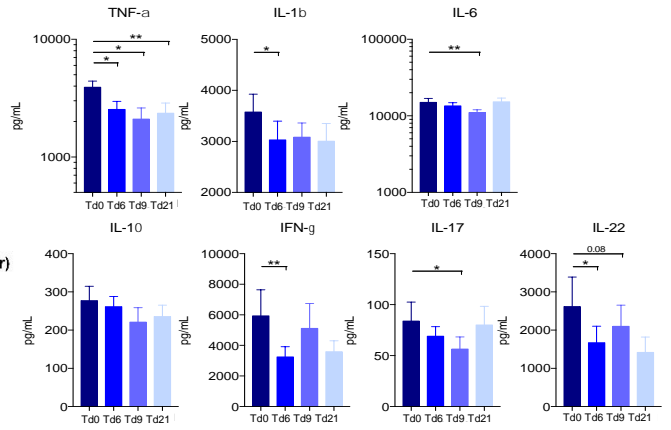
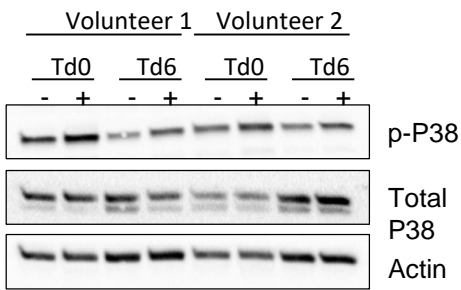
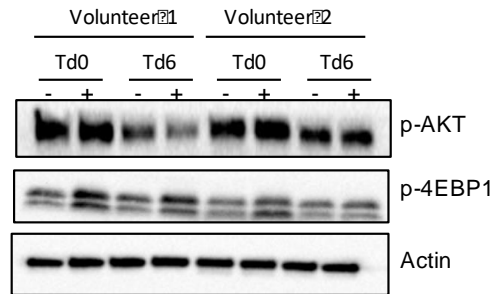
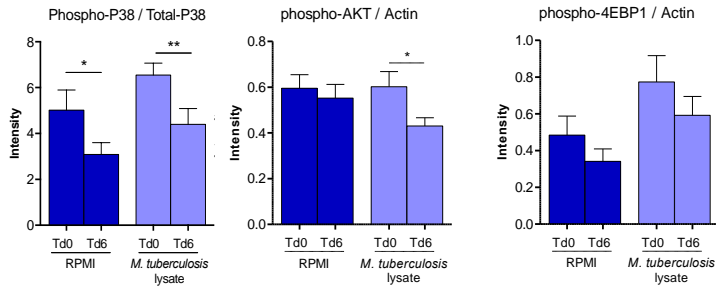
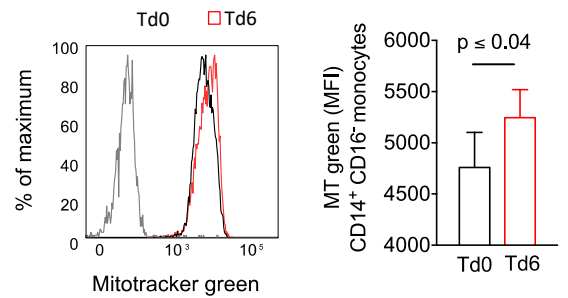
542 Fig. 6. Metformin intake in healthy volunteers affects ex-vivo anti-mycobacterial defence  
543 mechanisms but not *M. tuberculosis* outgrowth. (A) ROS production as measured by  
544 luminol-reaction from whole blood from pre- and post-metformin treated volunteers  
545 unstimulated (RPMI) or stimulated with *M. tuberculosis* lysate (Mtb) or zymosan. Data  
546 are representative of 11 individual donors. Bars representing the fold-change of Td6,  
547 Td9 or Td21 over Td0 for each individual donor are superimposed with grey dots  
548 representing the mean  $\pm$  s.e.m. (B) Expression of six genes encoding key NADPH  
549 oxidase proteins for ROS production were assessed in ex vivo blood by RNA-Seq  
550 before and after administration of metformin in the healthy volunteers. \*  $p < 0.05$ , \*\*  
551  $p < 0.01$  (Wilcoxon matched-pairs signed rank test). (C) Net phagocytosis of pHrodo  
552 conjugates in healthy volunteers given metformin for seven days. Lysed blood was  
553 incubated with the pH rodo suspension for 2 h in a non-CO<sub>2</sub> elevated incubator at 37°C  
554 before measuring fluorescence. (D) Colony forming units (CFU)/mL between 24 h or 48  
555 h and 3 h of infection of PBMCs from pre- and post-metformin treated volunteers  
556 infected with mycobacteria. Data was normalised to monocyte count.

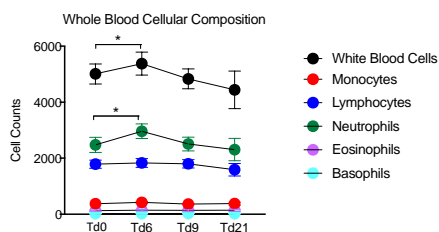
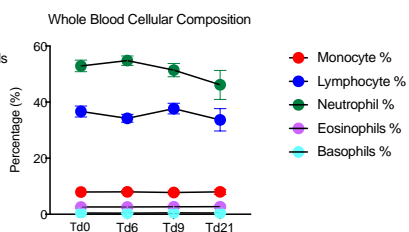
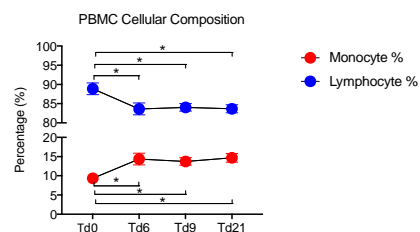
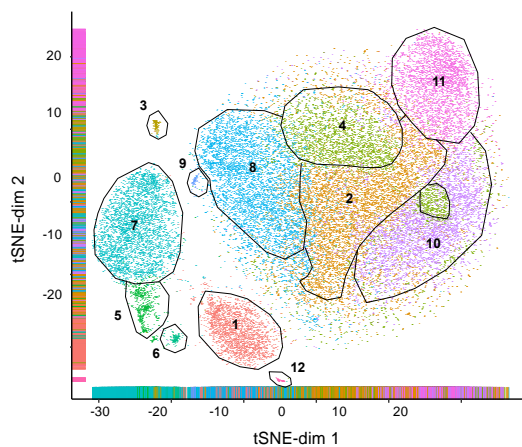
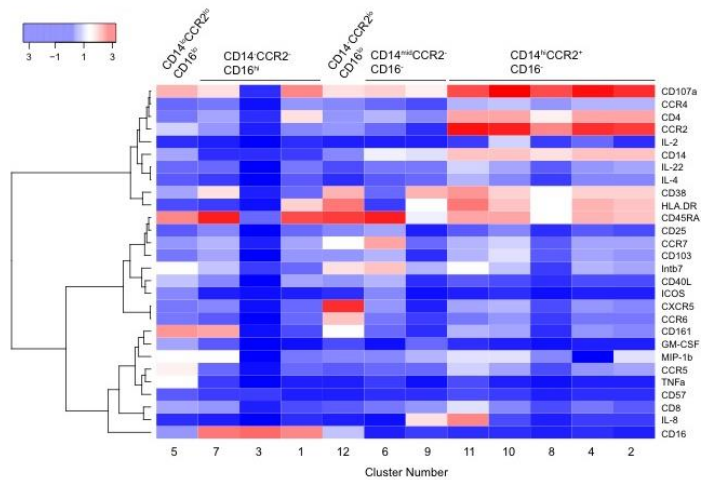


**A****PBMC****B****M1****M2****C****CD14+ Monocytes****D****Proliferation****E**

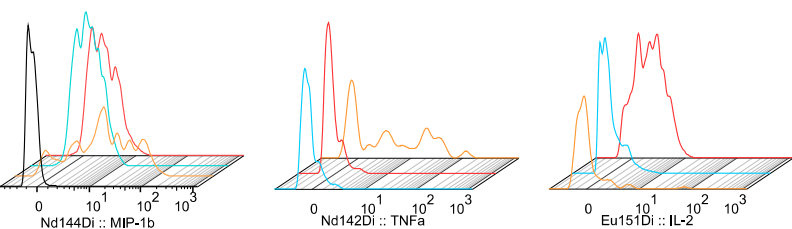


**A****B****C****D****F****E****F**

**A****B****C****D****E****F**

**A****B****C****D****E****F**

Cluster 5 Cluster 2 Cluster 10



Manual gating

Cluster	MIP-1β	TNFα	IL-2
5	+	+	-
2	+	-	-
10	+	-	+

Whole Blood

