Zoonotic transfer of *Clostridium difficile* harboring antimicrobial resistance between farm animals and humans

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- 42 **Running title:** Clonal *C. difficile* infect different hosts globally.
- 43
- 44 keywords: Clostridium difficile, RT078, intercontinental transmission, inter-host
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46 Abstract. The emergence of *Clostridium difficile* as a significant human diarrheal pathogen 47 is associated with the production of highly transmissible spores and the acquisition of antimicrobial resistance genes (ARGs) and virulence factors. Unlike the hospital associated 48 49 C. difficile RT027 lineage, the community associated C. difficile RT078 lineage is isolated 50 from both humans and farm animals; however, the geographical population structure and transmission networks remain unknown. Here we applied whole genome phylogenetic 51 52 analysis of 248 C. difficile RT078 strains from 22 countries. Our results demonstrate limited geographical clustering for C. difficile RT078 and extensive co-clustering of human and 53 54 animal strains, thereby revealing a highly-linked, inter-continental transmission network 55 between humans and animals. Comparative whole-genome analysis reveals indistinguishable accessory genomes between human and animal strains, and a variety of antimicrobial 56 resistance genes in the pangenome of C. difficile RT078. Thus, bi-directional spread of C. 57 58 difficile RT078 between farm animals and humans may represent an unappreciated route 59 disseminating antimicrobial resistance genes between humans and animals. These results 60 highlight the importance of the "One Health" concept to monitor infectious disease 61 emergence and the dissemination of antimicrobial resistance genes. 62

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74 Introduction: Over the past decade, *Clostridium difficile* has emerged as the primary cause 75 of infectious antibiotic associated diarrhea in hospitalized patients (1). Unlike other common 76 healthcare-associated pathogens, C. difficile produces resistant spores that facilitate host-to-77 host transmission and enable long term survival and dispersal in the healthcare system and 78 the wider environment (2). The emergence of epidemic C. difficile ribotype (RT) 027 (NAP1 79 / ST-1), responsible for many large-scale hospital outbreaks worldwide (3, 4), has been 80 linked to environmental spore contamination and the acquisition of fluoroquinolone resistance (5). Enhanced research focus on C. difficile in the aftermath of the C. difficile 81 82 RT027 outbreaks has revealed other evolutionarily distinct C. difficile lineages, in particular 83 C. difficile RT078 (NAP07-08/ST-11), that are now emerging as significant human pathogens 84 for unknown reasons (6).

The "One Health" concept, connecting the health of humans to the health of animals 85 86 and their shared environments, represents a relevant framework for understanding the emergence and spread of pathogens. C. difficile RT078 is commonly isolated from both 87 humans and farm animals (7) and increasingly recognized as a causative agent of both 88 89 healthcare and community-associated C. difficile infection (CDI) (8). This lineage typically 90 affects a younger population (9) and results in higher mortality than C. difficile RT027 (10). Standard genotyping tools have highlighted genetic similarities between human and animal 91 92 C. difficile RT078 (11-13) strains raising the possibility of zoonotic transmission (14). Nevertheless, the exact evolutionary and epidemiological relationships between human and 93 animal C. difficile RT078 strains remain unknown due to the lack of discriminatory power of 94 95 these typing methods and the clonal nature of C. difficile lineages. Recently, using whole genome phylogeny, we reported that asymptomatic farmers and their pigs can be colonized 96 97 with clonal C. difficile RT078 isolates demonstrating evidence for spread between animals 98 and humans (15).

99 **Results and Discussion:** Here we assess the broad genetic diversity of *C. difficile* RT078, by 100 performing whole genome sequence analysis of 247 strains isolated predominantly from 101 humans and animals that were collected from 22 countries across North America, Europe, 102 Australia and Asia between 1996 and 2012 (https://microreact.org/project/rJs-SYgMe) (Table 103 S1). We explored the phylogenetic structure of C. difficile RT078 by generating a core 104 genome maximum likelihood phylogeny that included the 247 C. difficile RT078 strains and 105 the reference genome of C. difficile M120 (n=248) (Fig. 1). Superimposing the geographic 106 origin of strains revealed considerable co-clustering of European (dark green) and North 107 American (purple) strains across the phylogeny (Fig. 1). Permutation analysis on randomly 108 generated, equalized subsets of European (dark green) and North American (purple) genomes 109 confirmed co-clustering of geographically diverse strains (Fig. S1). In addition, the absence of a single clade of C. difficile RT078 isolated in Australia (light green) is also suggestive of 110 111 sporadic transmission between Europe and Australia (Fig. 1). Overall, the observed lack of 112 geographic clustering is characteristic of repeated, international transmission.

113 We next examined the phylogenetic distribution of strains isolated from humans 114 (n=184) and animals (n=59) to understand the potential for zoonotic spread. This analysis 115 identified examples of human to human and animal to animal spread and strong evidence of 116 bi-directional spread of C. difficile RT078 between animals and humans across the 117 phylogeny. These observations are supported by the extensive co-clustering of human (blue lines) and animal strains (red lines) (Fig. 1). Focused analysis of closely related C. difficile 118 119 RT078 strains identified 6 clusters containing both animal and human isolates with identical 120 core genome and highly similar whole genomes (ANI \geq 99.73%; Table 1). Surprisingly, 121 Cluster 1 consists of an animal strain from Canada and human strains from UK indicating 122 that zoonotic spread of C. difficile is not confined to a local population of humans and 125 Next, a detailed analysis of the accessory genome, including mobile genetic 126 elements, was performed to further explore the genomic similarities between human and 127 animal strains. Of the 6,239 unique genes present across our genome collection, 3,368 genes 128 (54.0%) were assigned to the core genome leaving 2,871 genes (46.0%) present in the 129 accessory genome (Fig. S2). Considering only the human and animal isolates, 2,859 130 accessory genes were identified. The vast majority of human and animal specific accessory 131 genes were found at low frequencies in the population (Fig. 2A). We observed no statistically 132 significant difference in the number of strains carrying accessory genes exclusive to either the 133 human or the animal population ($\chi 2$ p-value of 0.39). Considering only those accessory genes 134 present in at least 10% of isolates (n= 465), 461 (99.1%) were identified in both human and 135 animal isolates. The absence of accessory genes unique to either group demonstrates that 136 either C. difficile has a stable accessory genome, which is host independent or provides 137 further support for the frequent transmission of C. difficile between host populations.

138 Given the high percentage of mobile elements including antimicrobial resistance 139 genes harbored by C. difficile genomes (5, 6), we next sought to analyze distribution of 140 different ARGs in the pangenome of human and animal strains. In total, 22 different putative 141 ARGs are present in the 243 C. difficile RT078 genomes (Fig. 2B). The most common ARG 142 was the chromosome encoded *cdeA*, a well-known multidrug transporter that was detected in 143 all strains; however, other common genes included those encoding resistance to 144 aminoglycosides, tetracycline and erythromycin (Fig. 2B). Importantly, no specific ARGs 145 were statistically enriched in the animal isolates; however, the *ermB* (erythromycin resistance 146 methylase) gene was identified in the human isolates (Fisher's-exact test, q value = 1.25E-147 07). These results provide further support that a clonal C. difficile RT078 population

148 containing a broad array of ARGs is spreading between humans and farm animals except
149 *ermB*, which has signs of unknown selective pressure in the human isolates.

150 C. difficile is an ancient, genetically diverse species that has only emerged as a 151 significant human pathogen over the past four decades. It remains to be determined why 152 evolutionary distinct lineages such as C. difficile RT027 and RT078 (6) are simultaneously 153 emerging to cause disease in the human population. Previously we have demonstrated that C. 154 difficile RT027 acquired fluoroquinolone resistance during the 1990s in North America and 155 rapidly spread through the global healthcare system (5). Here we demonstrated that C. 156 difficile RT078 has spread multiple times between continents, in particular North America 157 and Europe, highlighting that C. difficile emergence and spread is a global issue. In contrast 158 to the distinct animal- and human-associated populations observed for the multidrug-resistant 159 enteric pathogen Salmonella Typhimurium DT104 (16), we demonstrated that C. difficile 160 RT078 is a clonal population moving frequently between livestock and human hosts with no 161 geographical barriers. Although the original reservoir remains unknown, the reciprocal 162 transmission between humans and farm animals emphasizes the importance of a 163 comprehensive One Health perspective in managing and controlling C. difficile RT078.

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173 Materials and Methods

174 Collection of C. difficile strains

175 C. difficile laboratories worldwide were asked to send a diverse representation of their 176 C. difficile 078 collections to the Lawley laboratory hosted at the Wellcome Trust Sanger 177 Institute. Sample shipping was coordinated by the Lawley laboratory. After receiving all 178 shipped samples the DNA extraction was performed batch wise by one person using the same 179 protocol and reagents to minimize bias. Phenol-Chloroform was the preferred method for 180 extraction since it provides high DNA yield and intact chromosomal DNA. The genomes of 181 182 strains designated as C. difficile RT078 (/NAP07-08/ST-11), by PCR ribotyping (17) 182 were sequenced and combined with our previous collection of 65 strains of C. difficile RT078 183 (12) making a total of 247 strains analyzed in this study. These 247 strains were collected 184 between 1996 and 2012 and are comprised of representative strains from 4 continents (North 185 America, Europe, Australia and Asia). Of these strains, 183 were derived from humans, 59 186 from animals (pigs, cattle, horses and poultry), 4 foods and 1 environmental sample. Details 187 of all sequenced strains are listed in Table S1, including the European Nucleotide Archive 188 (ENA) sample accession numbers. Metadata of the C. difficile RT078 strains has been made 189 freely publicly available through Microreact (18) (https://microreact.org/project/rJs-SYgMe).

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190 Bacterial culture and genomic DNA preparation

191 *C. difficile* strains were cultured on blood agar plates (bioMérieux, the Netherlands) 192 for 48 hours, inoculated into liquid medium (brain-heart infusion (BHI) broth supplemented 193 with yeast extract and cysteine) and grown over night (ca 16 hours) anaerobically at 37 °C. 194 Cells were pelleted, washed with phosphate-buffered saline (PBS), and genomic DNA 195 preparation was performed using a phenol-chloroform extraction as previously described 196 (19).

197 DNA sequencing, assembly and annotation

Journal of Clinical

Paired-end multiplex libraries were prepared and sequenced using Illumina Hi-Seq platform with fragment size of 200-300bp and a read length of 100bp, as previously described (20, 21). An in-house pipeline developed at the Wellcome Trust Sanger Institute (https://github.com/sanger-pathogens/Bio-AutomatedAnnotation) was used for bacterial assembly and annotation. It consisted of *de novo* assembly for each sequenced genome using Velvet v. 1.2.10 (22), SSPACE v. 2.0 (23) and GapFiller v 1.1 (24) followed by annotation using Prokka v. 1.5-1 (25).

205 Construction and analysis of the Pan genome

We used the pan genome pipeline Roary (26), to identify the C. difficile RT078 pan 206 207 genome. Roary takes annotated draft assemblies in GFF3 format which were produced by 208 Prokka (25). Predicated coding regions were extracted from the input and converted to 209 protein sequences. Partial sequences (>5% nucleotides unknown or sequence length less than 210 120 nucleotides) were filtered and the remaining sequences were iteratively clustered with 211 CD-HIT beginning with a sequence identity of 100% and matching length of 100% down to a 212 default sequence identity of 98%. One final clustering step was performed again with CD-hit, 213 with a sequence identity of 100% leaving one representative sequence for each cluster in a 214 protein FASTA file. This was followed by a comprehensive, pairwise comparison with 215 BLASTP on the reduced sequences with a default sequence identity percentage of 95% and 216 matching length of 100%. The pan genome embodies the core genome, defined as those 217 genes present in at least 90% of the genomes, and the accessory genome, defined as those 218 genes present in between 10% and 90% of the genomes. Rare variant genes, found in less 219 than 10% of genomes, were discarded.

Core genes (n=3,368) alignment, an output from Roary, was used to construct
phylogenetic structure of 248 *C. difficile* strains. Single nucleotide polymorphisms (SNPs)
were extracted from the core gene alignment using SNP-sites (27). Maximum likelihood tree

based on SNPs alignment was constructed using FastTree with –gamma –gtr settings (28) and
tree was visualized with iTOL (29).

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226 Average Nucleotide Identity (ANI) analysis

Using Roary analysis, *C. difficile* RT078 strains isolated from humans and animals with identical core genome were extracted using an in-house R script. ANI was calculated by performing pairwise comparison of genome assemblies of these *C. difficile* RT078 strains using MUMmer (30).

231 Identification of antimicrobial resistance gene sequence

Antimicrobial resistance genes were identified within the *C difficile* RT078 genomes through
comparison to the CARD database with the ARIBA software (<u>https://github.com/sanger-</u>
pathogens/ariba).

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257 Conflict of interests

258 The authors declare no competing financial interests.

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389 Figures



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391 Figure 1. Phylogeography of human and animal Clostridium difficile RT078. Maximum 392 likelihood, midpoint rooted phylogenetic tree of 248 genomes, representing strains isolated from 393 human (dark blue), animal (red), food (orange) and environment (light blue) and collected from 394 Europe (dark green), North America (purple), Asia (pink) and Australia (light green). Branches with 395 bootstrap confidence values above 0.7 are shown as solid lines. The phylogeny demonstrates clear 396 mixing of European and North American strain indicating multiple transmission events between 397 continents and mixing of human and animal strains indicating multiple transmissions events between 398 these hosts. Closely related clusters (see Table 1) containing both human and animal isolates are 399 labeled 1 - 6 and highlighted in yellow.

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409	Figure 2. Indistinguishable accessory genome of C. difficile RT078 harbours a variety of
410	antimicrobial resistance genes.
411	A. The accessory genes (n=2,859) categorized according to host origin. The number of accessory
412	genes (x-axis) only found in human genomes (dark blue), only found in animal genomes (red) or
413	found in both human and animal genomes (green) is plotted against the number of genomes in which
414	these genes are present (y-axis).
415	B. The frequency of predicted antimicrobial resistances genes (ARGs) within the 243 C. difficile
416	RT078 strains. Human (dark blue) and animal (red) isolation sources are shown by color.
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Cluster	ENA ID	Year	Continent	Country	Host	ANI (%)
1	ERR171209	2004	North America	Canada	Animal	-
	ERR171230	2010	Europe	UK	Human	99.93
	ERR256911	2011	Europe	UK	Human	99.91
	ERR171303	2008	Europe	UK	Human	99.90
	ERR256986	2012	Europe	UK	Human	99.84
	ERR256910	2011	Europe	UK	Human	99.83
	ERR1910469	1997	Europe	UK	Human	99.82
	ERR1910468	1997	Europe	UK	Human	99.80
	ERR256981	2008	Europe	UK	Human	99.75
2	ERR257071	2011	Europe	Netherlands	Animal	-
	ERR257072	2011	Europe	Netherlands	Human	99.94
3	ERR257053	2011	Europe	Netherlands	Animal	-
	ERR257057	2011	Europe	Netherlands	Human	99.77
4	ERR257067	2011	Europe	Netherlands	Animal	-
	ERR171352	2011	Europe	Netherlands	Human	99.97
	ERR257052	2011	Europe	Netherlands	Human	99.91
5	ERR257046	2011	Europe	Netherlands	Animal	-
	ERR257061	2011	Europe	Netherlands	Human	99.82
6	ERR257065	2011	Europe	Netherlands	Animal	-
	ERR257044	2011	Europe	Netherlands	Human	99.80
	ERR257050	2011	Europe	Netherlands	Human	99.73

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