



34 Service (NHS) are the cases of retention of organs without consent at Alder Hey children's  
35 hospital, unacceptably poor quality paediatric cardiac surgery in Bristol in the 1990s, the  
36 Beverly Allitt affair where children were deliberately harmed and murdered on a ward in the  
37 early 1990s, the homicidal general practitioner, Harold Shipman, in the early 2000s and the  
38 quality failure at Mid-Staffordshire hospital in the late 2000s (BRI Inquiry, 2001;  
39 Brykczynska, 1994; Francis, 2010; Redfern *et al*, 2001;TSO, 2005). These scandals led to  
40 changes in the health care system in the attempt to regain trust and prevent future scandals.  
41 The changes focused on increasing the level of monitoring of performance and the quality of  
42 care with the aim of increasing transparency and accountability (Brown, 2008). However, in  
43 contrast to these examples, where experience of individual harm led to a public debate about  
44 trust, the recent public debate on 'care.data' in the English NHS provides an example where  
45 ahead of any individual harm, the public has strongly expressed low trust in a prospective  
46 NHS project. 'Care.data' was introduced to the general public early in January 2014 via a  
47 leaflet, 'Better information means better care', delivered to all households in the country.  
48 'Care.data' aims to collect and share information about individuals' care to improve the  
49 quality of care for all. Yet the initiative, which would link hospital and general practice  
50 patient data anonymously at the individual level, has struggled to win public acceptance in the  
51 face of concerns about the trustworthiness of the programme to keep sensitive information  
52 secure and the potential for commercial gain to be made from patients' personal data (Carter  
53 *et al*, 2015; NHS 2014; Pollock and Roderick 2014).

54 Cases like these have led to an increase in research about the role of trust in health care  
55 systems or parts of health care systems as distinct from the large body of earlier research into  
56 trust at the level of the personal encounters between individual patients and health care  
57 professionals (Blendon *et al*, 2014; Calnan, 2004; Jovell *et al*, 2007; Larson and Heymann,  
58 2010; Ozawa and Stack, 2013; Platt and Kardia, 2015; van der Schee *et al*, 2007). In this  
59 research, a number of terms are used interchangeably to describe trust other than at the inter-  
60 personal level (Gille *et al*, 2015). We will use the term most widely used in the mass media  
61 and scholarly writing in this context, namely, public trust. In the mass media, the term public  
62 trust is widely used in relation to many different societal issues. In addition to the health care  
63 system, these include lately discussion of the financial crisis, scandals around governments'  
64 security service surveillance or leaks of private information from governments and private  
65 companies. At present, it appears that the term public trust primarily appears in association  
66 with negative headlines. It generally hints at the need for the public openly to discuss public

67 trust because it is perceived to be threatened. However, such use of the term ‘public trust’  
68 assumes a common understanding of the term which is evidently not the case.  
69

### 70 *Social theory on trust*

71 To ground any refinement in understanding of what public trust means in the context of the  
72 health care system, it is necessary to look at social theory on trust. One obvious starting point  
73 is Niklas Luhmann’s definition of trust as a property inherent in relationships that reduces the  
74 complexity associated with **future uncertainty** (Luhmann, 2009, p. 18). Niklas Luhmann has  
75 been influential for the understanding of trust through his essay on trust (Luhmann, 2009),  
76 and his book chapter on familiarity, confidence and trust (Luhmann, 1988, Chapter 6). His  
77 work has been extensively discussed by a number of recent authors (Holmström, 2007; Jalava,  
78 2003; Meyer et al, 2008). Nevertheless, Luhmann does not explicitly articulate the way in  
79 which the public through social interaction contributes to ‘public trust’. This aspect is more  
80 central to the work of scholars such as Barbara Misztal, who discusses trust as a social  
81 construct (Misztal, 1996). Misztal shows how the understanding of trust has changed as  
82 modern societies have developed as well as the increasing difficulty such societies face to  
83 attain trust (Misztal, 1996, p.1,9). For Misztal, “Trust’ is not seen as a regulatory mechanism  
84 but rather as a public good’ (Misztal, 1996, p.2, 12). As Misztal develops her definition of  
85 trust as essentially a social phenomenon based on communication, she incorporates Jürgen  
86 Habermas’ Theory of Communicative Action. According to Habermas, communication is  
87 built on mutual trust between the communicating actors. In turn, communication itself  
88 coordinates social and political interaction (Misztal, 1996, p.13). Referring to Putnam and de  
89 Tocqueville, trust is described as a public good as well as being part of social capital. Trust  
90 here is sustained by social interaction and by the actions of an active citizenry. Understanding  
91 trust equally as a property of social systems as well as an emerging attribute of individual  
92 interaction overcomes the conceptual distinction between trust as a personal property and trust  
93 as a systemic property (Misztal, 1996, p.14).

94  
95 As a result of reviewing the ‘functions of trust’, Misztal proposes a synthetic approach to  
96 understanding trust as a phenomenon consisting of three types of order. First, there is trust as  
97 habitus (producing stable order) translated into practice as habit, reputation and memory. The  
98 stable order of trust is a mechanism to cope with uncertainty, as shown for instance in daily  
99 routines based on ‘stable reputations and tacit memories’ (Misztal, 1996, p.102). Second,  
100 there is trust as passion (producing cohesive order) translated into practice as family, friends

101 and society. The cohesive order of trust changed under the impact of modernity from roots of  
102 trust in the family to mutual trust in society based on communication (Misztal, 1996, p. 157,  
103 206). Third, there is trust as policy (producing collaborative order) translated into practice as  
104 solidarity, toleration and democratic legitimacy (Misztal, 1996, p.101). Central to Misztal's  
105 discussion of collaborative order is the concept of civil society as the basis for democratic  
106 legitimacy in the modern world (Misztal, 1996, p.212). Since the separation of the 'public'  
107 and 'private' spheres has become extreme in Western societies due to fragmentation of  
108 society and individualisation of modern social structure, institutional designs of modern  
109 democracies must be based on solidarity and trust to counteract the ongoing separation  
110 between the individual and society (Misztal, 1996, p.217). She proposes a strategy to support  
111 solidarity by a policy of trust designed to satisfy economic interests, embed the cultural view  
112 of the relationship between self and state, and facilitate freedoms of association, speech and  
113 religion. This strategy should provide reason and trigger people to get involved with each  
114 other in the public sphere (Misztal, 1996, p. 219).

115 Discussing public trust with respect to active citizenship, democracy and solidarity, and  
116 stressing its importance for social life in the public sphere are also themes taken up by other  
117 theorists of trust such as O'Neill (2002), Fukuyama (1995), Sztompka (1999), Seligman  
118 (1997) and Papakostas (2012). O'Neill describes the process of democratic legitimisation in  
119 bioethics which increases public trust (O'Neill, 2002, pp. 169–174). Here two ways to  
120 increase public trust are discussed both concerned with engaging active citizens in  
121 deliberation: small-scale citizen's juries; and large scale citizen's fora and consensus  
122 conferences. Similarly, Fukuyama sees trust as 'the expectation that arises within a  
123 community of regular, honest and cooperative behaviour, based on commonly shared norms,  
124 on the part of other members of the community' (Fukuyama, 1995, p. 26). With this  
125 community-focused understanding of trust, he identifies social capital as arising from the  
126 prevalence of trust, which requires that individuals in society have norms in common so that  
127 they can build public trust. In line with Fukuyama, Sztompka also describes trust as an  
128 inherently social phenomenon, and as an important dimension of civic culture and society. He  
129 further identifies a strong correlation between quality of life and the presence of generalized  
130 trust in a society (Sztompka, 1999, pp. 14–17). Following a line of argument similar to  
131 Fukuyama's, Seligman identifies as the two main elements of associational life (which is the  
132 basis of social solidarity) confidence in the political system and a shared identity (Seligman,  
133 1997, p. 78). As a last example of this school of thought, Papakostas sees trust as an essential  
134 element for the development of the public sphere (Papakostas, 2012). While referring to the

135 scholars above, Papakostas concludes that individual trust, social capital and social networks  
136 are central to the production of trust within societies. These scholars all understand ‘public  
137 trust’ to be a distinct social phenomenon that co-exists with individual trust. For them, in  
138 general, public trust is based on shared norms and identity, and developed by communication  
139 and the activities of an active citizenry or public, contributing, in turn, to the development of  
140 social capital.

141

### 142 *Existing conceptualisation of public trust in health care systems*

143

144 When reviewing both the theoretical and the empirical literature on public trust in a range of  
145 areas, including health care system and policy research, it becomes evident that, unlike the  
146 theorists summarised above, there is little clear definition of public trust. One of the rare  
147 exceptions is the analysis by Van der Schee *et al* (2007) who present a conceptualisation of  
148 ‘public trust in health care’ in the context of a cross-country comparison of public trust in the  
149 health care systems of Germany, the Netherlands, England and Wales (see Figure 1)

150

151 <FIGURE 1 HERE>

152

153 In their conceptualisation, public trust in the health care system is seen as shaped by: a) the  
154 interpersonal trust between the patient and health care professionals (the underlying level of  
155 trust that prevails at this micro level); b) the mass media’s image of the health care system  
156 and its knowledge network, where activities such as the reporting of crises and scandals may  
157 have a strong influence on ‘public trust’; and, c) ‘institutional guarantees and the actual  
158 availability of good quality care.’ (Van der Schee *et al*, 2007, p.57). Van der Schee *et al* argue  
159 that all of these factors, as well as the relationship between the actors in the health care system,  
160 need to be set in their social context (van der Schee *et al*, 2007, p. 57). This implies that the  
161 construct is likely to change its precise shape in different social and cultural settings. Five  
162 years earlier, public trust in the health care system had been defined slightly differently by  
163 one of the same authors as: ‘... a generalized attitude based on personal experience in trust  
164 situations, on direct communication of other people’s experience and on mass media  
165 communication.’ (Straten *et al*, 2002, p. 223). It is argued by another of the same group of  
166 authors that one of the common features of definitions of public trust in the health care system  
167 is that: ‘all embody the notion of expectations: expectations by the public that healthcare  
168 providers will demonstrate knowledge, skill and competence; further expectations too that

169 they will behave as true agents (that is, in the patient's best interest) and with beneficence,  
170 fairness and integrity. It is these collective expectations that form the basis of trust' (Calnan  
171 and Sanford, 2004, p. 32).

172

173 Van der Schee *et al*'s, 2007, conceptualisation of 'public trust in health care' provides a good  
174 starting point for public trust research from a health care system perspective, but has some  
175 limitations. It builds entirely on the triangular relationship between the individual, health care  
176 system representatives (i.e. all types of staff) and media coverage that generates interpersonal  
177 trust and then public trust. This conceptualisation starts at the individual level and develops a  
178 notion of public trust from this level upwards, shaped by the nature of the health care  
179 system's interaction with the individual, and the broader media image and representation of  
180 the health care system. The conceptualisation omits other social sectors and industries, which  
181 have recognizable impacts on the health care system, such as the national and multi-national  
182 private sector (e.g. pharmaceutical companies, consulting companies, insurance companies or  
183 IT companies), health care advocates (e.g. non-governmental organisations), or religious  
184 organisations. The strong influence of pharmaceutical companies on the health care system  
185 and the public has been increasingly critically discussed in recent years (Abraham, 2010). The  
186 so called socio-technical '*pharmaceuticalization*' of society provides opportunities for  
187 pharma industries to shape both their market and health care systems (Williams *et al*, 2011).  
188 With the increasing technological development of society, as well as of the health care system,  
189 the health care system itself has been opened up to new phenomena such as the internet, e-  
190 health, data sharing, foreign health care industries and, simultaneously, its complexity has  
191 increased.

192

193 Furthermore, the conceptualisation omits, to a large extent, the influencing dynamics of the  
194 public itself on public trust. The public, as discussed below, is arguably the main driver of  
195 public trust, as individuals, forming the public, discuss and exchange their experiences and  
196 perceptions of trust in the health care system, and their perceptions of what forms public trust.  
197 Further, changing levels of public trust in the health care system may change patients'  
198 behaviour, for example by influencing their health care choices rather than causality always  
199 running in the opposite direction from the individual to the public. Thus Van der Schee *et al*'s,  
200 2007, conceptualisation can be expanded and developed to take into account the greater  
201 complexity and openness of the health care system, and the increase in publicity given to the  
202 nature and level of public trust.

203  
204  
205  
206  
207  
208  
209  
210  
211  
212  
213  
214  
215  
216  
217  
218  
219  
220  
221  
222  
223  
224  
225  
226  
227  
228  
229  
230  
231  
232  
233  
234  
235  
236

The conceptualisation thus seems to be too much focused on the relationship between the health care system and the individual, which is a limitation when the focus is a phenomenon that exists at the level of the public. For example, Arendt’s (1958) definition of that which is ‘public’ points to something other than what is described in van der Schee *et al*’s (2007) conceptualisation. Whatever is ‘public’ appears in public and can be seen and heard, in principle, by everybody, has the widest possible publicity, is common to all and is distinguished from the private (i.e. personal) realm (Arendt, 1958, pp. 50-58). What is ‘public’ becomes manifest, for example, in public goods, of which public trust can be understood to be one (Misztal, 1996, pp. 12-32; Seligman, 1997, pp. 97–99). This would not apply to individuals’ interactions with the health care system since these are largely private encounters, despite the fact that public trust also develops indirectly and partly from these interactions, as argued below.

Both Habermas’ and Arendt’s work on the public and the public sphere have significantly influenced today’s understanding of the term ‘public’ and need to be brought into any definition of ‘public trust’ (Calhoun, 1992; Crossley and Roberts, 2004; Seligman, 1997; White, 1990). The ideal process of discourse in the public sphere was described by Habermas in his account of the so called ‘ideal speech situation’ which he defined as based on foundations of communicative ethics (White, 1990, Chapter 3). Two propositions are crucial in Habermas’ view of communicative ethics: first, that ‘normative validity claims have cognitive sense’ and therefore can be considered as true claims; second, that the validation process requires dialogue and cannot be conducted as an abstract monologue (White, 1990, p. 48). According to Habermas, it is essential for the development of a consensus that the rules for the ‘ideal speech situation’ are adhered to, as follows:

1. Each subject who is capable of speech and action is allowed to participate in discourse.
2. a) Each is allowed to call into question any proposal.  
b) Each is allowed to introduce any proposal into the discourse.  
c) Each is allowed to express his attitudes, wishes, and needs.
3. No speaker ought to be hindered by compulsion – whether arising inside the discourse or outside it –from making use of the rights secured under 1 and 2. (White, 1990, p. 56)

Further, the arguments brought forward in the discourse need to fulfil four criteria of validity, namely, that they are comprehensible, true, authentic and morally right, as well as appropriate

237 (Cukier *et al*, 2004; Denzin and Lincoln, 2005; Habermas, 1995). If the rules of the ‘ideal  
238 speech situation’ as well as the validity claims are met, the discourse has the best chance to  
239 lead to a consensus. In turn, this discourse has the potential to legitimise public trust.  
240 Habermas’ work has been successfully applied to the context of the health care system and is  
241 proven to be valuable for discussions on the role of the ‘public’ in health care systems  
242 (Chaudhary *et al*, 2013; Scambler, 1998; Stevenson and Scambler, 2005). All these strands of  
243 thinking have contributed to the conceptualisation set out below.

244  
245 Building on van der Schee *et al*’s, (2007) conceptualisation and understanding of public trust  
246 in health care systems, influenced by Arendt’s and Habermas’ work on the nature of the  
247 public sphere, as well as Habermas’ work on discourse, and Luhmann’s and others’ work on  
248 trust discussed earlier, and taking a Western view of health care systems (e.g. inspired by  
249 reflecting on the British NHS and German health care system), we now present a more  
250 elaborated conceptualisation for discussion and eventual empirical testing (Arendt, 1958;  
251 Habermas, 1990, 1991, 2014; Jakowatz and Habermas 2008; Luhmann, 2009).

252

### 253 *A revised conceptualisation of public trust in the health care system*

254

255 The proposed conceptual framework (Figure 2) attempts to conceptualise ‘public trust in  
256 health care systems’ by giving due recognition to its origins in the public sphere. While the  
257 conceptualisation has yet to be used to guide empirical work, there are a number of pieces of  
258 research that shed light on different segments of the proposed conceptualisation. These  
259 include research on trust relationships between patient and doctor, trust in health care  
260 programmes such as vaccination, trust in health information systems such as biobanks, trust in  
261 government institutions and trust in the mass media including the communication of health-  
262 related news (Ahern & Hendryx, 2003; Coleman *et al* , 2009; Feudtner, 2004; Goold *et al*  
263 2006; Hall *et al*, 2001; Kelly *et al*, 2005; Ozawa and Stack, 2013; Picard and Yeo, 2011;  
264 Tutton *et al*, 2004; van der Schee *et al*, 2012). In Figure 2, public trust in the health care  
265 system is understood to be trust developed in the public sphere as a consequence of discourse  
266 in public about people’s experiences and perceptions of the health care system, as well as a  
267 broader discourse shaping trust, grounded in the common health values and health norms of a  
268 society. In turn, the public sphere is defined as situated between the individual sphere, the  
269 health care system, the state, and other market and non-market institutions.

270



271 <FIGURE 2 HERE>

272

273 Communication, indicated by the solid and broken arrows in Figure 2, in all forms is essential  
274 for the functioning of society and the development of trust, and herewith for reducing  
275 uncertainty and thence complexity. Communication in the public sphere can be understood as  
276 either active dialogue, face-to-face and in web-based fora, or more passive one-way  
277 communication, as in the consumption of information and periodic public participation via  
278 opinion polls or elections. The media play arguably the biggest role in channelling, filtering  
279 and directing information within and outside the public sphere. As a result, the media have a  
280 big influence on public trust in all the institutions of society, including shaping public trust in  
281 the health care system. To take an obvious example, the media can be influential in shaping  
282 public trust in vaccine programmes by amplifying concerns about vaccine damage and  
283 polarizing the ensuing debates (Larson *et al*, 2011; Larson and Heymann, 2010). In the US,  
284 during the late 1990s, organized parent groups spread misinformation about scientifically  
285 unproven links between autism and Thiomersal, a compound containing ethylmercury used in  
286 infant vaccine, leading to wide public ‘mistrust’ in infant vaccines. In turn, this affected trust  
287 in the wider health care system, which, subsequently, led to further falls in childhood vaccine  
288 coverage. (Larson *et al*, 2011, pp. 527–530).

289

290 However, depending on the information-consuming behaviour of the individual, the mass  
291 media are only one of many routes, in addition to social media, blogs, tweets, newsletters,  
292 informal networks, etc. by which the individual receives information in relation to public trust  
293 and information that influences his/her individual trust and his/her understanding of public  
294 trust. The media and communication are interpreted in Figure 2 as a mediator, a connector  
295 and an observer to enable and keep discourse in the public sphere alive. Nevertheless, it needs  
296 to be recognized that the role of the media in information dissemination can be controversial.  
297 For example, Habermas discussed media power in the public sphere and concluded that, if  
298 used for opinion manipulation, the public sphere develops into an arena of power where topic  
299 selection and the coverage of topics are fought over (Calhoun 1992: 437). While Habermas’  
300 model of the public sphere may seem rather abstract and idealised, an adapted understanding  
301 of the public sphere does still exist today (Calhoun, 1992; Crossley and Roberts, 2004). It is  
302 in the nature of the public sphere that it changes as society and the environment develop  
303 rather than disappearing. The clubs, coffeehouses or salons of the 18<sup>th</sup> century contributed to  
304 the classic understanding of how the public sphere manifests itself, as described by Habermas,

305 (Habermas, 1990, pp. 90-107). Perhaps the epitome of this concept of the public sphere is  
306 Speakers' Corner in Hyde Park, London, where members of the public come together  
307 specifically to discuss openly with one another in public. Nowadays, this is exceptional in that  
308 the public sphere is far more likely to be represented by an online discussion forum facilitated  
309 by communication networks that do not require the participants in public dialogue to be  
310 physically present in the same place (Bohman, 2004). Thus the way that members of society  
311 engage in public debate to form the public sphere has changed, as well as the ability and  
312 skillset required to conduct discourse. This does not mean that the public sphere has  
313 disappeared. It is more that the public sphere has become more dynamic and less physically  
314 bounded. The topic-related public sphere seems to develop on demand, customised to the  
315 needs of participants and the characteristics of the issue triggering the discussion before  
316 vanishing again into a more general public sphere of communication when its *raison d'être*  
317 disappears.

318

319 The constant features that drive different constructs of the public sphere are the underlying  
320 communication networks and technologies, as well as the desire of members of society to  
321 discuss issues of mutual importance likely to have a large impact on themselves and society  
322 itself. For example, the discussion around the English NHS's care.data initiative, mentioned  
323 above, was facilitated in the public sphere and was conducted in different, but connected,  
324 communication fora simultaneously. These fora were the press, press readers' comments,  
325 television, radio, Twitter, public newsletters, the internet, Facebook and other platforms. The  
326 composition of the public sphere in this case was constantly adapting to the discussion of the  
327 topic and the needs/wants of the participants. Important to the contemporary understanding of  
328 the public sphere is its perceived democratic character; i.e. that it is and should be open and  
329 accessible to all, and allow free speech, as outlined in Habermas' definition of the ideal  
330 speech situation and communicative ethics, above. The current ideal appears to be the notion  
331 that everyone should have the same chance to be able to participate in some form of discourse  
332 in the public sphere.

333

334 Turning back to Figure 2, from an individual perspective, the conceptualisation of public trust  
335 starts with 'Individual trust in parts of the health care system' where trusting relationships are  
336 understood to be a 'complex 'web of interactions'' bridging the individual and institutional  
337 levels (Meyer *et al*, 2008, p. 182). This initial focus on individual trust is important, as  
338 individuals form the public, and therefore individuals' trust experiences and perceptions, in

339 turn, fuel but by no means entirely define, public trust. Individual trust and public trust are  
340 linked via individuals' perceptions and experiences of each other as well as their participation  
341 in the 'public sphere'. 'Individual trust' in the health care system develops particularly when  
342 individuals engage with branches of the health care system, such as their GP or the local  
343 hospital, and can be built or undermined in the largely private environment of the clinical  
344 encounter in the health care system from personal experience. However, an individual does  
345 not necessarily need to have had any personal experience of the health care system to reach a  
346 judgement about her/his trust in the system. This is because individuals, whether experienced  
347 or not, engage with others in discussion of experiences (their own or those they are aware of,  
348 for instance, among family and friends as well as cases of strangers or celebrities reported in  
349 the media) and of wider perceptions of the health care system, where this exchange has an  
350 influence on their perceived trust in the system as a whole. These trust experiences are further  
351 raised in other discussions in the public sphere through active or passive participation in  
352 public debates concerning the health care system. From an individual's point of view, two  
353 forms of participation in the public sphere are possible, either as an active participant in  
354 different physical fora (e.g. as an elected member of a city council) and online fora (e.g.  
355 Twitter), thereby directly influencing the discussion, or as a passive participant through  
356 opinion polls or by voting in elections, while also reading and consuming the opinions of  
357 others. The example of the social media discussion of care.data once more supports the  
358 argument for the existence of public discourse that is distinct from personal experience  
359 (Hays and Daker-White, 2015).

360

361 As the number and range of participants in this discourse widens and becomes public, the  
362 concept of the public sphere which exists between the 'individual sphere', the health care  
363 system, the state (authorities, politics) and other societal and economic institutions (e.g. non-  
364 governmental organisations, religious bodies, business, etc.) becomes central to the  
365 conceptualisation (Chaudhary *et al*, 2013; Habermas, 1990). Within the public sphere, actors  
366 with different roles in society (e.g. individuals, health care organisations, third sector groups,  
367 politicians, business people, advocates or lobbyists, opinion leaders, etc.) come together to  
368 reflect upon their experience and perception of the health care system, from which emerges an  
369 understanding of public trust in the health care system. Fotaki describes this trust building  
370 consensus discourse at the smaller scale of health care teams or individual provider  
371 organisations. Here trust in relation to the values of a team or organisation can be built by  
372 consensus (Fotaki, 2014). Similarly, O'Neill describes the process of democratic

373 legitimisation in the field of bioethics operating through deliberations that take place in  
374 citizens' fora and consensus conferences, as outlined above (O'Neill, 2002, pp. 169-174).  
375 Fotaki's observation hints at the possibility that the individual's perception of trust can be  
376 influenced, in particular, by explicit consensus building processes as well as their own  
377 perceptions of what individuals consume from the internet, social media, the press, etc.. This  
378 observation is important as it links consensus building processes with the development of  
379 trust which indicates the possibility of the same processes occurring on a greater scale in the  
380 public sphere. Therefore, in Figure 2, public trust is defined as the form of trust that is  
381 generated in the public sphere. In other words, public trust is distinct from individual trust as  
382 it is generated not from the individual's perception of, and experience within, the health care  
383 system but rather is generated within the public sphere itself through public discourse about  
384 the individual's own and other people's experiences and perceptions of the health care system,  
385 including evidence from research and analysis. This discourse, in so far as it builds a  
386 consensus about the health care system, also signifies that public trust can be understood as a  
387 public good and is legitimised by the public itself.

388

389 Public trust is also built through the politics associated with health care system governance  
390 and political debate influencing the functioning of the health care system. Further, from the  
391 state's perspective, public trust in the health care system is influenced by the state's active  
392 communication with the public, and by its selection of policies and how they are presented  
393 and justified. Last, as the health care system is an open system, other societal and economic  
394 institutions, such as third sector organisations, or the business community, have a substantial  
395 impact. Their influence on the shaping of public trust in the public sphere needs to be  
396 considered. Examples of influence could be industrial lobby groups and third sector  
397 organisations' advocacy activities.

398

399 The two 'outputs' of the conceptualisation in Figure 2, namely, public trust emerging from  
400 the public sphere, and individual trust emerging from the interactions between the individual  
401 and his/her health care providers, both include feedback loops (indicated by the dotted lines).  
402 Public trust in the health care system feeds back into all public sphere-associated sectors, and  
403 influences the actions and behaviour of affected and participating parties. Individual trust  
404 predominantly affects the individual's behaviour, influencing the nature of the future  
405 relationship between the individual and his/her health care providers. However, as the  
406 individual is potentially an actor in the public sphere, individual trust is not completely

407 separated from public trust. Both forms of trust are linked by individuals' perception of both  
408 and therefore are influenced by these perceptions. Nevertheless, the information concerning  
409 topical issues shaping public trust and information on public trust, are communicated from the  
410 public sphere to individuals. This implies, that individuals depend on an authentic and  
411 objective information chain as well as personal experience for their level of public trust.

412

413 The distinctiveness of the nature of public trust in the health care system compared with  
414 public trust in other sectors of society such as the civil service, the benefits system, or the  
415 economy lies in the particularities of the underlying norms and values of society with respect  
416 to health and health care. These norms and values shape and guide the arguments about health  
417 care and the health care system that take place in the public sphere. They also determine  
418 which arguments put forward in the debate about whether the health care system can be  
419 trusted are regarded as valid by discourse participants.

420

421 The proposed conceptualisation in Figure 2 adds to previous conceptualisations of public trust  
422 in the health care system in that it recognizes the public sphere as the cradle of public trust in  
423 the health care system while showing how individual trust indirectly influences but does not  
424 simply determine the development of public trust. It recognizes that public trust in the health  
425 care system is not simply the average of individual trust as if it could be assessed simply by  
426 aggregating individual views about the health care system in a large opinion poll. The  
427 conceptualisation allows that public trust is a construct originating from the public sphere,  
428 which is, in turn, influenced from all sides of society, by the individual, by the health care  
429 system, by the state, by the media and by other actors (e.g. religious bodies, business and the  
430 third sector). Previous approaches to estimating the level of public trust in the health care  
431 system have typically used opinion polls and large-scale surveys to quantify levels of trust.  
432 However, this does not necessarily identify public trust. Rather it describes the average level  
433 of reported trust of survey participants. Even though it might be that the public debate around  
434 public trust has indeed influenced someone's individual trust, it is not clear when examining  
435 the results of such surveys, how far the debate has shaped the trust expressed in the survey as  
436 against the person's perceptions irrespective of that debate. A survey cannot account for the  
437 contribution of the public debate around trust leading to public trust. Public trust is thus more  
438 than the aggregation of private experiences and perceptions of trust in health care. Public trust  
439 is a consequence of the on-going public discourse on issues influencing the level of public  
440 trust. Simply expressed, public trust has two main ingredients: individual members of the

441 public's personal, family and friends' experience of the health care system; and the discourse,  
442 debate and commentary on the health care system that exists distinct from any one  
443 individual's experiences. Furthermore, the conceptualisation allows understanding of the  
444 health care system as an open system where not only do individual experiences of trust  
445 contribute to the development of public trust, but also the state's and other actors' experiences  
446 and perceptions and their practices of communication.

447

448

449

### 450 *Conclusions*

451 To understand and research public trust in the health care system, a more holistic  
452 conceptualisation of public trust is needed, that goes beyond a narrow focus on trust solely in  
453 terms of individuals' experiences of the health care system. In this conceptualisation, the  
454 origin of public trust is understood to be in the public sphere, which is situated between the  
455 individual, the health care system, the state and other societal institutions. Public trust in the  
456 health care system is influenced not only by the health care system itself, individuals'  
457 experiences of it and its media image but also by discourse in the public sphere about  
458 individuals' experiences and the system as a whole.

459 Empirical work is needed to further develop the conceptualisation advanced in this paper,  
460 especially since the theories and perspectives informing the development of the  
461 conceptualisation come from far outside the health care system. For example, research needs  
462 to be conducted to describe the dynamics within the public sphere with respect to health care  
463 systems. Further, public trust building (and reducing) discourse relating to the health care  
464 system needs to be identified and analysed, including examples discussed earlier such as  
465 citizen's juries, consensus development processes, or public consultations. Also, solutions  
466 need to be developed, if possible, to begin to measure public trust in the health care system.  
467 To enable mutual understanding and transferability of research results, the goal of such work  
468 would be to provide the research community as well as patients, professionals and the public,  
469 with a theoretically robust and empirically grounded construct as well as a way of rigorously  
470 measuring the level of public trust in the health care system.

471

472

473

474

475  
476  
477  
478  
479  
480  
481  
482  
483  
484  
485  
486  
487  
488  
489  
490  
491  
492  
493  
494  
495  
496  
497  
498  
499  
500  
501  
502  
503  
504  
505  
506  
507  
508  
509  
510  
511  
512  
513

*References:*

- Abraham, J. (2010). Pharmaceuticalization of Society in Context: Theoretical, Empirical and Health Dimensions. *Sociology*, 44(4), 603–622.
- Ahern, M. M. and Hendryx, M. S. (2003). Social capital and trust in providers. *Soc Sci Med*, 57(7), 1195–1203.
- Apel, K.-O. (2001). *The response of discourse ethics*. Leuven: Peeters.
- Arendt, H. (1958). *The human condition* (2nd ed.). Chicago ; London: The University of Chicago Press.
- Blendon, R. J., Benson, J. M., and Hero, J. O. (2014). Public Trust in Physicians — U.S. Medicine in International Perspective. *New England Journal of Medicine*, 371(17), 1570–1572.
- Bohman, J. (2004). Expanding dialogue: The Internet, the public sphere and prospects for transnational democracy. In N. Crossley & J. M. Roberts (Eds.), *After Habermas - New perspectives on the public sphere* (p. 184). Oxford, UK: Blackwell Publishing.
- BRI Inquiry. (2001). *The report of the public inquiry into children’s heart surgery at the Bristol Royal Infirmary 1984-1995: learning from Bristol*.
- Brown, P. R. (2008). Trusting in the New NHS: instrumental versus communicative action. *Sociology of Health & Illness*, 30(3), 349–363.
- Brykczynska, G. (1994). Implications of the Clothier Report: The Beverly Allitt case. *Nursing Ethics*, 1(3), 179–181.
- Calhoun, C. (1992). *Habermas and the Public Sphere*. Cambridge: MIT Press.
- Calnan, M. W. (2004). Public trust in health care: the system or the doctor? *Quality and Safety in Health Care*, 13(2), 92–97.
- Carter, P., Laurie, G. T., and Dixon-Woods, M. (2015). The social licence for research: why care.data ran into trouble. *Journal of Medical Ethics*. Published Online First.
- Chaudhary, S., Avis, M., and Munn-Giddings, C. (2013). Beyond the therapeutic: A Habermasian view of self-help groups’ place in the public sphere. *Social Theory & Health : STH*, 11(1), 59–80.
- Coleman, S., Scott, A., and Morrison, D. E. (2009). *Public Trust in the News A Constructivist Study of the Social Life of the News*. Oxford, UK.

- 514 Crossley, N., and Roberts, J. M. (2004). *After Habermas: New Perspectives on the Public*  
515 *Sphere*. Wiley-Blackwell.
- 516 Cukier, W., Bauer, R., & Middleton, C. (2004). Information Systems Research: Relevant  
517 Theory and Informed Practice. In B. Kaplan, D. P. Truex, D. Wastell, A. T. Wood-  
518 Harper, and J. I. DeGross (Eds.), (pp. 233–258). Boston, MA: Springer US.
- 519 Denzin, N. K., and Lincoln, Y. S. (2005). *The SAGE handbook of qualitative research* (3rd  
520 ed.). Thousand Oaks: Sage Publications.
- 521 Feudtner, C. (2004). Assuring trust in insurance. *Am J Bioeth*, 4(4), 64–66.
- 522 Fotaki, M. (2014). Can consumer choice replace trust in the National Health Service in  
523 England? Towards developing an affective psychosocial conception of trust in health  
524 care. *Sociology of Health & Illness*, 36(8), 1276–1294.
- 525 Francis, R. (2010). *Robert Francis Inquiry report into Mid-Staffordshire NHS Foundation*  
526 *Trust*.
- 527 Fukuyama, F. (1995). *Trust: the social virtues and the creation of prosperity*. New York, NY:  
528 Free Press.
- 529 Gille, F., Smith, S., and Mays, N. (2015). Why public trust in health care systems matters and  
530 deserves greater research attention. *Journal of Health Services Research & Policy*, 20(1),  
531 62–64.
- 532 Goold, S. D., Fessler, D., and Moyer, C. A. (2006). A measure of trust in insurers. *Health*  
533 *Serv Res*, 41(1), 58–78.
- 534 Green, J. (2004). Is trust an under-researched component of healthcare organisation? *BMJ*  
535 *(Clinical Research Ed.)*, 329(7462), 384.
- 536 Habermas, J. (1990). *Strukturwandel der Öffentlichkeit - Untersuchungen zu einer Kategorie*  
537 *der bürgerlichen Gesellschaft* (13th ed.). Frankfurt am Main: Suhrkamp.
- 538 Habermas, J. (1991). *Erläuterungen zur Diskursethik*. Frankfurt am Main: Suhrkamp.
- 539 Habermas, J. (1995). *Theorie des kommunikativen Handelns. Suhrkamp Taschenbuch*  
540 *Wissenschaft* (1. Aufl.). Frankfurt am Main: Suhrkamp.
- 541 Habermas, J. (2014). *Faktizität und Geltung: Beiträge zur Diskurstheorie des Rechts und des*  
542 *demokratischen Rechtsstaats* (5th ed.). Frankfurt am Main: Suhrkamp
- 543 Haddow, G., and Cunningham-Burley, S. (2008). Tokens of Trust or Toekn Trust? Public  
544 consultation and “Generation Scotland.” In J. Brownlie, A. Greene, & A. Howson (Eds.),  
545 *Researching trust and health* (1st ed.). New York, NY: Routledge.
- 546 Hall, M. a, Dugan, E., Zheng, B., and Mishra, a K. (2001). Trust in physicians and medical  
547 institutions: what is it, can it be measured, and does it matter? *The Milbank Quarterly*,  
548 79(4), 613–39, v.
- 549 Hays, R., and Daker-White, G. (2015). The care.data consensus? A qualitative analysis of  
550 opinions expressed on Twitter. *BMC Public Health*, 15, 838.
- 551 Holmström, S. (2007). Niklas Luhmann: Contingency, risk, trust and reflection. *Public*  
552 *Relations Review*, 33(3), 255–262.
- 553 Jakowatz, S., and Habermas, J. (2008). *Politische Soziologie*. Bonn: GESIS-IZ  
554 Sozialwissenschaften.
- 555 Jalava, J. (2003). From Norms to Trust: The Luhmannian Connections between Trust and  
556 System . *European Journal of Social Theory*, 6(2), 173–190.
- 557 Jovell, A., Blendon, R. J., Navarro, M. D., Fleischfresser, C., Benson, J. M., Desroches, C. M.,



- 558 and Weldon, K. J. (2007). Public trust in the Spanish health-care system. *Health Expect*,  
559 *10*(4), 350–357.
- 560 Kelly, J. J., Njuki, F., Lane, P. L., and McKinley, R. K. (2005). Design of a questionnaire to  
561 measure trust in an emergency department. *Acad Emerg Med*, *12*(2), 147–151.
- 562 Larson, H. J., Cooper, L. Z., Eskola, J., Katz, S. L., and Ratzan, S. (2011). Addressing the  
563 vaccine confidence gap. *The Lancet*, *378*(9790), 526–535.
- 564 Larson, H. J., & Heymann, D. L. (2010). Public health response to influenza A(H1N1) as an  
565 opportunity to build public trust. *JAMA : The Journal of the American Medical*  
566 *Association*, *303*(3), 271–272.
- 567 Luhmann, N. (1988) Familiarity, confidence, trust: problems and alternatives. In Gambetta, D.  
568 (ed.) *Trust: Making and Breaking Cooperative Relations*. Oxford: Basil Blackwell.
- 569 Luhmann, N. (2009). *Vertrauen : ein Mechanismus der Reduktion sozialer Komplexität*. UTB  
570 (4. Aufl.). Stuttgart: Lucius & Lucius.
- 571 Meyer, S., Ward, P., Coveney, J., and Rogers, W. (2008). Trust in the health system: An  
572 analysis and extension of the social theories of Giddens and Luhmann. *Health Sociology*  
573 *Review*.
- 574 Miształ, B. A. (1996). *Trust in Modern Societies* (1st ed.). Cambridge, MA, USA: Blackwell  
575 Publishers Inc.
- 576 NHS. (2014). Better Information Means Better Care: NHS contacts all English households  
577 from today. Retrieved from [http://www.england.nhs.uk/2014/01/06/better-info-better-](http://www.england.nhs.uk/2014/01/06/better-info-better-care/)  
578 [care/](http://www.england.nhs.uk/2014/01/06/better-info-better-care/)
- 579 O’Neill, O. (2002). *Autonomy and Trust in Bioethics (Gifford Lectures)* (1st ed.). Cambridge,  
580 UK: Cambridge University Press.
- 581 Ozawa, S., & Stack, M. L. (2013). Public trust and vaccine acceptance-international  
582 perspectives. *Human Vaccines & Immunotherapeutics*, *9*(8), 1774–1778.
- 583 Papakostas, A. (2012). *Civilizing the Public Sphere - Distrust, Trust and Corruption*.  
584 Basingstoke: Palgrave Macmillan.
- 585 Picard, R., and Yeo, M. (2011). *Medical and Health News and Information in the UK Media:  
586 The Current State of Knowledge. The State of Public News and Information in the UK on  
587 Health and Health Care Research Project*. Oxford, UK.
- 588 Platt, J., and Kardia, S. (2015). Public trust in health information sharing: implications for  
589 biobanking and electronic health record systems. *Journal of Personalized Medicine*, *5*(1),  
590 3–21.
- 591 Pollock, A. M., and Roderick, P. (2014). Trust in the time of markets: protecting patient  
592 information. *The Lancet*, *383*(9928), 1523–1524.
- 593 Redfern, M., Keeling, J. W., and Powell, E. (2001). *The Royal Liverpool Children’s Inquiry  
594 Report*. London.
- 595 Scambler, G. (1998). Theorizing modernity: Luhmann, Habermas, Elias and new perspectives  
596 on health and healing. *Critical Public Health*, *8*(3), 237–244.
- 597 Seligman, A. B. (1997). *The problem of trust*. Princeton, N.J. ; Chichester: Princeton  
598 University Press.
- 599 Stevenson, F., and Scambler, G. (2005). The relationship between medicine and the public:  
600 the challenge of concordance. *Health (London, England : 1997)*, *9*(1), 5–21.
- 601 Sztompka, P. (1999). *Trust a sociological theory. Cambridge cultural social studies* (1st ed.).

602 Cambridge, UK ; New York, NY: Cambridge University Press.

603 TSO. (2005). *Sixth Report - Shipman: The Final Report*.

604 Tutton, R., Kaye, J., & Hoeyer, K. (2004). Governing UK Biobank: the importance of  
605 ensuring public trust. *Trends in Biotechnology*, 22(6), 284–285.

606 van der Schee, E., Braun, B., Calnan, M., Schnee, M., and Groenewegen, P. P. (2007). Public  
607 trust in health care: a comparison of Germany, The Netherlands, and England and Wales.  
608 *Health Policy (Amsterdam, Netherlands)*, 81(1), 56–67.

609 van der Schee, E., de Jong, J. D., and Groenewegen, P. P. (2012). The influence of a local,  
610 media covered hospital incident on public trust in health care. *Eur J Public Health*, 22(4),  
611 459–464.

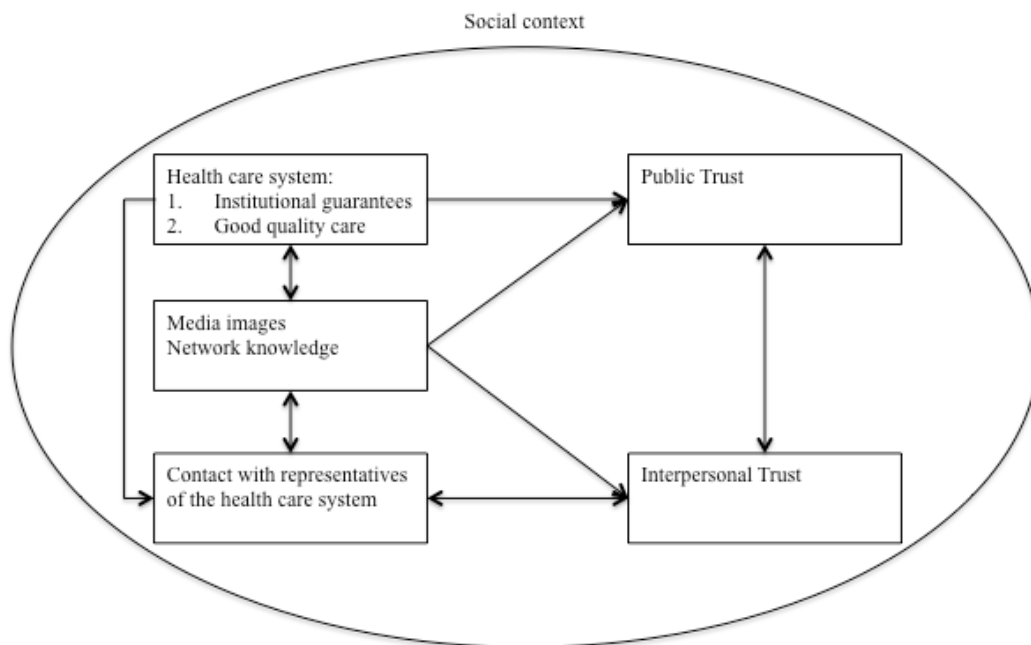
612 White, S. K. (1990). *The recent work of Jürgen Habermas : reason, justice and modernity*.  
613 Cambridge: Univ. Press.

614 Williams, S. J., Martin, P., and Gabe, J. (2011). The pharmaceuticalisation of society? A  
615 framework for analysis. *Sociology of Health & Illness*, 33(5), 710–25.

616  
617  
618  
619  
620  
621  
622  
623  
624  
625  
626  
627  
628  
629  
630  
631  
632  
633  
634

635  
636  
637  
638  
639  
640  
641  
642  
643  
644

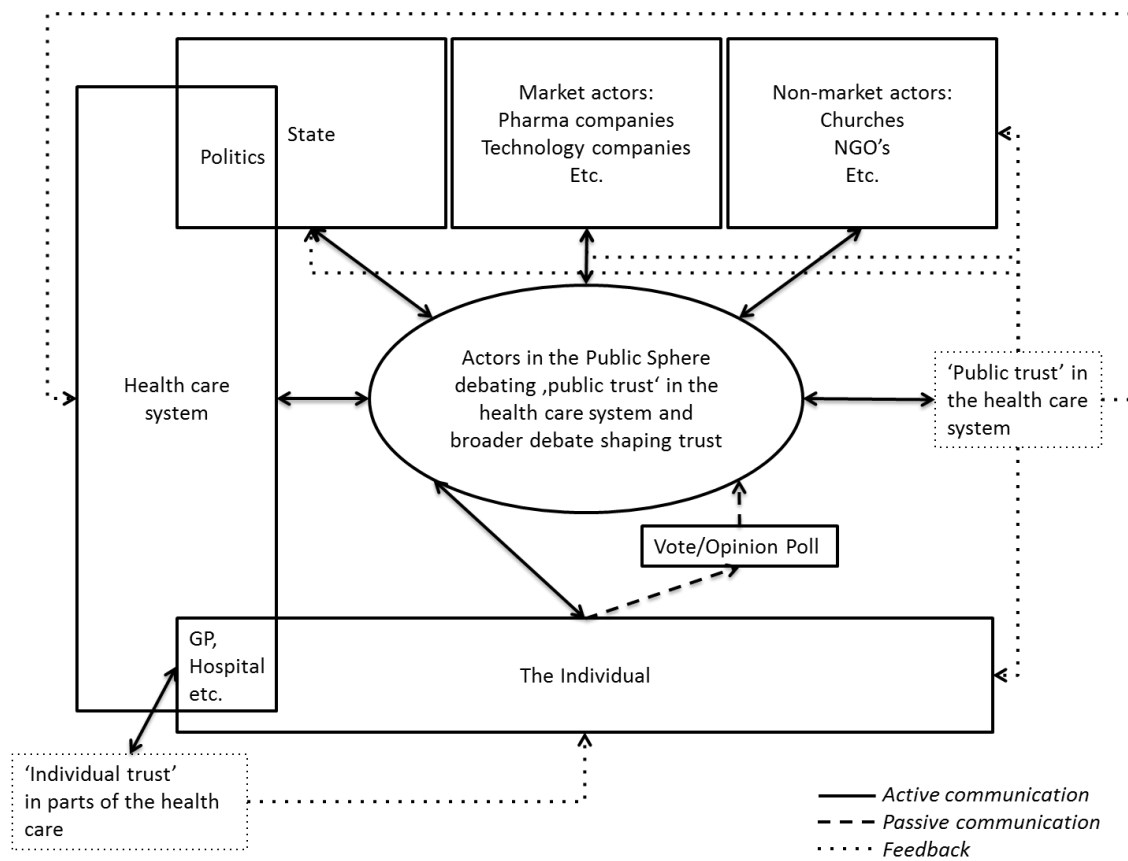
Figure 1: Conceptualisation of ‘public trust in health care’ (Source: van der Schee *et al*, 2007, p. 57).



645  
646  
647  
648  
649  
650  
651  
652  
653  
654  
655  
656

657  
658  
659  
660  
661  
662  
663  
664  
665  
666

**Figure 2:** Revised conceptualisation of public trust in the health care system.



667