

1 Title: **Failing to plan and planning to fail. Can we predict the future growth of demand on UK Eye**  
2 **Care Services?**

3 Running Title: **2015-35: failing to plan, planning to fail**

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29 The major ophthalmic diseases of public health concern in the UK are cataract, glaucoma, diabetic  
30 retinopathy (DR) and age-related macular degeneration (AMD).<sup>1</sup>

31 Older age is a major risk factor for each of these conditions, and expansion of treatment options has  
32 increased the resource allocation necessary per case. Hence with the number of UK residents aged  
33 over 75 set to rise from 4.9 million (2010) to 8.9 million (2035), whilst the ratio of working age to  
34 retirement age populations drops from 3.16 (2010) to 2.87 (2035),<sup>2</sup> there is a pressing national need  
35 for proactive service provision planning to avoid a serious and progressive under-provision which  
36 cannot be ethically dealt with by continued recruitment of medical staff from nations with greater  
37 human resource problems than the UK.<sup>3,4</sup>

38 Planning expansion of services cannot occur without estimation of future demands. We attempted  
39 epidemiological modelling, therefore, to quantify the proportional disease burden growth 2015-35  
40 in so far as that growth is driven by prevalence. In doing so, however, the constraints were as  
41 apparent as the possibilities.

#### 42 **Epidemiological modelling – more caveat than confidence?**

43 Projections of UK population growth by Office for National Statistics (ONS) are stratified by age and  
44 gender. However, with significant variation in disease prevalence between different ethnicities<sup>5,6</sup> and  
45 major UK ethnographic changes expected,<sup>7</sup> modelling must incorporate ethnicity. ‘ETHPOP’  
46 population projections for 12 ethnic groups by age and gender, constrained to ONS projections to  
47 2051, have been produced using a cohort-component model.<sup>8,9</sup> For example, ETHPOP predicts  
48 Asian/British Asian population aged >80 to quadruple from ~60 000 in 2015 to 231 000 in 2035.

49 Difficulties then arise, starting with the absence of contemporary UK population-based survey data  
50 to provide prevalence estimates. Increasingly historic survey data from geographically and  
51 genetically disparate contexts was, of necessity, therefore utilised. Furthermore, modelling should  
52 take into account age-specific incidence and the effects of becoming a “case” on life expectancy.

53 Lack of data to permit quantification of the current impact of diagnosis on life expectancy prevents  
54 serious consideration of such an approach.

55 Other known risk factors such as smoking, hypertension, socio-economic status and obesity are not  
56 static within the UK population – but modelling to include the expected changes in these factors and  
57 their interactions with disease burden is fraught with difficulties; changes in efficacy of treatment  
58 modalities for diseases or their risk factors is impossible to predict.

59 The undeniable size of these obstacles to the generation of academically robust projections of  
60 disease burden, does not diminish the equally undeniable need for long term service delivery  
61 planning – which cannot occur in the absence of some attempt to quantify the future needs.

62 With this in mind, we searched for the most relevant survey data and applied them to the ETHPOP  
63 population projections via the National Eye Health Epidemiological Model (NEHEM) which facilitates  
64 application of disease prevalence to populations ([www.eyehhealthmodel.org](http://www.eyehhealthmodel.org)).

65 Glaucoma – Published UK Asian population studies<sup>10</sup> cannot be considered widely representative of  
66 UK Asian/British Asian populations so meta-analysis of large studies from both India and Bangladesh  
67 was selected.<sup>6</sup> No relevant UK Black population data is available, so meta-analysis was again used  
68 with the largest contributing studies utilising West Indies,<sup>11</sup> USA<sup>12</sup> and African data.<sup>13</sup> The largest  
69 White UK population data were felt to be too old, coming from a survey undertaken in 1995/96,<sup>14</sup> so  
70 large American, European and Australian surveys were accessed.<sup>6</sup> Using these data, a 49% rise in  
71 glaucoma cases from 2015-35 is predicted.

72 AMD – Prevalence data for AMD could be taken from UK sources,<sup>15</sup> however, case definition  
73 limitations and restriction to age >75 made multicentre EUREYE data appear most representative.<sup>16</sup>  
74 The lower prevalence of blinding AMD seen in black populations was accommodated by application  
75 of data from the American Eye Diseases Prevalence Research Group.<sup>17</sup> Using these data, a 64% rise  
76 in nAMD cases from 2015-35 is predicted.

77 Cataract - Absence of internationally agreed case definition, to which prevalence estimates are  
78 extremely sensitive, makes estimation of case numbers of limited value. Proportional increase in  
79 those numbers, however, is potentially very useful. Estimates from two surveys were taken,<sup>18,19</sup> and  
80 using these data, a 52% rise in cataract cases is expected from 2015-35.

81 Diabetic Retinopathy - The global diabetic population by 2030 has been variously predicted to rise by  
82 three separate academic groups to; 366 million,<sup>20</sup> 439 million,<sup>21</sup> and 552 million<sup>22</sup>. Similarly, two  
83 1997 estimates of growth rate of UK diabetic population were 4.1% annually<sup>23</sup> and 1.0% annually.<sup>24</sup>  
84 The general scarcity and need for data and future projections to inform ophthalmic public health  
85 planning for DR is acknowledged.<sup>25</sup> Despite this need, we concluded that the data did not exist to  
86 permit us to attempt this, as the variation in existing projections demonstrates.

87 However, if the best indicator of future behaviour is past behaviour, then we should note that UK  
88 diabetes prevalence increased from 2.8% (1996) to 4.3% (2005), >50% rise in 10 years, hence it  
89 would seem prudent to anticipate a substantial increase in demand from DR.<sup>26</sup> The scale of this may  
90 be similar to the predicted 86% rise in the diabetic population projected for the USA (2009-2034)<sup>27</sup>  
91 or for Germany of a 64% rise in diagnosed type 2 diabetics.<sup>28</sup>

## 92 **Of what value are these estimates?**

93 If a large, unquantified and changing proportion of glaucoma is undiagnosed, and visual thresholds  
94 for cataract surgery alter surgical numbers far more than prevalence, then it would be reasonable to  
95 assert that predicting numbers of “cases” in a population has little to offer to service planning and  
96 that the case numbers in table 1 can be discounted. However, there must be a substantial  
97 proportion of demand that is driven by prevalence, and in so far as the conversion rate between  
98 prevalence and demand remains relatively stable, epidemiological modelling gives the best chance  
99 of estimating by what proportion demand will rise.

100

101 The historic lack of prospective planning for rising demand has allowed a capacity shortfall with well-  
102 documented national level evidence of harm to patients.<sup>29,30</sup> Short term financial targets make it  
103 unattractive for managers to configure services with any excess capacity to accommodate expected  
104 growth, hence utilisation of inefficient short term fixes such as waiting list initiatives, until the  
105 system decompensates with serious untoward incidents at which point investment to increase  
106 routine capacity becomes unavoidable. This situation must change.

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108 Better source data for planning would require a UK national population-based survey, but until this  
109 becomes available, these estimates of proportional increase in case numbers offer some guidance  
110 on the size of the growth in service delivery that UK eye care services will be expected to deliver  
111 over the next 20 years – and as such should be useful to those taking the long view nationally or  
112 locally on resource allocation and workforce planning. Amongst the uncertainties, one thing can be  
113 said for sure: if we fail to plan for growth, we are consciously planning to fail our patients in the  
114 years to come.

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117 **Table 1: Estimated and projected numbers of cases of Glaucoma, Cataract and nAMD in the UK**

118 **2015-2035**

|             | <b>Glaucoma Cases<br/>(% increase cf 2015)</b> |     | <b>Cataract Cases<br/>(% increase cf 2015)</b> |     | <b>nAMD Cases<br/>(% increase cf 2015)</b> |     |
|-------------|--|-----|--|-----|--|-----|
| <b>2015</b> | 659 000  | -   | 1 450 000                                      | -   | 411 000                                    | -   |
| <b>2025</b> | 807 000  | 23% | 1 790 000                                      | 23% | 521 000                                    | 27% |
| <b>2035</b> | 983 000  | 49% | 2 210 000                                      | 52% | 672 000                                    | 64% |

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