

RESEARCH ARTICLE

Short-term Forecasting of the Prevalence of Trachoma: Expert Opinion, Statistical Regression, versus Transmission Models

Fengchen Liu¹, Travis C. Porco^{1,2,3}, Abdou Amza⁴, Boubacar Kadri⁴, Baido Nassirou⁴, Sheila K. West⁵, Robin L. Bailey⁶, Jeremy D. Keenan^{1,2}, Anthony W. Solomon⁷, Paul M. Emerson⁸, Manoj Gambhir⁹, Thomas M. Lietman^{1,2,3*}

1 Francis I. Proctor Foundation, University of California San Francisco, San Francisco, California, United States of America, **2** Department of Ophthalmology, University of California San Francisco, San Francisco, California, United States of America, **3** Department of Epidemiology & Biostatistics, University of California San Francisco, San Francisco, California, United States of America, **4** Programme FSS/Université Abdou Moumouni de Niamey, Programme National de Santé Oculaire, Niamey, Niger, **5** Dana Center for Preventive Ophthalmology, Wilmer Eye Institute, Johns Hopkins University, Baltimore, Maryland, United States of America, **6** Clinical Research Unit, Department of Infectious and Tropical Diseases, London School of Hygiene & Tropical Medicine, London, United Kingdom, **7** Department of Control of Neglected Tropical Diseases, World Health Organization, Geneva, Switzerland, **8** International Trachoma Initiative, Atlanta, Georgia, United States of America, **9** Department of Epidemiology and Preventive Medicine, Monash University, Melbourne, Australia

* tom.lietman@ucsf.edu



OPEN ACCESS

Citation: Liu F, Porco TC, Amza A, Kadri B, Nassirou B, West SK, et al. (2015) Short-term Forecasting of the Prevalence of Trachoma: Expert Opinion, Statistical Regression, versus Transmission Models. *PLoS Negl Trop Dis* 9(8): e0004000. doi:10.1371/journal.pntd.0004000

Editor: Jeremiah M. Ngondi, Division of Global Health, UNITED REPUBLIC OF TANZANIA

Received: January 31, 2015

Accepted: July 21, 2015

Published: August 24, 2015

Copyright: © 2015 Liu et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper.

Funding: This project was supported by Bill and Melinda Gates Foundation (grant number: 48027, grant recipient: TML, www.gatesfoundation.org), and a Models of Infectious Disease Agent Study grant (grant number: U01GM08778, grant recipient: TCP, www.nigms.nih.gov/Research/SpecificAreas/MIDAS) from the National Institute of General Medical Sciences at the National Institutes of Health. The funders had no role in study design, data collection, data analysis, data interpretation, or writing of the

Abstract

Background

Trachoma programs rely on guidelines made in large part using expert opinion of what will happen with and without intervention. Large community-randomized trials offer an opportunity to actually compare forecasting methods in a masked fashion.

Methods

The Program for the Rapid Elimination of Trachoma trials estimated longitudinal prevalence of ocular chlamydial infection from 24 communities treated annually with mass azithromycin. Given antibiotic coverage and biannual assessments from baseline through 30 months, forecasts of the prevalence of infection in each of the 24 communities at 36 months were made by three methods: the sum of 15 experts' opinion, statistical regression of the square-root-transformed prevalence, and a stochastic hidden Markov model of infection transmission (Susceptible-Infectious-Susceptible, or SIS model). All forecasters were masked to the 36-month results and to the other forecasts. Forecasts of the 24 communities were scored by the likelihood of the observed results and compared using Wilcoxon's signed-rank statistic.

Findings

Regression and SIS hidden Markov models had significantly better likelihood than community expert opinion ($p = 0.004$ and $p = 0.01$, respectively). All forecasts scored better when

article. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

Competing Interests: The authors have declared that no competing interests exist.

perturbed to decrease Fisher's information. Each individual expert's forecast was poorer than the sum of experts.

Interpretation

Regression and SIS models performed significantly better than expert opinion, although all forecasts were overly confident. Further model refinements may score better, although would need to be tested and compared in new masked studies. Construction of guidelines that rely on forecasting future prevalence could consider use of mathematical and statistical models.

Trial Registration

Clinicaltrials.gov [NCT00792922](https://clinicaltrials.gov/ct2/show/study/NCT00792922)

Author Summary

Forecasts of infectious diseases are rarely made in a falsifiable manner. Trachoma trials offer an opportunity to actually compare forecasting methods in a masked fashion. The World Health Organization recommends at least three annual antibiotic mass drug administrations where the prevalence of trachoma is greater than 10% in children aged 1–9 years, with coverage at least at 80%. The Program for the Rapid Elimination of Trachoma trials estimated longitudinal prevalence of ocular chlamydial infection from 24 communities treated annually with mass azithromycin. Here, we compared forecasts of the prevalence of infection in each of the 24 communities at 36 months (given antibiotic coverage and biannual assessments from baseline through 30 months, and masked to the 36-month assessments) made by experts, statistical regression, and a transmission model. The transmission model was better than regression, with both far better than experts' opinion. Construction of guidelines that rely on forecasting future prevalence could consider use of mathematical and statistical models.

Introduction

The World Health Organization (WHO), the International Trachoma Initiative, Ministries of Health, and their partners aim to control blinding trachoma by 2020, implementing surgical campaigns, antibiotic distributions, hygiene initiatives, and environmental improvements [1]. Trachoma control is a massive undertaking: 50 million doses of antibiotics are now distributed annually, in 30 countries [2]. The Global Trachoma Mapping Project alone will complete population-based surveys in more than 1400 districts worldwide by the end of 2015 [3, 4]. Surveys and treatment histories are now available for the vast majority of trachoma-endemic districts worldwide [5]. However, we do not know where WHO goals will likely be and not be achieved. Decisions on when to start and stop treatments are still based on guidelines dependent in large part on expert opinion [6].

Mathematical models have provided insight into the transmission of infectious diseases including trachoma [7–15]. However, they have rarely been used to make *falsifiable* predictions. As a candidate for prediction, trachoma may have some advantages over other infectious

diseases. Trachoma has no nonhuman reservoirs, no long-lasting latent stage, and as yet no clinically important drug resistance, simplifying modeling greatly compared to diseases such as cholera, onchocerciasis, and tuberculosis [1]. With many infectious diseases such as SARS and Ebola [16, 17], epidemics occur sporadically in time and place; forecasting can be made in a predictable time frame with post-treatment trachoma. Community-randomized trials have provided longitudinal assessment of multiple communities after mass antibiotic distributions. In a sense, after each mass treatment has brought infection to a low level, infection returns in a synchronized manner in a number of communities, offering results somewhat analogous to a repeated experiment.

Accurate forecasts could inform stakeholders of realistic goals, define trouble spots to focus resources, and suggest areas headed towards control even in the absence of intervention. Prediction has scientific value as well. The ability to predict the prevalence of an infectious disease is a test of our understanding of the epidemiology. Here, we use recent clinical trial data to forecast the prevalence of ocular chlamydial infection in children in 24 endemic communities in Niger. We compare model forecasts to expert opinions, and to a statistical regression that uses no special knowledge of the infectious process.

Methods

Data collection

Forty-eight communities were followed as part of the Niger arm of the Partnership for the Rapid Elimination of Trachoma (PRET) study. Communities were randomized to either mass antibiotics of the entire community, or antibiotics targeted just to children 12 years and younger. The 24 communities included in this study received annual antibiotic treatment of all ages. Communities were assessed at baseline and then biannually for 3 years. All individuals were offered antibiotic treatment annually, within two weeks of the assessment: children under 6 months, those allergic to macrolides, and pregnant women were offered topical tetracycline, and all others were offered a single dose of oral azithromycin (20 mg/kg for children and 1 gram for adults).

A random sample of 100 children 0–5 years old were selected from each community. If a community had less than 100 0–5 year-old children, then all were offered assessment. Each participating child had their upper right tarsal conjunctiva swabbed, and processed for PCR as previously described [18].

Ethics statement

This study of de-identified data received ethical approval from the Committee on Human Research of the University of California San Francisco and was carried out in accordance with the Declaration of Helsinki. All adult subjects provided informed consent, and a parent or guardian of any child participant provided informed consent on their behalf. The informed consent given was oral: (a) we chose verbal consent because of the low literacy rates in the study area, (b) the IRB (10.00812) approved the use of oral consent, and (c) oral consent was documented on the registration form for each study participant prior to examination in the field.

Survey methods

The WHO NTD-STAG Monitoring and Evaluation Working Group had a sub-group meeting to discuss trachoma surveillance on September 11–12, 2014 in Atlanta, GA, USA at the Task Force for Global Health, co-sponsored by WHO and the NTD Support Center. Fifteen

Table 1. Format of forecast.

Village	PRET-Niger forecasting exercise												Observed		
	Children 0–5 years		Antibiotic coverage*			Prevalence of infection in children 0–5 years by PCR						Your 36 month forecast			
	Total	Tested	0	12	24	0*	6	12*	18	24*	30	Lower**		Median	Upper**
1	580	101	81%	81%	86%	30%	3%	3%	5%	6%	3%				12%
2	53	49	83%	89%	92%	8%	2%	2%	0%	0%	0%				0%
3	129	97	91%	79%	89%	8%	0%	0%	1%	4%	2%				2%
4	44	43	89%	91%	90%	51%	20%	14%	0%	0%	5%				23%
5	84	72	87%	86%	80%	25%	3%	3%	1%	3%	0%				0%
6	137	109	91%	90%	92%	13%	4%	2%	0%	0%	0%				0%
7	72	64	92%	88%	89%	2%	0%	0%	0%	0%	0%				0%
8	51	50	88%	84%	83%	20%	0%	3%	3%	3%	0%				0%
9	170	100	89%	81%	85%	3%	1%	1%	1%	1%	0%				0%
10	218	196	96%	84%	85%	28%	4%	6%	3%	2%	0%				2%
11	63	54	87%	88%	85%	7%	4%	6%	4%	2%	0%				0%
12	89	81	92%	87%	91%	48%	0%	0%	9%	25%	8%				16%
13	149	97	95%	82%	90%	3%	1%	3%	0%	1%	3%				5%
14	140	102	96%	92%	90%	39%	11%	13%	3%	5%	5%				8%
15	174	102	99%	92%	91%	31%	2%	6%	28%	28%	19%				11%
16	208	107	97%	96%	94%	35%	9%	20%	14%	15%	18%				22%
17	78	75	100%	95%	80%	9%	5%	4%	7%	13%	6%				13%
18	173	100	98%	94%	95%	58%	9%	11%	1%	2%	0%				0%
19	132	124	96%	97%	97%	27%	10%	7%	1%	5%	0%				0%
20	147	114	90%	97%	95%	24%	6%	16%	10%	18%	8%				5%
21	122	101	95%	94%	93%	11%	2%	2%	0%	0%	0%				0%
22	169	106	97%	95%	92%	17%	3%	1%	1%	5%	10%				13%
23	242	103	91%	94%	97%	5%	2%	1%	1%	0%	1%				0%
24	80	65	96%	82%	94%	6%	14%	4%	7%	2%	2%				7%
<i>j</i>	N_j	M_j	$c_j^{(1)}$	$c_j^{(2)}$	$c_j^{(3)}$	$S_j^{(0)}$	$S_j^{(1)}$	$S_j^{(2)}$	$S_j^{(3)}$	$S_j^{(4)}$	$S_j^{(5)}$				

*mass antibiotic distribution to all ages after sample collection at that time point

**lower and upper bounds of your 95% credible interval for the village

Forecasts by experts, regression, and SIS hidden Markov model were made using the data in this table, not including the observed 36 month results (right-hand column).

doi:10.1371/journal.pntd.0004000.t001

trachoma experts were asked to forecast the 36 month prevalence of infection in the 24 communities of the PRET-Niger study described above, and were provided, for each community, the biannual prevalence estimates from 0–30 months, the antibiotic coverage at 0, 12, and 24 months, the estimated population of 0–5 years olds at baseline, and the number of children sampled at baseline (Table 1). For each of the 24 communities, the experts were asked to provide their median estimate at 36 months, as well as the lower and upper bounds of their centralized 95% credible interval (the 2.5th, 50th, and 97.5th percentile of their belief). The community expert opinion was constructed by estimating each of the 15 individual’s distribution for each village (see Scoring below) and then taking the arithmetic average assuming equal weights, and was used as the primary survey forecast, although each individual’s forecast was also scored separately. The number of trachoma publications by each of the 15 experts was

assessed by a PubMed (National Library of Medicine) search on December 1, 2014 (expert name as author AND “trachoma” as keyword).

Statistical methods

Linear mixed effects regression was used to model the prevalence at 12 months and 24 months based on observations at 6 months and at 18 months, respectively. A random intercept was used for each village. To improve normality and homoskedasticity, the square root transform was applied to the prevalence fractions. The fitted model was then used to predict the prevalence at time 36 based on observations at 30 months. Standard errors were obtained using clustered bootstrap. All calculations were conducted using R (R Foundation for Statistical Computing, Vienna, Austria, v.3.1 for Macintosh, package lme4). While the primary regression was of square-root transformed regression with a community-level random effect, we also included a linear regression model without a community-level random effect.

Modeling methods

We constructed a stochastic transmission model of transmission of *Chlamydia trachomatis* infection over time. For village j ($j = 1, \dots, 24$), we assumed a population of size N_j , taken from the number of children aged 0–5 years found in the census at the time of treatment k ($k = 1, 2, 3$ corresponding to baseline, 12 and 24 months). We assumed a classical SIS (susceptible-infectious-susceptible) model structure, assuming that the force of infection is proportional to the prevalence of infection in the population of children aged 0–5 years with proportionality constant β , and a constant per-capita recovery rate γ [19]. Between periods of treatment, we assumed that the probability $p_{i,j}^{(k)}(t)$ that there are i infectives in village j at time t after treatment time point k obeys the following equations [20, 21]:

$$\frac{dp_{0,j}^{(k)}}{dt} = \gamma p_{1,j}^{(k)}$$

$$\frac{dp_{i,j}^{(k)}}{dt} = \beta \frac{(i-1)(N_j-i+1)}{N_j} p_{i-1,j}^{(k)} + \gamma(i+1)p_{i+1,j}^{(k)} - \beta \frac{i(N_j-i)}{N_j} p_{i,j}^{(k)} - \gamma i p_{i,j}^{(k)}, \text{ for } 1 \leq i \leq N_j - 1$$

$$\frac{dp_{N_j,j}^{(k)}}{dt} = \beta \frac{N_j-1}{N_j} p_{N_j-1,j}^{(k)} - \gamma N_j p_{N_j,j}^{(k)}$$

To model treatment, we assumed that each child aged 0–5 years in village j has probability $c_j^{(k)}$ of receiving treatment with the antibiotic efficacy e_k for treatment period k . We modeled each treatment according to $p_{i,j}^{(k)}(t=0) = \sum_{i'=i}^{N_j} p_{i',j}^{(k,pre)} \binom{i'}{i} (1-c_j^{(k)})^i (c_j^{(k)} e_k)^{i-i'}$, where i' is the number of infected individuals of children aged 0–5 years eligible for treatment, $p_{i',j}^{(k,pre)}$ is the probability of i' infected individuals of children aged 0–5 years before treatment time point k , and i is the number of infected individuals of children aged 0–5 years after treatment. Let $S_j^{(l)}$ and $M_j^{(l)}$ be the observed number of PCR-positive individuals of children aged 0–5 years and the sample size at each observation time point l ($l = 0, 1, 2, 3, 4, \text{ and } 5$ corresponding to baseline, 6, 12, 18, 24 and 30 months, respectively) for village j , and S_j be the possible number (ranging from 0 to $M_j^{(l)}$) of positive individuals of children aged 0–5 years detected in the sample at

observation time point l . From village j with population (children aged 0–5 years) size N_j of which the number Y_j of infectives equals i , the probability $P(S_j = s | Y_j = i)$ that s positives are observed from a sample of size M_j is given by the hypergeometric distribution:

$$\binom{i}{s} \binom{N_j - i}{M_j^{(l)} - s} / \binom{N_j}{M_j^{(l)}}.$$

We assumed a standard beta-binomial prior (the binomial distribution in which the probability of success at each trial follows the beta distribution)

$$P(Y_j = y) = \binom{N_j}{y} \frac{B(y + \mu, N_j - y + \rho)}{B(\mu, \rho)}$$

(where the shape parameters μ and ρ for each treatment were

computed from the observed distribution of infection of 24 villages at baseline, 12 and 24 months, $B(z_1, z_2)$ is the beta function) [22]. The pre-treatment prevalence distribution was then computed for each village by applying Bayes' theorem:

$$p_{i,j}^{(k,pre)} = P(Y_j = i | S_j = s) = \frac{P(S_j = s | Y_j = i) P(Y_j = i)}{\sum_{i=0}^{N_j} P(S_j = s | Y_j = i) P(Y_j = i)} \tag{2}$$

For each village j , the initial condition is determined from Eq (2), and the system numerically integrated for six or twelve months according to Eq (1). Specifically, for each village j , the pre-treatment distributions of k th treatment is $p_{i,j}^{(k,pre)} = P(Y_j = i | S_j = S_j^{(2k-2)})$. Given the number i of infected individuals of children aged 0–5 years, we computed the probability of the

observed data of treatment k in village j according to $P(S_j = s) = \sum_{i=s}^{N_j} p_{i,j}^{(k)}(\tau) \binom{i}{s} \times$

$\binom{N_j - i}{M_j - s} / \binom{N_j}{M_j}$ (where M_j here denotes the sample size at one of the observation time points in the period k , and τ (6 or 12 months) is the interval between treatment time point and observation time point). We assumed independent villages, so that the total loglikelihood at time τ months after each treatment k may be computed by summing over all 24 villages

$$\sum_{j=1}^{24} \log \left(\sum_{i=0}^{N_j} p_{i,j}^{(k)}(\tau) \binom{i}{s} \binom{N_j - i}{M_j - s} / \binom{N_j}{M_j} \right).$$

The transmission coefficient and antibiotic efficacy in the model were optimized by using the Metropolis algorithm with the total likelihood of three treatment periods to fit the model to the observed numbers of PCR-positive individuals of children aged 0–5 years in each village at 6, 12, 18, 24 and 30 months [23]. Forecasting the distribution of the observed number of PCR-positive individuals of children aged 0–5 years in a village at 36 months, conditionally on the observed numbers of PCR-positive individuals of children aged 0–5 years at baseline, 6, 12, 18, 24 and 30 months from the same village, was done by using a hidden Markov model according to the equation of forecast distribution [24].

The primary modeling forecast was pre-specified as the SIS process model with a random effect, although the SIS model without a random effect was included as a sensitivity analysis. In addition, the forecast of each model as a distribution over 101 discrete units was included as a comparison to the distribution estimated by minimizing the Fisher's information (which allows a symmetric credible interval to approach a normal distribution, as well as the flexibility of asymmetric credible intervals to represent skewed distributions). Sensitivity analyses included changing the fixed mean infection duration assumed in the model to be 6 months, to 3 months or to 12 months.

Scoring

To ensure a fair comparison, all forecasts were scored from the proposed median and 95% CrI. Given the denominator of the sample for each village at 36 months, the discrete distribution which minimized the Fisher's information while constrained to that expert's median and 95% CrI was estimated (*Mathematica 10.0*). As a sensitivity analysis, the SIS model forecasts were also presented as a distribution from 0 to 100%, with the score compared to the score derived from the median and 95% CrI. The modeler, statistician, and each of the 15 experts surveyed were all masked to the 36-month results, as well as to the forecasts made by others. Different forecasts were pairwise compared using Wilcoxon's signed-rank test (*Mathematica 10.0*), using the Holm–Bonferroni multiple comparison correction, assuming 3 tests.

As a sensitivity analyses, we assessed whether the likelihood of the observed data would be greater (or lesser) had each forecast been more (or less) certain. Specifically, we perturbed each forecast by taking the density at each possible prevalence to the $1+\epsilon$ power, normalizing, and determining the likelihood of the observed data. Note that this maintains the support of a forecast, maintains the ordering of the outcomes, and increases the Fisher's information proportionally by ϵ (or decreases information proportionally for $\epsilon < 0$).

Results

At the baseline census, communities had a mean of 146 children (95% CI 137 to 155) aged 0 to 5 years. The mean antibiotic coverage of children was 92.3% at baseline, 89.0% at 12 months, and 89.8% at 24 months. At baseline, the estimated prevalence of infection in the 24 communities ranged from 2% to 58% with a mean prevalence of 21.1% (95% CI 19.8% to 22.5%) [18]. The community prevalence of infection at each biannual visit is displayed in [Table 1](#). The observed prevalence of infection at 36 month which was to be forecasted ranged from 0% to 22.5% with a mean prevalence of 5.8% (95% CI 5.2% to 6.4%).

The 15 experts provided forecasts for each of the 24 communities, with the mean taken as the community forecast ([Fig 1](#)). [Fig 2](#) shows the forecast distributions for the community of experts, regression, and the SIS model, and [Table 2](#) ranks the likelihood of the observed 36-month prevalence for each ([S1 Fig](#) and [S1 Table](#) in Supporting Information show the difference between observed and forecast prevalence). The estimated parameters of the SIS model with random effect are shown in [Table 3](#). The SIS model and the square root-transformed regression had significantly better likelihood than the experts ($p = 0.004$ and $p = 0.01$, respectively), and than the linear regression ($p = 0.01$ and $p = 0.02$, respectively). All forecasts were positively biased, on average estimating a greater prevalence than was observed. All forecasts had a lower (worse) likelihood if their Fisher's information was marginally increased. No individual expert forecast was better than the community forecast (the mean of the 15 experts).

A priori, the SIS model assumed a mean duration of infection of 6 months, obtaining a loglikelihood of the observed 36 month data of -41.03. Had we assumed the mean duration of infection was 3 months or 12 months, the loglikelihood would have been -41.47 or -39.91, respectively. If we had assumed the 6 month duration of infection, but did not use a community-level random effect, the likelihood score would have been -41.57. To fairly compare the different methods, the distribution of each forecast was estimated by minimizing the Fisher's information given the estimated median and 95% CrI. For the SIS model, we also expressed each full distribution, obtaining a loglikelihood score of -40.90, or nearly the same as the -41.03 obtained from minimizing the Fisher's information.

The mean number of trachoma citations on PubMed by the experts was 42 (range 0 to 133). The likelihood score and number of publications was actually inversely correlated

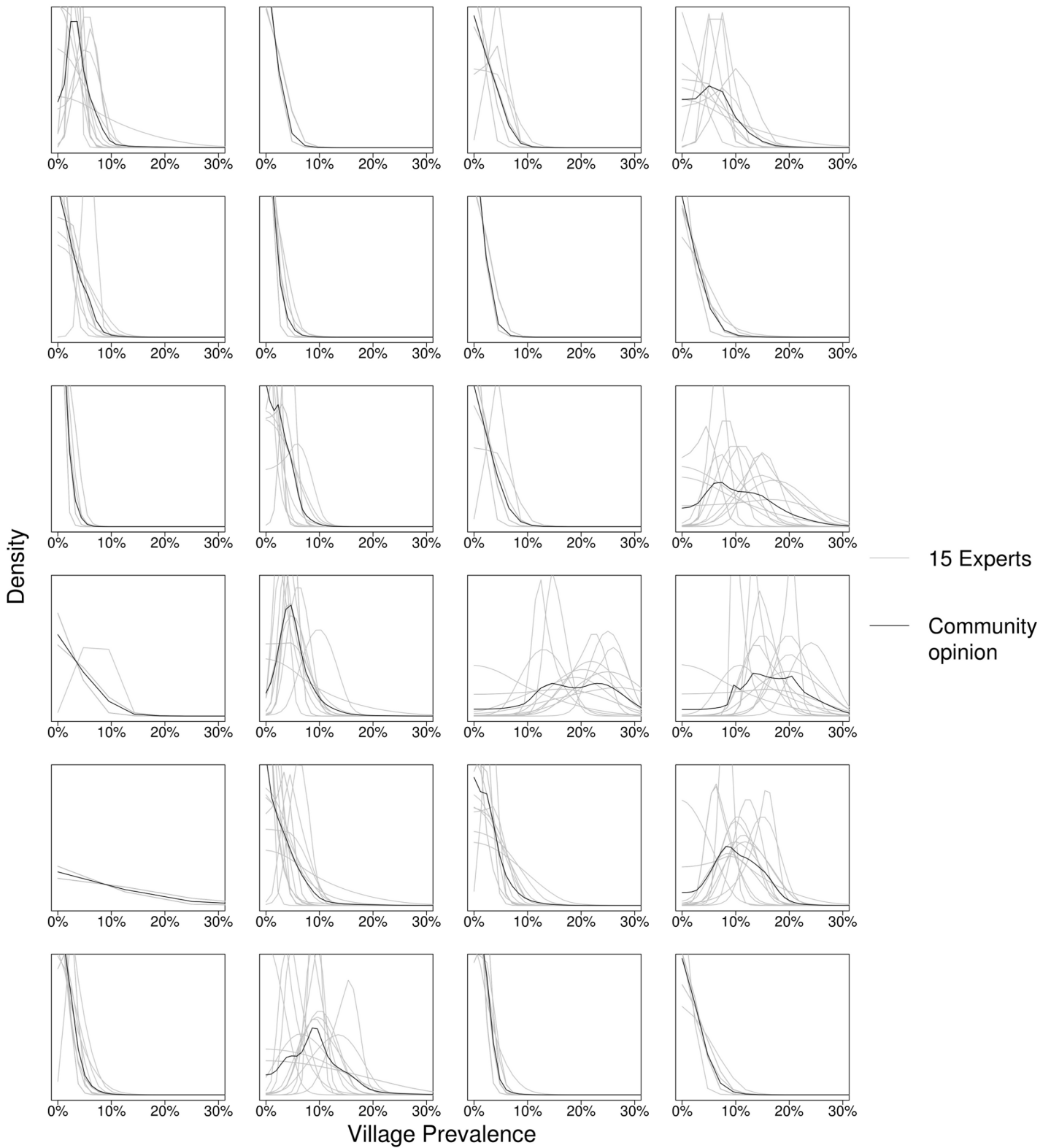


Fig 1. Survey results. 15 experts' forecasts of the 36 month prevalence in each of 24 communities. Expert's forecast distributions (grey curves) were estimated from their expected median and 95% CrI bounds for each community. Experts' distributions could overlap when identical medians and bounds were submitted. The mean (black curve) is used to represent the community forecast.

doi:10.1371/journal.pntd.0004000.g001

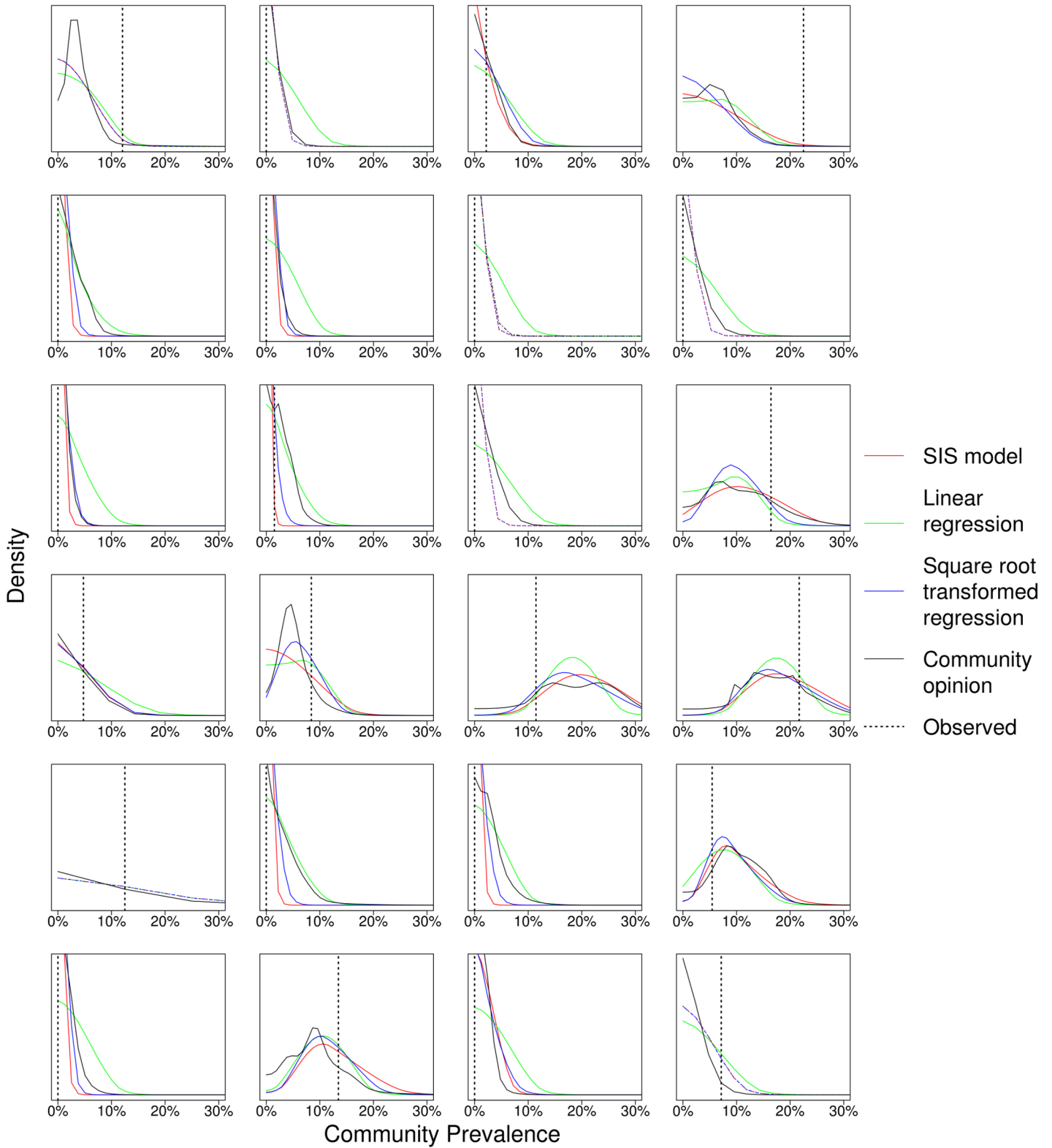


Fig 2. Different forecast methods versus observed result. Regressions (linear regression as green curve, square root-transformed blue), SIS hidden Markov Model (red), community of experts (black), and observed 36-month prevalence (dotted bar). Forecasts could overlap.

doi:10.1371/journal.pntd.0004000.g002

Table 2. Forecast scores and bias.

Model	log _e likelihood	Bias
SIS hidden Markov model with random effect	-41.03	+0.69
SIS hidden Markov model without random effect	-41.57	+0.64
square root-transformed regression	-42.90	+0.61
community of experts	-48.65	+1.75
linear regression	-51.88	+1.44
individual experts, median result (range of n = 15)	-61.07 (-53.84 to -104.95)	+0.90

Forecast were scored as the loglikelihood of observing the 24 community-level prevalence of ocular chlamydial infection at 36 months, with a higher (less negative) loglikelihood indicating a better forecast. Positive bias indicates that the expectations for the 24 communities were on average higher than the observed prevalence.

doi:10.1371/journal.pntd.0004000.t002

(Spearman’s correlation -0.33, $p = 0.24$), thus we were unable to demonstrate that this measure of expertise was associated with better forecasting.

We performed logistic regression, assuming the individual PCR results most likely to have obtained the observed pooled results, but this performed no better than linear regression of the square-root transformed regression.

Discussion

An SIS hidden Markov model and a regression model both produced forecasts with significantly higher likelihood of the observed data than a community of experts. The SIS model, which attempted to utilize an understanding of the infectious process and mass treatment, performed significantly better than linear regression, but only slightly (and not significantly) better than regression of the square root-transformed prevalence.

In general, more uncertainty resulted in better scoring forecasts. For every forecast a mathematical perturbation which reduced the Fisher’s information resulted in a higher likelihood of the observed data. The inclusion of a community-level random effect in the SIS hidden Markov model improved forecasting, perhaps by increasing uncertainty. The composite survey contained less information than any individual survey, and did better than any single individual forecast. The benefit of adding uncertainty could suggest that forecasts are inherently over-confident, or that additional variance components of the data were not considered by any of the methods.

Even though the SIS hidden Markov model and regression model had significantly higher likelihood than the community experts, the forecasted distributions of prevalence (as shown in Fig 2) by all models were very similar and did not show which model was significantly better

Table 3. Estimated parameters of the SIS model with random effect.

Duration of infection	Efficacy \hat{e} (95% CI)	Mean of $\log_e \hat{\beta}$ (95% CI)	SD of $\log_e \hat{\beta}$ (95% CI)
6-month	0.836 (0.773, 0.886)	-1.403 (-1.529, -1.289)	0.035 (0.002, 0.098)
3-month	0.678 (0.561, 0.787)	-0.989(-1.373, -0.605)	0.033 (0.001, 0.092)
12-month	0.897 (0.853, 0.936)	-1.651 (-1.805, -1.519)	0.045 (0.002, 0.133)

Given a 6, 3, or 12months of infection duration, we estimated the overall efficacy, the mean and standard deviation of $\log_e \hat{\beta}$ (assuming that the logarithm of transmission coefficient β is from a normal distribution) based on the observed data of 24 communities. Estimation was done by using MCMC.

than other models. With more available data, models could improve forecasting. The SIS hidden Markov model did not include infection from outside the population of children aged 0–5 years in each community. Our previous model [13] used a simple constant exogenous infection rate to represent infection from older children or adults to children aged 0–5 years within the same community, and did not find significant differences between the estimated transmission coefficients with and without the exogenous infection rate for different durations of infection. Of course, such models could be further refined to reflect age structured transmission dynamics. In this setting, the other age groups (older children and adults) were being treated as well, and other studies have shown consistently higher prevalence in small children than in other age groups (e.g. [25]).

The prevalence of infection in different communities is clearly correlated visit-to-visit, with visits 6-months apart having a higher correlation than visits further apart. However, there may be a fundamental limit to the predictability at the community level, simply due to the vagaries of who infects whom and when they do so. Mathematical models and cross-sectional empirical studies have suggested that as disease is disappearing, the prevalence of infection should form an exponential distribution (or its discrete analog, a geometric distribution), whether the disappearance is due to mass antibiotics, environmental improvements, or a secular trend [26, 27]. This exponential distribution has a much heavier tail than, for example, the normal distribution, so outliers are to be expected even when all communities are assumed to have identical transmission characteristics. Six-months is a relatively short period in trachoma control—programs typically reassess endemicity every 1–5 years. If predictability decreases as time increases between visits, then we would expect that apparent hotspots at one visit may not be the most affected areas at a subsequent visit. This has been termed *chasing ghosts* by trachoma programs (personal communication, PME).

Forecasts, whether made by experts, statistics, or mathematical transmission models, are rarely done in a falsifiable manner. Here, all participants were presented with identical information and masked to the results and to the other forecasters. Forecasts described the distribution of all possible outcomes, not a prediction of the single most favorable, and were scored in a pre-specified manner. The availability of results from 24 communities allowed a statistical comparison between forecasts, reducing the chance that the overall score would be dependent on a single fortunate guess.

Current WHO guidelines for starting mass drug administration are based on the district prevalence of the clinical signs of disease rather than infection, and future studies could assess forecasting at that level. In this study, we forecasted the community-level prevalence of ocular chlamydial infection. WHO guidelines currently include sub-district level intervention, at least for hypo-endemic districts with 5–10% prevalence of clinical activity in children. Individual community-level forecasting may become important for surveillance after mass antibiotic administrations have been discontinued.

Programs currently make decisions based on recommendations offered by the WHO [1]. Guidelines have relied heavily on extrapolation of existing evidence and expert opinion, since not all scenarios have been, or likely will ever be, tested in community-randomized trials. Forecasting at the individual community level has not been particularly successful. While forecasting at the district level may be more feasible than forecasting at the individual community level, statistical and transmission model forecasts should be evaluated. If proven more effective, as they were in this setting, then it may be reasonable for programmatic decisions to be based on statistical or modeling forecasts rather than just expert opinion.

Supporting Information

S1 Fig. Different forecast methods versus observed result. Regressions (linear regression, green; square root-transformed, blue), SIS hidden Markov Model (red), and community of experts (black), with mean (solid circle) and 95% CI (circle). (TIFF)

S1 Table. Difference between observed result and forecast by SIS, linear regression, square root transformed regression, and community opinion. (DOCX)

Acknowledgments

In addition to the PRET and MIDAS study sponsors, the authors thank the data and safety monitoring committee, including Douglas Jabs, MD, MBA (chair), Antoinette Darville, MD, Maureen Maguire, PhD, and Grace Saguti, MD, who were generous with their time and advice and met before and during the study. The authors thank all of our colleagues in Niger at Programme National de Santé Oculaire who collected samples and data. We also thank the NTD support center, the Task Force for Global Health, and the 15 experts surveyed at the WHO NTD-STAG Monitoring and Evaluation Working Group meeting.

Author Contributions

Conceived and designed the experiments: TML TCP FL. Performed the experiments: FL TCP AA BK BN SKW RLB JDK AWS PME MG TML. Analyzed the data: TML TCP FL. Wrote the paper: TML TCP FL.

References

1. Taylor HR, Burton MJ, Haddad D, West S, Wright H. Trachoma. *Lancet*. 2014. doi: [10.1016/S0140-6736\(13\)62182-0](https://doi.org/10.1016/S0140-6736(13)62182-0) PMID: [25043452](https://pubmed.ncbi.nlm.nih.gov/25043452/).
2. Haddad D. Ten years left to eliminate blinding trachoma. *Community eye health / International Centre for Eye Health*. 2010; 23(73):38. Epub 2010/12/02. PMID: [21119924](https://pubmed.ncbi.nlm.nih.gov/21119924/); PubMed Central PMCID: PMC2975121.
3. Smith JL, Flueckiger RM, Hooper PJ, Polack S, Cromwell EA, Palmer SL, et al. The geographical distribution and burden of trachoma in Africa. *PLoS neglected tropical diseases*. 2013; 7(8):e2359. doi: [10.1371/journal.pntd.0002359](https://doi.org/10.1371/journal.pntd.0002359) PMID: [23951378](https://pubmed.ncbi.nlm.nih.gov/23951378/); PubMed Central PMCID: PMC3738464.
4. Solomon AW, Kurylo E. The global trachoma mapping project. *Community eye health / International Centre for Eye Health*. 2014; 27(85):18. PMID: [24966461](https://pubmed.ncbi.nlm.nih.gov/24966461/); PubMed Central PMCID: PMC4069783.
5. Smith JL, Haddad D, Polack S, Harding-Esch EM, Hooper PJ, Mabey DC, et al. Mapping the global distribution of trachoma: why an updated atlas is needed. *PLoS neglected tropical diseases*. 2011; 5(6):e973. Epub 2011/07/09. doi: [10.1371/journal.pntd.0000973](https://doi.org/10.1371/journal.pntd.0000973) PMID: [21738814](https://pubmed.ncbi.nlm.nih.gov/21738814/); PubMed Central PMCID: PMC3125147.
6. Mabey DC, Solomon AW, Foster A. Trachoma. *Lancet*. 2003; 362(9379):223–9. PMID: [12885486](https://pubmed.ncbi.nlm.nih.gov/12885486/).
7. Lietman T, Porco T, Dawson C, Blower S. Global elimination of trachoma: how frequently should we administer mass chemotherapy? *Nature medicine*. 1999; 5(5):572–6. PMID: [10229236](https://pubmed.ncbi.nlm.nih.gov/10229236/)
8. Gambhir M, Basanez MG, Turner F, Kumaresan J, Grassly NC. Trachoma: transmission, infection, and control. *The Lancet infectious diseases*. 2007; 7(6):420–7. PMID: [17521595](https://pubmed.ncbi.nlm.nih.gov/17521595/).
9. Grassly NC, Ward ME, Ferris S, Mabey DC, Bailey RL. The natural history of trachoma infection and disease in a gambian cohort with frequent follow-up. *PLoS neglected tropical diseases*. 2008; 2(12):e341. PMID: [19048024](https://pubmed.ncbi.nlm.nih.gov/19048024/). doi: [10.1371/journal.pntd.0000341](https://doi.org/10.1371/journal.pntd.0000341)
10. Blake IM, Burton MJ, Bailey RL, Solomon AW, West S, Munoz B, et al. Estimating household and community transmission of ocular Chlamydia trachomatis. *PLoS neglected tropical diseases*. 2009; 3(3):e401. doi: [10.1371/journal.pntd.0000401](https://doi.org/10.1371/journal.pntd.0000401) PMID: [19333364](https://pubmed.ncbi.nlm.nih.gov/19333364/); PubMed Central PMCID: PMC2655714.

11. Blake IM, Burton MJ, Solomon AW, West SK, Basanez MG, Gambhir M, et al. Targeting antibiotics to households for trachoma control. *PLoS neglected tropical diseases*. 2010; 4(11):e862. doi: [10.1371/journal.pntd.0000862](https://doi.org/10.1371/journal.pntd.0000862) PMID: [21072225](https://pubmed.ncbi.nlm.nih.gov/21072225/); PubMed Central PMCID: PMC2970531.
12. Liu F, Porco TC, Ray KJ, Bailey RL, Mkocha H, Munoz B, et al. Assessment of transmission in trachoma programs over time suggests no short-term loss of immunity. *PLoS neglected tropical diseases*. 2013; 7(7):e2303. doi: [10.1371/journal.pntd.0002303](https://doi.org/10.1371/journal.pntd.0002303) PMID: [23875038](https://pubmed.ncbi.nlm.nih.gov/23875038/); PubMed Central PMCID: PMC3708821.
13. Liu F, Porco TC, Mkocha HA, Munoz B, Ray KJ, Bailey RL, et al. The efficacy of oral azithromycin in clearing ocular chlamydia: mathematical modeling from a community-randomized trachoma trial. *Epidemics*. 2014; 6:10–7. doi: [10.1016/j.epidem.2013.12.001](https://doi.org/10.1016/j.epidem.2013.12.001) PMID: [24593917](https://pubmed.ncbi.nlm.nih.gov/24593917/).
14. Koukounari A, Moustaki I, Grassly NC, Blake IM, Basanez MG, Gambhir M, et al. Using a Nonparametric Multilevel Latent Markov Model to Evaluate Diagnostics for Trachoma. *American journal of epidemiology*. 2013; 177(9):913–22. doi: [10.1093/aje/kws345](https://doi.org/10.1093/aje/kws345) PMID: [23548755](https://pubmed.ncbi.nlm.nih.gov/23548755/); PubMed Central PMCID: PMC3639724.
15. See CW, Alemayehu W, Melese M, Zhou Z, Porco TC, Shiboski S, et al. How reliable are tests for trachoma?—a latent class approach. *Investigative ophthalmology & visual science*. 2011; 52(9):6133–7. doi: [10.1167/iovs.11-7419](https://doi.org/10.1167/iovs.11-7419) PMID: [21685340](https://pubmed.ncbi.nlm.nih.gov/21685340/); PubMed Central PMCID: PMC3176003.
16. Halloran ME, Ferguson NM, Eubank S, Longini IM, Cummings DAT, Lewis B, et al. Modeling targeted layered containment of an influenza pandemic in the United States. *Proc Natl Acad Sci U S A*. 2008; 105(12):4639–44. doi: [10.1073/pnas.0706849105](https://doi.org/10.1073/pnas.0706849105) PMID: [18332436](https://pubmed.ncbi.nlm.nih.gov/18332436/)
17. Shaman J, Yang W, Kandula S. Inference and forecast of the current West African Ebola outbreak in Guinea, Sierra Leone and Liberia. *PLoS currents*. 2014; 6: ecurrents.outbreaks.3408774290-b1a0f2dd7cae877c8b8ff6. doi: [10.1371/currents.outbreaks.3408774290b1a0f2dd7cae877c8b8ff6](https://doi.org/10.1371/currents.outbreaks.3408774290b1a0f2dd7cae877c8b8ff6)
18. Amza A, Kadri B, Nassirou B, Stoller NE, Yu SN, Zhou Z, et al. Community risk factors for ocular Chlamydia infection in Niger: pre-treatment results from a cluster-randomized trachoma trial. *PLoS neglected tropical diseases*. 2012; 6(4):e1586. Epub 2012/05/01. doi: [10.1371/journal.pntd.0001586](https://doi.org/10.1371/journal.pntd.0001586) PMID: [22545165](https://pubmed.ncbi.nlm.nih.gov/22545165/); PubMed Central PMCID: PMC3335874.
19. Brauer F, van den Driessche P, Wu J. *Mathematical Epidemiology*. Maini PK, editor. Berlin: Springer-Verlag; 2008.
20. Ray KJ, Lietman TM, Porco TC, Keenan JD, Bailey RL, Solomon AW, et al. When can antibiotic treatments for trachoma be discontinued? Graduating communities in three African countries. *PLoS neglected tropical diseases*. 2009; 3(6):e458. doi: [10.1371/journal.pntd.0000458](https://doi.org/10.1371/journal.pntd.0000458) PMID: [19529761](https://pubmed.ncbi.nlm.nih.gov/19529761/); PubMed Central PMCID: PMC2690652.
21. Lietman TM, Gebre T, Ayele B, Ray KJ, Maher MC, See CW, et al. The epidemiological dynamics of infectious trachoma may facilitate elimination. *Epidemics*. 2011; 3(2):119–24. doi: [10.1016/j.epidem.2011.03.004](https://doi.org/10.1016/j.epidem.2011.03.004) PMID: [21624783](https://pubmed.ncbi.nlm.nih.gov/21624783/); PubMed Central PMCID: PMC3869790.
22. Johnson NL, Kotz S, Keprn AW. *Univariate Discrete Distributions*. Barnett V, Bradley RA, NI Fisher, Hunter JS, JB Kadane, Kendall DG, et al., editors. New York: John Wiley & Sons, Inc; 1993.
23. Brooks S. *Handbook of Markov chain Monte Carlo*. Boca Raton: CRC Press; 2011.
24. Zucchini W, MacDonald IL. *Hidden Markov models for time series: an introduction using R*. Boca Raton: CRC Press; 2009. pp. 75–87.
25. Solomon AW, Holland MJ, Alexander ND, Massae PA, Aguirre A, Natividad-Sancho A, et al. Mass treatment with single-dose azithromycin for trachoma. *New England Journal of Medicine*. 2004; 351(19):1962–71. PMID: [15525721](https://pubmed.ncbi.nlm.nih.gov/15525721/)
26. Lietman TM, Gebre T, Abdou A, Alemayehu W, Emerson P, Blumberg S, et al. The distribution of the prevalence of ocular chlamydial infection in communities where trachoma is disappearing. *Epidemics*. 2015; 11:85–91. doi: [10.1016/j.epidem.2015.03.003](https://doi.org/10.1016/j.epidem.2015.03.003) PMID: [25979286](https://pubmed.ncbi.nlm.nih.gov/25979286/)
27. Nåsell I. The quasi-stationary distribution of the closed endemic SIS model. *Advances in Applied Probability*. 1996:895–932.