

**1 Breast MRI segmentation for density estimation: Do different methods give the  
2 same results and how much do differences matter?**

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## ABSTRACT

**Purpose** To compare two methods of automatic breast segmentation with each other and with manual segmentation in a large subject cohort. To discuss the factors involved in selecting the most appropriate algorithm for automatic segmentation and, in particular, to investigate the appropriateness of overlap measures (e.g., Dice and Jaccard coefficients) as the primary determinant in algorithm selection.

**Methods** Two methods of breast segmentation were applied to the task of calculating MRI breast density in 200 subjects drawn from the Avon Longitudinal Study of Parents and Children, a large cohort study with an MRI component.

A semi-automated, bias-corrected, fuzzy C-means (BC-FCM) method was combined with morphological operations to segment the overall breast volume from in-phase Dixon images. The method makes use of novel, problem-specific insights. The resulting segmentation mask was then applied to the corresponding Dixon water and fat images, which were combined to give Dixon MRI density values. Contemporaneously acquired  $T_1$ - and  $T_2$ -weighted image datasets were analysed using a novel and fully automated algorithm involving image filtering, landmark identification and explicit location of the pectoral muscle boundary. Within the region found, fat-water discrimination was performed using an Expectation Maximisation - Markov Random Field technique, yielding a second independent estimate of MRI density.

**Results** Images are presented for two individual women, demonstrating how the difficulty of the problem is highly subject-specific. Dice and Jaccard coefficients comparing the semiautomated BC-FCM method, operating on Dixon source data, with expert manual segmentation are presented. The corresponding results for the method based on  $T_1$ - and  $T_2$ -weighted data are slightly lower in the individual cases shown, but scatter plots and inter-class correlations for the cohort as a whole show that both methods do an excellent job in segmenting and classifying breast tissue.

**Conclusions** Epidemiological results demonstrate that both methods of automated segmentation are suitable for the chosen application and that it is important to consider a range of factors when choosing a segmentation algorithm, rather than focus narrowly on a single metric such as the Dice coefficient.

Keywords: breast cancer, MRI, mammographic density, ALSPAC, segmentation

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## 17 I. INTRODUCTION

18 Mammographic density, a quantitative measure of radio-dense fibroglandular tissue in the  
 19 breast, is one of the strongest predictors of breast cancer risk. Women with more than 75%  
 20 density have a four-fold or higher risk of breast cancer compared to those with less than 5%<sup>1</sup>.  
 21 More intensive screening for women with high mammographic density has been proposed<sup>2</sup>  
 22 but remains controversial<sup>3</sup>.

23 However, in clinical practice, mammographic density, as assessed on x-ray mammograms,  
 24 is generally reported using only qualitative, radiologist-assessed categories, and agreement  
 25 between radiologists tends to be only moderate<sup>4</sup>. Quantitative analysis is hampered by the  
 26 fact that breast density is an inherently 3-D material property and therefore not well suited  
 27 to measurement using 2-D x-ray projections. Although subsequent risk assessment and epi-  
 28 demiological analysis rarely use full 3-D information (normally preferring a single number,  
 29 i.e., the volume-averaged mean breast density), accurate derivation of such a statistic from  
 30 the 2-D X-ray data is problematic and subject to error. Automated tools such as Volpara  
 31 (VolparaSolutions, Wellington, NZ)<sup>5</sup> and QUANTRA (Hologic Inc., USA) are gaining trac-  
 32 tion in the mammography community, suggesting that mean breast density can be calculated  
 33 without inter-reader bias. However, such readings may be affected by errors in estimating  
 34 breast thickness<sup>6</sup> and the relation between the values of breast density reported and those  
 35 obtained by other techniques remains to be elucidated<sup>7</sup>.

36 Increasingly, Magnetic Resonance Imaging (MRI) mammography is being used in clinical  
 37 and research settings to assess breast structure, because of its 3-D capabilities, its non-  
 38 ionizing nature and the strong soft tissue contrast between fibroglandular (parenchymal)  
 39 and fatty tissue. In an MRI context, breast density refers to the percentage of breast tissue  
 40 volume that is deemed to be “parenchymal” and this is generally assumed to be the same as  
 41 volume fraction of tissue whose MR signal arises from free water molecules, as opposed to  
 42 fat (i.e., the “water fraction” or “percentage water”). Clearly, this is not an exact equivalent  
 43 of the mammographic x-ray density. Nevertheless, Thompson *et al.*<sup>8</sup> demonstrate a clear  
 44 correlation between the two.

45 At present manual evaluation of MRI 3-D breast density is an arduous, observer-  
 46 dependent, and time-consuming process. Therefore, full or partial automation of the 3-D  
 47 analysis of the breast is required. To achieve the desired segmentations of breast parenchy-

mal volume and breast fat volume, two separate image processing tasks are required. First, the breast as a whole needs to be distinguished from the background and chest wall; and, second, the parenchymal tissue within the breast needs to be distinguished from fat.

Several different MRI pulse sequences have previously been used to assess breast density, but no definitive consensus has been reached about which is optimal. Few studies have compared different sequences within the same subject population. Furthermore, whilst there is a large body of prior literature (see Table I) describing different ways to achieve the two segmentation tasks described above, no studies, to date, have compared different automated methods with each other and with manual segmentation, for a sizeable subject population.

It is clear that many methods can produce “good” segmentation results. This study poses the following question: Do the minor differences we see between segmentations when we apply different algorithms on the same data actually matter for the uses to which the segmentations are ultimately put?

This study compares two very different methods of breast-outline segmentation: (i) an established<sup>37</sup> bias-corrected fuzzy C-means (BC-FCM) clustering technique based on a cost-function; and (ii) a new heuristic approach based on thresholding, landmark identification and direct analysis of image features. The results of this part of the study will be measures of overall breast volume from each method and volume similarity measures (Dice and Jaccard coefficients).

With the breast outline obtained, the second part of the study compares two methods of fat-water discrimination, again based on different principles: (i) The Dixon approach<sup>38</sup> uses scans acquired with an MRI technique that returns separate “fat” and “water” images. In principle, these allow us to obtain a fat and water fraction for every voxel, accounting for partial volume effects. However, Dixon sequences are not currently part of the routine acquisition protocol for clinical MRI examinations<sup>39</sup>. (ii) Our second method uses an analysis of the intensity histograms of the two different tissue classes in fat-suppressed  $T_1$ -weighted (T1w) and  $T_2$ -weighted (T2w) images. Such images are routinely acquired in diagnostic scanning and this method thus has the potential advantage of wider applicability if the two methods are shown to be concordant. Note that there is no means of obtaining ground truth data and, given that we are dealing with a healthy subject cohort, no possibility of obtaining x-ray data for comparison.

Nomenclature for the various segmentations is summarised in Figure 1.

TABLE I: Summary of journal papers describing methods to segment pectoral muscle and internal fibro-glandular tissue from MR images.  $N_{OB}$  refers to the number of observers who provided the gold standard manual segmentation.  $N_D$  indicates the number of MR data sets the method was validated with and  $N_S$  the number of MRI scanners. N/A = not applicable; N/S = not specified

Author, Year	Ref. no.	Breast outline segmentation method	Fat / water classification method	$N_{Obs}$	$N_D$	$N_S$
Hayton <i>et al.</i> 1997	9	Threshold, morphological opening followed by “dynamic programming”	None	N/S	3	N/S
Twellmann <i>et al.</i> 2005	10	Median filtering; Otsu automated thresholding; morphological closing	None	N/S	12	1
Koenig <i>et al.</i> 2005	11	Histogram-based threshold for breast-air, then Gaussian smoothing; intensity threshold for pectoral boundary, then min and max of locations with transition within confidence interval	None	N/S	4	N/S
Yao 2005	12	Threshold, morphological opening and region-growing followed by Bernstein-spline and active contour; automatic identification of key points to define rough surfaces of pectoral muscle; successive refinement via gradient-based technique, Bernstein spline and active contour	Fuzzy C-means	1	90	N/S
Lu <i>et al.</i> 2006	13	Region-growing, then spline and active contour for breast-air boundary; location of key points by geometry; identification of muscle slab, followed by spline	None	N/S	1	1
Giannini <i>et al.</i> 2010	14	Region-growing, then spline and active contour	None	2	12	2
Wang L <i>et al.</i> 2012	15	Hessian sheetness filter; 3-D connected component algorithm; intensity-based region-growing based on seed points automatically selected	None	1	84	5
Wu <i>et al.</i> 2012a,b, 2013a,b	16,17 18,19	Thresholding, morphological opening, contour extraction; three edge maps generated from original data and two non-linear filters; candidate selection; median filtering; dynamic time-warping; comparison between slices	Continuous Max-Flow	1	60	4

TABLE I: continued (atlas-based methods)

Author, Year	Ref. no.	Breast outline segmentation method	Fat / water classification method	$N_{\text{Obs}}$	$N_D$	$N_S$
Gubern-Mérida <i>et al.</i> 2011	<sup>20</sup>	Manually created atlas with 7 tissue classes; landmark detection	Bayesian atlas plus Markov Random Field regularisation	1	27	1
Gubern-Mérida <i>et al.</i> 2012, 2015	<sup>21,22</sup>	Manually created atlas; sternum detection; N3 bias-field correction	EM algorithm with Gaussian mixture model	3,4	27+23	1
Gallego-Ortiz and Martel 2012	<sup>23</sup>	Atlas created from Dixon in-phase images via entropy-based groupwise registration; maximal phase congruency and Laplacian mapping	None	N/S	500	1
Khalvati <i>et al.</i> 2015	<sup>24</sup>	Atlas created by manual initialization of active contour algorithm, subsequently corrected manually	None	N/S	400 + 17	3
Gallego and Martel 2011	<sup>25</sup>	Atlas, statistical shape model	None	N/S	415	N/S

TABLE I: continued (neural networks and fuzzy C-means)

Ertas <i>et al.</i> 2006, 2008	<sup>26,27</sup> Breast air boundary: threshold; chest-wall: four cascaded cellular neural networks	1	39	N/S	N/S
Wang C-M <i>et al.</i> 2008	<sup>28</sup> Support vector machines	Support machines	N/S	N/S	1
Wang Y <i>et al.</i> 2013	<sup>29</sup> Support vector machines acting on multiple sets of MR images with different contrast	Support machines	N/S	4	1
Klifa <i>et al.</i> 2004, 2010	<sup>30,31</sup> Fuzzy C-means	Fuzzy C-means	>1	30	N/S
Yang <i>et al.</i> 2009	<sup>32</sup> Kalman filter-based linear mixing; fuzzy C-means	Kalman filter-based linear mixing	N/S	1	1
Nie <i>et al.</i> 2008	<sup>33</sup> Fuzzy C-means; V-cut; skin-exclusion; B-spline; manual refinement via GUI	Fuzzy C-means	3	11	1
Sathya <i>et al.</i> 2012	<sup>34</sup> Fuzzy C-means; support vector machines	None	N/S	1	1
Lin <i>et al.</i> 2011	<sup>35</sup> Fuzzy C-means and B-spline fitting, building on <sup>33</sup> , with inhomogeneity correction via N3	Fuzzy C-means, typically with 6 clusters	1	30	1
Lin <i>et al.</i> 2013	<sup>33,36</sup> Template-based	As per <sup>35</sup>	1	30	1
Ertas <i>et al.</i> 2016	<sup>37</sup> Bias-corrected FCM, followed by morphological opening and closing	None	1	82	4
<b>This study</b>	<b>Bias-corrected FCM vs thresholding, landmark analysis</b>	<b>Dixon vs 3 T1w and T2w contrast</b>	<b>3</b>	<b>200</b>	<b>1</b>



80 A comprehensive epidemiological analysis of the relationship between breast composition  
 81 and seven other physical, historical and lifestyle variables has been carried out for this cohort.  
 82 Whilst the full report is beyond the scope of this study, we summarise the results and use  
 83 them to discuss quantitatively the impact of differences between the various assessment  
 84 methods on conducting reliable clinico-epidemiological studies.

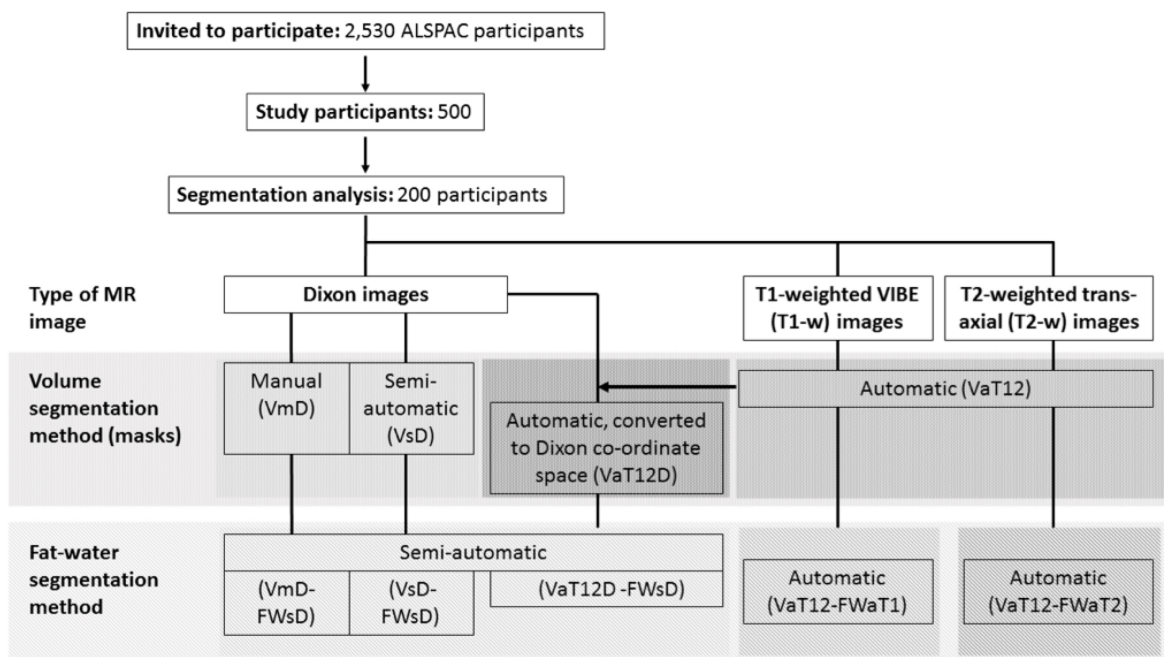


FIG. 1: Flow diagram of the overall data processing chain and nomenclature for the various segmentation methods. Some of these have the potential to operate on different source data and we can also combine the methods in different ways to achieve an overall result. We thus assign each step three codes: *segmentation purpose* ( $V$  = breast volume,  $FW$  = fat-water); *degree of automation* ( $m$  = manual,  $s$  = semi-automatic,  $a$  = fully automatic); and *source data* ( $D$  = Dixon;  $T_1$  =  $T_1$ -weighted,  $T_2$  =  $T_2$ -weighted,  $T_{12}$  = uses both  $T_1$ - and  $T_2$ -weighted data). Thus, a breast-volume measurement using semi-automatic segmentation on original Dixon data would be represented as  $VsD$ . Fat-water segmentations require both source data and a previously-generated volume mask, so are represented by the combination of two codes. For instance, fat-water statistics calculated semi-automatically from Dixon source data and using a mask generated automatically from  $T_1w$  and  $T_2w$  data would be described by  $VaT_{12}$ - $FWsD$ . We note one additional case, in which the volume mask  $VaT_{12}$  is re-sampled to give a result in the same coordinate space as the Dixon images and we assign this the label  $VaT_{12}D$ .

## 85 II. METHODS

### 86 A. Data

#### 87 1. *Study Population*

88 This work forms part of an investigation into breast composition at young ages, nested  
 89 within the Avon Longitudinal Study of Parents and Children (ALSPAC). ALSPAC originally  
 90 recruited 14,541 pregnant women resident in Avon, UK with expected dates of delivery 1st  
 91 April 1991 to 31st December 1992, as described by Boyd *et al.* in a cohort profile paper<sup>40</sup>. For  
 92 this sub-study, Caucasian nulliparous women were invited to attend an MRI examination at  
 93 the University of Bristol Clinical Research and Imaging Centre (CRIC) between June 2011  
 94 and November 2014. Women were restricted to those from a singleton birth, who had never  
 95 been diagnosed with a hormone-related disease and had regularly participated in follow-up  
 96 surveys, including completing the age 20y questionnaire (2010-2011). Of the 2530 invited,  
 97 500 (19.8%) eligible women attended.

98 The ALSPAC Law and Ethics Committee and the Local Research Ethics Committees  
 99 gave ethical approval for the study. The study website contains details of all the data that  
 100 are available through a fully searchable data dictionary<sup>41</sup>.

#### 101 2. *MR Imaging*

102 Participants underwent a breast MRI scan using a 3T Siemens Skyra MR system with  
 103 a breast coil that surrounds both breasts of a prone patient. Three sets of bilateral images  
 104 were acquired:

- 105 • multislice, sagittal Dixon<sup>38</sup> images (in-phase, out-of-phase, water and fat), acquired  
 106 using a turbo spin-echo sequence with nominal in-plane resolution of  $(0.742 \times 0.742)$   
 107  $\text{mm}^2$ , nominal slice thickness 7 mm and interslice spacing 7.7 mm;
- 108 • T1-weighted 3D images, acquired using a VIBE sequence with fat saturation and  
 109 a nominal resolution of  $(0.759 \times 0.759 \times 0.900) \text{mm}^3$ , as routinely used in clinical  
 110 dynamic contrast-enhanced MRI protocols for the breast;
- 111 • multislice, axial, T2-weighted images, acquired using a turbo spin-echo sequence, with

112 nominal in-plane resolution of  $(0.848 \times 0.848)$  mm<sup>2</sup>, and both slice thickness and  
113 spacing between slices 4 mm;

### 114 **3. Manual Reference Segmentation**

115 To assess breast volume, a manual segmentation protocol (as described in the Supplemen-  
116 tary Information) was developed and used by three readers (RD, MB and ISS) independently  
117 to outline the breast from surrounding tissues in the Dixon images, using ITK-SNAP (ver-  
118 sion 3.0.0). All subjects had a manual segmentation of all breast slices performed by at least  
119 one reader. The datasets of 16 representative subjects were manually segmented twice by  
120 all three readers to assess between- and within-observer variation. In cases where more than  
121 one manual segmentation is performed, the VmD and VmD-FWsD results quoted below  
122 represent the median values taken for the multiple manual readings.

### 123 **4. Training and Validation Data Sets**

124 A training set of 100 randomly selected subjects was used to make initial comparisons  
125 across MR images and segmentation methods, and for the manual readings, between- and  
126 within-observer variation. The training data were used to assess the common reasons for  
127 segmentation failure and to improve the algorithms. At the end of the testing phase, the  
128 algorithm code was “frozen” and final comparisons of the segmentation methods were com-  
129 pleted on a second set of images from a further 100 participants. Except where stated other-  
130 wise, all the summary statistical results presented here come from this second, “validation”  
131 cohort. For further details concerning statistical methods, please see the Supplementary  
132 Information.

## 133 **B. Breast Outline Segmentation**

### 134 **1. Semi-automated, bias-corrected fuzzy C-means (BC-FCM)**

135 A fuzzy C-means (FCM) algorithm was applied to the Dixon in-phase images. It has  
136 the advantage that it can be modified to carry out a simultaneous intensity inhomogeneity  
137 compensation, or bias-correction (BC), and this is potentially less expensive computationally

138 than a prefiltering operation<sup>42</sup>. The algorithms in this section were implemented using IDL  
 139 (Harris Geospatial Systems, Melbourne, FL, USA) and run on a standard desktop computer.

140 The BC-FCM variant we implemented is described in<sup>37</sup>. Formally, the algorithm does not  
 141 require a training dataset and so is an unsupervised clustering algorithm. However, in prac-  
 142 tice, some experience with the types of data involved can improve the results dramatically.  
 143 Except for the local smoothness criterion (introduced by cost function  $\gamma$  in ref.<sup>37</sup> — see this  
 144 publication for all other related notation), BC-FCM *per se* does not use any spatial infor-  
 145 mation. Nevertheless, a “good” segmentation involves a number of problem-specific insights  
 146 and the basic BC-FCM method above was enhanced by additional heuristic algorithms in  
 147 the spatial domain, based on the results obtained with the training data.

148 *a. Initial parameters and iteration threshold* After some experimentation,  $\beta(\mathbf{r})$  was set  
 149 to 0.1 for all spatial locations and  $\epsilon$  to 0.01. The two initial class centroids  $c_f$  were calculated  
 150 by taking the mean of the slice being processed and adding a lower and an upper offset.  
 151 These two offsets are adjustable parameters under user control. For many subjects — see the  
 152 Results section for an example —, a single set of defaults performed extremely well. However,  
 153 for a small subset of “difficult” cases — second example in Results —, user interaction was  
 154 needed to try various combinations. As implemented here, on a standard desktop computer,  
 155 running non-optimised software, **it took around 2 mins. to run the segmentation algorithm**  
 156 **on each 3-D dataset.** Thus, this “trial and error” step was the most frustrating feature  
 157 of the BC-FCM method in practice. Numerous coding and hardware improvements (e.g.,  
 158 parallelisation) could be made to the prototype to improve the user experience, potentially  
 159 allowing these adjustable parameters to be altered by simple slider controls with immediate  
 160 feedback.

161 We observed an improvement in performance by allowing the algorithm to perform sep-  
 162 arate BC-FCM classifications for segmenting the posterior of the breast from the chest wall  
 163 and segmenting the anterior portion from air, then merging the two volumes. Furthermore,  
 164 it was noted that the optimal offsets providing the initial class centroids were often differ-  
 165 ent for these two segmentation problems. Thus, each dataset is split into two portions in  
 166 an anterior-posterior (AP) direction and the BC-FCM algorithm applied twice per image  
 167 slice. Given that the size of breasts varies, the position of the AP-split is also different for  
 168 different datasets and this is handled automatically by having two passes through the entire  
 169 algorithm with an automated choice of the AP-split position made after Pass 1.

170 *b. Morphological operations* The breast outlining task requires a definite boundary to  
171 be drawn. Thus, it is not necessary to use the full membership function output of the  
172 BC-FCM routine, and we arrange for the clustering to produce a binary image. This may  
173 include some misclassified regions outside the breast and some “holes” inside the breast. To  
174 remove the unwanted regions, 2D hole-filling followed by a 4-neighbourhood connectivity  
175 search and object labelling is performed. The largest non-background object in each slice is  
176 identified as the breast region and other smaller objects are removed from the binary image.  
177 This exercise is repeated for all slices and these are then merged to form an approximate  
178 breast volume.

179 Within this approximate breast volume, there may be some non-breast tissue segmented  
180 for cases in which fatty breast tissue is connected to the chest and liver; and there may also  
181 be some unsegmented breast tissue left for cases in which dense breast tissue is connected to  
182 the chest wall muscles. To reduce these over- and under-segmentations, 3D morphological  
183 image opening is performed, followed by closing using two cylindrical structuring elements  
184 having the same radius of 3 voxels but different heights of 3 voxels and 25 voxels in the axial  
185 direction. These parameters were found by experimentation during our previous study<sup>37</sup>.

186 *c. Lateral cutoffs* The preceding steps in the process do an excellent job in segmenting  
187 the anterior and posterior margins of the breast. However, there is no consensus in the  
188 literature as to “where the breast stops” in the right-left and superior-inferior directions.  
189 The extent of the breast is not directly delineated by any change in MRI contrast and the  
190 required boundary may, indeed, be specific to the application of the imaging (e.g., when  
191 comparing the MRI segmentation with the breast region compressed within the paddles  
192 of a mammography system, the axilla region may be excluded entirely). Thus, based on  
193 the consensus protocol (Appendix ??) reached by the three experienced readers, a heuristic  
194 algorithm was developed, as described below. This additional truncation is derived entirely  
195 from geometric considerations and boundaries are drawn without regard to image intensity,  
196 which is in many cases the same on either side of the boundary.

197 Each breast is processed in turn. The stack of sagittal images segmented using BC-  
198 FCM forms a pseudo 3-D dataset. From this dataset the transverse plane containing the  
199 largest breast area is passed to a simple algorithm that extracts the air-breast interface as  
200 a 1-D “breast profile”. (This geometry is illustrated as Figure S2 of the Supplementary  
201 Information.) The profile is used to determine the position of the breast midpoint in a left-

202 right direction. Working outwards from this midpoint, we find the first position at which  
 203 the absolute value of the gradient (approximated by the finite difference between adjacent  
 204 voxels) of the breast profile rises above a threshold value, determined by experimentation.  
 205 This indicates a change in angle of the skin surface from flat regions between and outside  
 206 the breasts, to the side contour of the breast. A mask is applied to exclude all sagittal slices  
 207 in the original dataset on either side of these changes in angle. (Typically, the “raw” output  
 208 of the BC-FCM algorithm would include these.) Finally, a similar profile is generated for  
 209 the superior-inferior direction and the upper and lower bounds of the breast are determined  
 210 in each sagittal plane of the original data.

## 211 **2. Fully-automated, using T1w and T2w Images**

212 *a. Pre-Processing Processing (Bias-Field Correction)* A slowly varying bias-field,  
 213 caused by inhomogeneities in the magnetic field during the MR acquisition, is a com-  
 214 mon artefact of MR images. To correct this for the T1w and T2w images, we apply the  
 215 “N4ITK” nonparametric non-uniform intensity normalization method<sup>43</sup>. This is a refine-  
 216 ment of the popular N3 algorithm which adopts a fast, robust B-spline fitting algorithm  
 217 and a hierarchical, multi-scale, optimisation scheme (figures 2a and 2b).

218 *b. Breast Mask Segmentation* This novel, heuristic method, implemented using the  
 219 Insight Toolkit<sup>44</sup>, computes a whole breast mask using both the T1w and T2w images.  
 220 In developing this automated approach, emphasis has been placed on limiting the number  
 221 of empirically derived parameters and relying instead on detecting statistical or functional  
 222 extrema. In this way we aim to make the method as widely applicable to variations in  
 223 subjects and images as possible. The method comprises a number of distinct processing  
 224 steps as follows.

- 225 1. The T2w image is resampled to match the resolution of the T1w image.
- 226 2. A grey-scale closing operation along each of the orthogonal axes,  $\mathbf{x}$ ,  $\mathbf{y}$  and  $\mathbf{z}$ , is per-  
 227 formed on the T2w image, to eliminate voids from the subsequent foreground segmen-  
 228 tation. In this operation each voxel’s intensity,  $I_{T2w}$ , at index  $(i, j, k)$  is replaced by  
 229  $I_{cT2w}(i, j, k)$  according to:

$$I_{cT2w}(i, j, k) = \min \left[ \begin{aligned} & \min \left( \max_{0 \leq i_1 \leq i} I_{T2w}(i_1, j, k), \max_{i < i_2 < N_i} I_{T2w}(i_2, j, k) \right), \\ & \min \left( \max_{0 \leq j_1 \leq j} I_{T2w}(i, j_1, k), \max_{j < j_2 < N_j} I_{T2w}(i, j_2, k) \right), \\ & \min \left( \max_{0 \leq k_1 \leq k} I_{T2w}(i, j, k_1), \max_{k < k_2 < N_k} I_{T2w}(i, j, k_2) \right) \end{aligned} \right] \quad (1)$$

230 where  $N_i$ ,  $N_j$ ,  $N_k$  are the number of voxels along each axis.

231 3. The T1w image is rescaled to match the intensity range of the closed T2w image and  
232 the maximum of these two images,  $I_{\text{MaxT1wT2w}}$ , computed.

233 4. The foreground (i.e. the subject) is segmented from the background by thresholding,  
234  $I_{\text{MaxT1wT2w}}$ . The threshold,  $t_{\text{bg}}$ , is computed via:

$$t_{\text{bg}} = \arg \max_I [F_{\text{dark}}(I) (F_{\text{CDT}}(I) - F_{\text{var}}(I))] \quad (2)$$

235 according to the following functional criteria:

236 • The background is assumed dark therefore the threshold should be close to zero:

$$F_{\text{dark}}(I) = 1 - \frac{I}{\max(I)} \quad (3)$$

237 • The frequency of voxel intensities in the background is higher than the foreground  
238 i.e. the background intensities form a distinctive peak in the image histogram,  
239  $P(I)$ , which is captured by a sharp rise in the cumulative intensity distribution  
240 function:

$$F_{\text{CDT}}(I) = \frac{\sum_{j=0}^I P(j)}{\sum_{k=0}^{\max(I)} P(k)} \quad (4)$$

241 • The background has a lower intensity variance than the foreground:

$$F_{\text{var}}(I) = \frac{\sum_{j=0}^I P(j)(j - \mu)^2}{\sum_{k=0}^{\max(I)} P(k)(k - \mu)^2} \quad (5)$$

242 The resulting foreground mask image is denoted  $I_{\text{fg}}$  — see Figure 2(d).

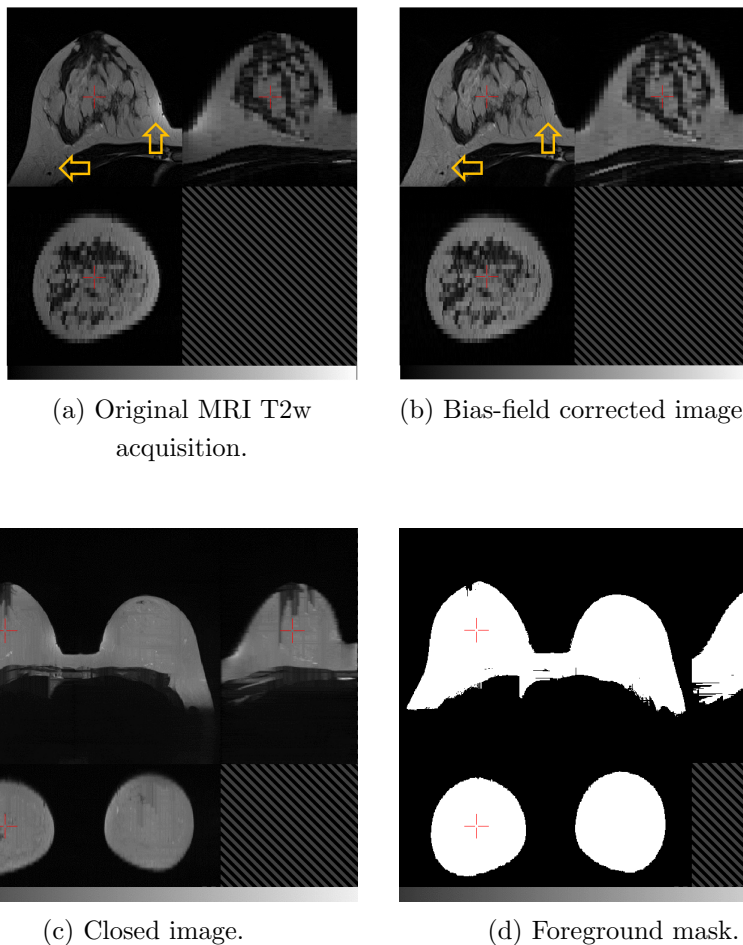


FIG. 2: Orthogonal slices through (a) a T2 weighted MRI and (b) the corresponding image after bias-field correction, with arrows indicating regions that are particularly improved by the processing. The “closed” T2w image is shown in (c) and foreground mask  $I_{fg}$  in (d). In each image the top-left quadrant is the axial slice, the top-right is sagittal and the bottom-left is coronal.

- 243 5. Landmark identification. The most anterior voxels in the foreground mask,  $I_{fg}$ , on  
 244 the left and right sides of the volume, are identified and assumed to be approximately  
 245 coincident with the nipple locations. If multiple voxels are found then the center of  
 246 mass of the cluster is computed. The mid-sternum is computed as the most anterior  
 247 voxel of the foreground mask, equidistant from the nipple landmarks in the coronal  
 248 plane.
- 249 6. Pectoral muscle boundary extraction. Various methods have been presented in the  
 250 literature to segment breast MRI volumes and the pectoral muscle (Table I). These  
 251 include semi-automated methods requiring user interaction<sup>31,33,36</sup>, 2D mid-slice tem-  
 252 plate registration<sup>36</sup>, statistical shape models<sup>25</sup> and atlas-based methods<sup>16,18–20,24,45</sup>.



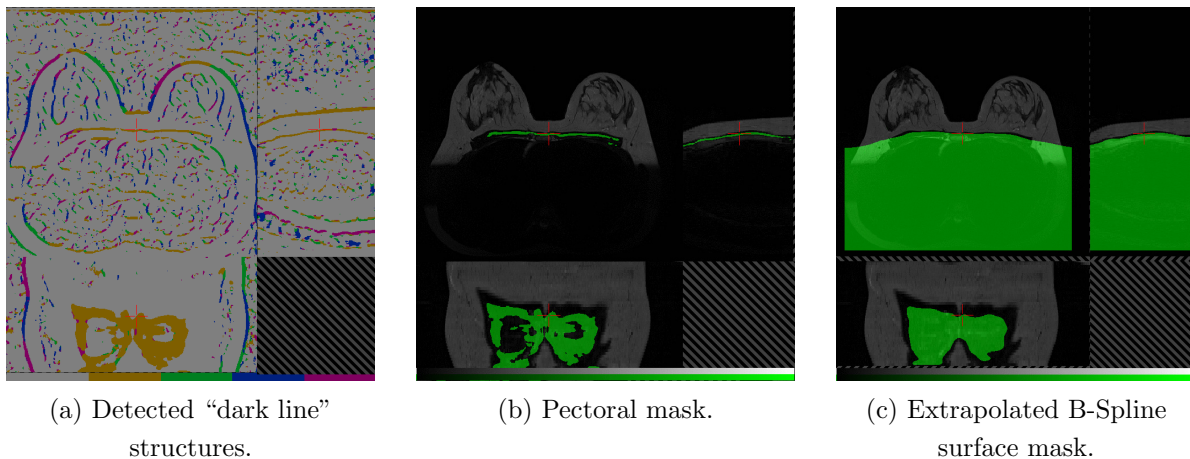


FIG. 3: The anterior pectoral muscle surface is detected using the Oriented Basic Image Feature “dark line” class. Subplot (a) shows these features detected at four orientations ( $OBIF_{15}$  to  $OBIF_{18}$ ). Region-growing the “brown” medial-lateral class,  $OBIF_{15}$ , closely delineates this anterior boundary immediately posterior to the sternum (b). The anterior surface of this mask is extrapolated using a B-Spline fit to the lateral boundaries of the volume (c).

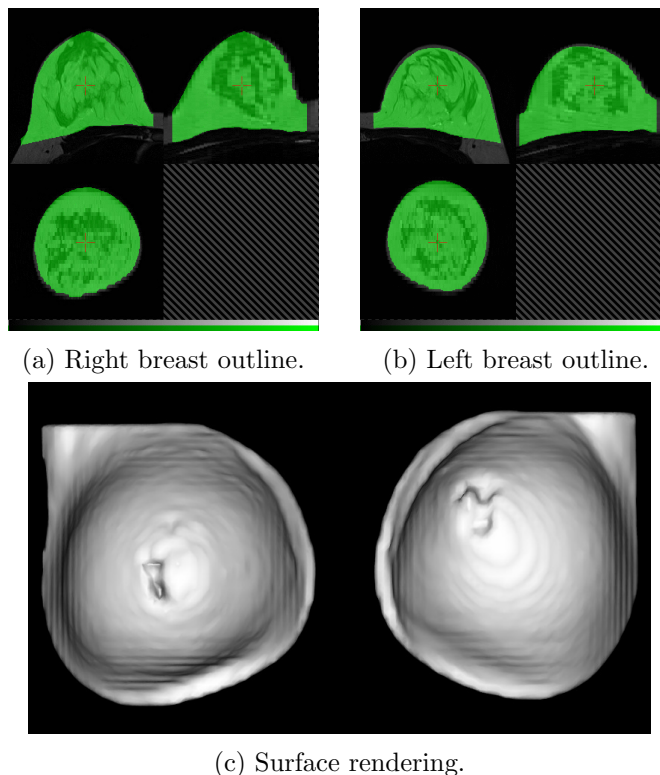


FIG. 4: Breast region mask created by removing the pectoral surface mask (figure 3c) from the foreground mask (figure 2d). Two views of the mask are shown, superimposed on the original MR image and centered on the right (a) and left (b) breasts. The surface rendering (c) illustrates the “squaring off” to include the axilla.

253 A number of methods have been developed to segment explicitly the pectoral mus-  
 254 cle. These include a B-spline fit to the intensity gradient of the pectoral boundary<sup>33</sup>,  
 255 anisotropic diffusion and Canny edge detection<sup>17</sup> and Hessian matrix planar shape  
 256 filtering<sup>15,46</sup>. Atlas-based methods have been shown to perform well but are compu-  
 257 tationally intensive<sup>47</sup> and require significant initial investment of time to develop a  
 258 library of atlases.

259 We have developed a method to detect explicitly the anterior pectoral muscle boundary  
 260 in individual MR volumes. Our approach has similarities to the Hessian processing  
 261 of Wang *et al.*<sup>15,46</sup>, in that it employs Gaussian derivatives to detect regions in the  
 262 image with a planar profile. However rather than computing a ratio of the eigenvalues  
 263 of the Hessian matrix and thresholding the result, we obtain a direct classification of  
 264 linear structures, immediately posterior to the sternum, using Oriented Basic Image  
 265 Features (OBIFs, Figure 3).

266 The concept of Basic Image Features (BIFs) was developed by Griffin<sup>48</sup>. The technique  
 267 classifies pixels in a 2D image into one of seven classes according to the local zero-, first-  
 268 or second-order structure. This structure is computed using a bank of six derivative  
 269 of Gaussian filters ( $L_{00}$ ,  $L_{10}$ ,  $L_{01}$ ,  $L_{20}$ ,  $L_{11}$  and  $L_{02}$ ) which calculate the  $n$ th (where  
 270  $n=0,1,2$ ) order derivatives of the image in  $x$  and  $y$  ( $S_{00}$ ,  $S_{10}$ ,  $S_{01}$ ,  $S_{20}$ ,  $S_{11}$  and  $S_{02}$ ).  
 271 By combining the outputs of these filters, any given pixel can be classified according  
 272 to the largest component of vector BIF:

$$\text{BIF} = \left\{ \begin{array}{l} \text{flat} \\ \epsilon S_{00}, 2\sqrt{S_{10}^2 + S_{01}^2}, \\ \text{slope-like} \\ \text{maximum} \quad \text{minimum} \\ \lambda \quad , \quad -\lambda \quad , \\ \text{light line} \quad \text{dark line} \\ \frac{\lambda + \gamma}{\sqrt{2}} \quad , \quad \frac{\lambda - \gamma}{\sqrt{2}} \quad , \quad \text{saddle} \\ \gamma \end{array} \right\} \quad (6)$$

273 given

$$\lambda = \sigma^2 \frac{(S_{20} + S_{02})}{2} \quad (7)$$

$$\gamma = \sigma^2 \sqrt{(S_{20} + S_{02})^2 + 4S_{11}^2} \quad (8)$$

$$(9)$$

274 In addition, slopes, light lines, dark lines and saddles can be characterised according to  
 275 their orientation (OBIFs). We quantise this orientation into four, 45 degree quadrants  
 276 which produces eight slope sub-classes (OBIF<sub>1</sub> to OBIF<sub>8</sub>), and four sub-classes for  
 277 each of light lines (OBIF<sub>11</sub> to OBIF<sub>14</sub>), dark lines (OBIF<sub>15</sub> to OBIF<sub>18</sub>) and saddles  
 278 (OBIF<sub>19</sub> to OBIF<sub>22</sub>).

279 By region-growing the medial-lateral, OBIF<sub>15</sub> dark line features detected in each axial  
 280 image slice, in 3-D, from seed positions immediately posterior to the mid-sternum,  
 281 we obtain a binary segmentation of the anterior pectoral muscle surface. The BIF  
 282 processing was performed at a single scale using a Gaussian kernel with standard  
 283 deviation 5 mm. A smooth B-spline surface is then fitted to the anterior voxels of  
 284 the resulting mask<sup>44</sup> to extrapolate the muscle surface to the lateral boundaries of the  
 285 image volume (figure 3c).

286 7. Finally we generate a 2D coronal mask,  $I_{CNL}$ , to crop non-breast tissue from the  
 287 whole breast mask.  $I_{CNL}$  is computed from a coronal skin elevation map,  $I_{skin2D}$ ,  
 288 which contains the distance of each anterior skin voxel in the foreground mask,  $I_{fg}$ ,  
 289 from the most posterior boundary of the MR volume. The coronal profile of each  
 290 breast is obtained by thresholding  $I_{skin2D}$  at

$$h = \frac{(4h_{ms} + h_{Ln} + h_{Rn})}{6} \quad (10)$$

291 where  $h_{ms}$  is the anterior elevation of the mid-sternum landmark, and  $h_{Ln}$  and  $h_{Rn}$  are  
 292 the left and right nipple anterior elevations respectively. The roughly circular profile  
 293 obtained for each breast is then dilated by 10mm and the mask squared off, to create  
 294 a superior-lateral corner and hence extend the breast volume into the axilla (figure 4c)

295 **C. Fat-Water Discrimination**

296 **1. Semi-automated calculation of percentage breast density, based on Dixon**  
 297 **Images**

298 In principle, the output from a Dixon pulse sequence is a set of images reflecting water  
 299 content  $I_w(\mathbf{r})$ , which we identify with the parenchymal component of the breast, and an  
 300 equivalent set  $I_f(\mathbf{r})$  reflecting fat content. Ideally, these images would be quantitative and  
 301 allow the direct calculation of the water and fat fractions  $\phi_w(\mathbf{r})$  and  $\phi_f(\mathbf{r})$  via the equation<sup>49</sup>

$$\phi_w = \frac{I_w}{I_w + I_f} \quad \text{and} \quad \phi_f = \frac{I_f}{I_w + I_f} \quad (11)$$

302 In practice, there are a number of complicating factors:

- 303 • Parenchymal tissue and fat have different relaxation properties and, since the acqui-  
 304 sitions are not generally designed to be proton density weighted, this means that the  
 305 relative intensities of equal fractions of fat and water are different.
- 306 • The  $B_1$  field of the probe is not uniform across the whole breast and this leads to a  
 307 spatially-dependent efficacy of the fat-water separation.
- 308 • In practice, the fat tissue does not have a single proton resonance.
- 309 • Different manufacturers have different proprietary image reconstruction methods and  
 310 these may influence the quantitative results.

311 Our solution to (at least) the first of these problems is to proceed as follows:

312 (a) Identify a small region in the water image that is expected to be entirely composed  
 313 of parenchymal tissue. The region should be in a part of the image that is free from  
 314 intensity artefacts caused by proximity to the RF coil (i.e., the data should come from  
 315 a homogenous region of  $B_1$ ).

316 (b) In the fat image, identify similarly a second region entirely composed of fat.

317 (c) Calculate the ratio of the average voxel values in each of the two regions:

$$r = \frac{1}{N_w} \sum_{i \in \text{ROI}_w} I_w(\mathbf{r}_i) \bigg/ \frac{1}{N_f} \sum_{j \in \text{ROI}_f} I_f(\mathbf{r}_j) \quad (12)$$

318 where  $N_w$  and  $N_f$  are the numbers of voxels in the selected regions-of-interest  $\text{ROI}_w$  and  
 319  $\text{ROI}_f$  respectively.

320 (d) Replace the value  $I_f$  in Eq. (11) with  $rI_f$ .

321 This procedure potentially improves the accuracy of the water-fraction calculation but at  
 322 the cost of introducing an interactive step into the density estimation process. We have not  
 323 tested in a systematic fashion the influence that the size and shape of the region-of-interest  
 324 selection have on the process, in part because we have no ground truth values. A further  
 325 issue with this technique is that in the limiting cases of extremely dense or extremely fatty  
 326 tissues, it may not be possible to find appropriately “pure” regions of both types.

## 327 2. Fully-automated, using T1w and T2w Images

328 Fuzzy c-means (FCM) clustering has been evaluated by a number of studies to classify  
 329 the internal structure of the breast into fat and fibro-glandular tissue classes<sup>16,18,29,31,33–35,50</sup>  
 330 Table I). Song *et al.*<sup>50</sup> adopt a Gaussian kernel FCM, whilst Sathya<sup>34</sup> use a quadratic kernel  
 331 FCM to train a support vector machine (SVM). In<sup>29</sup>, Wang *et al.* use a multi-parametric  
 332 hierarchical SVM classification approach to segment the internal breast and found this to be  
 333 superior to both a conventional SVM<sup>28</sup> and FCM segmentation. T1W, T2W, proton density  
 334 and three point Dixon (water and fat) images were all incorporated. Klifa *et al.*<sup>31</sup> compared  
 335 the resulting volumetric MRI density measurement of their method with mammography  
 336 but found only modest correlation ( $R^2 = 0.67$ ).

337 In<sup>20</sup> a probabilistic atlas approach was proposed. This requires a sizeable number of  
 338 pre-labelled atlases to be created, considerable computation to register them and assumes  
 339 correspondence between fibro-glandular structures across the population. To address the  
 340 latter a Markov Random Field (MRF) was introduced to spatially regularise the classification  
 341 of each voxel according to that of its neighbours. Similarly Wu *et al.*<sup>16</sup> use the registered atlas  
 342 as a pixel-wise fibroglandular likelihood prior for a multivariate Gaussian mixture model and  
 343 demonstrate superior performance when compared to FCM using a manual thresholding  
 344 approach as the gold standard. In a later publication<sup>19</sup>, the same authors investigate a  
 345 continuous max-flow (CMF) algorithm to generate a voxel-wise likelihood map using the  
 346 same atlas initialisation. They demonstrate that this approach performs better with the

347 atlas initialisation than without, but that FCM is superior to the CMF approach without  
348 the atlas.

349 Mixture models have also been proposed by Yang *et al.*<sup>32</sup> who implement a method using  
350 Kalman filter-based linear mixing. They demonstrate it out-performs a c-means method but  
351 evaluation using real MR data was limited.

352 Our segmentation of the T1 and T2 MRI data into fat and glandular tissue is a mod-  
353 ification of that proposed by Van Leemput *et al.*<sup>51</sup> in which an intensity model and spa-  
354 tial regularization scheme are optimized using a Maximum Likelihood formulation of the  
355 Expectation-Maximisation (EM) algorithm. The EM algorithm iteratively updates the  
356 Gaussian probability distributions used to estimate the intensity histograms of each tis-  
357 sue class (fat and non-fat) via a Maximum Likelihood formulation. In order to improve  
358 classification of voxels in which the partial volume of fat and glandular tissues is a signif-  
359 icant factor, a Markov Random Field (MRF) regularization scheme is employed to ensure  
360 spatial consistency. The MRF modifies the probability of a particular voxel being assigned  
361 to either the fat or glandular classes (or a proportion of either) according to the current clas-  
362 sification of neighbouring voxels. In this way isolated regions of glandular tissue in very fatty  
363 regions, for instance, are penalized in favour of a more realistic and anatomically correct  
364 arrangement of the classes.

## 365 D. Epidemiology

366 Appropriate linear and logistic regression models were used to examine associations of  
367 average total breast, fat and water volumes, and percent water, as measured using different  
368 MR images and segmentation methods, with selected established and potential mammo-  
369 graphic density correlates. Breast measures were log-transformed and the exponentiated  
370 estimated regression parameters represent the relative change (RC) in breast measure with  
371 a unit increase, or category change, in the exposure of interest (with 95% confidence intervals  
372 (95% CI) calculated by exponentiating the original 95% CIs). Age at menarche (months),  
373 height (cm) and BMI (height (cm)/ weight (kg)<sup>2</sup>) at MR were treated as continuous vari-  
374 ables and centred at the mean. Current hormone contraceptive use, cigarette smoking and  
375 alcohol drinking were treated as binary (yes/no) variables. Mothers mammographic den-  
376 sity (%) was averaged between both breasts, and maternal age (months) at mammography

377 and clinically measured or self-reported maternal BMI (median 3 years (inter-quartile range  
 378 (IQR) = 1.5 years) prior to mammography)) were used as continuous measures and centred  
 379 at the mean. Variables were included as potential determinants of breast measures, or as  
 380 confounding factors, where appropriate.

381 Data analysis was conducted with STATA statistical software, Version 14.

### 382 III. RESULTS

#### 383 A. Breast Outline Segmentation

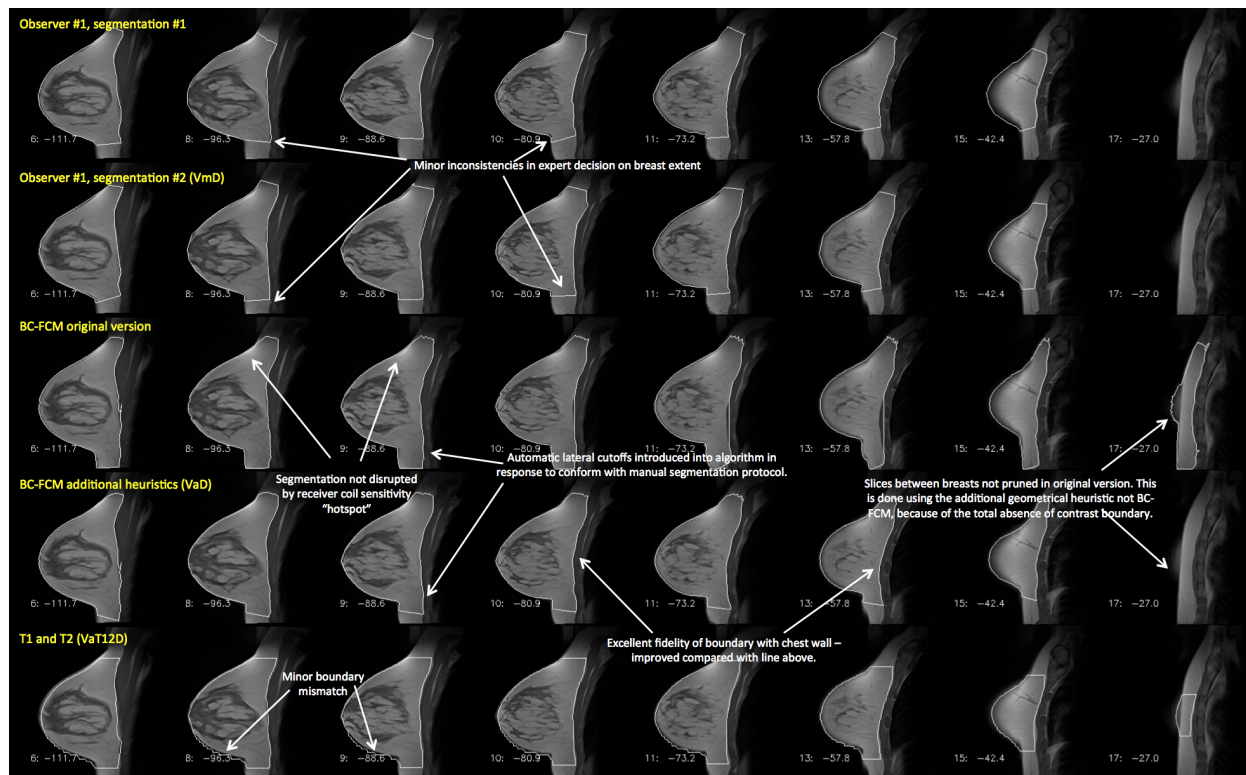


FIG. 5: : Example of a case where both of the algorithms examined in this work performed well. Features of interest in the various different segmentations are annotated. *Note that this image is provided with high resolution and can be zoomed significantly to reveal additional detail.*

384 Figure 5 shows an example of the two methods applied to a dataset containing medium-  
 385 sized breasts, with a moderate parenchymal content. There is a border of fat around the  
 386 parenchyma, which, at the posterior of the breast, leads to excellent contrast at the bound-  
 387 ary with the chest wall, making segmentation a relatively straightforward task. Results are

TABLE II: : Dice and Jaccard coefficients for the “easy” segmentation problem of Fig. 5. Note that the BC-FCM/heuristics (VaD) represents the fully automated version, running with default parameters.

	Manual 1	Manual 2	BC-FCM Orig	BC-FCM /heuristics (VaD)	VaT12D
<b>Dice Coefficients</b>					
Manual 1	1.000				
Manual 2	0.949	1.000			
BC-FCM Orig	0.854	0.877	1.000		
BC-FCM/heuristics (VaD)	0.901	0.924	0.921	1.000	
VaT12D	0.887	0.888	0.810	0.865	1.000
<b>Jaccard Coefficients</b>					
Manual 1	1.000				
Manual 2	0.904	1.000			
BC-FCM Orig	0.745	0.781	1.000		
BC-FCM/heuristics	0.820	0.859	0.853	1.000	
VaT12D	0.797	0.799	0.681	0.761	1.000

388 shown for two separate manual segmentations by the same experienced observer; for the  
 389 BC-FCM method from ref.<sup>37</sup>; the BC-FCM method with additional heuristics and default  
 390 parameters, as described above; and the new method based on T1 and T2 images (VaT12).  
 391 It will be seen that the segmentation performance is excellent, with only minor difference  
 392 between the methods. Note how implementation of guidelines developed during the manual  
 393 segmentation process supplements the BC-FCM approach in order to cut off the segmenta-  
 394 tion in both the left-right and superior-inferior directions, where there are no corresponding  
 395 intensity boundaries seen in the image data themselves.

396 Table II shows the Dice and Jaccard coefficients for the four sets of segmentations illus-  
 397 trated in Figure 5, confirming the excellent performance of all the algorithms.

398 By contrast, Figure 6 illustrates a case where all assessment methods have far more  
 399 difficulty in providing a correct segmentation. Smaller breasts tend to be more problematic  
 400 to segment, as a higher fraction of the segmentation involves partial-volume effects. Highly  
 401 parenchymal breasts have very low (sometimes no) contrast between the parenchyma and  
 402 pectoral muscles of the chest wall, and the intensity-based BC-FCM algorithm has particular  
 403 difficulties in this regard. Many slices require a high degree of anatomical knowledge to  
 404 perform the segmentation. Consider the two versions of the BC-FCM results presented.  
 405 With the default parameters in the upper of the two rows, over-segmentation occurs in slice



TABLE III: : Dice and Jaccard coefficients for the difficult segmentation problem of Fig. 6

	Manual 1	Manual 2	BC-FCM Orig	BC-FCM /heuristics (best)	BC-FCM Edited (VsD)	VaT12D
<b>Dice Coefficients</b>						
Manual 1	1.000					
Manual 2	0.915	1.000				
BC-FCM Orig	0.776	0.797	1.000			
BC-FCM /heuristics (best)	0.836	0.792	0.782	1.000		
BC-FCM Edited (VsD)	0.914	0.913	0.809	0.828	1.000	
VaT12D	0.796	0.771	0.728	0.818	0.795	1.000
<b>Jaccard Coefficients</b>						
Manual 1	1.000					
Manual 2	0.843	1.000				
BC-FCM Orig	0.634	0.662	1.000			
BC-FCM /heuristics (best)	0.718	0.657	0.642	1.000		
BC-FCM Edited (VsD)	0.842	0.840	0.679	0.707	1.000	
VaT12D	0.661	0.627	0.572	0.692	0.660	1.000

406 11 and part of the chest wall is included in the parenchymal breast region. By contrast, with  
407 the “best” set of parameters (as found by repeating the algorithm and manually adjusting  
408 them), the lower row shows that the problem in slice 11 is corrected, with good matching of  
409 the pectoral muscle contour, but only at the cost of introducing an under-segmentation in  
410 slice 8, and, worse, losing the segmented breast region entirely in slice 6. In practice, where  
411 such problems occurred, it was necessary to edit the final segmentations manually. (Note on  
412 terminology: As shown in Fig. 6, the “BC-FCM/heuristics (VaD)” method cannot reliably  
413 be run for the whole cohort using only default parameters and so we must describe the  
414 technique as semi- rather than fully-automated. Even for cases where no manual editing or  
415 parameter adjustment need to be performed, human inspection is still required to confirm  
416 this. All subsequent cohort statistics will therefore use the nomenclature VsD to reflect  
417 this.)

418 We have run a similar analysis on all 16 cases for which we have duplicate manual  
419 segmentations by all three observers. The detailed results are shown in the Supplementary  
420 Information.

421 A second method of examining the relation between the volume segmentation results is  
422 to plot the total breast volume obtained by one method against that of another. In the

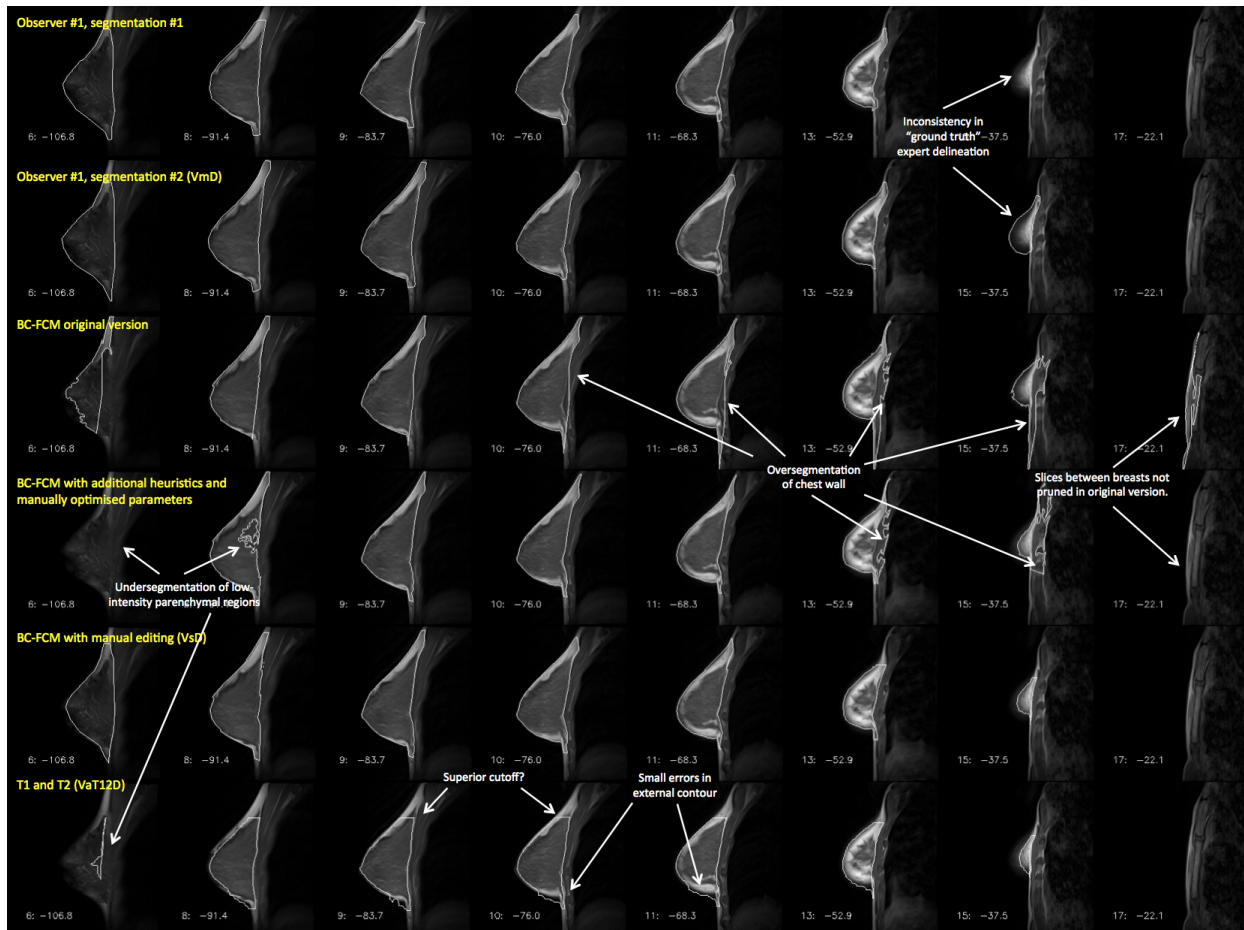


FIG. 6: : Example of a case where automatic segmentation is difficult. The rows represent the results of different segmentations and, for compactness, an informative subset of slices has been chosen to illustrate important features of the problem. *Note that this image is provided with high resolution and can be zoomed significantly to reveal additional detail.*

423 scatter plots of Figures 7(a)–(c), the  $x$ - and  $y$ -coordinates of each point represent the mean,  
 424 for a single subject, of the left and right breast volumes evaluated, respectively, by the two  
 425 methods under consideration. Figure 7(a) compares VsD, the semi-automated BC-FCM  
 426 method using Dixon image input, with the “gold-standard” median manual segmentation,  
 427 VmD, measured on the same Dixon dataset. Figure 7(b) gives results for the VaT12 method,  
 428 which operates on the T1w and T2w datasets and evaluates the breast volume in the coordi-  
 429 nate space of the T1w dataset. Finally, Figure 7(c) looks at the effect of resampling the  
 430 map generated by the algorithm in (b) with the spatial resolution and frame of reference of  
 431 the Dixon data, which we term VaT12D. In each case, the line of identity is shown and Ta-  
 432 ble IV reports the corresponding inter-class correlations (ICC), representing the proportion  
 433 of variance across participants shared between different ascertainment methods.

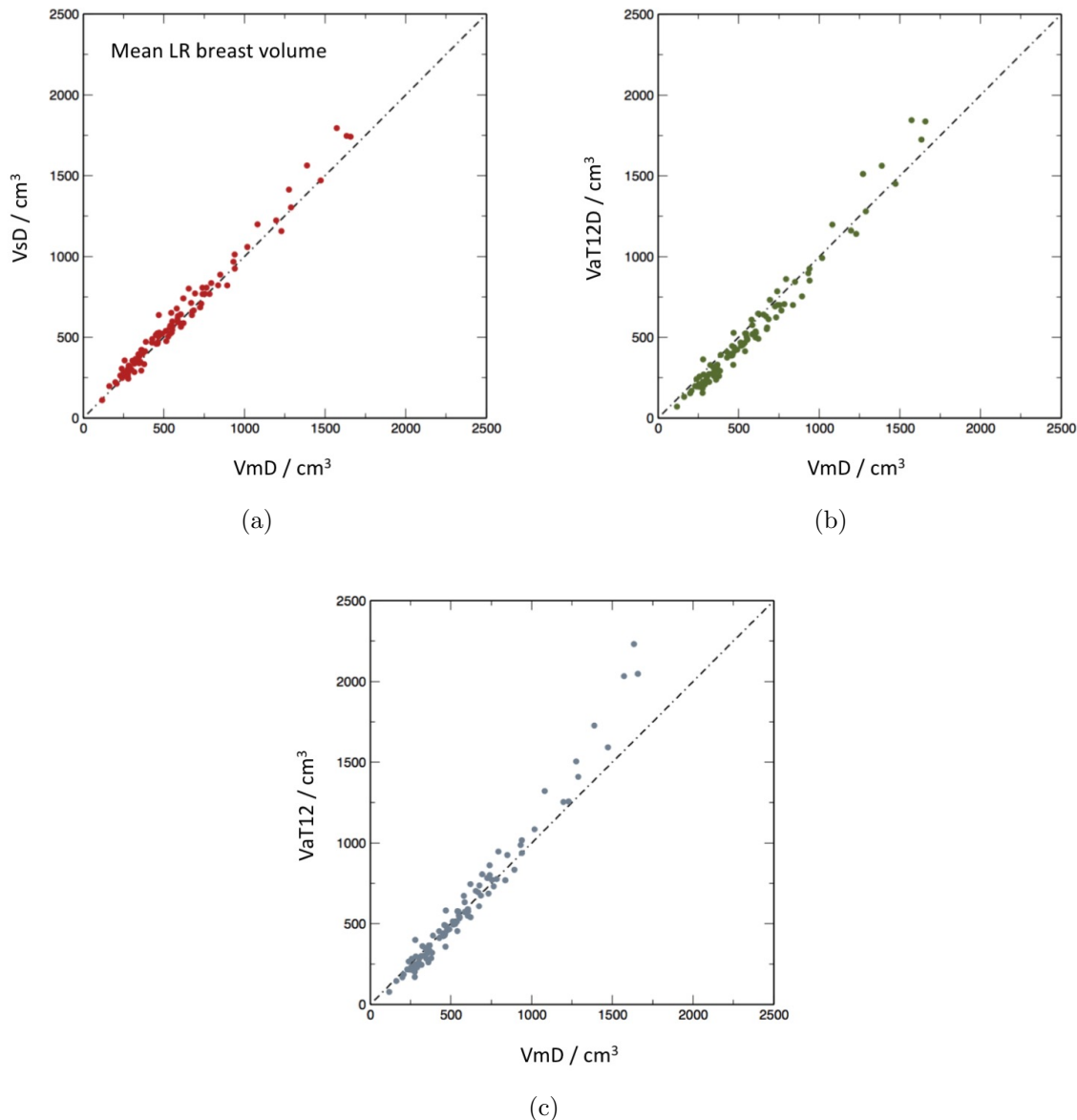


FIG. 7: Scatter plots of mean left and right breast volumes in  $\text{cm}^3$  for the different methods in comparison to manual segmentation: (a) volume from semiautomatic segmentation of Dixon images (VsD) vs volume from manual segmentation (VmD); (b) volume via automated segmentation from  $T_1$ - and  $T_2$ -weighted images transformed to Dixon reference frame (VaT12FD) vs manual (VmD); (c) volume obtained from  $T_1$ - and  $T_2$ -weighted images in native 3-D reference frame (VaT12).

TABLE IV: : Inter-class correlations for total breast volume segmentations.

	VmD	VsD	VaT12D	VaT12
VmD	1.000			
VsD	0.990	1.000		
VaT12D	0.974	0.977	1.000	
VaT12	0.985	0.992	0.982	1.000

TABLE V: : Inter-class correlations for total water volume segmentations.

	VmD-FWsD	VsD-FWsD	VaT12D-FWsD	VaT12-FWaT1	VaT12-FWaT2
VmD-FWsD	1.000				
VsD-FWsD	0.995	1.000			
VaT12D-FWsD	0.992	0.993	1.000		
VaT12-FWaT1	0.920	0.921	0.924	1.000	
VaT12-FWaT2	0.948	0.949	0.962	0.899	1.000

## 434 B. Fat-Water Segmentation

435 Figures 8 and 9 present the results of the fat and water segmentation in the same format  
 436 as for the total breast volume. In this case, however, a further option is available. Although  
 437 the breast outline segmentation VaT12 requires both the T1w and T2w data, once this  
 438 mask is available, it is possible to obtain two separate fat-water segmentations one using  
 439 just the T1w and one using just the T2w data. These are denoted VaT12-FWaT1 and  
 440 VaT12-FWaT2 respectively.

441 The inter-class correlation (ICC) for total water volume, representing the proportion of  
 442 variance across participants shared between the different ascertainment methods, are given  
 443 in table V.

## 444 C. Epidemiological Results

445 A diagrammatic summary of the results of the epidemiological analysis is presented in  
 446 Figure 10 and further details of the work are reported as supplementary information.

447 Associations with both breast volume and breast water fraction were found for current  
 448 body mass index (BMI). For a 1 kg m<sup>-2</sup> increase in BMI, a relative change in breast volume  
 449 of 1.13[1.10, 1.16] was observed for the cohort for both the VmD and VsD methods and  
 450 the corresponding result for the VaT12 family of methods was 1.15[1.12, 1.18], where the  
 451 figures in square brackets are the 95% confidence intervals. A smaller, but still important,  
 452 decrease in breast water fraction was seen, and the corresponding statistics are VmD-FWsD,  
 453 VsD-FWsD 0.96[0.95, 0.97], VaT12D-FWsD 0.95[0.94, 0.97], VaT12-FWaT1 0.97[0.96, 0.98],  
 454 VaT12-FWaT2 0.95[0.94, 0.96].

455 A weak association between current height and breast volume was also observed. For a

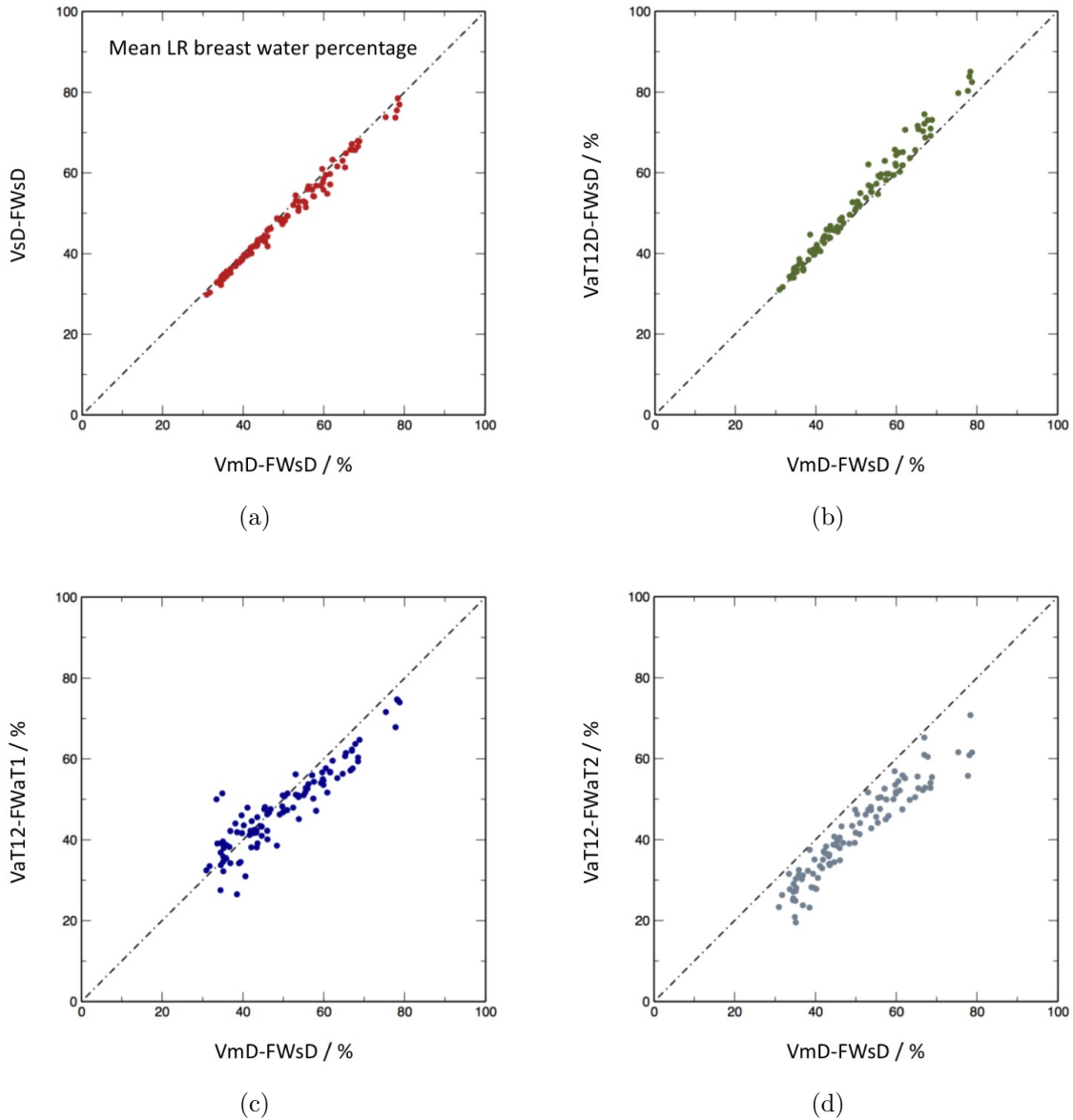


FIG. 8: Scatter plots of mean left and right breast water percentage for the different methods in comparison with manual segmentation on Dixon images followed by percentage water estimation the using semiautomated Dixon image method: (a) semiautomatic segmentation of Dixon images followed by percentage estimate from Dixon image data (VsD-FWsd); (b) volume via automated segmentation from  $T_1$ - and  $T_2$ -weighted images transformed to Dixon reference frame (VaT12FD) followed by semiautomated percentage estimate from the Dixon data (VaT12D-FWsd); (c) volume obtained from  $T_1$ - and  $T_2$ -weighted images in native 3-D reference frame, followed by automatic percentage estimate from  $T_1$ -weighted data (VaT12-FWaT1); (d) as (c), but with the water percentage estimated from the  $T_2$ -weighted data.

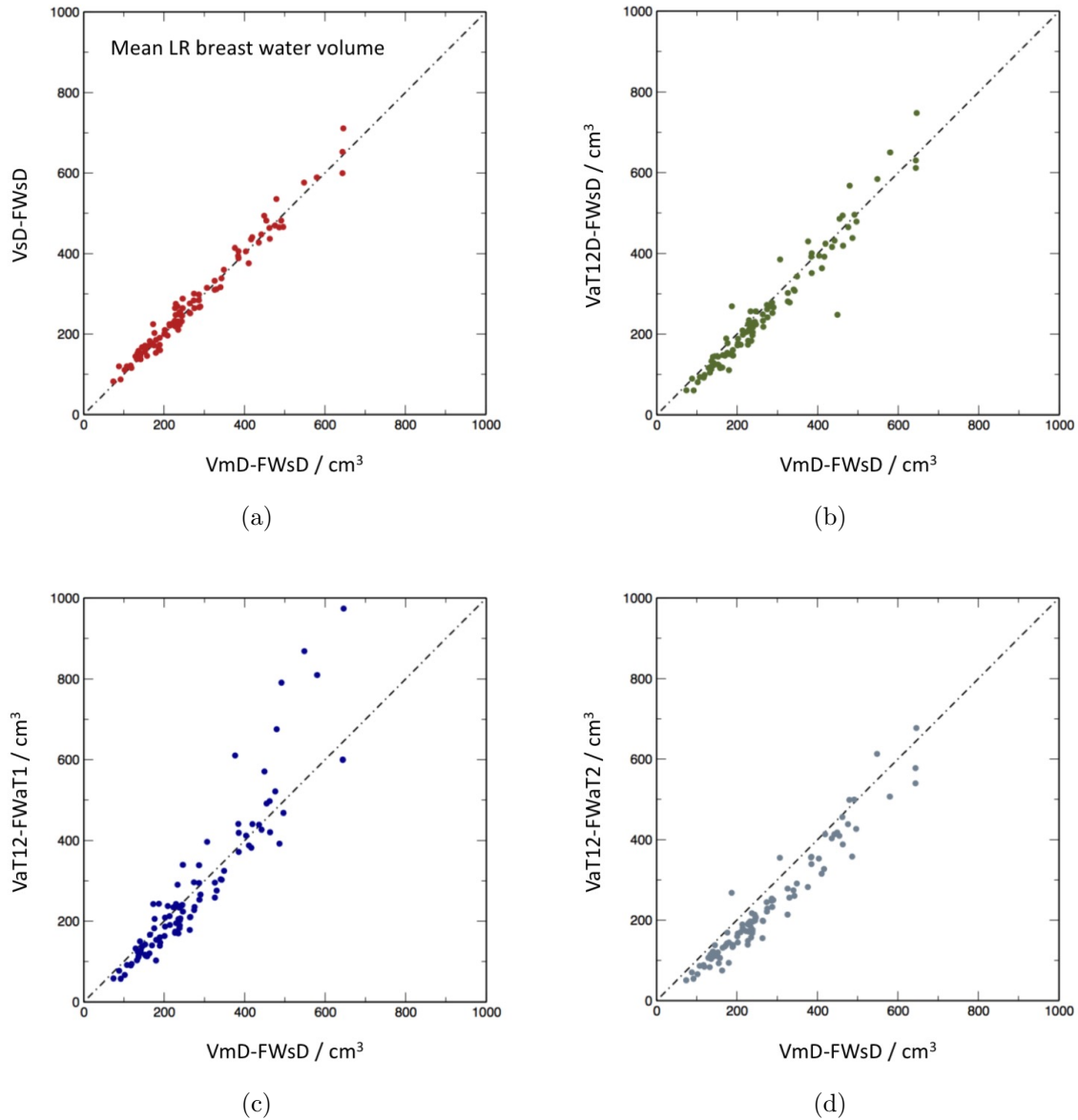


FIG. 9: Scatter plots of mean left and right breast water volumes in  $\text{cm}^3$  for the different methods in comparison to VmD-FWsD. For nomenclature see caption to Figure 8.

456 1 cm increase in height, the analysis methods gave the following relative increases in breast  
 457 volume: VmD 1.05[0.98, 1.11], VsD 1.04[0.98,1.11], VaT12D-FWsD was 1.05[0.97, 1.12] ,  
 458 VaT12-FWaT1 1.05[0.95, 1.03], VaT12-FWT2 1.05[0.95, 1.13]. However, height was not  
 459 associated with breast water fraction.

460 No associations were found with any of: age of menarche, use of oral contraception,  
 461 smoking, alcohol intake or maternal mammographic density.

462 From the similarity of all these statistics, we conclude that the exact details of the seg-

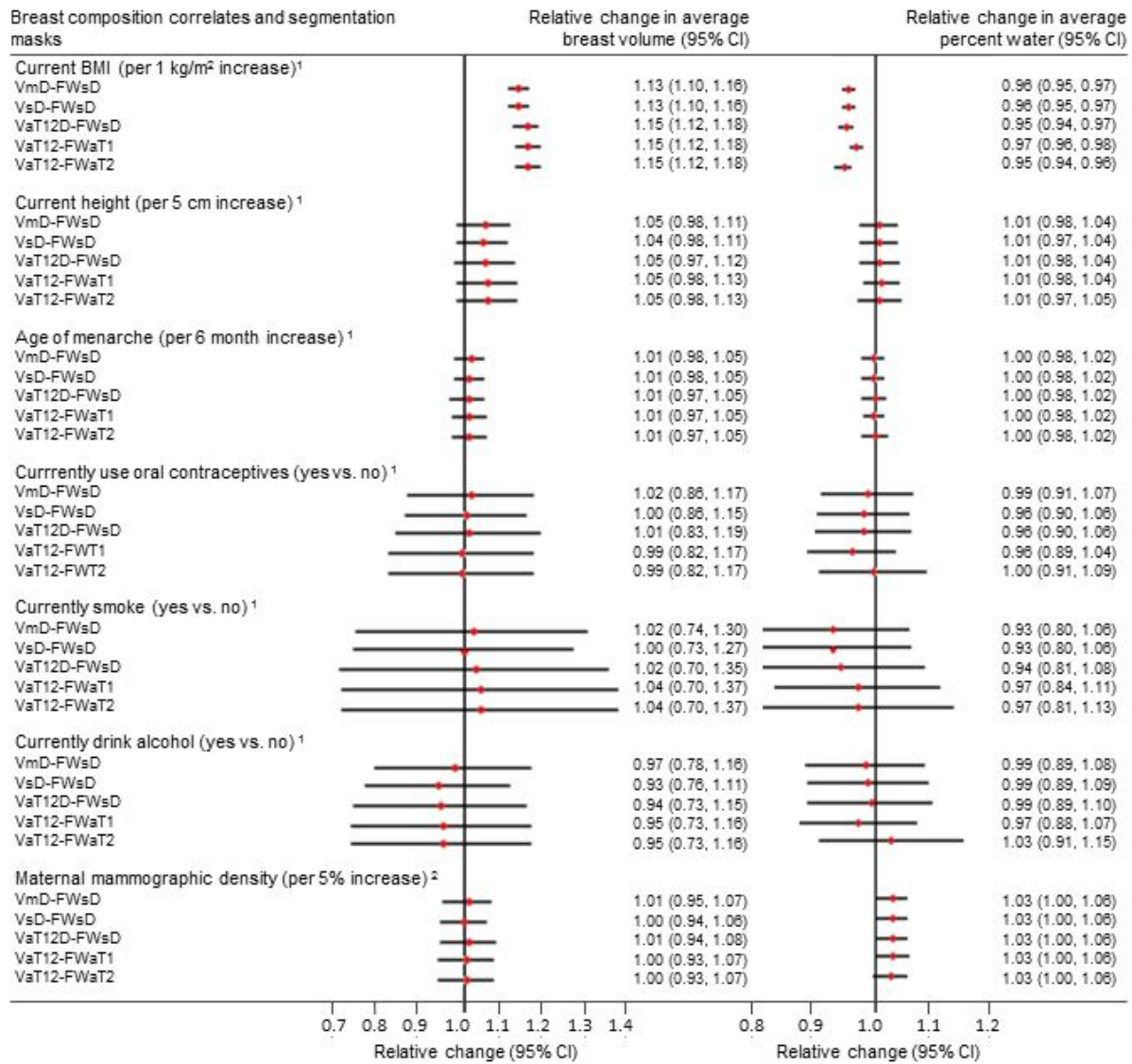


FIG. 10: Results of epidemiological analysis. For further details, see the supplementary information.

463 mentation methods are not significant at the level of this cohort analysis.

#### 464 IV. DISCUSSION

465 Our results show that, as in many segmentation problems, the degree of success of the au-  
 466 tomated algorithms varies significantly between subjects. Figure 5 and Table II demonstrate  
 467 excellent performance by all of the algorithms, whereas the degree of correspondence with  
 468 the expert manual segmentation is considerably poorer in Figure 6 and Table III. However,



469 it should be noted that even the expert human observer is less able to provide a good repeat  
470 segmentation.

471 The ICCs for total breast volume in Table IV demonstrate good agreement between  
472 all methods, but interestingly, slightly closer agreement between VaT12 and the two Dixon-  
473 based methods (VmD or VsD) than between VaT12D and the Dixon methods. As described  
474 above, VaT12D is created by simply resampling VaT12 in the Dixon coordinate space, which  
475 has a coarser slice thickness, using appropriate blurring and nearest neighbour interpolation.  
476 Although movement between the Dixon and T1w or T2w scans could explain this disparity,  
477 registering the volumes did not improve the results. The resampling process appears to  
478 amplify the difference between VaT12 and VmD or VsD, but we have not analysed this  
479 further, given that it is a relatively small effect.

480 It would, of course, be interesting to compare the output of the VaT1T2 method di-  
481 rectly with manual segmentation of the high-resolution T1w dataset in its native reference  
482 frame, without the need to down-sample. However, the workload involved in creating high-  
483 resolution manual segmentations is prohibitive. In the Supplementary Information, we re-  
484 port anecdotal results for five such cases with full high-resolution manual segmentations.

485

486 Also of note from comparison of the scatter-plots of Figure 7 is that each of methods VsD,  
487 VaT12D and VaT12 increasingly over-estimates the breast volume in comparison to VmD as  
488 the mean left and right breast size increases. This is most apparent for VaT12. The trend to  
489 larger error is, of course logical – similar percentage errors between the methods will result  
490 in greater absolute differences the larger the breast – but it is not currently clear why all  
491 methods are biased to *over*-estimate the volume in this region. Method VaT12D also *under*-  
492 estimates the breast volume for smaller breasts compared with the manual segmentation  
493 VmD and the reason for this, too, is unclear.

494 The biggest discrepancy between analysis methods, as shown by the scatter plots, is in the  
495 assessment of mean breast water volume (and, hence, water fraction — data not shown). The  
496 VsD-FWsD and VaT12-FWsD methods both use Dixon source data and differ from VmD-  
497 FWsD only via the breast outline previously described. The methods all give very similar  
498 results (ICCs 0.995 and 0.992 in Table V). By contrast, the correlation between the Dixon-  
499 based VmD-FWsD and VaT12-FWaT1 is weaker, and the VaT12-FWaT2 result additionally  
500 shows a bias (Figure 8). However, it is important to note that the assumption that water



501 fractions based on the Dixon method can be regarded as a gold standard for true parenchymal  
 502 fraction is much less compelling than the previous assumption that VmD is the gold-standard  
 503 volume. We justify our choice of VmD-FWsD as the method of comparison on the basis that  
 504 it is consistent with previous work in the field<sup>49</sup> (and indeed an improvement), but Ledger  
 505 *et al.*<sup>52</sup> have demonstrated that there is a significant degree of variability between different  
 506 Dixon-based methods, depending on the exact design of the pulse sequence. It is unsurprising  
 507 that a segmentation based on a completely different MRI contrast mechanism should be less  
 508 highly correlated. What is nevertheless highly encouraging is that the correlation remains  
 509 as strong as it is — the worst value reported in Table V is 0.920 — and this suggests that  
 510 the use of MRI as a modality will prove to be a robust choice for breast analysis.

511 [A salutary lesson from the scatter graphs is the constant need for vigilance and appropri-  
 512 ate quality control when processing large cohorts of data. During the review of this paper a  
 513 referee noticed an outlier, which turned out to be the result of an easily-corrected error that  
 514 caused the mask for the entire right breast to be missing. Such “edge” cases, occurring very  
 515 infrequently, remain a significant challenge in the adoption of automated pipelines. Any  
 516 requirement for manual inspection of each dataset to check the output negates to some ex-  
 517 tent the advantages of fully-automated segmentation processes, and an appropriate balance  
 518 needs to be determined for each application.]

519 Another feature highlighted by all of these results is the problem inherent in the use of  
 520 quantitative metrics such as Dice and correlation coefficients, which (despite their apparent  
 521 calculation “accuracy”) are a very blunt tool for analysing a complex situation. Are all of  
 522 the voxels that fail to overlap equally important? Is much of the difference between the  
 523 observer and the automated methods in fact caused by the choice of how much of the axilla  
 524 is included and is this region of any significance biologically?

525 A first reading of the coefficients presented here suggests that the VsD breast outline  
 526 segmentation, followed by the FWsD tissue segmentation method is the best-performing of  
 527 the computer-aided tools presented here. But is it the most suitable? Ultimately, the choice  
 528 of segmentation method needs to weigh up the following points:

- 529 • To what extent does the application demand a segmentation that is as good as that  
 530 of an expert radiologist? Two extremes here might be the planning of radiotherapy  
 531 treatment for an individual patient, where high correspondence is vital, and the cal-  
 532 culation of epidemiological parameters for a Big Data cohort, where errors might well

533 “average out.”

534 • To what extent is the ground truth knowable? For a given set of intra- and inter-  
535 observer performance metrics evaluated on a test cohort, what performance thresholds  
536 should be regarded as “acceptable” for automated segmentations?

537 • How widely available are the required source data? As previously noted, the Dixon  
538 protocol is not routinely included in clinical examinations, thus limiting the applica-  
539 bility of breast density measurements based on the VsD-FWsD method.

540 • How robust is the method?

541 • To what extent are speed, convenience and consistency of method to be preferred over  
542 accuracy?

543 In our case, consideration of all of the above led to the use of the VaT12 method, rather  
544 than VsD, for segmentation of the remaining 300 cases in the cohort (results not presented).  
545 This choice was made largely on the basis of improved automation and on the epidemiological  
546 evidence from the 200-strong training and test datasets, as described in Section III C, where  
547 key epidemiological parameters were found to be identical, within confidence limits, for both  
548 methods.

## 549 V. CONCLUSION

550 We have presented what we believe to be the first detailed comparison on a large,  
551 population-based cohort of two methods of breast-outline segmentation based on completely  
552 different approaches. These have been coupled with two methods of fat-water discrimination  
553 based on fundamentally different MR contrast mechanisms. All combinations of the meth-  
554 ods studied are in very strong agreement, as seen both visually and via inter-class correlation  
555 coefficients, and are suitable for large-scale epidemiological analysis. We have discussed the  
556 assumptions behind the methods and posed a number of general questions that we believe  
557 need to be answered each time a decision is made on whether and how to perform automated  
558 segmentation.

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576 **DISCLOSURE**

577 The authors are not aware of any conflicts of interest.

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