

Rapid natural killer cell differentiation in a population with near
universal human cytomegalovirus infection is attenuated by
NKG2C deletions.

Martin R. Goodier*, Matthew J. White*, Alansana Darboe*[¶], Carolyn M. Nielsen,
Adriana Goncalves[†], Christian Bottomley[§], Sophie E. Moore^{∞¶} and Eleanor M.
Riley*[‡]

*Department of Immunology and Infection, [†]Department of Clinical Research,
[§]Department of Infectious Disease Epidemiology, London School of Hygiene and
Tropical Medicine, [∞]MRC Human Nutrition Research, Cambridge, UK and [¶]MRC
International Nutrition Group, MRC Keneba, MRC Unit, The Gambia.

‡ Corresponding Author: Eleanor M Riley

Tel: 44 20 7927 2706

Fax: 44 20 7637 4314

eleanor.riley@lshtm.ac.uk

Keywords: NK cells, age, CD57, NKG2C, HCMV

Running Title: NK cell differentiation in healthy ageing

Abbreviations: NK – natural killer; HCMV – human cytomegalovirus; HCC –High concentration of cytokines

Key Points

- HCMV infection in early life is associated with rapid phenotypic and functional differentiation of NK cells
- Emergence of CD57⁺ NK cells is attenuated in children lacking *NKG2C*

Abstract

Natural Killer (NK) cells differentiate and mature during the human life course; human cytomegalovirus (HCMV) infection is one known driver of this process. We have explored human NK cell phenotypic and functional maturation in a rural African (Gambian) population with a high prevalence of HCMV. The impact of age on the frequency, absolute number, phenotype and functional capacity of NK cells was monitored in 191 individuals aged from 1 to 49 years. Increasing frequencies of NK cells with age were associated with increased proportions of CD56^{dim} cells expressing the differentiation marker CD57 and expansion of the NKG2C⁺ subset. Frequencies of NK cells responding to exogenous cytokines declined with age in line with a decreased proportion of CD57⁻ cells. These changes coincided with a highly significant drop in anti-HCMV IgG titres by the age of 10 years suggesting that HCMV infection is brought under control as NK cells differentiate (or vice versa). Deletion at the *NKG2C* locus was associated with a gene dose-dependent reduction in proportions of CD94⁺ and CD57⁺ NK cells. Importantly, anti-HCMV IgG titres were significantly elevated in *NKG2C*^{-/-} children suggesting that lack of expression of NKG2C may be associated with altered control of HCMV in childhood.

Introduction

Natural killer (NK) cells play essential roles in controlling infection and surveillance for damaged, dysfunctional or neoplastic cells¹. NK cells differentiate during the human life course. CD56^{bright} cells are the least differentiated population of peripheral blood NK cells, expressing c-kit and high levels of the c-type lectin-like receptor CD94/NKG2A, CD62L and natural cytotoxicity receptors(NCR) NKp30 and NKp46, and lacking expression of KIR, CD16 and CD57²⁻⁵. CD56^{bright} NK cells express cytokine receptors and produce IFN- γ in response to cytokines. By contrast, CD56^{dim} cells express Fc γ RIII(CD16), express varying levels of CD94/NKG2A, KIR, NCRs and perforin, retain their ability to secrete IFN- γ and have higher cytotoxic capacity³. Heterogeneity within the CD56^{dim} subset is associated with acquisition of CD57^{2,4,5}. CD56^{dim}CD57⁻ NK cells are phenotypically and functionally similar to CD56^{bright} cells whereas CD56^{dim}CD57⁺ cells produce little IFN- γ , have shorter telomeres and lower proliferative capacity^{5,6} but degranulate extensively after crosslinking of CD16^{2,5}. Acquisition of CD57 is associated with onset of expression of NKG2C, although the co-dependence of these events and their implications for function are not understood^{7,8}.

Although the external drivers of NK cell differentiation are incompletely understood, inflammation, associated with infection or loss of immune homeostasis, plays a key role⁹. This view is supported by evidence that the late differentiation marker, CD57, can be induced on NK cells by high concentrations of IL-2⁵, that NKG2C⁺ NK cells can be expanded by co-culture with human cytomegalovirus(HCMV) infected fibroblasts¹⁰, that HCMV seropositive individuals have increased frequencies of NKG2C⁺ NK cells¹⁰⁻¹³, and that there is rapid expansion of CD57⁺NKG2C^{hi} NK cells during acute HCMV infection¹⁴ and in individuals infected with Epstein Barr virus(EBV)⁷, hantavirus¹⁵, hepatitis viruses¹⁶ and chikungunya virus¹⁷.

Among Caucasians, NK cell maturation is highly age-dependent. Marked phenotypic and functional differences are observed between NK populations in cord blood, in young

children, adults and in elderly individuals¹⁸⁻²². Young children have higher frequencies of CD56^{bright}CD16⁻ and NKG2A⁺NKG2C⁻ NK cells compared to adults and younger adults have higher frequencies of these cells compared to the elderly¹⁸⁻²². Moreover, NCR⁺ and NKG2D⁺ NK cells decrease in frequency with increasing age, concomitant with loss of CD62L and acquisition of CD57^{2,4,18,22}. NK cell cytokine production decreases with increasing age but cytotoxic responses are conserved^{9,20,23}. There is however a lack of data from older children and teenagers.

The extent to which NK cell differentiation is explained by ageing *per se* or by cumulative exposure to infection is unclear. Among allogeneic hematopoietic stem cell transplant recipients, the first wave of repopulating NK cells comprises predominantly CD56^{bright} or CD56^{dim}CD94⁺ cells; KIR⁺ and CD57⁺ cells can take up to 1 year to emerge^{2,24}. However, among patients who reactivate HCMV after transplantation, NKG2C⁺CD57⁺ NK cells can be detected within 3 months and the host's pre-transplantation repertoire is fully reconstituted within 6 months suggesting that exposure to infection is a significant determinant of NK cell maturation rates²⁴⁻²⁶.

Together, these data suggest that age-related changes in NK cell phenotype and function may be modified by the infection status of the host, and that rates of change across populations may depend upon the prevalence of particular infections. If so, the prevalence of infections such as HCMV may have far-reaching implications for risk of other infections, cancers or autoimmune disease. To begin to address this important aspect of NK cell biology, we have characterised NK cell phenotype and function in an African population characterised by a high burden of infectious disease, including near universal HCMV infection.

Materials and Methods.

Study subjects. This study was approved by the ethical review committees of the Gambia Government/MRC and London School of Hygiene and Tropical Medicine. Subjects were recruited from the villages of Keneba, Manduar and Kantong Kunda in West Kiang district, The Gambia. After giving fully informed consent in accordance with the Declaration of Helsinki, including parental/guardian consent for minors, venous blood samples were collected from 191 individuals aged 1-49 years. Individuals with signs or symptoms of current disease, or known to be pregnant or infected with HIV, were excluded. Plasma was screened for IgG against HCMV(BioKit, Spain), tetanus toxoid(Holzel Diagnostica, Germany), Hepatitis B surface antigen(Diasorin, Italy) and Epstein Barr Virus nuclear antigen(Euroimmun, Germany). Subject characteristics are shown in Table 1.

Peripheral blood mononuclear cell (PBMC) preparation and culture. PBMC were isolated by density gradient centrifugation(Histopaque, Sigma, UK) and analysed *ex vivo* and after 18h culture with cytokines [5ng/ml rhIL-12(Peprotec, London, UK) plus 50ng/ml rhIL-18(R&D systems, Oxford, UK)] or K562 cells (an E:T ratio of 2:1). FITC-conjugated anti-CD107a(BD Biosciences) was added throughout the culture. Brefeldin A and Monensin(BD Biosciences) were added after 15h.

Flow cytometry. PBMC were incubated with combinations of the following monoclonal antibodies: anti-CD3-V500, anti-CD56-PeCy7 and anti-CD94-FITC, anti-NKG2C-PE and anti-NKG2A-APC, anti-CD8-PeCy7, anti-CD57-e450 and anti-CD16-APC-e780 or APC, anti-CD4-PE and anti-CD45RA-APC-H7, anti-CD8-PeCy7, anti-CD27-FITC, anti-CD28-PeCy7 and anti-CCR7-APC, anti-CD45-FITC, anti-CD11c-PE, anti-CD19-PeCy5, anti-CD123-efluor450 and anti-CD14-APCe780, anti-CD107a-FITC, anti-CD25PE and anti-IFN- γ -APC-efluor780 (see supplementary methods). Cells were acquired on a LSRII® flow cytometer using FacsDiva® software. Data analysis was performed using FlowJo®(TreeStar).

NKG2C genotyping. DNA was extracted from whole blood (Wizard genomic DNA extraction kit, Promega, UK) and *NKG2C* genotype was determined by touch-down PCR(Phusion® High Fidelity PCR kits, New England Biolabs, UK)²⁷. PCR primers and conditions are described in supplementary methods.

Statistical analysis. Statistical analysis was performed using Statview and Stata version 13.1. Non-linear effects of age were modelled using natural cubic splines in linear regression models; p-values (F-test) and R-squared values were obtained from these models. One way analysis of variance was used to compare responses of individuals of different genotype. Differences between NK cell subsets were compared using Wilcoxon signed rank tests.

Results

High rates of HCMV and EBV infection in the study population. HCMV infection rates are high in Africa and thus, as expected, only 4 of the 191 individuals were HCMV seronegative; seronegatives were aged between 1 and 3 years, suggesting universal HCMV infection within the first 3 years of life (Table 1). Interestingly, anti-HCMV antibody titres were significantly higher among those under 10 years of age than in older individuals suggesting that optimal control of HCMV infection takes some years to develop (Table 1). Epstein Barr virus (EBV) infection was also common, 75% of the cohort being seropositive for EBV nuclear antigen (EBNA). EBNA seropositivity rates were lowest in children ≤ 2 years old and anti-EBNA titres tended to be higher in those under 15 years of age than in older individuals (Table 1).

NK cell numbers and frequencies change with age. NK cell numbers and frequencies, and the distribution of CD56^{bright} and CD56^{dim} subsets (Fig 1A), were analysed by age group (Figure 1). Consistent with previous observations¹⁸⁻²², the proportion of NK cells among peripheral blood lymphocytes increased significantly with age, reaching a plateau at approximately 15 years (Figure 1B). Within the total NK cell population, the proportion of CD56^{bright} NK cells declined significantly with increasing age (Figure 1C) and the proportion of CD56^{dim} cells increased (Figure 1D) with subset distribution stabilising at approximately 10-12 years (Figure S1). The absolute number of peripheral blood CD56^{bright} and CD56^{dim} NK cells declined with age, indicating that the increased frequency of CD56^{dim} cells in older individuals was not sufficient to offset the overall decline in NK cell numbers (Figure S1, Table S1).

These early and very marked changes in NK cell phenotype contrasted with more gradual changes in T cell phenotype (Figure S2). Consistent with previous studies^{28,29}, we observed a steady decline in naïve CD4⁺ and CD8⁺ T cell frequencies, with a parallel increase in frequencies of effector memory and central memory T cells. However, in contrast to

published data^{28,29}, the frequency of TEMRA cells was already high in young children and did not increase further with age, possibly reflecting high levels of antigen exposure in early life. A decline in absolute numbers of all myeloid and lymphoid cell populations was observed throughout life (Tables S2, S3A,B).

Phenotypic differentiation of NK cells is biphasic, and most rapid during the first 5 years of life. We identified 3 distinct populations of CD56^{dim} NK cells: CD57⁻, CD57⁺ and those with intermediate CD57 expression (CD57^{int})⁶ (Figure 2A). The proportion of CD57⁻ CD56^{dim} NK cells declined significantly with age, mirrored by increasing proportions of CD57⁺ NK cells; the proportion of CD57^{int} cells was stable, consistent with this being a transitional population (Figure 2B). Strikingly, this was a biphasic rather than a linear process, with the most marked changes in CD57 subset distribution occurring in children aged 5 years or less, with very little change in subset distribution after the age of 10 years (Figure S3 A-C).

The frequency of NK cells expressing CD94, which partners both NKG2A and NKG2C at the cell surface, remained stable throughout life, suggesting that the proportion of NK cells expressing either NKG2A or NKG2C also remains stable (Figures 2C-F, S3D). However, within the CD94⁺ population, the proportion of NKG2A⁺ cells decreased with increasing age (Figure 2E, $p=0.03$, ANOVA) whereas the proportion of NKG2C⁺ cells increased (Figure 2F, $p=0.02$, ANOVA). Increasing proportions of NKG2C⁺ NK cells were offset by decreasing NK cell numbers such that the absolute number of NKG2C⁺ cells remained stable throughout life (Table S1).

We then assessed whether changes in CD57 expression mirrored changes in NKG2A/NKG2C expression (Figure 3). The proportion of CD57⁻ cells within the NKG2A⁺ subset decreased significantly with increasing age, with a reciprocal enrichment of CD57^{int} and CD57⁺ NK cells (Figure 3A). Nevertheless, the majority of NKG2A⁺ NK cells remained CD57⁻ even in older individuals (Figure 3A). By contrast, NKG2C⁺ NK cells are frequently CD57⁺ even in children < 2 years and the majority of NKG2C⁺ NK cells are CD57⁺ by the age

of 5 years (Figure 3B). The mean fluorescence intensity (MFI) of CD57 expression was very low on NKG2A⁺ NK cells (at all ages) but increased significantly with increasing age on NKG2C⁺ cells (Figure 3C, S3G,H) suggesting that NKG2C⁺ NK cells differentiate rapidly in this cohort (gaining full CD57 expression very early in life) whereas NKG2A⁺ NK cells differentiate only very slowly. This rapid expansion and differentiation of the NKG2C⁺ NK cell population is likely a consequence of perinatal HCMV infection. Moreover, anti-HCMV IgG titre was negatively correlated with the frequency of CD57⁺ NK cells (Figure S4), suggesting that advanced NK cell differentiation may be associated with control of HCMV, or vice versa. EBV serostatus, which has been associated with altered NK cell phenotype in HCMV exposed Europeans⁷, had no significant impact on NK cell subset distribution other than a minor increase in CD56^{dim} cell frequency (Figure S5A-G), supporting a recent paper suggesting that acute EBV co-infection has no major impact on NKG2C+CD57+NK cells³⁰.

Rapid functional maturation of NK cells during childhood in The Gambia. To assess the functional consequences of these phenotypic changes, PBMCs were cultured *in vitro* with K562 target cells or with high concentrations of cytokines (IL-12 and IL-18; HCC); NK cell degranulation (CD107a), CD25 and IFN- γ expression were assessed by flow cytometry (Figure 4). Spontaneous low level degranulation and IFN- γ production was observed amongst unstimulated cells from children below the age of 10 years, perhaps indicating *in vivo* NK cell activation (Figure 4C,I). Incubation with K562 cells increased NK cell degranulation but this did not differ with age (Figure 4D). Conversely, degranulation, upregulation of CD25 and IFN- γ production in response to HCC (Figure 4E,H,K) were all strongly age-related, being significantly higher in children under the age of 10 years than in older individuals (Figure S6).

Spontaneous NK cell degranulation could be attributed to CD56^{dim}CD57⁻ cells (Figure 5A) whereas spontaneous expression of CD25 and IFN- γ production were restricted to the CD56^{bright} subset (Figure 5B,C). CD107a and CD25 expression were observed in all NK cell

subsets after incubation with K562 cells. Although this did not vary with age, it was higher in CD57⁻ cells than in CD57^{int} and CD57⁺ cells (Figure 5D,E) consistent with patterns of expression of the NKp30 activating receptor (which binds B7-H6 on K562 cells)^{31,32}. As expected, K562 cells induced little IFN- γ secretion from any NK cell subset (Figure 5F).

CD57⁻ NK cells (but not CD57^{int} or CD57⁺ cells) degranulated extensively in response to cytokine stimulation (Figure 5G) and cytokine-induced CD25 expression and IFN- γ production declined with progressive NK cell differentiation, being highest in the CD56^{bright} subset and lowest in the CD56^{dim} CD57⁺ subset (Figure 5H,I). Although there was a trend for increasing CD107a and CD25 expression with increasing age in CD57^{int} and CD57⁺ NK cells after cytokine stimulation (Figure 5G,H), this was only significant comparing the very youngest and very oldest age groups ($p < 0.01$, ANOVA).

Thus, whilst subtle age-associated changes in NK cell function may be evident within subsets, changing NK cell function with age is primarily due to the changing proportion of cells within subsets.

Impact of *NKG2C* genotype on NK cell numbers and phenotype. Lack of *NKG2C* expression due to deletion of the *NKG2C* locus has been reported in several populations^{27,33-35}. Nineteen of 181 individuals tested here (10.4%) were *NKG2C*^{-/-} (and lacked surface expression of *NKG2C*) whereas 68 individuals (37.5%) were heterozygotes, giving a haplotype frequency of 29.3%. *NKG2C*^{-/-} individuals were distributed evenly across age groups and between the sexes (Table 1).

NKG2C genotype did not affect frequencies of total, CD56^{bright} or CD56^{dim} NK cells although, consistent with published data³⁴, *NKG2C*^{-/-} children under 10 years old had lower absolute numbers of NK cells when compared with *NKG2C*^{+/-} children (Figure S7). However, *NKG2C*^{-/-} individuals had significantly lower frequencies of CD56^{dim} CD94⁺ NK cells than did *NKG2C*^{+/-} and *NKG2C*^{+/+} individuals (Figure 6A). Absolute numbers of *NKG2A*⁺ cells were unaffected by genotype (Figure S8B) whereas absolute numbers of CD94⁺ cells were

significantly lower among *NKG2C*^{-/-} individuals (Figure S8A). This is consistent with CD94⁺NKG2A⁺ to CD94⁺NKG2C⁺ ratios being determined by expansion within the CD94⁺NKG2C⁺ subset rather than conversion of NKG2A⁺ cells to NKG2C⁺ cells. A significant gene dosage effect was observed with *NKG2C*^{+/-} individuals having intermediate frequencies (Figure 6B) and numbers (Figure S8) of CD94⁺NKG2A⁺ and CD94⁺NKG2C⁺ cells. A modest decrease in the MFI for NKG2C expression was observed in *NKG2C*^{+/-} compared to *NKG2C*^{+/+} individuals, although this did not reach statistical significance (Figure S9). *NKG2C*^{-/-} children (under 10 years) had significantly lower frequencies of CD57⁺ NK cells than did heterozygous and homozygous *NKG2C*⁺ children, with a reciprocal increase in both CD57⁻ and CD57^{int} NK cells (Figure 6C). This effect was absent in individuals aged over 10 years.

Finally, to explore whether *NKG2C* genotype might affect control of HCMV, we examined the relationships between age, genotype and anti-HCMV antibody titre (Figure 6D). Anti-HCMV antibody titres were markedly and significantly higher in *NKG2C*^{-/-} than in *NKG2C*^{+/+} children (under 10 years)(Figure 6D) suggesting that inferior control of HCMV infections in these children may lead to more frequent reactivation and boosting of antibody responses. This effect was not observed in older individuals and appeared to be specific for HCMV since no impact of *NKG2C* genotype was observed on titres of antibodies to childhood vaccine antigens or EBV (Figure S10). One explanation for this is that lack of NKG2C⁺ NK cells may hinder control of HCMV such that the ability to control HCMV viral load (as reflected by anti-HCMV titre) develops more slowly in children who lack NKG2C.

Discussion

It is increasingly appreciated that NK cells are genetically, phenotypically and functionally diverse both at the human population level³⁶ and within individuals³⁷. Moreover, NK cells differentiate through the life course, reflecting the interplay of genes and environment. These adaptations substantially modify NK cell function^{20,38,39} and are beginning to be associated with health outcomes⁹. Age is a major determinant of NK cell phenotype and function¹⁸⁻²² but it is not yet clear whether this is due to primary, age-intrinsic processes or whether age is simply a marker for cumulative environmental exposures. HCMV infection is a major confounder of the association between age and NK cell function^{11,26,40} but HCMV status is not reported in many published studies, hindering data interpretation. In order to unpick these issues, detailed phenotypic and functional studies are required across the entire age span and amongst genetically diverse populations in different environments. The data presented here represent the most comprehensive study to date of NK cell phenotype and function from infancy to mature adulthood, the first such study in an African community and the first in a population where confounding by HCMV infection status is minimised due to near universal HCMV infection in infancy.

We previously identified an apparently transitional population of CD56^{dim} NK cells with intermediate CD57 expression (CD57^{int}), expressing intermediate levels of CD16, CD62L, IL-12R and IL-18R and with capacity for degranulation, CD25 expression and IFN- γ production between CD57⁻ and CD57⁺ NK cells⁶. Here we observe that, whilst frequencies of CD57⁻ cells decrease and frequencies of CD57⁺ cells increase with age, a small but persistent population CD57^{int} NK cells is present at all ages, suggesting that differentiation of CD57⁻ to CD57⁺ NK cells occurs at a similar rate throughout the life course. If so, age-related changes in CD57⁻ and CD57⁺ NK cell frequencies must reflect differential rates of loss or proliferation of these two subsets rather than changing rates of cell conversion. Rates of both apoptosis

and proliferation are reportedly very high in human NK cells⁴¹ but whether these rates differ between CD57⁻ and CD57⁺ NK cells is unknown.

One striking observation in this population is the very high frequency of fully differentiated, CD56^{dim}NKG2C⁺CD57⁺ NK cells in very young children: these cells represent up to 50% of all NK cells in 1-2 year olds and up to 80% of cells in 6-9 year olds, with the mature adult range (~30-70%) being reached by the age of 10 years. In Europeans, proportions of CD57⁺ NK cells range from zero at birth (cord blood) to median values of ~50% in adults²⁰ with values being higher in HCMV⁺ individuals(30-70%) than in HCMV⁻ subjects(25-50%)⁴². Although we could not compare our data with a fully age-matched low HCMV prevalence cohort, the frequency of CD57⁺ NK cells in HCMV seropositive adult Gambians is significantly higher than in an age-matched HCMV seropositive UK cohort, confirming more rapid or extensive NK cell differentiation among Gambians (Figure S11). This may reflect HCMV infection much earlier in life in The Gambia, or higher prevalence of other infections which further expand the NKG2C⁺CD57⁺ NK cell population in HCMV⁺ individuals⁴³. Data on HCMV⁺ and HCMV⁻ European children are needed to confirm this.

Interestingly, HCMV and EBV co-infection did not affect NK cell phenotype or function. EBV co-infection has been associated with more extensive NK cell differentiation compared to HCMV alone in some European studies⁷ but not in a recent USA study³⁰ suggesting that perinatal HCMV infection alone is sufficient to drive NK cell differentiation, or that infections other than EBV may also have an impact, in this Gambian cohort. Of note, the biphasic kinetic of NK cell differentiation is not accompanied by a similar biphasic differentiation of T cell populations, consistent with the suggestion that HCMV infection independently affects T cell and NK cell populations⁴⁴.

Age-related differences in NK cell function were entirely due to differences in the proportions of CD57⁻ and CD57⁺ NK cells. In Caucasian adults, cytokine-induced degranulation, CD25 expression and IFN- γ production all decline with increasing levels of CD57 expression^{2,4-6} in

parallel with reduced expression of IL-12 and IL-18 receptors⁶ whereas CD57 expression has much less effect on responses receptor crosslinking⁶. This association between CD57 expression and NK cell function also holds true in The Gambia, and in children as young as 3-5 years of age. Since CD57 expression is, to a large extent, driven by HCMV, it appears that infection with HCMV very early in life rapidly skews the entire NK cell population to missing/altered-self/antibody dependent cytotoxicity at the expense of cytokine-driven responses^{6,45}. This skewing of NK cell function is much more marked among Gambian adults than among age-matched HCMV seropositive UK adults, again presumably reflecting an earlier age of HCMV infection or increased prevalence of co-infections in The Gambia (Figure S11). Altered NK cell function so early in life could contribute to associations between perinatal HCMV infection, slower growth and increased rates of hospitalisation, as observed in Zambian children⁴⁶.

In line with the near universal HCMV infection in infancy in our cohort, and the well documented expansion of CD57⁺NKG2C⁺ NK cells in HCMV+ individuals¹¹, frequencies of NKG2C⁺ cells were high in all age groups. Frequencies of NKG2C⁺ NK cells were lower in very young children than in older age groups but adult frequencies were achieved by the age of 6-9 years, suggesting that expansion of the NKG2C⁺ subset begins very quickly after HCMV infection and may continue for some years. This is consistent with data from transplant recipients with acute HCMV infection or HCMV reactivation where frequencies of NKG2C⁺ NK cells increase within a month of infection/reactivation and continue to increase for at least 12 months^{14,26,40} and with reports of significantly higher frequencies of NKG2C⁺ NK cells in HCMV+ compared to HCMV- children under the age of 2 years⁴⁷.

In Caucasian adults, the NKG2C⁺ NK cells induced by HCMV infection tend to co-express CD57¹⁴. This was also the case here, although both the frequency of NKG2C⁺ cells expressing CD57 and the median MFI of CD57 expression were lower in children under the age of 2 years than in older individuals. By contrast, CD57 is expressed only at low intensity on NKG2A⁺ NK cells at all ages. These observations are consistent with a model in which

peptides from HCMV UL40 bind to HLA-E, stabilising it at the surface of infected cells where it drives activation, proliferation and differentiation (including expression of CD57) of NK cells expressing NKG2C (the activating receptor on NK cells for HLA-E) whilst simultaneously inhibiting proliferation and differentiation of cells expressing NKG2A (the inhibitory HLA-E receptor)^{10,48-50}.

Although CD94/NKG2C and CD94/NKG2A are not the only NK cell receptors for HCMV^{51,52,53} lack of *NKG2C* was clearly linked to delayed NK cell differentiation and maturation. *NKG2C*^{+/-} heterozygotes had lower frequencies of NKG2C⁺ NK cells than did *NKG2C*^{+/+} individuals (consistent with a previous report³³) and the frequency and absolute number of CD94⁺ cells was positively associated with *NKG2C* copy number, consistent with the hypothesis that NKG2C⁺ NK cell numbers expand by proliferation rather than by transformation from NKG2A⁺ cells. Importantly, however, a high proportion of CD56^{dim} NK cells in *NKG2C*^{-/-} individuals lack expression of CD94/ NKG2A as well as CD94/NKG2C, raising questions about which other receptors might be expressed on these cells in order to maintain NK cell homeostasis and HCMV latency. HCMV reactivation in recipients of *NKG2C*^{-/-} stem cells drives differentiation of functional KIR+NKG2A⁻ NK cells⁵³ suggesting that activating KIR may compensate for lack of CD94/NKG2C. Stable expansions of KIR⁺ NKG2A⁻NKG2C⁻ NK cells have also been observed in HCMV seropositive adults⁵⁴.

Consistent with activation and expansion of NKG2C⁺ cells prior to their acquisition of CD57, proportions of CD57⁺ NK cells were significantly lower in *NKG2C*^{-/-} subjects than in those with one or more copies of *NKG2C*, in particular for children aged under 10 years. The magnitude of this effect is remarkable, achieving statistical significance despite the rather small number of *NKG2C*^{-/-} subjects, and likely to be highly biologically relevant. It would be interesting to know whether delayed NK cell differentiation in HCMV-infected *NKG2C*^{-/-} subjects is seen in other populations and whether it confers any survival advantage or whether this is offset by impaired control of HCMV (as implied by the significantly higher anti-HCMV antibody titres). These studies will need to be large enough to achieve statistical

power, which will depend on both the prevalence of the *NKG2C*-null haplotype and of HCMV. The 29.3% haplotype frequency of the *NKG2C* deletion in our African cohort is higher than that recorded elsewhere^{33,35,55}. Whether the frequency of this haplotype is linked to current or historic intensities of HCMV infection might also merit further investigation.

Despite our study cohort being almost uniformly HCMV seropositive, considerable heterogeneity is observed in NK cell phenotype and function within each age group. Whilst some of this heterogeneity may be genetically determined and/or stochastic³⁷, exposure to infections in addition to HCMV may also affect NK cell maturation^{15,17,7,56}. Further studies are needed to determine whether this is simply a cytokine driven expansion, and thus likely to occur in response to many acute inflammatory stimuli, or whether some pathogens express specific ligands for CD57⁺NKG2C⁺ NK cells.

In summary, our study has revealed rapid phenotypic and functional differentiation of peripheral NK cells in a population with extremely high rates of perinatal HCMV infection. Intriguingly, NK cell phenotype seems to be highly dependent on the expression of *NKG2C*, reaffirming the notion that signalling via *NKG2C* is causally linked to NK cell differentiation. Further studies are now warranted to evaluate the impact of early HCMV infection on the ability of NK cells to contribute to protection from other infections throughout the life course.

Acknowledgements

We would like to thank Professors Andy Hall and Andrew Prentice for facilitating the initiation of this project, Kerry Jones for logistical and technical support, MRC fieldworkers Sulayman Bah, Wally Kamara and Lamin Jatta for recruiting subjects, Bai Lamin Dondoh for database management, staff of the MRC International Nutrition Group and MRC Gambia (Keneba) for their support, and the villagers of Keneba, Manduar and Kantong Kunda for participating in the study. We would also like to thank Professor Beate Kampmann and colleagues (MRC Gambia, Fajara) for advice and access to laboratory facilities. This study was funded by the UK Medical Research Council (G1000808).

Authorship

Contribution: M.R.G. designed research, performed experiments, analysed and interpreted data and wrote the manuscript; M.J.W. designed and performed research and analysed and interpreted data. A.D. designed and performed research; C.M.N. performed experiments and analysed data. A.G. performed research; C.B. analysed data; S.M. designed research and coordinated recruitment of study subjects and E.M.R. designed research, supervised data collection, directed data analysis and wrote the manuscript.

Conflict-of-interest disclosure: The authors have no competing financial interests to declare.

References

1. Lanier LL. NK cell recognition. *Annu Rev Immunol.* 2005;23:225-274.
2. Bjorkstrom NK, Riese P, Heuts F, et al. Expression patterns of NKG2A, KIR, and CD57 define a process of CD56dim NK-cell differentiation uncoupled from NK-cell education. *Blood.* 2010;116(19):3853-3864.
3. Cooper MA, Fehniger TA, Caligiuri MA. The biology of human natural killer-cell subsets. *Trends Immunol.* 2001;22(11):633-640.
4. Juelke K, Killig M, Luetke-Eversloh M, et al. CD62L expression identifies a unique subset of polyfunctional CD56dim NK cells. *Blood.* 2010;116(8):1299-1307.
5. Lopez-Verges S, Milush JM, Pandey S, et al. CD57 defines a functionally distinct population of mature NK cells in the human CD56dimCD16+ NK-cell subset. *Blood.* 2010;116(19):3865-3874.
6. White MJ, Nielsen CM, McGregor RHM, Riley EM, Goodier MR. Differential activation of CD57-defined natural killer cell subsets during recall responses to vaccine antigens. *Immunology.* 2014;142:140-150.
7. Saghafian-Hedengren S, Sohlberg E, Theorell J, et al. Epstein-Barr virus coinfection in children boosts cytomegalovirus-induced differentiation of natural killer cells. *J Virol.* 2013;87(24):13446-13455.
8. Wu Z, Sinzger C, Frascaroli G, et al. Human cytomegalovirus-induced NKG2C(hi) CD57(hi) natural killer cells are effectors dependent on humoral antiviral immunity. *J Virol.* 2013;87(13):7717-7725.
9. Hazeldine J, Lord JM. The impact of ageing on natural killer cell function and potential consequences for health in older adults. *Ageing Res Rev.* 2013;12(4):1069-1078.
10. Guma M, Budt M, Saez A, et al. Expansion of CD94/NKG2C+ NK cells in response to human cytomegalovirus-infected fibroblasts. *Blood.* 2006;107(9):3624-3631.

11. Guma M, Angulo A, Vilches C, Gomez-Lozano N, Malats N, Lopez-Botet M. Imprint of human cytomegalovirus infection on the NK cell receptor repertoire. *Blood*. 2004;104(12):3664-3671.
12. Mela CM, Goodier MR. The contribution of cytomegalovirus to changes in NK cell receptor expression in HIV-1-infected individuals. *J Infect Dis*. 2007;195(1):158-159; author reply 159-160.
13. Tanaka J, Sugita J, Asanuma S, et al. Increased number of CD16(+)CD56(dim) NK cells in peripheral blood mononuclear cells after allogeneic cord blood transplantation. *Hum Immunol*. 2009;70(9):701-705.
14. Lopez-Verges S, Milush JM, Schwartz BS, et al. Expansion of a unique CD57(+)NKG2Chi natural killer cell subset during acute human cytomegalovirus infection. *Proc Natl Acad Sci U S A*. 2011;108(36):14725-14732.
15. Bjorkstrom NK, Lindgren T, Stoltz M, et al. Rapid expansion and long-term persistence of elevated NK cell numbers in humans infected with hantavirus. *J Exp Med*. 2011;208(1):13-21.
16. Beziat V, Dalgard O, Asselah T, et al. CMV drives clonal expansion of NKG2C+ NK cells expressing self-specific KIRs in chronic hepatitis patients. *Eur J Immunol*. 2012;42(2):447-457.
17. Petitdemange C, Becquart P, Wauquier N, et al. Unconventional repertoire profile is imprinted during acute chikungunya infection for natural killer cells polarization toward cytotoxicity. *PLoS Pathog*. 2011;7(9):e1002268.
18. Almeida-Oliveira A, Smith-Carvalho M, Porto LC, et al. Age-related changes in natural killer cell receptors from childhood through old age. *Hum Immunol*. 2011;72(4):319-329.
19. Hayhoe RP, Henson SM, Akbar AN, Palmer DB. Variation of human natural killer cell phenotypes with age: identification of a unique KLRG1-negative subset. *Hum Immunol*. 2010;71(7):676-681.

20. Le Garff-Tavernier M, Beziat V, Decocq J, et al. Human NK cells display major phenotypic and functional changes over the life span. *Aging Cell*. 2010;9(4):527-535.
21. Lutz CT, Moore MB, Bradley S, Shelton BJ, Lutgendorf SK. Reciprocal age related change in natural killer cell receptors for MHC class I. *Mech Ageing Dev*. 2005;126(6-7):722-731.
22. Sundstrom Y, Nilsson C, Lilja G, Karre K, Troye-Blomberg M, Berg L. The expression of human natural killer cell receptors in early life. *Scand J Immunol*. 2007;66(2-3):335-344.
23. Nogusa S, Murasko DM, Gardner EM. Differential effects of stimulatory factors on natural killer cell activities of young and aged mice. *J Gerontol A Biol Sci Med Sci*. 2012;67(9):947-954.
24. Della Chiesa M, Falco M, Podesta M, et al. Phenotypic and functional heterogeneity of human NK cells developing after umbilical cord blood transplantation: a role for human cytomegalovirus? *Blood*. 2012;119(2):399-410.
25. Della Chiesa M, Muccio L, Moretta A. CMV induces rapid NK cell maturation in HSCT recipients. *Immunol Lett*. 2013;155(1-2):11-13.
26. Foley B, Cooley S, Verneris MR, et al. Cytomegalovirus reactivation after allogeneic transplantation promotes a lasting increase in educated NKG2C+ natural killer cells with potent function. *Blood*. 2012;119(11):2665-2674.
27. Miyashita R, Tsuchiya N, Hikami K, et al. Molecular genetic analyses of human NKG2C (KLRC2) gene deletion. *Int Immunol*. 2004;16(1):163-168.
28. Czesnikiewicz-Guzik M, Lee WW, Cui D, et al. T cell subset-specific susceptibility to aging. *Clin Immunol*. 2008;127(1):107-118.
29. Koch S, Larbi A, Derhovanessian E, Ozelik D, Naumova E, Pawelec G. Multiparameter flow cytometric analysis of CD4 and CD8 T cell subsets in young and old people. *Immun Ageing*. 2008;5:6.
30. Hendricks DW, Balfour HH, Jr., Dunmire SK, Schmeling DO, Hogquist KA, Lanier LL. Cutting edge: NKG2C(hi)CD57+ NK cells respond specifically to acute infection with cytomegalovirus and not Epstein-Barr virus. *J Immunol*. 2014;192(10):4492-4496.

31. Brandt CS, Baratin M, Yi EC, et al. The B7 family member B7-H6 is a tumor cell ligand for the activating natural killer cell receptor NKp30 in humans. *J Exp Med.* 2009;206(7):1495-1503.
32. Fiegler N, Textor S, Arnold A, et al. Downregulation of the activating NKp30 ligand B7-H6 by HDAC inhibitors impairs tumor cell recognition by NK cells. *Blood.* 2013;122(5):684-693.
33. Muntasell A, Lopez-Montanes M, Vera A, et al. NKG2C zygosity influences CD94/NKG2C receptor function and the NK-cell compartment redistribution in response to human cytomegalovirus. *Eur J Immunol.* 2013;43(10):201343773.
34. Noyola DE, Fortuny C, Muntasell A, et al. Influence of congenital human cytomegalovirus infection and the NKG2C genotype on NK-cell subset distribution in children. *Eur J Immunol.* 2012;42(12):3256-3266.
35. Rangel-Ramirez VV, Garcia-Sepulveda CA, Escalante-Padron F, et al. NKG2C gene deletion in the Mexican population and lack of association to respiratory viral infections. *Int J Immunogenet.* 2014;41(2):126-130.
36. Parham P, Moffett A. Variable NK cell receptors and their MHC class I ligands in immunity, reproduction and human evolution. *Nat Rev Immunol.* 2013;13(2):133-144.
37. Horowitz A, Strauss-Albee DM, Leipold M, et al. Genetic and environmental determinants of human NK cell diversity revealed by mass cytometry. *Sci Transl Med.* 2013;5(208):208ra145.
38. Luetke-Eversloh M, Killig M, Romagnani C. Signatures of Human NK Cell Development and Terminal Differentiation. *Front Immunol.* 2013;4:499. eCollection 2013.
39. Yu J, Freud AG, Caligiuri MA. Location and cellular stages of natural killer cell development. *Trends Immunol.* 2013;34(12):573-582.
40. Foley B, Cooley S, Verneris MR, et al. Human cytomegalovirus (CMV)-induced memory-like NKG2C(+) NK cells are transplantable and expand in vivo in response to recipient CMV antigen. *J Immunol.* 2012;189(10):5082-5088.

41. Lutz CT, Karapetyan A, Al-Attar A, et al. Human NK cells proliferate and die in vivo more rapidly than T cells in healthy young and elderly adults. *J Immunol.* 2011;186(8):4590-4598.
42. Campos C, Pera A, Sanchez-Correa B, et al. Effect of age and CMV on NK cell subpopulations. *Exp Gerontol.* 2014;54:130-7.
43. Nielsen CM, White MJ, Goodier MR, Riley EM. Functional Significance of CD57 Expression on Human NK Cells and Relevance to Disease. *Front Immunol.* 2013;4:422. eCollection 2013.
44. Bengner M, Beziat V, Ernerudh J, et al. Independent skewing of the T cell and NK cell compartments associated with cytomegalovirus infection suggests division of labor between innate and adaptive immunity. *Age (Dordr).* 2014;36(2):571-582.
45. Horowitz A, Behrens RH, Okell L, Fooks AR, Riley EM. NK cells as effectors of acquired immune responses: effector CD4+ T cell-dependent activation of NK cells following vaccination. *J Immunol.* 2010;185(5):2808-2818.
46. Gompels UA, Larke N, Sanz-Ramos M, et al. Human cytomegalovirus infant infection adversely affects growth and development in maternally HIV-exposed and unexposed infants in Zambia. *Clin Infect Dis.* 2012;54(3):434-442.
47. Monsivais-Urenda A, Noyola-Cherpitel D, Hernandez-Salinas A, et al. Influence of human cytomegalovirus infection on the NK cell receptor repertoire in children. *Eur J Immunol.* 2010;40(5):1418-1427.
48. Della Chiesa M, Falco M, Muccio L, Bertaina A, Locatelli F, Moretta A. Impact of HCMV Infection on NK Cell Development and Function after HSCT. *Front Immunol.* 2013;4:458. eCollection 2013.
49. Prod'homme V, Tomasec P, Cunningham C, et al. Human cytomegalovirus UL40 signal peptide regulates cell surface expression of the NK cell ligands HLA-E and gpUL18. *J Immunol.* 2012;188(6):2794-2804.

50. Ulbrecht M, Martinozzi S, Grzeschik M, et al. Cutting edge: the human cytomegalovirus UL40 gene product contains a ligand for HLA-E and prevents NK cell-mediated lysis. *J Immunol.* 2000;164(10):5019-5022.
51. Prod'homme V, Griffin C, Aicheler RJ, et al. The human cytomegalovirus MHC class I homolog UL18 inhibits LIR-1+ but activates LIR-1- NK cells. *J Immunol.* 2007;178(7):4473-4481.
52. Arnon TI, Achdout H, Levi O, et al. Inhibition of the NKp30 activating receptor by pp65 of human cytomegalovirus. *Nat Immunol.* 2005;6(5):515-523.
53. Della Chiesa M, Falco M, Bertaina A, et al. Human cytomegalovirus infection promotes rapid maturation of NK cells expressing activating killer Ig-like receptor in patients transplanted with NKG2C-/- umbilical cord blood. *J Immunol.* 2014;192(4):1471-1479.
54. Beziat V, Liu LL, Malmberg JA, et al. NK cell responses to cytomegalovirus infection lead to stable imprints in the human KIR repertoire and involve activating KIRs. *Blood.* 2013;121(14):2678-2688.
55. Zeng X, Chen H, Gupta R, Paz-Altschul O, Bowcock AM, Liao W. Deletion of the activating NKG2C receptor and a functional polymorphism in its ligand HLA-E in psoriasis susceptibility. *Exp Dermatol.* 2013;22(10):679-681.
56. Saghafian-Hedengren S, Sundstrom Y, Sohlberg E, et al. Herpesvirus seropositivity in childhood associates with decreased monocyte-induced NK cell IFN-gamma production. *J Immunol.* 2009;182(4):2511-2517.

Figure Legends

Figure 1. Age-related changes in NK cell frequencies (A). Natural killer cells were identified within PBMC after gating on singlets and viable lymphocytes. CD56⁺CD3⁻NK cells were then subsequently gated into CD56^{bright} and CD56^{dim} subsets. Frequencies of **(B)** all NK cells, **(C)** CD56^{bright}, and **(D)** CD56^{dim} NK cells, are shown for each age group. Horizontal bars represent median values, boxes extend from the 25th to the 75th percentile and whiskers represent the 95th percentiles. Asterisks represent significant trends across the entire cohort (* p<0.05, *** p<0.001, F-test).

Figure 2. Age related changes infrequencies of CD57-and c-type lectin-like receptor-expressing NK cell subsets.**A.**CD56^{dim} cells were gated into CD57⁻, CD57^{intermediate} and CD57⁺ subsets. The CD57⁻ population was gated using an isotype matched control reagent and the CD57⁺ gate was set at an MFI of 3000.**B.** Frequency distribution by age group of CD57⁻, CD57^{int} and CD57⁺ subsets within the CD56^{dim} NK cell population. Asterisks denote statistically significant trends for changes in NK cell subset frequency by age (*** p<0.001, F-test). **C,E.** Gating strategy for CD94⁺NKG2A⁺ and CD94⁺NKG2C⁺ cells within the CD56^{dim} NK cell subset. Frequencies of CD94⁺**(D)**, NKG2A⁺ and NKG2C⁺**(F)** NK cells by age group. Asterisks denote statistically significant differences in frequencies of NKG2A⁺ and NKG2C⁺ cells by age group (* p<0.05, ANOVA).Horizontal bars represent median values, boxes extend from the 25th to the 75th percentile and whiskers represent the 95th percentiles. Age groups are as shown in Figure 1.

Figure 3. CD57 is preferentially expressed on NKG2C⁺ NK cells. CD56^{dim} NK cells were gated as in Figure 1A and the frequency of CD57⁻, CD57^{int} and CD57⁺ cells is shown within **(A)** CD94/NKG2A⁺ or **(B)** CD94/NKG2C⁺ NK cells, by age group. **C.** Mean fluorescence intensity (MFI) for CD57 expression on NKG2A⁺ and NKG2C⁺ NK cells by age group. Horizontal bars represent median values, boxes extend from the 25th to the 75th percentile and whiskers represent the 95th percentiles. Asterisks denote statistically significant trends

by age within each subset (** $p < 0.01$;*** $p < 0.001$, F-test). Age groups are as shown in Figure 1.

Figure 4. Age-associated changes in NK cell function. Example flow cytometry plots are shown for CD3⁺ lymphocytes from a 1 year old (A) and a 22 year old (B), cultured in medium alone (upper panels) or stimulated with high concentrations of IL-12+ IL-18 (HCC, lower panels) and assayed for degranulation (CD107a), CD25 and IFN- γ expression. C-K: NK cells were assayed for degranulation (C-E), CD25 (F-H) or IFN- γ (I-K) expression after *in vitro* culture in medium alone (C,F,I) or with K562 target cells (D,G,J) or IL-12 + IL-18 (HCC; E,H,K). Horizontal bars represent median values, boxes extend from the 25th to the 75th percentile and whiskers represent the 95th percentiles. Asterisks denote significant age related trends for frequencies of NK cells expressing CD107a, CD25 or IFN- γ (** $p < 0.01$;*** $p < 0.001$, F-test).

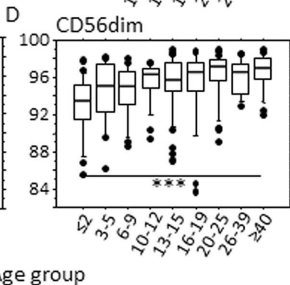
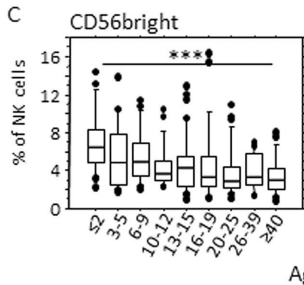
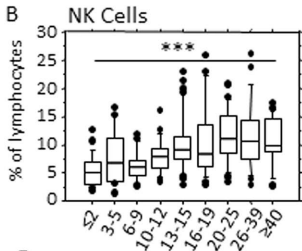
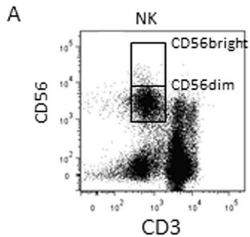
Figure 5. NK cell function reflects CD57 expression, irrespective of age. Bright (CD56^{bright}CD57⁻), CD57⁻ (CD56^{dim}CD57⁻), CD57^{int} (CD56^{dim}CD57^{int}) and CD57⁺ (CD56^{dim}CD57⁺) NK cell subsets were analysed for CD107a (A,D,G), CD25 (B,E,H) or IFN- γ (C,F,I) after *in vitro* culture in medium alone (A-C), with K562 target cells (D-F) or with IL-12 + IL-18 (HCC; G-I). Horizontal bars represent IL median values, boxes extend from the 25th to the 75th percentile and whiskers represent the 95th percentiles. There were no significant age-related trends in response within any of the subsets. Asterisks denote statistically significant differences between CD57⁻, CD57^{int} and CD57⁺ subsets. ($p < 0.001$ for all comparisons, Wilcoxon-signed rank).

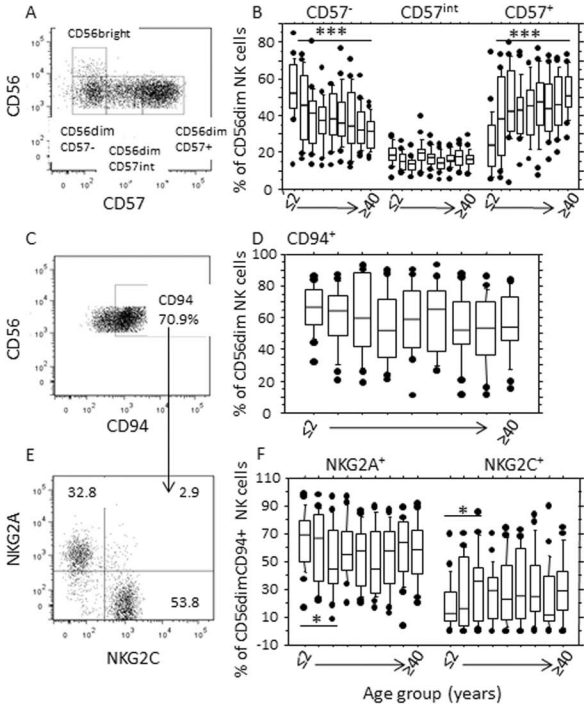
Figure 6. Effect of NKG2C genotype on NK cell maturation phenotype and HCMV antibody titre. A. Frequency of CD94⁺ cells within the CD56^{dim} NK cell population in individuals with zero (NKG2C^{-/-}), one (NKG2C^{+/-}) or two (NKG2C^{+/+}) copies of the NKG2C gene. B. Impact of NKG2C genotype on the frequencies of CD94⁺ NK cells expressing either NKG2A⁺(NKG2C⁻) or NKG2C⁺(NKG2A⁻) cells. C. Impact of NKG2C genotype on the

frequency of CD57⁻, CD57^{int} and CD57⁺ NK cells in subjects <10 and ≥ 10 years of age. **D.** Anti-HCMV antibody titres by age (years) and *NKG2C* genotype. Horizontal bars represent median values, boxes extend from the 25th to the 75th percentile and whiskers represent the 95th percentiles. Asterisks denote statistically significant differences between genotypes for all comparisons shown ($p < 0.05$, *** $p < 0.001$, ANOVA).

Age Group	n (Male/Female)	HCMV IgG ⁺ n (%)	HCMV IgG Titre (IU/ml) Median (range)	EBNA IgG ⁺ n (%)	EBNA IgG Titre (IU/ml) Median (range)	NKG2C genotype ⁵ n (%)		
						+/+	+/-	-/-
1-2	23 (9/14)	20 (86.9)	487.5 (81.8-845.2) ¹	12 (52.2)	107.0 (48.5-178.6)	11 (47.8)	10 (43.4)	2 (8.7)
3-5	19 (6/13)	18 (94.7)	288.4 (80.9-1681.8)	13 (68.4)	134.0 ³ (32.5-328.7)	7 (37.8)	10 (52.6)	2 (10.5)
6-9	18 (11/7)	18 (100)	361.1 (89.2-2200.2) ²	16 (88.9)	103.6 (33.1-219.7)	8 (47.0)	7 (41.2)	2 (11.8)
10-12	20 (10/10)	20 (100)	215.4 (43.4-1693.6)	18 (90.0)	119.3 ⁴ (37.2-359.5)	8 (44.4)	8 (44.4)	2 (11.1)
13-15	23 (10/13)	23 (100)	252.6 (51.5 -1057.9)	16 (70.0)	114.6 (29.7-193.4)	11 (47.8)	10 (43.4)	2 (8.7)
16-19	23 (11/12)	23 (100)	177.6 (61.2-678.1)	18 (78.2)	99.9 (23.9-195.2)	10 (47.6)	8 (38.1)	3 (14.3)
20-25	22 (11/11)	22 (100)	252.5 (81.5-828.4)	19 (86.4)	93.9 (27.6-171.7)	11 (52.4)	8 (38.1)	2 (9.5)
26-39	22 (13/9)	22 (100)	165.9 (39.0-968.4)	19 (86.4)	88.8 (24.9-272.7)	14 (73.7)	3 (15.7)	2 (10.5)
40-49	21 (10/11)	21 (100)	191.2 (53.5-735.2)	13 (61.9)	73.4 (24.0-183.2)	14 (70.0)	4 20.0)	2 (10.0)
Total	191 (91/100)	187 (97.9)	252.6 (39-2200.1)	145 (75.9)	101.8 (23.9-359.5)	94 (51.9)	68 (37.6)	19 (10.5)

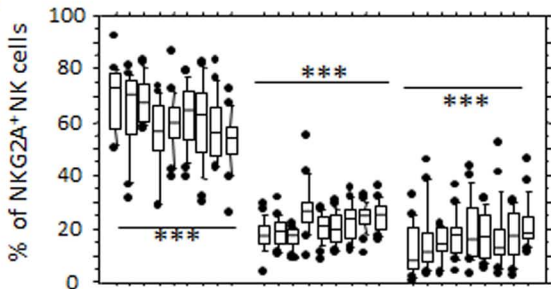
Table 1. Cohort characteristics. ¹Significantly higher anti-HCMV IgG titres compared to 16-19 year olds and all groups over 26 years old, p<0.05, ANOVA. ²Significantly higher anti-HCMV IgG titres compared to all groups over 16 years old, p< 0.01, ANOVA. ³Significantly elevated anti-EBNA IgG titres compared to all groups over 16 years old. ⁴Significantly elevated anti-EBNA IgG titres compared to all groups over 20 years old. ⁵NKG2C genotypes were obtained from a total of 181 individuals.



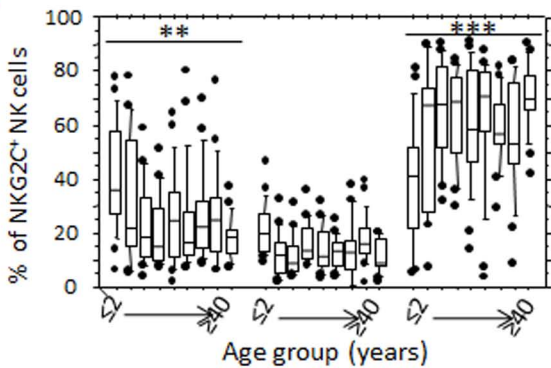


CD57⁻CD57^{int}CD57⁺

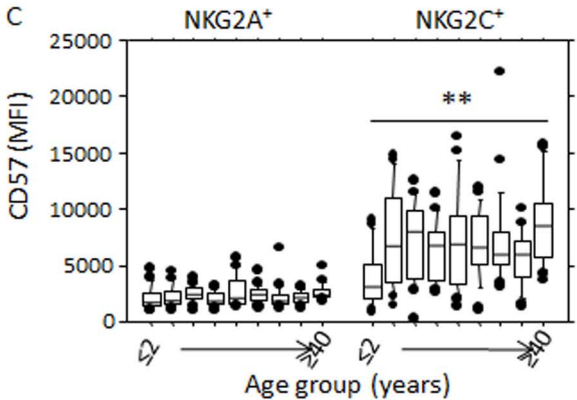
A

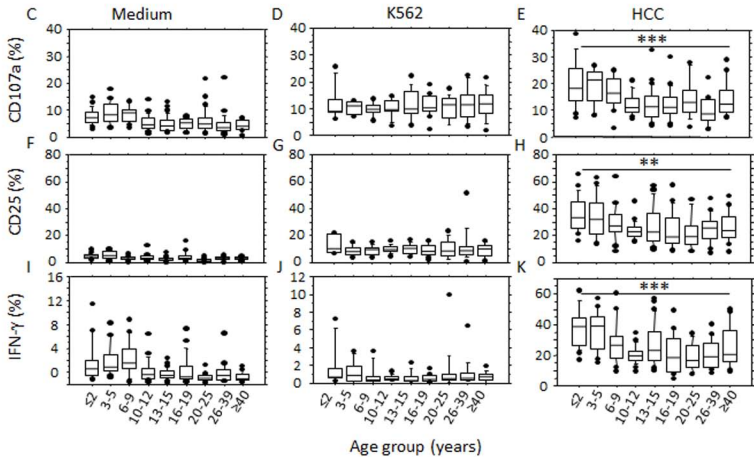
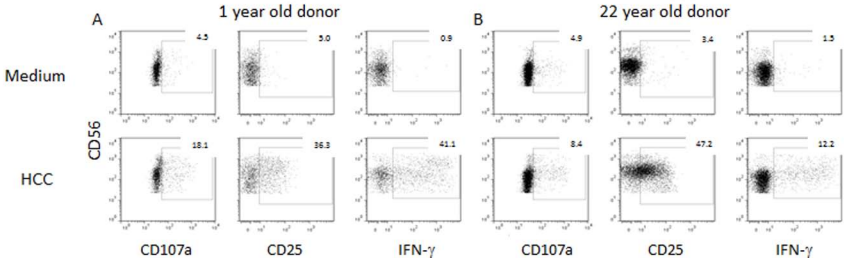


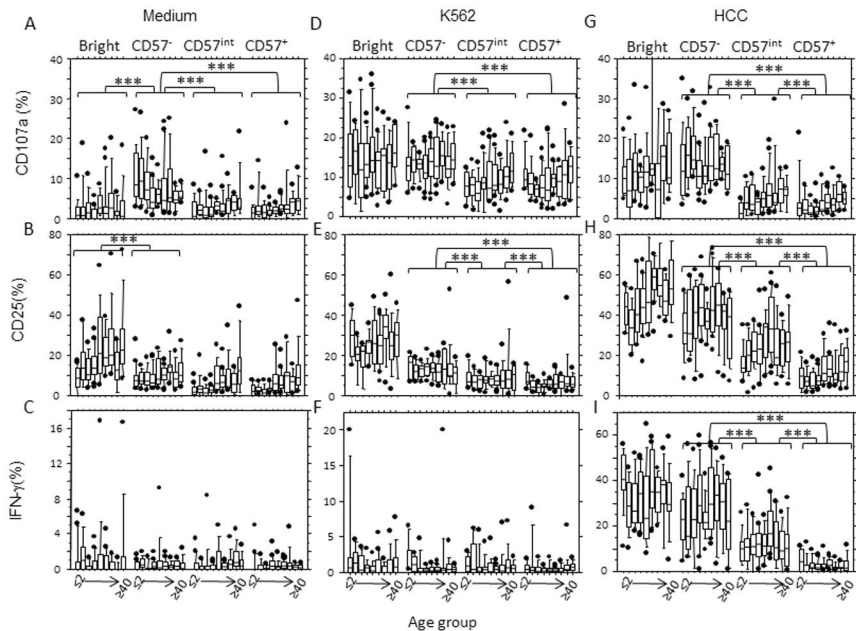
B

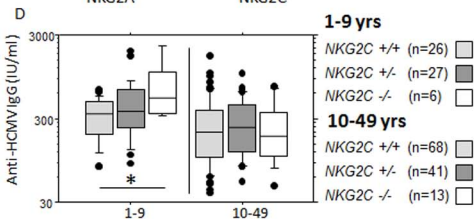
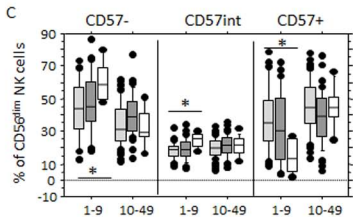
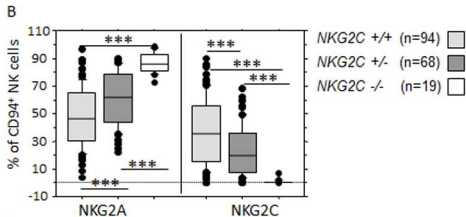
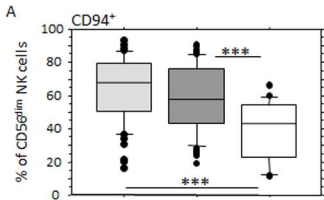


C









Age group (years)

Supplementary Methods

Flow Cytometric analysis. For *ex vivo* analysis cells were incubated with the following monoclonal antibodies: NK cell panel: anti-CD3-V500, anti-CD56-PeCy7 and anti-CD94-FITC (all from BD Biosciences), anti-NKG2C-PE and anti-NKG2A-APC (both from R&D systems), anti-CD8-PeCy7, anti-CD57-e450 and anti-CD16-APC-e780 (all from eBioscience, Oxford, UK); T cell panel: anti-CD3-V500, anti-CD4-PE and anti-CD45RA-APC-H7 (BD Biosciences), anti-CD8-PeCy7, anti-CD27-FITC, anti-CD28-PeCy7 and anti-CD57-e450 (eBioscience) and anti-CCR7-APC (R&D systems); Lineage panel: anti-CD3-V500, anti-CD56-PeCy7 and anti-CD40-APC (BD Biosciences), anti-CD45-FITC, anti-CD11c-PE, anti-CD19-PeCy5, anti-CD123-e450 and anti-CD14-APCe780 (eBioscience). Phenotypic analysis of *in vitro* stimulated cells was performed with the following monoclonal antibodies: anti-CD3-V500, anti-CD56-PeCy7, anti-CD107a-FITC (all from BD Biosciences), anti-CD57-e450, anti-CD16-APC and anti-CD25-PE (all from eBioscience). After staining for cell surface markers, cells were fixed and permeabilised (Cytofix-Cytoperm, BD Biosciences) and washed in Perm-wash buffer (BD Biosciences) and either measured directly or stained with anti-IFN- γ -APC-efluor780-conjugated antibody (eBioscience).

NKG2C deletion PCR conditions (see also reference 27, main text). The primers for detection of wild type NKG2C genotypes were NKG2C200_F (5'-AGTGTGGATCTTCAATGATA-3') and NKG2C200_R (5'-TTTAGTAATTGTGTGCATCCT-3'), yielding a 200bp product. The primers for detecting the NKG2C deletion were BREAK411_F (5'ACTCGGATTTCTATTTGATGC3') and BREAK411_R (5'ACAAGTGATGTATAAGAAAAG3'), yielding a 411bp product. PCR was performed with initial denaturation at 95°C for 3 min, followed by 10 cycles of denaturation at 94°C for 30s, annealing for 30s from 65°C to 55°C (65°C on the first cycle and minus one degree per cycle) and extension at 72°C for 30s, followed by 26 cycles of denaturation at 94°C for 30s, annealing at 55°C for 30s and extension at 72°C for 30s. PCR products were separated and identified using agarose gel electrophoresis.

Age group	CD3 ⁻ CD56 ⁺	CD56 ^{br} CD16 ⁻	CD56 ^{dim} CD16 ⁺	CD94 ⁺	NKG2A ⁺	NKG2C ⁺	CD57 ⁺
≤2	359 (54-1587) ¹	17 (5-89)	343 (117-1614)	291 (46-1463)	217 (44-777)	40 (0-905)	97 (4-1226)
3-5	188 (80-839)	14 (4-41)	253 (84-924)	158 (41-752)	122 (51-487)	40 (0-351)	69 (19-588)
6-9	96 (24-669)	8 (3-15)	150 (32-327)	81 (14-245)	44 (13-286)	41 (0-239)	36 (5-495)
10-12	154 (40-656)	6.5 (1.7-24)	163 (51-818)	110 (24-571)	59 (22-235)	27 (0-271)	64 (14-393)
13-15	227 (65-584)	9 (3-45)	280 (90-759)	129 (54-403)	59 (20-274)	35 (0-344)	80 (20-349)
16-19	150 (28-774)	6 (3-22)	193 (50-924)	121 (17-671)	43 (20-327)	39 (0-343)	68 (8-529)
20-25	179 (53-364)	6 (2-18)	201 (28-374)	143 (23-323)	52 (26-185)	35 (0-259)	89 (9-288)
26-39	110 (20-161)	6 (1-17)	177 (65-544)	89 (10-213)	44 (17-132)	12 (0-82)	60 (16-126)
40-49	115 (24-249)	5 (1-12)	168 (36-408)	99 (20-217)	43 (13-134)	36 (0-128)	73 (13-189)

Table S1: Changes in absolute numbers of NK cell subsets in different age groups.

¹ Cells/μl blood, median (range).

Age group	CD45 ⁺ Leukocytes	CD3 ⁺ T cells	CD19 ⁺ B cells	CD3 ⁻ CD56 ⁺ NK cells	CD14 ⁺ Monocytes	Lin-CD11c ⁺ CD123 ⁻ mDC	Lin-CD11c ⁻ CD123 ⁺ pDC
≤2	9133 (3266-20933) ¹	5878 (2350-14737)	1705 (555-4189)	359 (54-1587)	151 (55-314)	71 (15-181)	22 (3-73)
3-5	6000 (3333-13067)	4116 (2470-9748)	1060 (436-2163)	188 (80-839)	99 (45-242)	31 (7-140)	14 (3-75)
6-9	2333 (1111-3889)	1713 (843-2901)	381 (147-723)	96 (24-669)	44 (15-89)	14 (6-36)	8 (2-19)
10-12	2400 (1533-5667)	1701 (843-2901)	437 (224-984)	154 (40-656)	35 (15-140)	22 (8-62)	11 (3-52)
13-15	2900 (1900-5250)	2248 (1440-4058)	424 (224-1209)	162 (67-497)	59 (18-222)	17 (10-74)	14 (1-79)
16-19	2342 (1500-3600)	1751 (987-2432)	341 (145-751)	143 (28-774)	47 (20-114)	21 (3-42)	11 (3-42)
20-25	2074 (1167-3852)	1609 (644-2827)	254 (99-597)	179 (53-354)	43 (14-214)	22 (4-39)	7 (1-39)
26-39	1567 (1000-3833)	1221 (719-3350)	221 (79-412)	110 (30-161)	42 (9-147)	15 (9-37)	5 (1-21)
40-49	1778 (778-2778)	1312 (538-2036)	229 (74-627)	115 (24-249)	48 (15-155)	17 (8-40)	5 (1-18)

Table S2. Absolute numbers of lymphoid and myeloid lineage cells in different age groups.

¹Cells/μl blood, median (range).

Age group	CD3 ⁺ CD4 ⁺ T cells	CD4 ⁺ Naive	CD4 ⁺ TCM	CD4 ⁺ TEM	CD4 ⁺ TEMRA	CD4 ⁺ CD28 ⁻ CD57 ⁻
≤2	3381 (1437-9315) ¹	1923 (963-7201)	197 (37-369)	682 (206-1673)	177 (78-535)	921 (343-3270)
3-5	2461 (1187-4508)	1646 (708-2692)	104 (50-426)	493 (296-2317)	88 (56-188)	497 (195-1871)
6-9	1056 (469-1727)	620 (283-1150)	58 (18-152)	263 (98-719)	32 (11-162)	285 (89-527)
10-12	1012 (583-2144)	564 (291-1181)	60 (17-194)	344 (162-1000)	43 (8-106)	230 (26-925)
13-15	1140 (748-2010)	622 (255-931)	58 (14-240)	441 (216-955)	45 (17-82)	356 (69-1154)
16-19	1064 (608-1638)	557 (235-1172)	52 (16-168)	379 (109-523)	29 (9-155)	290 (31-415)
20-25	946 (394-1695)	417 (186-827)	46 (20-173)	360 (90-693)	39 (8-73)	287 (109-591)
26-39	732 (425-1760)	322 (76-931)	49 (28-160)	302 (160-752)	25 (10-86)	241 (98-954)
40-49	702 (333-1478)	250 (55-881)	52 (17-196)	335 (113-718)	25 (9-81)	198 (83-528)

Table S3A. Change in CD4⁺T cell memory and senescence with age.

¹ Cells/μl blood, median (range).

Age group	CD3 ⁺ CD8 ⁺ T cells	CD8 ⁺ Naive	CD8 ⁺ TCM	CD8 ⁺ TEM	CD8 ⁺ TEMRA	CD8 ⁺ CD28 ⁻ CD57 ⁻
≤2	1730 (494-3917) ¹	1245 (270-2890)	30 (6-394)	389 (89-1135)	167 (31-938)	408 (146-1747)
3-5	977 (582-3659)	746 (353-2023)	24 (13-203)	217 (150-1881)	84 (25-498)	245 (86-1518)
6-9	474 (242-1085)	306 (176-640)	14 (7-183)	134 (41-338)	43 (5-127)	115 (25-449)
10-12	466 (230-1467)	311 (144-880)	18 (5-249)	169 (72-698)	61 (6-186)	124 (8-646)
13-15	553 (210-1969)	349 (132-1234)	23 (3-142)	231 (42-776)	74 (6-324)	172 (16-1164)
16-19	448 (176-806)	314 (110-594)	19 (3-118)	171 (29-260)	46 (4-123)	101 (8-230)
20-25	399 (102-1132)	259 (77-706)	16 (2-132)	170 (23-413)	44 (5-275)	112 (28-648)
26-39	313 (109-1192)	215 (41-705)	12 (4-66)	131 (76-519)	30 (5-211)	102 (34-664)
40-49	338 (118-575)	183 (52-365)	15 (5-60)	143 (47-373)	24 (1-137)	82 (29-326)

Table S3B. Change in CD8⁺ T-cell memory and senescence with age.

¹ Cells/μl blood, median (range).

Supplementary figure legends

Figure S1. Changes in NK cell and NK cell subset distribution with age across the entire cohort. Linear regression models were fitted as a natural cubic spline to the data in which age was included. **A-C.** Percentages of NK cells and CD56^{bright} and CD56^{dim} subsets corresponding to figure 1 (and as gated in figure 1A). **B-F.** Absolute numbers of CD3⁺CD56⁺ and CD56^{bright} and CD56^{dim} subsets. R² and p values (F-test) are shown.

Figure S2. Changes in T cell maturation with age. **A.** T cell differentiation status was assessed after gating on CD4 or CD8 and subsequently on naïve (TN), central memory (TCM), effector memory (TEM) and terminally differentiated effector memory (TEMRA) using a combination of CD45RA and CCR7. Frequencies of naïve and memory subsets are shown in age stratified groups for CD4⁺ (**B-E**) and CD8⁺ T cells (**F-I**). Horizontal bars represent median values, boxes extend from the 25th to the 75th percentile and whiskers represent the 95th percentiles. Asterisks represent significant trends across the entire cohort (* p<0.05, *** p<0.001, F-test).

Figure S3. Changes in NK cell maturation and c-type lectin-like receptor defined NK cell subsets with age across the entire cohort. **A-C.** Models of changes in frequencies of CD57-defined subsets corresponding to data shown in figure 2 (and as gated in figure 2A). **D-F.** Changes in the frequencies of CD94, NKG2A and NKG2C expressing NK cells, (as gated in figure 2C and E). **G,H.** Changes in MFI of CD57 on NKG2A⁺ and NKG2C⁺ NK cells (corresponding to data shown in figure 2 F,G). R² and p values (F-test) are shown.

Figure S4. Frequency of mature CD57+ cells is inversely correlated with HCMV IgG titre. **A-C.** A Positive correlation of HCMV IgG titre is observed with the % of CD57- NK cells (**A**) and a negative correlation with the % of CD57+ NK cells (**C**) whereas no significant effect is observed for the CD57^{intermediate} subset (**B**). R² and p values (F-test) are shown.

Figure S5. Limited impact of EBV serostatus on NK cell subsets. The frequencies of total NK cells (**A**) CD56^{bright} (**B**) CD56^{dim} (**C**) CD94 (**D**) NKG2A (**E**) NKG2C (**F**) and the Mean Fluorescence Intensity (MFI) for CD57 (**G**) and frequencies of CD57 defined subsets (**H**) were compared in EBNA seronegative and seropositive individuals. *p<0.01.

Figure S6. Changes in IL-12 + IL-18 induced NK cell function with age across the entire cohort. **A.** CD107a, **B.** CD25 and **C.** IFN- γ expression in gated CD3⁺CD56⁺ NK cells. (Models correspond to data shown in figure 5 E,H,K). R² and p values (F-test) are shown.

Figure S7. NKG2C genotype affects frequencies and absolute numbers of NK, CD56^{bright} and CD56^{dim} cells. Frequencies and absolute numbers of NK cells across the entire cohort (**A, B**) and (**C,D**) in groups stratified according to age (<10 and 10-49 years old). Frequencies and (**F, H**) absolute numbers of CD56^{bright} and CD56^{dim} NK cells.

Figure S8. Impact of NKG2C genotype on absolute numbers of NK cell subsets. **A.** CD94⁺ NK cells, **B.** NKG2A⁺ or NKG2C⁺ NK cells and **C.** CD57 defined NK cell subsets are shown for all study subjects. **D-F.** Effect of NKG2C genotype on study subjects grouped according to ages <10 or 10-49 years old; **D.** CD94⁺ NK cells, **E.** NKG2A⁺ or NKG2C⁺ NK cells or **F.** CD57-defined subsets. * p<0.05.

Figure S9. Expression levels of NKG2C in NKG2C^{+/-} and +/+ individuals. The mean fluorescence intensity is shown for NKG2C in (**A**) all +/+ and +/- study subjects and (**B**) in individuals grouped into age strata (<10 and 10-49 years old).

Figure S10. NKG2C genotype does not affect antibody titres to vaccine antigens. No significant impact of genotype is observed on titres of antibody against Tetanus Toxoid (**A**) or Hepatitis B surface antigen (**B**) and Epstein-Barr Virus nuclear antigen (**C**) in children (<10 years old) or in adults (10-49 years old).

Figure S11. Elevated frequencies of c-type lectin-like receptor positive NK cell subsets and reduced NK cell functional capacity in Gambian compared to UK Adults. The frequencies of CD57⁺ (A), NKG2A⁺ (B) and NKG2C⁺ (C) within CD56dim NK cells are compared Gambian adults (n=65) and HCMV seronegative (n=78) and seropositive UK adults (n=43) aged between 20 and 49 years. Frequencies of cells expressing CD107a (D), CD25 (E) and IFN- γ (F) were determined within total NK cells. ** p<0.01, *** p<0.001, p<0.0001.

Figure S1

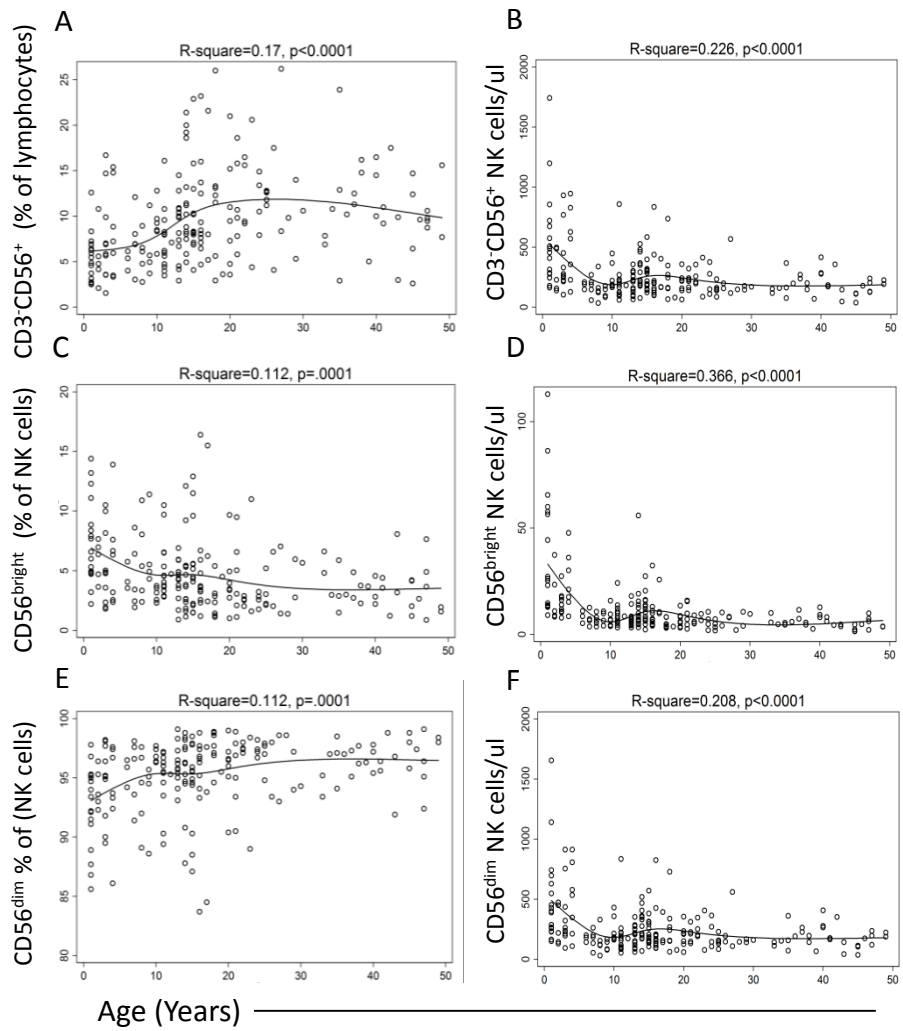
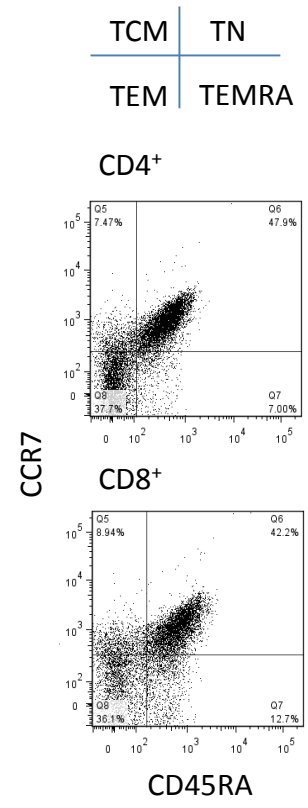
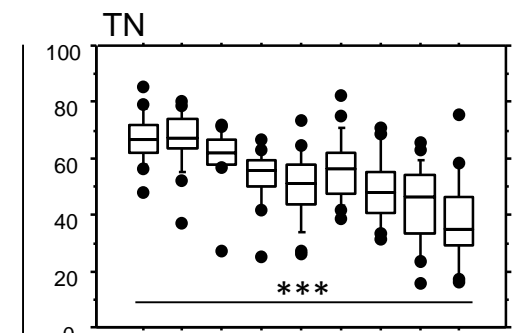


Figure S2

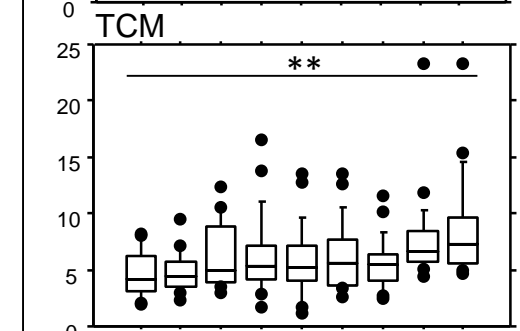
A



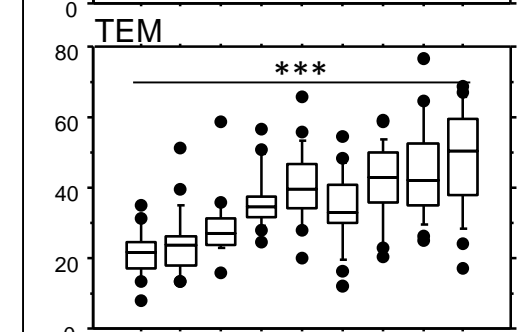
B



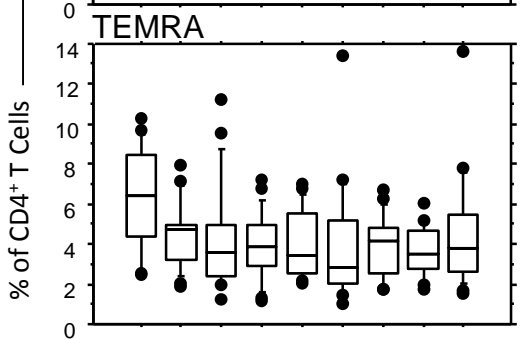
C



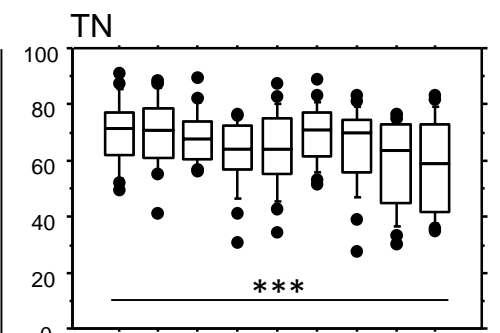
D



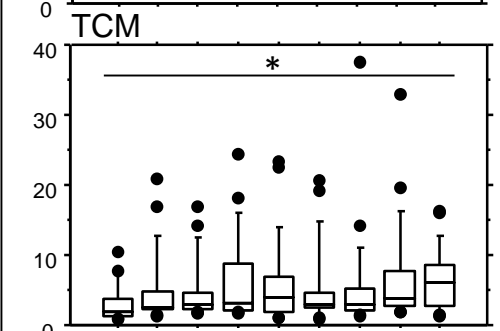
E



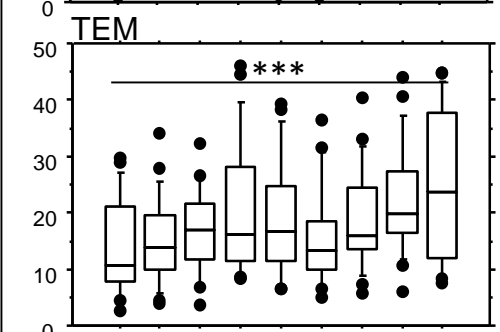
F



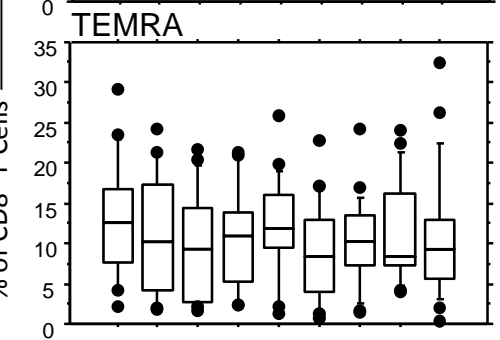
G



H



I



Age (years)

Figure S3

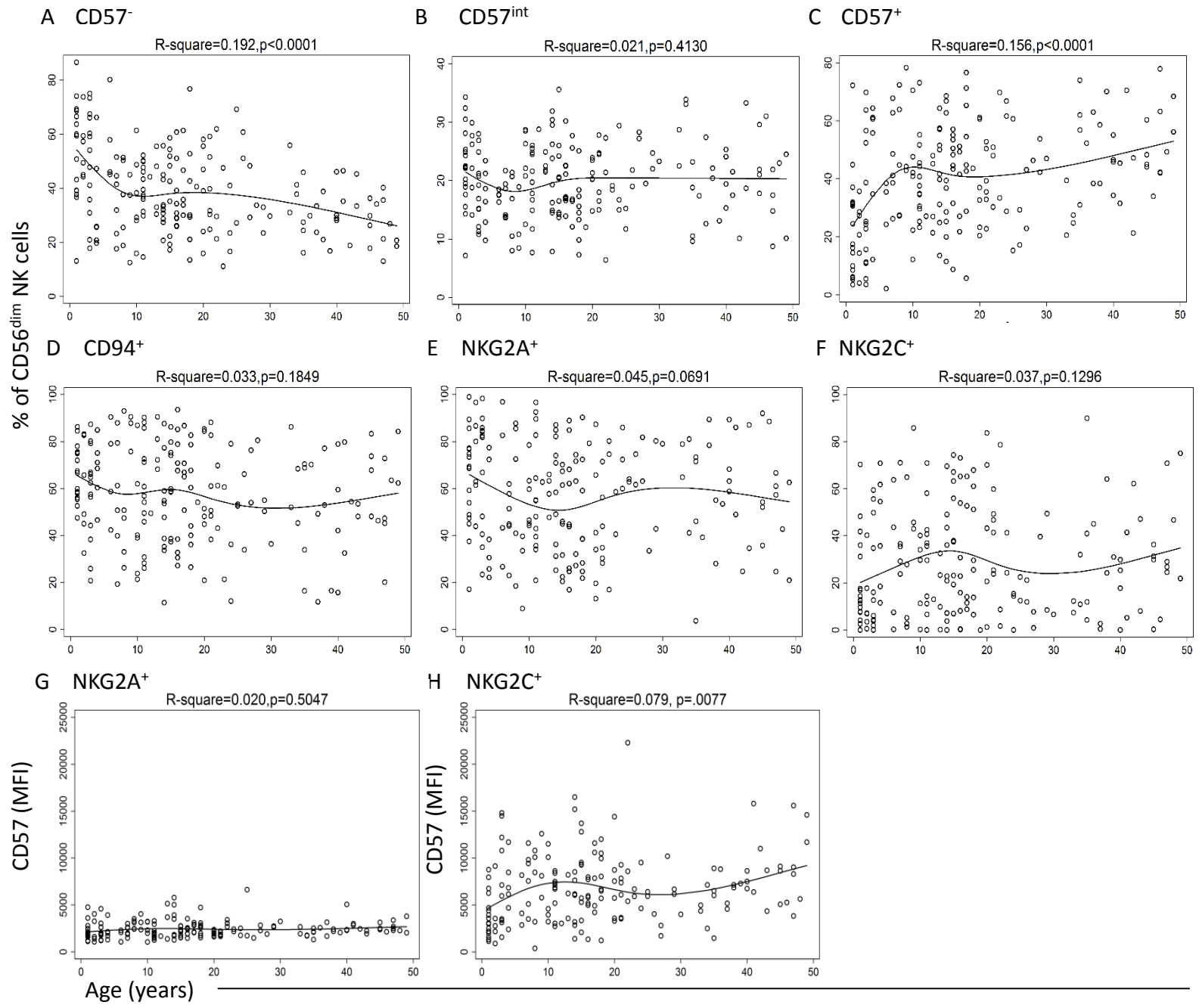


Figure S4

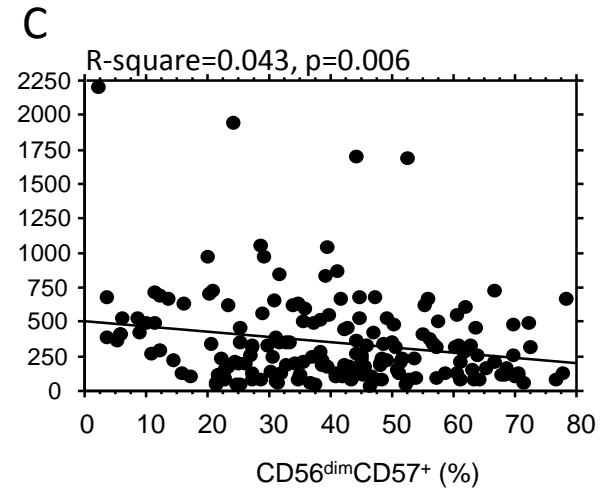
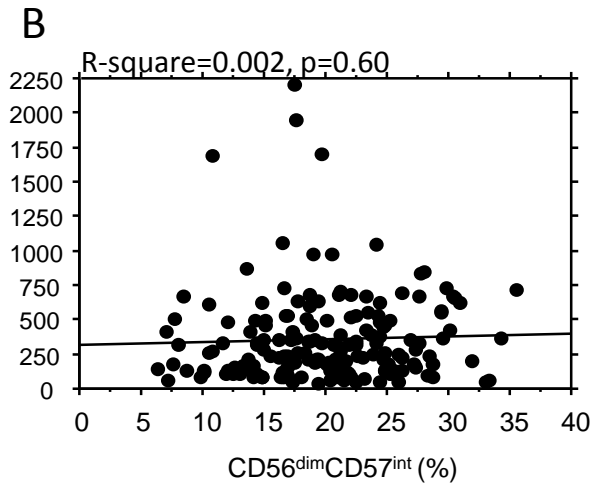
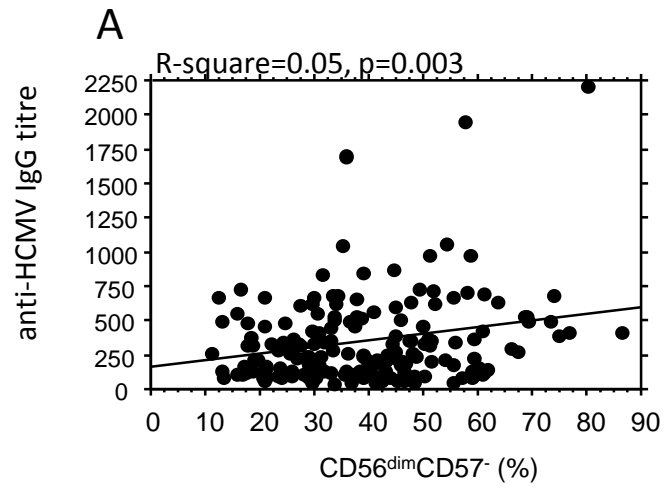


Figure S5

Impact of EBV serostatus on NK cell phenotypic markers

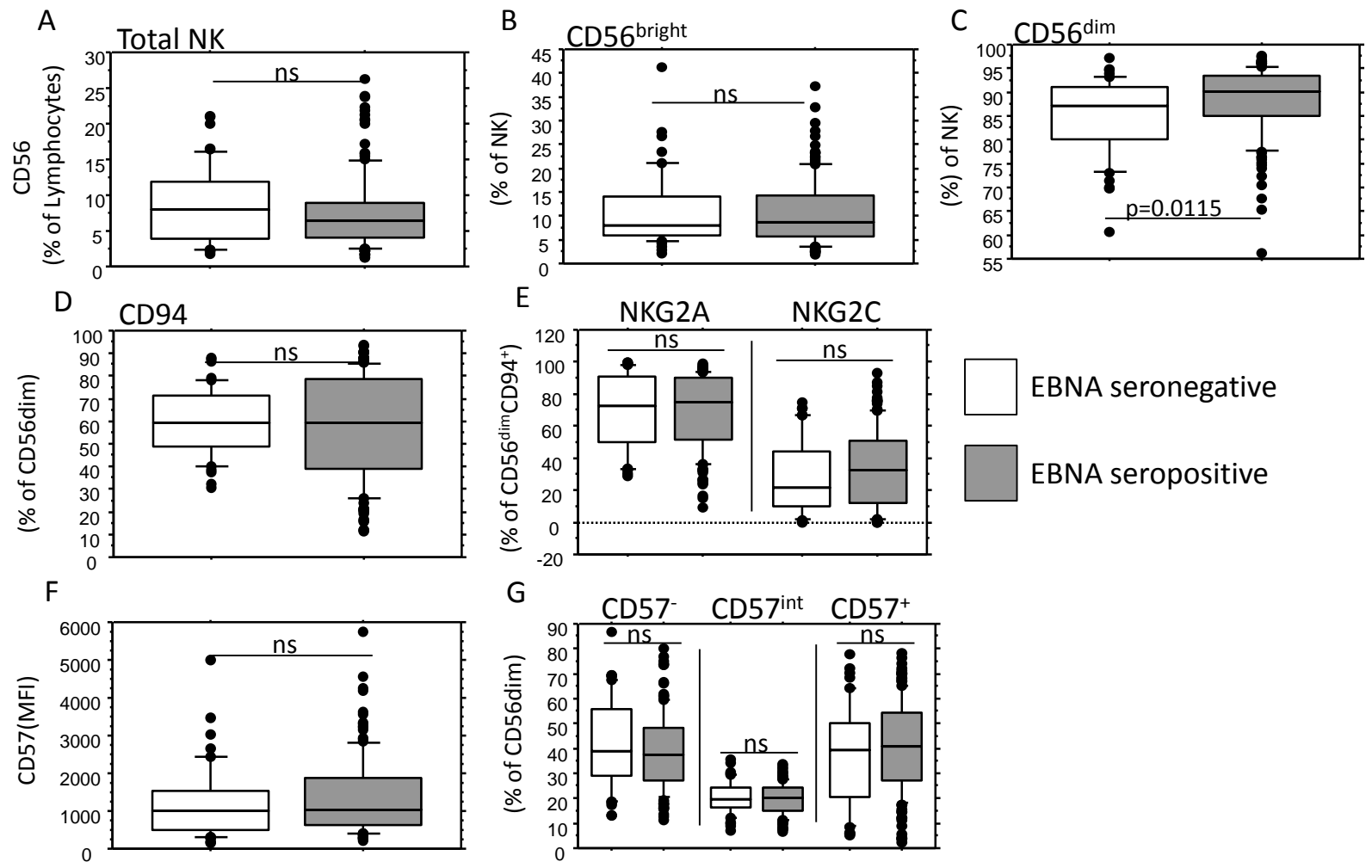


Figure S6

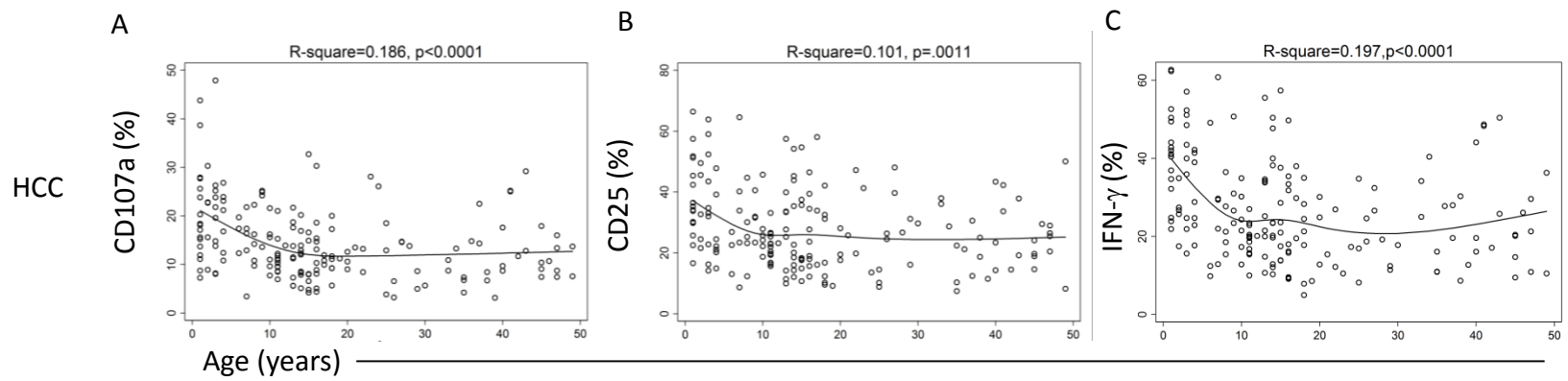


Figure S7

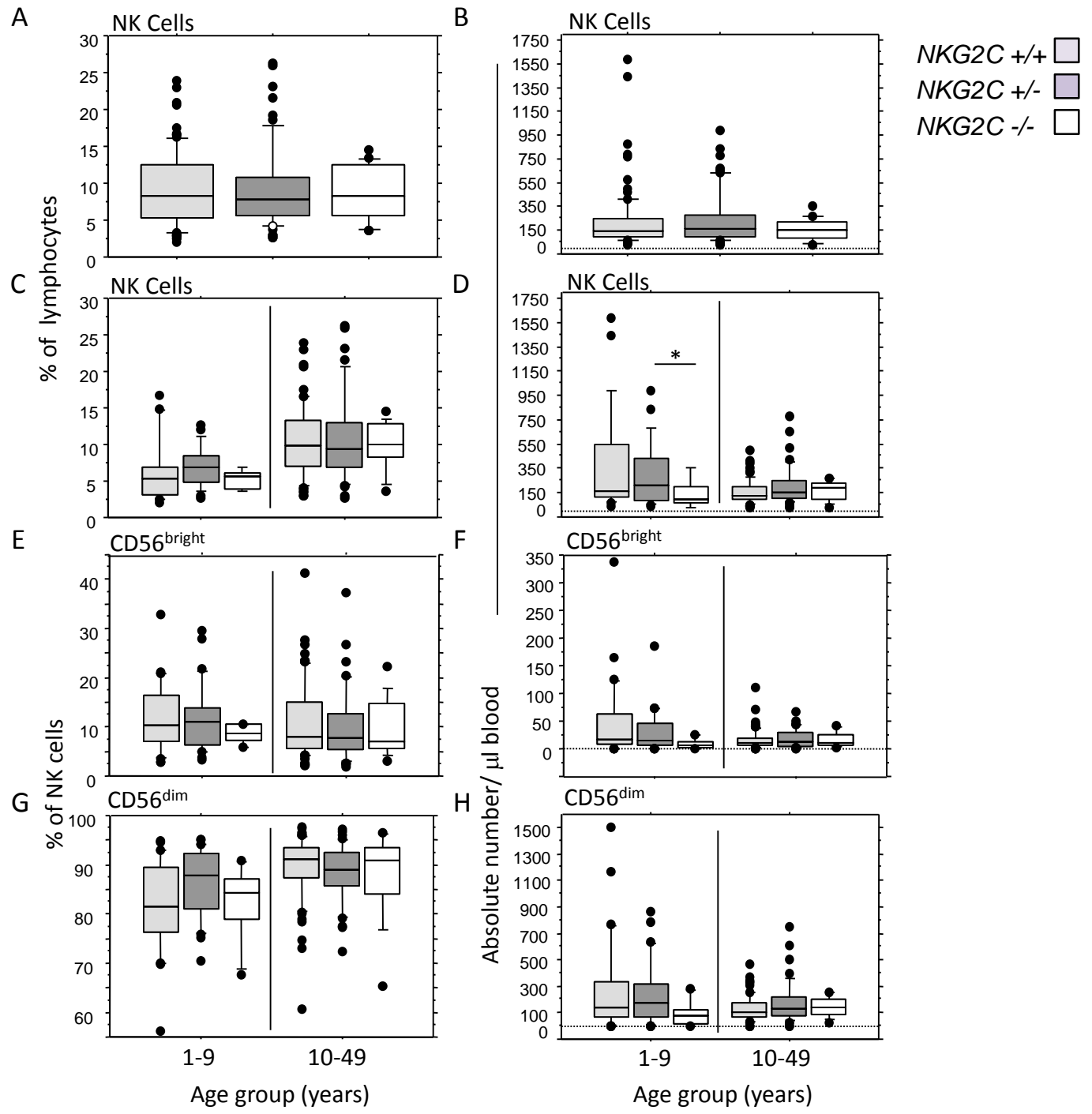


Figure S8

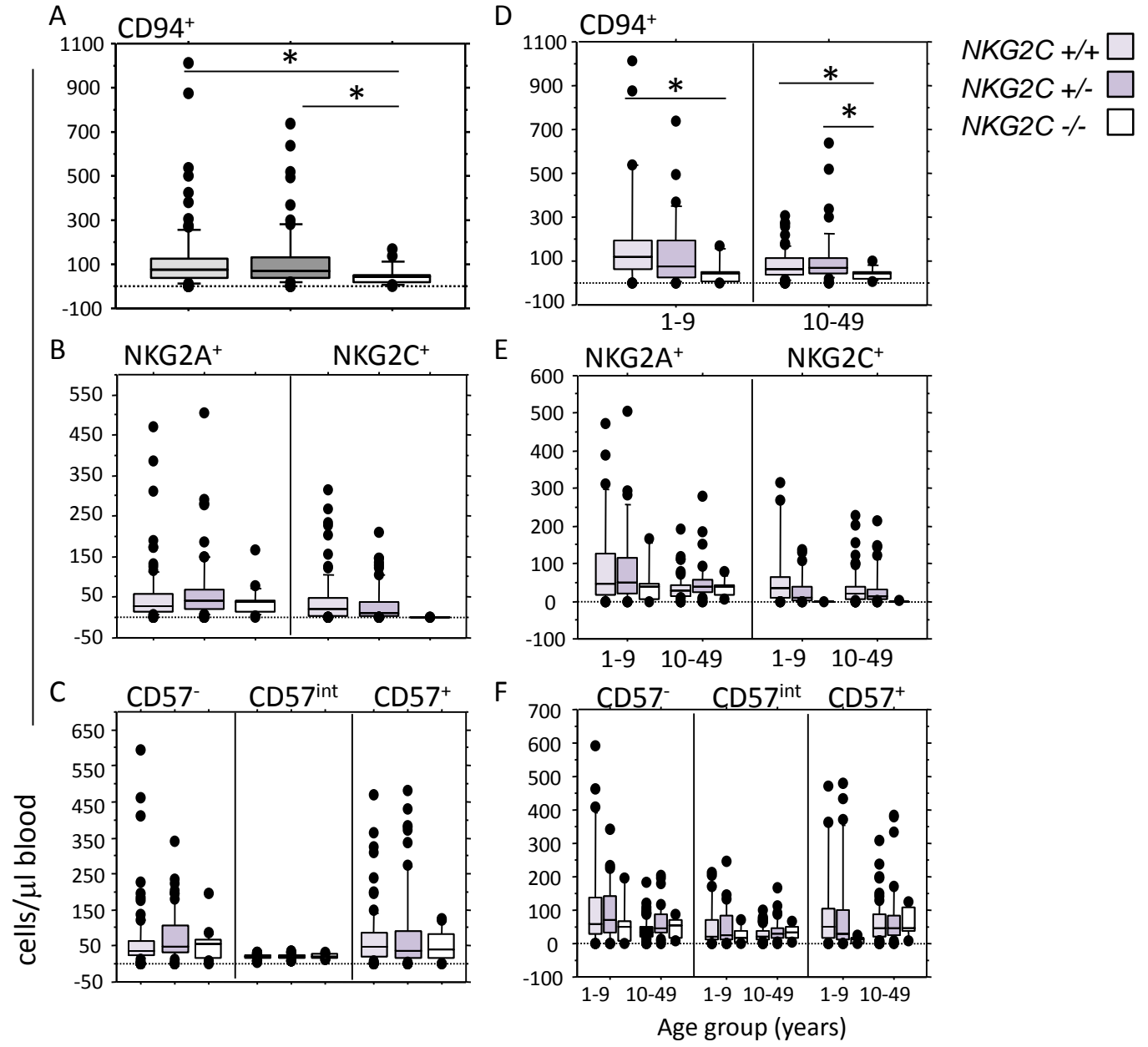


Figure S9

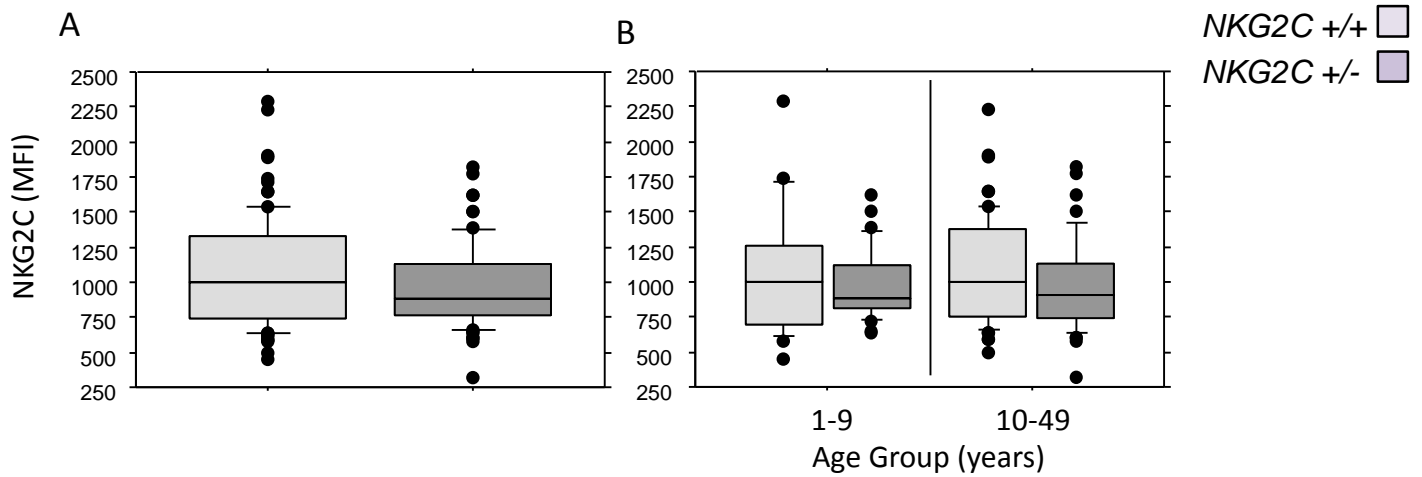


Figure S10

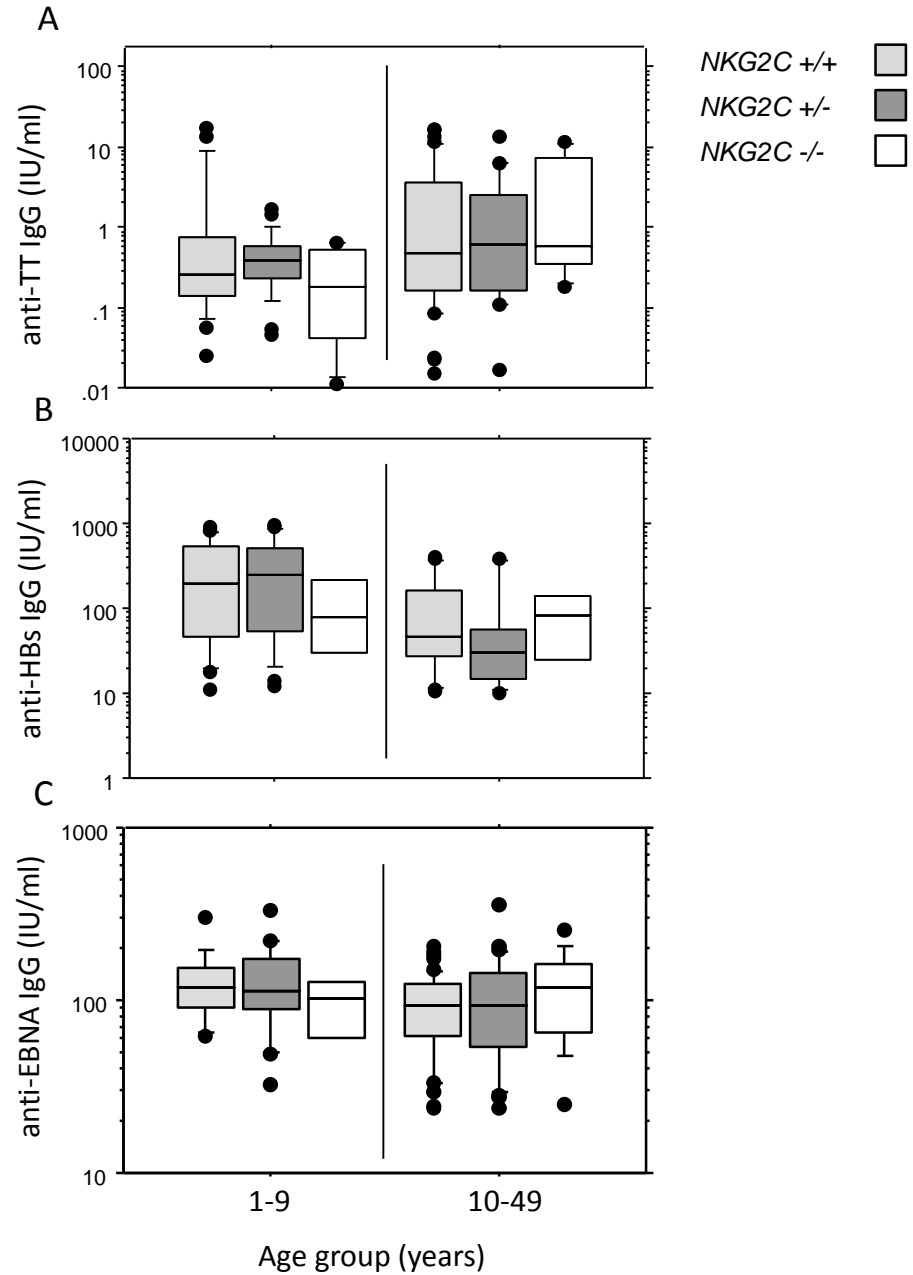


Figure S11

